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Memorandum

TO: BATA Oversight Committee

DATE: December 7, 2007

FR: Deputy Executive Director

W. I. 1254

RE: BATA Financial Statements – October 2007

Please find attached for receipt the BATA financial statements for the period ending October 2007. Major highlights of the statements include:

(1) **Revenues**: BATA received $100 million from Caltrans for its fiscal 2008 contribution to the seismic retrofit program and the funds were assigned and transferred to the BAIFA trustee for future debt payments.

(2) **Caltrans Operations & Maintenance:** Caltrans toll bridge facility maintenance charges are lower than the budget to date, because of the delays in the approval of the State budget. Caltrans District 4 has requested additional expenditure authorization in the state budget for FY 2007-08, but has yet to obtain approval from Headquarters.

(3) **Operating Budget:** The fiscal 2008 operating was increased for a revision of $250,000 as a local match to the UPP grant. The budget was also increased by $863,572 in the professional fees category for prior year encumbrances brought over into the current year.

(4) The bridges show all time high ETC usage due to the marketing plan this past summer and the increased number of ETC lanes.

If you have any questions about this report, please contact Brian Mayhew at (510) 817-5730.

Andrew B. Fremier

BATA Operating Budget

AS of October 2007

	1	2	3	4	5	6
	FY 2007-08 Budget	4 Month Actual	Encumbrances	Variance Fav.(Unfav.)	% of Budget (Col 2+3/1)	% of Budget year Expired
REVENUE:						
1. RM 1 Toll Revenues	137,016,387	46,165,720	-	(90,850,667)	33.7%	33.3%
2. RM 2 Toll Revenues	117,362,278	39,384,044	-	(77,978,234)	33.6%	33.3%
3. Seismic Toll Revenue	234,724,556	78,757,933	-	(155,966,623)	33.6%	33.3%
4. Caltrans Revenue	100,000,000	100,000,000	-	-	100.0%	33.3%
5. Other revenue	10,000,000	1,570,955	-	(8,429,045)	15.7%	33.3%
6. Interest Income	90,135,000	46,533,955	-	(43,601,045)	51.6%	33.3%
7. GGB&HTD, SFO ETC Reimbursemer	2,537,000	486,317	-	(2,050,683)	19.2%	33.3%
Total Revenue	691,775,221	312,898,924	-	(378,876,297)	45.2%	33.3%
EXPENSE:						
Caltrans Operations and Maintenance:						
1.Toll Collection & Operations Service	24,000,000	8,562,641	-	15,437,359	35.7%	33.3%
2.Toll & Bridge Facility Maint	9,807,000	1,459,907	-	8,347,093	14.9%	33.3%
3.Transbay Transit Terminal Maint	3,506,000	734,175	-	2,771,825	20.9%	33.3%
4. Caltrans Coordination	587,636	132,378	-	455,258	22.5%	33.3%
5. Caltrans ETC Operations	435,000	162,795	-	272,205	37.4%	33.3%
Caltrans O & M Subtotal	38,335,636	11,051,896	-	27,283,740	28.8%	33.3%
ETC Operations and Maintenance:						
6. ETC - CSC Operations	13,892,000	2,379,612	11,512,388	-	100.0%	33.3%
7. ETC - ATCAS Maintenance, IT equi	2,927,000	289,752	577,433	2,059,815	29.6%	33.3%
8. Banking Costs	6,450,600	1,062,029	5,271,457	117,114	98.2%	33.3%
9. ETC - Collection Exp./DMV Exp.	950,000	193,905	-	756,095	20.4%	33.3%
BATA O & M Subtotal	24,219,600	3,925,297	17,361,277	2,933,025	87.9%	33.3%
BATA Toll Bridge Administration:						
10. Staff Costs - including salaries	6,826,353	1,536,205	232,422	5,057,727	25.9%	33.3%
11. RM 1/RM2 Audit/Accounting/Oth	1,939,773	302,687	512,622	1,124,464	42.0%	33.3%
12. Misc. Toll Admin Operating Expen	897,104	418,005	74,893	404,206	54.9%	33.3%
13. Professional Fees	3,823,799	616,639	1,745,344	1,461,816	61.8%	33.3%
14. Contingency	700,000	-	-	700,000	0.0%	33.3%
Toll Bridge Admin Subtotal	14,187,029	2,873,536	2,565,281	8,748,213	38.3%	33.3%
Other/Transfers:						
15. Transfers to BAIFA	100,000,000	100,000,000	-	-	100.0%	33.3%
16. Transfers to MTC	26,760,000	26,510,000	-	250,000	99.1%	33.3%
17. Depriciation and Amortization	1,714,000	81,050	-	1,632,950	4.7%	33.3%
18. RM2 Operating	45,208,193	1,902,927	23,345,577	19,959,689	55.8%	33.3%
Transfers	173,682,193	128,493,977	23,345,577	21,842,639	87.4%	33.3%
Debt Service:	-					
19. Interest and principal payments	237,190,250	62,579,817	-	174,610,433	26.4%	33.3%
20. Financing Costs	9,789,400	1,507,514	5,681,571	2,600,315	73.4%	33.3%
Total Debt Service	246,979,650	64,087,331	5,681,571	177,210,748	28.2%	33.3%
Transfer to Capital Fund (In) Out:						
21. Transfer to Capital Fund	194,371,113	102,466,887	-	91,904,226	52.7%	33.3%
Total Transfers (In) Out	194,371,113	102,466,887	-	91,904,226	52.7%	33.3%
Total Expense & Transfers	691,775,221	312,898,924	48,953,706	329,922,590	52.3%	33.3%
Net	-	-		(48,953,707)		
Per IFAS						

