

**Metropolitan Transportation Commission  
Programming and Allocations Committee**

**June 14, 2006**

**Item Number 5a**

**Resolution No. 3018, Revised**

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**Subject:** Surface Transportation Program (STP) Exchange Program

**Background:** The STP Exchange Fund was created by a Santa Clara County Traffic Authority (SCCTA) repayment of \$19.3 million in STP funds in October 1997 advanced to them by MTC to complete the Route 17 /Route 85 interchange project. Since \$18.5 million of the repayment was in local cash, it gave MTC the flexibility to apply these funds to projects in the adopted STP program that encountered problems with meeting federal funding requirements. This exchange did not increase the total amount of funds available to the region, but rather just changed the “color” of funds for a portion of the STP program, allowing MTC greater funding flexibility for selected projects. A total of \$5.0 million in interest has accrued to date, for a total of \$23.5 million available for programming in the STP Exchange Program.

A list of projects was identified that would be best able to make use of the reimbursed funds. This was adopted as the program “Expenditure Plan.” The staff memo that accompanied Resolution No. 3018 stated that the Expenditure Plan did not fully program the available reimbursement funds, and that as special needs were identified, MTC would propose amendments to the plan. To date, the Commission has programmed approximately \$17.6 million of the initial \$18.5 million leaving a balance of \$0.9 million.

In addition, the Exchange Fund has generated a total interest income of \$5.0 million through April 30, 2006 with \$4.7 million programmed against this interest over the years so that the total interest balance is \$0.3 million. A total of \$22.3 million has been programmed to date, leaving a balance available for programming of \$1.3 million, prior to this request.

**Request:** This request will direct an additional \$1.6 million in STP Exchange funding to the Golden Gate Bridge Suicide Barrier Deterrent System study. The study was provided \$1.6 million in Surface Transportation Program (STP) funds in April 2005, and \$250,000 in STP Exchange funds in April 2006. Subsequent to these funding actions, the Federal Highway Administration (FHWA) has questioned the eligibility of the project for the STP funds.

It is therefore proposed that the \$1.6 million in STP funds be exchanged for non-federal funds to allow the project to move forward. This action does not increase the funding but rather changes the source of funds in response to the federal eligibility issues.

Furthermore, since there is insufficient funding in the STP Exchange program to cover the entire \$1.6 million request, \$350,000 in Exchange

funds is to be rescinded from the TransLink® project which has received \$8.7 million in STP Exchange funds. The TransLink® project has other funds available to it to make up the difference.

<u>Project</u>	<u>Prior STP Exchange Funds</u>	<u>Proposed Change</u>	<u>Final STP Exchange Amount</u>
<b>TransLink®</b>	\$8,717,000	(-\$350,000)	\$8,367,000
<b>Suicide Barrier</b>	\$250,000	\$1,600,000	\$1,850,000

This request also reconciles the STP Exchange Program accounting to include accrued interest through April 30, 2006 and other refunds. Following this programming revision, along with other reconciliations and accrued interest, the Commission will have programmed approximately \$23.5 million in STP Exchange funds (including interest), leaving a balance of only \$1,300.

**Issues:** This action effectively would close out the STP Exchange Program fund. Staff will be exploring other means of securing “clean” non-federal funds that can be used to advance projects that encounter difficulty in the federal aid funding process.

**Recommendation:** MTC staff recommends that the Committee forward the Surface Transportation Program (STP) Exchange Program, MTC Resolution No. 3018, Revised, to the Commission for approval.

**Attachments:** MTC Resolution No. 3018, Revised

Date: October 1, 1997  
W.I.: 51.4.10  
Referred by: WPC  
Revised: 04/22/98-C 02/24/99-C  
04/29/99-C 12/15/99-C  
03/22/00-C 05/24/00-C  
07/26/00-C 09/27/00-C  
01/24/01-C 03/28/01-C  
04/28/04-C 07/28/04-C  
05/25/05-C 09/21/05-C  
04/26/06-C 06/28/06-C

ABSTRACT

Resolution No. 3018, Revised

This resolution establishes the procedures governing the Surface Transportation Program (STP) Reimbursement Exchange Program.

