

Date: September 22, 2004
W.I.: 1255
Referred by: PAC
Revised: 07/25/07-C

ABSTRACT

MTC Resolution No. 3647, Revised

This resolution approves the allocation of Regional Measure 2 funds for the East to West Bay Commuter Rail over the Dumbarton Rail Bridge project sponsored by the Alameda Congestion Management Agency (ACCMA), Alameda County Transportation Improvement Agency (ACTIA), Capitol Corridor, and San Mateo County Transportation Authority (SMCTA), and implemented by SMCTA.

This resolution includes the following attachments:

- Attachment A - Allocation Summary Sheet
- Attachment B - Project Specific Conditions for Allocation Approval
- Attachment C - MTC staff's review of SMCTA's Initial Project Report (IPR) for this project
- Attachment D - RM2 Deliverable/Useable Segment Cash Flow Plan

This resolution was revised on July 25, 2007 to allocate an additional \$6.27 million for environmental and preliminary engineering work on Segments A-E of the Dumbarton Rail project.

Additional discussion of this allocation is contained in the Executive Director's memorandum to the MTC Programming and Allocations Committee dated September 8, 2004 and July 11, 2007.

Date: September 22, 2004
W.I.: 1255
Referred by: PAC

Re: Approval of Allocation of Regional Measure 2 funds for the East to West Bay Commuter Rail over the Dumbarton Rail Bridge project

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION No. 3647

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission ("MTC") is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, Streets and Highways Code Sections 30950 *et seq.* created the Bay Area Toll Authority ("BATA") which is a public instrumentality governed by the same board as that governing MTC; and

WHEREAS, on March 2, 2004, voters approved Regional Measure 2, increasing the toll for all vehicles on the seven state-owned toll bridges in the San Francisco Bay Area by \$1.00, with this extra dollar funding various transportation projects within the region that have been determined to reduce congestion or to make improvements to travel in the toll bridge corridors, as identified in SB 916 (Chapter 715, Statutes of 2004), commonly referred as Regional Measure 2 ("RM2"); and

WHEREAS, RM2 establishes the Regional Traffic Relief Plan and lists specific capital projects and programs and transit operating assistance eligible to receive RM2 funding as identified in Streets and Highways Code Sections 30914(c) & (d); and

WHEREAS, RM2 assigns administrative duties and responsibilities for the implementation of the Regional Traffic Relief Plan to MTC; and

WHEREAS, BATA shall fund the projects of the Regional Traffic Relief Plan by transferring RM2 authorized funds to MTC; and

WHEREAS, MTC adopted policies and procedures for the implementation of the Regional Measure 2 Regional Traffic Relief Plan on June 23, 2004, specifying the allocation criteria and project compliance requirements for RM 2 funding (MTC Resolution No. 3636); and

WHEREAS, San Mateo County Transportation Authority (SMCTA) has submitted a request for the allocation of RM 2 funds for the East to West Bay Commuter Rail over the Dumbarton Rail Bridge project; and

WHEREAS, East to West Bay Commuter Rail over the Dumbarton Rail Bridge project is identified as capital project number 4 under RM 2 and is eligible to receive RM 2 funding as identified in Streets and Highways Code Sections 30914(c); and

WHEREAS, SMCTA has submitted an Initial Project Report, as required pursuant to Streets and Highway Code Section 30914(e), to MTC for review and approval; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the project and phase for which the SMCTA is requesting RM2 funding and the amount recommended for allocation by MTC staff; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required project specific conditions which must be met prior to execution of the allocation and any reimbursement of RM2 funds; and

WHEREAS, Attachment C to this resolution, attached hereto and incorporated herein as though set forth at length, includes MTC staff's review of SMCTA's Initial Project Report (IPR) for this project; and

WHEREAS, Attachment D attached hereto and incorporated herein as though set forth at length, lists the cash flow of RM2 funds and complementary funding for the deliverable/useable RM2 project segment; now, therefore be it

RESOLVED, that MTC approves MTC staff's review of SMCTA's Initial Project Report (IPR) for this project as set forth in Attachment C; and be it further