RM1 Project Budget

As of October 2007 ($000) - Life to Date

Program	Total Budget	Allocated Budget	Total Expenses	Encumbrance	Balance Remaining
New Bridge - Benicia:					
BATA Budget	1,224,800	1,189,020	1,103,088	85,932	35,780
Non BATA Budget*	49,200	49,200	38,105	11,095	-
Subtotal for New Bridge - Benicia	1,274,000	1,238,220	1,141,193	97,027	35,780
Main Span - Carquinez	528,156	516,577	505,931	10,646	11,579
Richmond Parkway	5,897	5,897	5,226	671	-
Richmond Trestle Fender Replacement:					
BATA Budget	42,593	42,593	50,340	(7,747)	-
Non BATA Budget*	59,660	59,660	61,492	(1,832)	-
Subtotal for Richmond Trestle Fender Rep.	102,253	102,253	111,832	(9,579)	-
Richmond Deck Rehab.	25,000	24,113	19,653	4,460	887
Widen Trestle	217,456	212,336	208,681	3,655	5,120
I-880/SR-92 Interchange Recnst.					
BATA Budget	235,400	194,181	42,482	151,699	41,219
Non BATA Budget*	9,600	9,600	-	9,600	-
Subtotal for I-880/SR-92 Interchange Recnst	245,000	203,781	42,482	161,299	41,219
SR-92 Replacement Planting	395	22	-	22	373
Bayfront Expressway Widening	35,968	34,859	33,248	1,611	1,109
University/101 Interchange	3,800	3,800	3,700	100	-
TOTAL FOR RM1 PROGRAM	2,437,926	2,341,858	2,071,946	269,912	96,067

* Non BATA Funded Costs

Rehab Project Budget

As of October 2007 ($000) - Life to Date

Program	Total Budget	Allocated Budget	Total Expenses	Encumbrance	Balance Remaining
Antioch Bridge Rehab	2,905	2,905	1,143	1,762	-
Benicia-Martinez Bridge Rehab	6,257	6,257	4,262	1,995	-
Carquinez Bridge Rehab	20,921	20,921	6,651	14,270	-
Richmond-San Rafel Bridge Rehab	1,243	1,243	842	401	-
San Francisco-Oakland Bay Bridge Rehab	62,224	62,224	45,862	16,362	-
San Mateo-Hayward Bridge Rehab	8,790	8,790	4,231	4,559	-
Dumbarton Bridge Rehab	11,437	11,437	1,823	9,614	-
All Bridges Rehab	11,100	11,100	6,647	4,453	-
Completed/Defunded/Transferred Projects	36,235	36,235	31,245	4,990	-
Minor Bridge Rehab Projects	8,377	8,377	1,264	7,113	-
Transbay Transit Terminal Projects	956	956	-	956	-
Minor Toll Plaza Projects	1,537	1,537	-	1,537	-
TOTAL CALTRANS REHAB BUDGET	**171,983**	**171,983**	**103,970**	**68,012**	-
Misc. Bridge Improvements	2,500	2,500	-	1,000	1,500
Seismic Analysis & Retrofit Dumbarton	3,800	3,800	2,137	1,641	22
Seismic Analysis & Retrofit Antioch	3,200	3,200	2,039	1,067	94
ETC Regional CSC Development	6,879	6,879	3,672	821	2,386
Future CSC Upgrades/Replacement	500	500	-	-	500
ATCAS Lane Host Upgrades	9,600	9,600	351	415	8,834
Fastrak Sign & Sign Structure Improvements	20,000	20,000	15,984	2,752	1,264
Benicia New Toll Plaza ORT	4,485	4,485	3,100	898	487
ETC Transponder Procurement	21,293	21,293	16,255	3,946	1,092
Hybrid/ETC Lane Modifications	900	900	833	24	43
Procure New Callboxes	944	944	737	-	207
Toll Collection Monitoring Camera Upgrade	3,250	3,250	-	-	3,250
Toll Plaza Capital Improvements	600	600	-	600	-
ATCAS Capital Maintenance	800	800	2	-	798
Gateway Park Planning	250	250	22	24	204
SRA/RM1 Program Monitoring	25,119	25,119	13,889	5,498	5,732
Capital Program Audit	3,000	3,000	1,808	1,117	75
Total BATA REHAB BUDGET	**107,120**	**107,120**	**60,829**	**19,803**	**26,488**
TOTAL REHAB BUDGET	**279,103**	**279,103**	**164,800**	**87,815**	**26,487**