This resolution was revised on April 22, 1998 to add \$55,000 programmed in TEA funds to the STP Reimbursement Exchange Program Funds (Attachment A) for a coast-side trail project in the City of Half Moon Bay.

This resolution was revised on February 24, 1999 to remove the Port of Oakland JIT project and add the San Francisco Southwest Gateways Improvements project to the STP Exchange Program expenditure plan.

This resolution was revised on April 29, 1999 to add the I-680 HOV project to the STP Exchange Program expenditure plan.

This resolution was revised on December 15, 1999 to add \$1.5 million for Alameda County's contribution to the Altamont Commuter Express track rehabilitation project.

This resolution was revised on March 22, 2000 to add \$780,812 programmed in Transportation for Livable Communities capital funds to the STP Exchange Program for the Mahon pedestrian/bicycle path in the City of San Rafael (at \$550,000) and the Coggins Square Pedestrian Improvements adjacent to Pleasant Hill BART (at \$230,812).

This resolution was revised on May 24, 2000 to reflect Santa Clara's full repayment of the \$19.32 million in Surface Transportation Program (STP) funds loaned to the County in 1994.

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MTC Resolution No. 3018, Revised

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This resolution was revised on July 26, 2000 to add the following four projects to the STP Exchange expenditure plan: 1) the Church Street Apartments Streetscape in San Francisco programmed through the FY 1999-2000 Transportation for Livable Communities (TLC) capital program (at \$424,664); 2) the Ohlone-Chynoweth Commons programmed through the FY 1998-1999 TLC Capital program (at \$574,450); 3) a bicycle and pedestrian safety education project programmed through San Mateo County TEA funds (at \$97,000); and 4) the Golden Gate Transit Larkspur Ferry Feeder bus project programmed through the second cycle STP/CMAQ program (at \$136,000).

This resolution was revised on September 27, 2000 to transfer \$1,578,000 originally programmed to the I-680 Sunol Grade project to support ACE Train operations.

This resolution was revised on January 24, 2000 to deprogram \$55,000 from Half Moon Bay's Coast-side Trail project because the sponsor no longer needs the STP-Exchange funding and to correct a minor error in the original funding available.

This resolution was revised on March 28, 2001 to program \$1,150,000 of the remaining STP Exchange funds to the following three Low Income Flexible Transportation (LIFT) projects: Santa Clara Children's Van Service (\$750,000); Solano WORKS Guaranteed Ride Home Program (\$75,000); and Sonoma County Children's Shuttle (\$325,000). These projects were originally programmed to receive STP/CMAQ funds as part of the second cycle's Low Income Flexible Transportation (LIFT).

This resolution was approved by the Commission on April 28, 2004 to program \$1,200,000 from the STP Exchange fund balance to the TransLink<sup>®</sup> project, following the approval of TransLink<sup>®</sup> Change Order No. 45 by the Commission on September 3, 2003.

This resolution was revised on July 14, 2004 to program \$358,000 from the STP Exchange fund balance to the Petaluma Riverwalk Project. This project was originally programmed to receive STP/CMAQ funds as part of the Transportation for Livable Communities (TLC) capital grant program.

This resolution was revised on May 25, 2005 to program \$500,000 from the STP Exchange fund balance to the Clean Air in Motion (CAM) and/or the Transportation for Livable Communities

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(TLC) Programs. These projects were originally programmed to receive STP/CMAQ funds, but elements of the programs are ineligible for federal funding. Therefore, \$500,000 in STP/CMAQ funding for these projects will be made available for the State Route 13 Landscaping project, with \$500,000 in STP Exchange made available for the CAM and or the TLC programs.