RESOLVED, that MTC approves the allocation and reimbursement of RM2 funds in accordance with the amount and reimbursement schedule for the phase, and activities as set forth in Attachment A; and, be it further

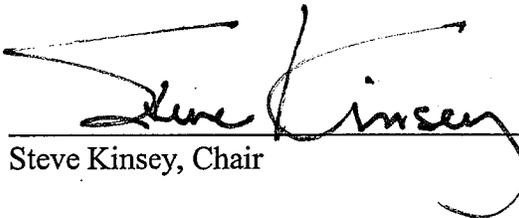
RESOLVED, that the allocation and reimbursement of RM2 funds as set forth in Attachment A are conditioned upon SMCTA complying with the provisions of the Regional Measure 2 Regional Traffic Relief Plan Policy and Procedures as set forth in length in MTC Resolution 3636; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds are further conditioned upon the project specific conditions as set forth in Attachment B; and, be it further

RESOLVED, that the allocation and reimbursement of RM2 funds as set forth in Attachment A are conditioned upon the availability and expenditure of any complementary funding as set forth in Attachment D; and be it further

RESOLVED, that a certified copy of this resolution, shall be forwarded to the project sponsor.

METROPOLITAN TRANSPORTATION COMMISSION



Steve Kinsey, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at the regular meeting of the Commission held in Oakland, California, on September 22, 2004.

REGIONAL MEASURE 2 PROGRAM Allocation of Funds

Project Title: Dumbarton Rail Commuter Service
 Sponsor: San Mateo County Transportation Authority
 Project Number: 4.1

Allocation No. 4.1-1						
Activities to be funded with Allocation #1:						
The RM2 funded component delivers the environmental document and associated program management.						
Funding Information:						
Allocation Instruction No.	Approval Date	Amount	Phase	Reimbursement Year	Cumulative Total To Date	
05364701	22-Sep-04	\$ 1,138,000	ENV	FY 2004-05	\$	1,138,000
06364702	22-Sep-04	\$ 1,649,000	ENV	FY 2005-06	\$	2,787,000

Allocation No. 4.1-2						
Activities to be funded with Allocation #1:						
1) Additional funds needed to complete the environmental study document 2) 30% Preliminary engineering for Segments A through F. (\$6.270 million), including: <ul style="list-style-type: none"> - Patronage estimates on the alternatives - Examination of the facilities for the Bus Rapid Transit element of the Transportation System Management (TSM) alternative, approaches to the Dumbarton Bridge - Geotechnical studies on the bridge foundations 						
Funding Information:						
Allocation Instruction No.	Approval Date	Amount	Phase	Reimbursement Year	Cumulative Total To Date	
08364703	25-Jul-07	\$ 6,270,000	ENV	FY 2007-08	\$	9,057,000
					\$	9,057,000

REGIONAL MEASURE 2 PROGRAM Project Specific Conditions

Project Title: East to West Bay Commuter Rail over the Dumbarton Rail Bridge
Sponsor: San Mateo County Transportation Authority (SMCTA)
Project Number: 4.1

The allocation and reimbursement of RM2 funds for the above project are conditioned upon the following :

1. MTC certification of SMCTA's evidence of allocation and commitment of complementary funds.
2. Receipt of these funds by the San Mateo County Transportation Authority is conditioned on working cooperatively with MTC and the relevant local jurisdictions to encourage Transit-Oriented Development with the goal of assuring that an appropriate number of residences, jobs, services and other activities will be planned for and located within proximity to the rail service.
3. Allocations for future phases are dependent upon the environmental analysis of the project. The total project cost as identified in the Initial Project Report is considered conceptual until the completion of the environmental document.
4. The SMCTA shall update and present a revised cost estimate for the entire project and develop a phasing strategy within the constraints of reasonably available revenues by September 30, 2008.
5. SMCTA shall bring all alternatives to the same level of detail, including costs and patronage forecasts, so a comparison of all potential alternatives can be evaluated against similar available information during the environmental and design phases of the project. While elements of Segment G are environmentally cleared through the Union City Intermodal Station EIR, information that has not been developed but is required for evaluation of Segment G in the Dumbarton Rail EIR/S shall be developed as part of the Dumbarton Rail project evaluation process.
6. That Caltrain/JPB/SamTrans, in coordination with Capital Corridor, re-initiate negotiations with Union Pacific to purchase the right-of-way needed for Segment G (Union City Segment). Future requests for RM2 funds for right-of-way are subject to Commission review and approval.