Seismic Capital Project Budget

As of October 2007 ($000) - Life to Date

Program	Base Budget	Current Budget	Total Expenses*	Encumbrance	Remaining Balance
San Francisco-Oakland Bay Bridge East Span Repl	$ 5,486,600	$ 5,665,820	$ 2,576,200	$3,089,620	$ (179,220)
San Francisco-Oakland Bay Bridge West Span	307,900	307,900	304,369	3,531	-
San Francisco-Oakland Bay Bridge West Approach	429,000	429,000	359,890	69,110	-
Richmond-San Rafael Bridge Retrofit	825,000	825,000	793,227	31,773	-
Benicia-Martinez Bridge Retrofit	177,800	177,830	177,817	13	(30)
Carquinez Bridge Retrofit	114,200	114,130	114,206	(76)	70
San Mateo-Hayward Bridge Retrofit	163,500	163,510	163,412	98	(10)
Subtotal for Bay Area Bridges	7,504,000	7,683,190	4,489,121	3,194,069	(179,190)
Misc Program Costs	30,000	30,000	26,024	3,976	-
Program Contingency**	989,000	809,780	-	809,780	179,220
Vincent Thomas Bridge Retrofit *(non-BATA, for information only)*	58,500	58,510	58,411	99	(10)
San Diego-Coronado Bridge Retrofit *(non BATA, for information only)*	103,500	103,520	103,235	285	(20)
Subtotal for Other Bridges	162,000	162,030	161,646	384	(30)
Total for Toll Bridge Seismic Retrofit Program	$ 8,685,000	$ 8,685,000	$ 4,676,791	$4,008,209	$ -

*Includes preAB144 LTD expenses from Caltrans to April 2006	3,709,068
Bata expenses from May 2006 to current	967,723
	4,676,791

** Contingency Allocation	
Contingency per Budget	989,000
Allocation to SFO BB East Span Repl 7/07	179,220
Allocation to Benicia-Martinez 7/07	30
Allocation to San Mateo-Hayward 7/07	10
Allocation to Vincent Thomas 7/07	10
Allocation to San Diago-Coronado 7/07	20
Unallocate to Carquinez 7/07	70
Remaining Balance	809,780

Regional Measure 2 Operating Budget

As of October 2007 ($000)

Program 30914(d) Project	Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
1	Richmond Bridge Express Bus	$2,196	1,647	483	1,164	549
2	Napa Vine Service	$50	25	-	25	25
3	Express Bus North - serving SFOBB, Dumbarton, San Mateo bridges	3,420	2,854	320	2,534	566
4	Express Bus South - serving Carq. And Ben Bridges	5,900	5,899	-	5,899	1
9	Vallejo Ferry Intermodal Station	2,740	1,997	-	1,997	743
10	Owl Service - BART Corridor	1,827	1,726	99	1,627	101
11	MUNI Metro 3rd St	2,500	2,500	-	2,500	-
12	AC Enhanced Bus Service	3,000	3,000	-	3,000	-
13	TransLink®	3,500	1,350	-	1,350	2,150
14	Water Transit Authority	3,000	3,000	1,000	2,000	-
NA	RM2 Marketing	1,016	1,016	-	1,016	-
	AC Transit	164	164	-	164	-
	Finger Design	20	20	-	20	-
	Swirl	50	50	-	50	-
	Total	$29,383	$25,248	$1,902	$23,346	$4,135