This resolution was revised on September 21, 2005 to program \$2,811,000 from the STP Exchange fund balance to the Transportation for Livable Communities (TLC) Program for development of Station Area Plans. This project was originally programmed to receive STP/CMAQ funds, but elements of the program are ineligible for federal funding. Therefore, the entire Station Area Plans program for FY 2005-06 will be funding with STP Exchange funding.

This resolution was revised on April 26, 2006 to program \$250,000 from the STP Exchange fund balance to the Golden Gate Bridge Physical Suicide Deterrent System Study. This study received \$1.6 million in STP funding in the SAFETEA First Cycle Augmentation programming, and is experiencing difficulty securing the required non-federal matching funds. The STP Exchange funds will serve as partial match to the previously approved STP funds.

This resolution was revised on June 28, 2006 to program \$1.6 million from the STP Exchange Program for the Golden Gate Bridge Physical Suicide Deterrent System Study. This action does not increase the funding but rather substitutes Exchange funds for the STP funds programmed earlier to address federal eligibility challenges.

Further discussion is contained in the MTC "Staff Evaluation" dated September 12, 1997, December 8, 1999, December 8, 1999 March 8, 2000, May 10, 2000, July 12, 2000, September 13, 2000, January 10, 2001, March 7, 2001, April 14, 2004, September 14, 2005 and April 12, 2006 and Executive Director's Memoranda dated April 10, 1998, February 5, 1999, April 9, 1999, December 8, 1999, February 5, 1999 and March 8, 2000, and in the PAC Summary Sheet dated July 14, 2004, May 11, 2005, September 14, 2005, April 12, 2006, and June 14, 2006.

Date: October 1, 1997  
W.I. 51.4.10  
Referred by: WPC  
Revised: 04/22/98-C 03/22/00-C  
02/24/99-C 05/24/00-C  
04/29/99-C 07/26/00-C  
12/15/99-C 09/27/00-C  
01/24/01-C 03/28/01-C  
04/28/04-C 07/28/04-C  
05/25/05-C 09/21/05-C  
04/26/06-C 06/28/06-C

Attachment A  
Resolution No. 3018  
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EXPENDITURE PLAN FOR SURFACE TRANSPORTATION PROGRAM (STP)  
REIMBURSEMENT EXCHANGE PROGRAM FUNDS

STP funds loaned to Santa Clara	\$ 19,320,000
Oct. 1997 Santa Clara payment	\$ 18,512,272
April 2000 amount withheld from Santa Clara STP programming	\$ 807,000(7)
Transfer from Interest Account	<u>728</u>
Total payments to date	\$ <u>19,320,000</u>
Funds Available for Exchange Program	\$ 18,512,272
Interest Income as of 4/30/06	\$ 5,055,856
Other – Refunds	\$ <u>27,771</u>
Total Funds available	\$ <u>23,568,627</u>

<u>Project</u>	<u>Programmed Amount</u>	
TransLink® (MTC).....	\$7,517,000	(12)
Port of Oakland JIT.....	0	(2)
TEA Exchange Projects .....	\$2,875,400	
Mid-Embarcadero Ribbon (SF)	\$400,000	
Downtown Pedestrian Signage (SF)	425,600	
Los Gatos Creek Trail (San Jose)	444,800	
West Sonoma County Trail (Sonoma)	186,000	
Danville Depot Restoration (Danville)	272,000	
Shoreline Acquisition/Trail (EBPRO)	950,000	
Coast-side Trail (Half Moon Bay)	0 (1) (10)	
San Francisco Southwest Gateways (SF)	100,000 (3)	
San Mateo Bike and Ped. Safety and Education	97,000 (8)	
Bridge Housing/Castro Valley BART .....	100,000	
I-680 HOV project .....	562,000	(4) (9)
Altamont Commuter Express (ACE) -		
Alameda County Track Rehabilitation .....	1,500,000	(5)
ACE Operations and Project Development .....	1,578,000	(9)
Mahon Path, City of San Rafael .....	550,000	(6)
Coggins Square Pedestrian Improvements/Bridge Housing .....	230,812	(6)