Dumbarton Rail Bridge

Funding Agency (if applicable) St. Louis County Transportation Authority
<p>and Capitol Corridor at Union City with Caltrain service and Caltrain service at Centerville. Provide a new station</p>
Project Cost (in \$1,000)
<p>Union City Transit, Caltrain, and SamTrans, alleviate options. The Dumbarton Rail Project will extend existing rail facilities on the existing railroad alignment and add six trains returning in the evening peak. Daily</p>
<p>VTA, and SMCTA have committed local sales tax funds. Funds, some already identified for pursuit, equals</p>
<p>the Regional Measure 2 operating subsidy are sufficient</p>

End	Cost (in \$1,000)
3/2009	\$5,776
2/2010	\$16,690
7/2010	\$43,356
9/2012	\$529,949
Total:	\$595,771

Total Project Funding Plan: Committed and Uncommitted Sources

(Amounts Escalated in Thousands)

Project Title		Project No. 4.1									
Lead Sponsor		East to West Bay Commuter Rail over the Dumbarton Rail Bridge San Mateo County Transportation Authority									
Fund Source	Phase	Prior	2004-05	2005-06	2006-07	2007-08	2008-09	2009-10	Future	Total	
Committed											
ACTIA	ENV, PSE, Construction		413	550	600	900	500	2,000	13,537	18,500	
SMCTA	ENV, PSE, Construction		413	550	600	900	500	8,000	39,037	50,000	
VTA	ENV, PSE, Construction		413	550	600	900	500	6,000	35,037	44,000	
RM 2	ENV, PSE, Construction		1,238	1,649	3,190	4,250	3,250	20,500	100,923	135,000	
Total:		0	2,477	3,299	4,990	6,950	4,750	36,500	188,534	247,500	
Uncommitted											
Alameda RTIP	Construction								14,000	14,000	
Regional ITIP	Construction								39,000	39,000	
Capitol Corridor	Construction							12,000		12,000	
Other	Construction								283,271	283,271	
Total:		0	0	0	0	0	0	12,000	336,271	348,271	
Total Project Committed and Uncommitted											
		Prior	2004-05	2005-06	2006-07	2007-08	2008-09	2009-10	Future	Total	
Total:		0	2,477	3,299	4,990	6,950	4,750	48,500	524,805	595,771	

**REGIONAL MEASURE 2 PROGRAM
 Project Cash Flow Plan and Complementary Fund Sources**

Project Title: Dumbarton Rail Commuter Service
 Sponsor: San Mateo County Transportation Authority
 RM2 Project Number: 4.1

RM2 Project # 4.1	PRIOR	FY 2004-05	FY 2005-06	FY 2006-07	FY 2007-08	FY 2008-09	TOTAL
RM2 Funds Total	-	1,138,000	1,649,000	-	6,270,000	-	9,057,000
Environmental (ENV)							
RM 2	0	2,377,000	3,299,000	0	9,792,000	0	15,468,000
ACTIA		1,138,000	1,649,000		6,270,000		9,057,000
SMCTA		413,000	550,000		1,174,000		2,137,000
VTA		413,000	550,000		1,174,000		2,137,000
Final Design (PS&E)							
RM 2	0	0	0	0	0	0	0
Right of Way							
	0	0	0	0	0	0	0
Construction							
	0	0	0	0	0	0	0
TOTAL FUNDING							
Environmental	0	2,377,000	3,299,000	0	9,792,000	0	15,468,000
Final Design (PS&E)	0	0	0	0	0	0	0
Right of Way	0	0	0	0	0	0	0
Construction	0	0	0	0	0	0	0
PROJECT TOTAL	0	2,377,000	3,299,000	0	9,792,000	0	15,468,000