Regional Measure 2 Project Budget

As of October 2007 ($000) - Life to Date

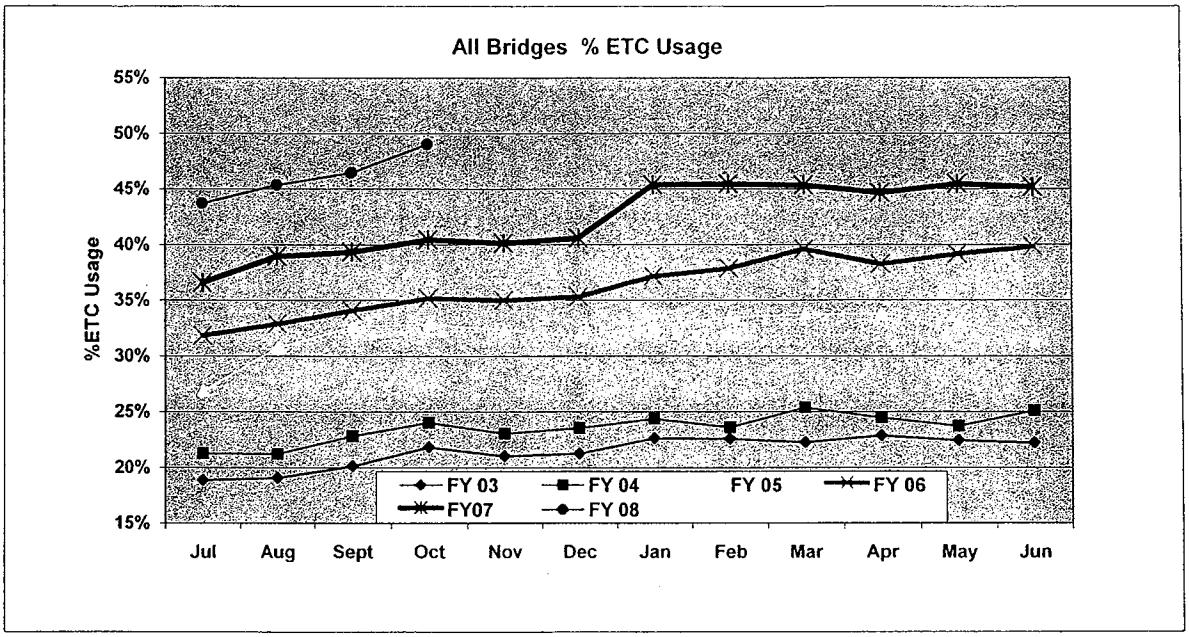
Program	Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
1	BART/MUNI Direct Connection at Embarcadero & Civic Center	$3,000	-	-	-	$3,000
2	SF MUNI Metro 3rd Street LRT Extension	30,000	30,000	22,248	7,752	-
3	MUNI Historic Streetcar Expansion (F-Line)	10,000	10,000	7,498	2,502	-
4	Dumbarton Commuter Rail Service	135,000	9,157	1,108	8,049	125,843
5	Vallejo Ferry Intermodal Station	28,000	2,784	21	2,763	25,216
6	Solano County Express Bus Intermodal Facilities	20,000	2,120	18	2,102	17,880
7	Solano County Corridor Improvements near I-80 / I-680	100,000	13,500	6,903	6,597	86,500
8	I-80 EB HOV Lane Extension from Route 4 to Carquinez Bridge	50,000	5,260	262	4,998	44,740
9	Richmond Parkway Park & Ride	16,000	700	-	700	15,300
10	SMART Extension to Larkspur	35,000	2,000	832	1,168	33,000
11	Greenbrae Interchange	65,000	7,558	3,240	4,318	57,442
12	Direct HOV lane connector from I-680 to the Pleasant Hill BART	15,000	1,000	-	1,000	14,000
13	Rail Extension to East Contra Costa/E-BART	96,000	21,250	9,600	11,650	74,750
14	Capitol Corridor Improvements in Interstate-80/Interstate 680	25,000	615	-	615	24,385
15	Central Contra Costa Bay Area Rapid Transit (BART) Crossover	25,000	25,000	3,372	21,628	-
16	Benicia-Martinez Bridge: New Span	50,000	50,000	50,000	-	-
17	Remaining Regional Express Bus North - Competitive Program	20,000	-	-	-	20,000
18	TransLink®	22,000	13,257	4,069	9,188	8,743
19	Real-time transit information	20,000	15,560	702	14,858	4,440
20	Safe Routes to Transit	22,500	5,726	2,095	3,631	16,774
21	BART Tube Seismic Retrofit	81,000	33,801	21,321	12,480	47,199
22	Transbay Terminal/Downtown	150,000	53,279	46,234	7,045	96,721
23	Oakland Airport Connector	68,000	-	-	-	68,000
24	AC Transit Enhanced Bus - Phase 1 (International Blvd/Telegraph Ave.	65,000	21,057	16,217	4,840	43,943
25	Commute Ferry Service for Alameda/Oakland/Harbor Bay	12,000	-	-	-	12,000
26	Commute Ferry Service for Berkeley/Albany	12,000	-	-	-	12,000
27	Commute Ferry Service for South San Francisco	12,000	275	39	236	11,725
28	Water Transit Facility Imps., Spare Vessels and Environmental Review	48,000	24,525	8,742	15,783	23,475
29	Regional Express Bus South - Remaining Projects	22,000	19,039	10,098	8,941	2,961
30	I-880 North Safety Improvements	10,000	1,800	1,099	701	8,200
31	BART Warm Springs Extension	95,000	16,000	439	15,561	79,000
32	I-580 (Tri Valley) Rapid Transit Corridor Improvements	65,000	33,550	15,845	17,705	31,450
33	Regional Rail Master Plan	6,500	6,500	5,133	1,367	-
34	Integrated Fare Structure Program	1,500	1,000	-	1,000	500
35	Transit Commute Benefits Promotion	5,000	100	19	81	4,900
36	Caldecott Tunnel Improvements	50,500	7,500	5,570	1,930	43,000
37	BART's Fixed Guideway Rehab	24,000	24,000	-	24,000	-
	Total	$1,515,000	$457,913	$242,724	$215,189	$1,057,087