<u>Programmed Projects (cont)</u>	<u>Amount</u>
Church Street Apartments Streetscape/Bridge Housing (SF) .....	424,664 (8)
Ohlone-Chynoweth Commons/ Edenss Housing (SJ).....	574,450 (8)
Larkspur Ferry Feeder Bus Project (GGT) .....	136,000 (8)
Santa Clara Children's Van Service.....	750,000(11)
Solano WORKS Guaranteed Ride Home Program.....	75,000(11)
Sonoma County Children's Shuttle .....	325,000 (13)
TransLink® (MTC).....	1,200,000 (12)
Petaluma River Walk Project.....	358,000 (13)
Clean Air in Motion (CAM) and/or TLC Programs .....	500,000 (14)
TLC Program – Station Area Plans .....	2,811,000 (15)
Golden Gate Bridge Physical Suicide Deterrent System Studies ...	250,000 (16)
TransLink® (MTC).....	(-350,000) (12)
Golden Gate Bridge Physical Suicide Deterrent System Studies	1,200,000 (16)

TOTAL: \$22,567,326

**Balance Available:** \$ 1,301

NOTES:

- (1) \$55,000 for coast-side trail project in Half Moon Bay added 4/22/98.
- (2) \$7,000,000 for the Port of Oakland JIT was removed from the program 2/24/99. Project funding was received instead from the STP program.
- (3) \$100,000 for the San Francisco Southwest Gateways project was added on 2/24/99.
- (4) \$2,140,000 for the I-680 HOV project was added on 4/29/99.
- (5) \$1,500,000 for the ACE Train track rehabilitation was added on 12/15/99. These funds are to be reimbursed to MTC through the Alameda County Corridor Management program.
- (6) \$550,000 for the City of San Rafael's Mahon pedestrian/bicycle path and \$230,812 for Coggins Square was added on 3/22/00.
- (7) Reflects \$807,000 withheld from the Santa Clara TEA 21 Second Cycle STP rehabilitation program adopted in April of 2000 (listing adjustment made 01/24/01).
- (8) \$424,664 for the Bridge Housing Church Street Apartments Streetscape project, \$574,450 for the Eden Housing Corporation Ohlone-Chynoweth Commons project, \$97,000 for the Peninsula Congestion Relief Alliance Bicycle and Pedestrian Education and Safety project, and \$136,000 for the Golden Gate Transit Larkspur Ferry Feeder Bus Service was added on 7/26/00.
- (9) \$1,578,000 was transferred from the I-680 Sunol Grade project to support ACE train operations and project development on 9/27/00.
- (10) Half Moon Bay returned the \$55,000 originally programmed to the Coast-side trail project. The funds are no longer needed. 1/24/01.
- (11) \$750,000 for the Santa Clara Children's Van Service; \$75,000 for the Solano WORKS Guaranteed Ride Home Program, and \$325,000 for the Sonoma County Children's Shuttle was added on 03/28/01.
- (12) Reflects original \$7,517,000 and additional augmentation of \$1,200,000 on 04/28/04 in funds for TransLink® for total allocation of \$8,717,000, and rescission of \$350,000 on 06/28/06 for total amount of \$8,367,000 as of 06/28/06.
- (13) \$358,000 in funds for the Petaluma Riverwalk project.
- (14) \$500,000 for the Clean Air in Motion (CAM) and/or Transportation for Livable (TLC) program of projects, in exchange of \$500,000 in STP/CMAQ funds redirected from CAM/TLC to the State Route 13 Median Landscaping project.
- (15) \$2,811,000 for development of Station Area Plans.

- (16) \$250,000 for non-federal matching funds for studies for the Golden Gate Bridge Physical Suicide Deterrent System on 04/26/06. Additional \$1.6 million in STP funds also provided in SAFETEA First Cycle Augmentation Programming. \$1,600,000 million to exchange the \$1.6 million in STP due to ineligibility determination by FHWA on 06/28/06. Total amount of \$1,850,000 as of 06/28/06.