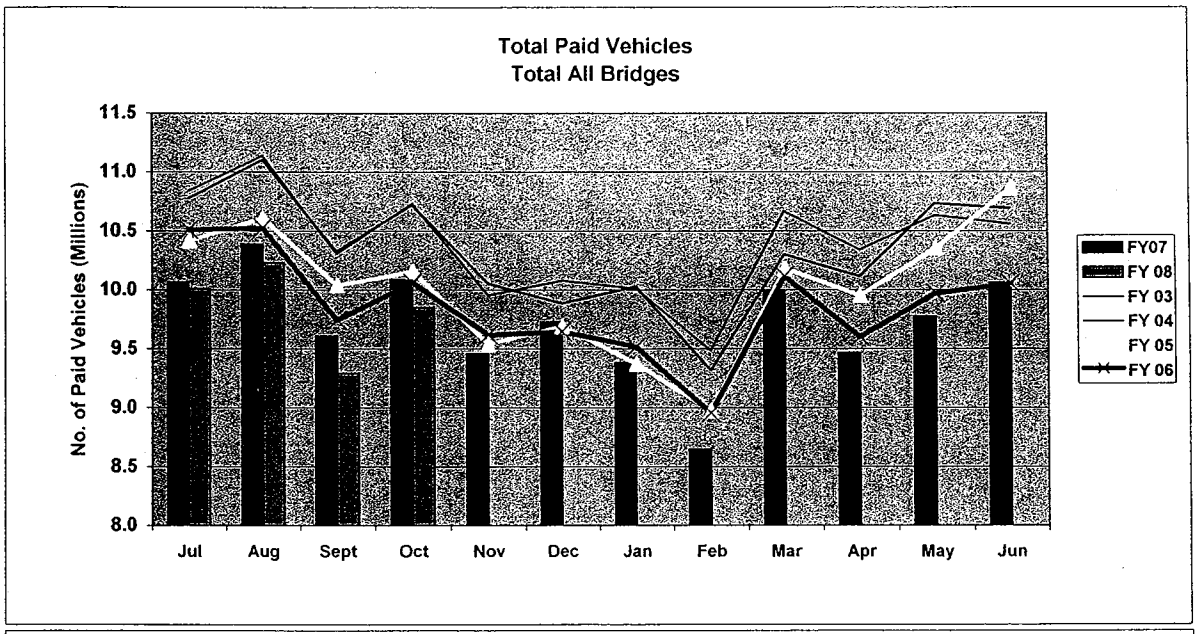
Shaded projects are completed

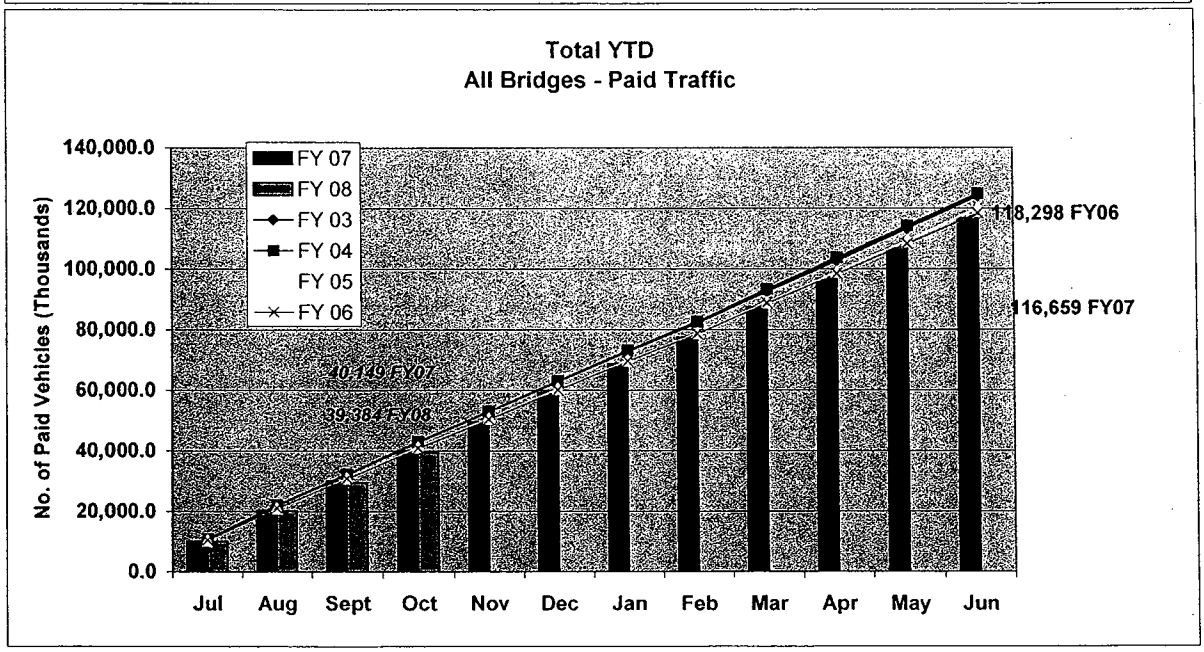
PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR

$2500-100,000

	October'07
D & M Traffic Services	4,502
Rental portable message board	
Dan Krauss	7,300
Aerial coverage of labor day construction	
HP	4,440
HP color laser jet	
HP	7,522
HP design jet	
Janis Clark Enterprises	7,340
Latte mugs for new Benicia celebration	
Ernest Lehmann	2,500
Purchase of archive new Benicia construction photos	
David L Brown	2,500
Producing video for operation on new east span	
Thomas and Pratt	5,000
Deployment of Portable Changeable Message Signs	
Traffic control Services	8,100
Channelizer white post to direct traffic	


All Bridges % ETC Usage
%ETC Usage
55%
50%
45%
40%
35%
30%
25%
20%
15%
Jul
Aug
Sept
Oct
Nov
Dec
Jan
Feb
Mar
Apr
May
Jun
FY 03
FY 04
FY 05
FY 06
FY07
FY 08


Total Paid Vehicles
Total All Bridges
No. of Paid Vehicles (Millions)
11.5
11.0
10.5
10.0
9.5
9.0
8.5
8.0
Jul
Aug
Sept
Oct
Nov
Dec
Jan
Feb
Mar
Apr
May
Jun
FY07
FY 08
FY 03
FY 04
FY 05
FY 06


Total YTD
All Bridges - Paid Traffic
No. of Paid Vehicles (Thousands)
140,000.0
120,000.0
100,000.0
80,000.0
60,000.0
40,000.0
20,000.0
0.0
FY 07
FY 08
FY 03
FY 04
FY 05
FY 06
118,298 FY06
116,659 FY07
40,149 FY07
39,384 FY08
Jul
Aug
Sept
Oct
Nov
Dec
Jan
Feb
Mar
Apr
May
Jun