METROPOLITAN
TRANSPORTATION
COMMISSION

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Memorandum

TO: Commission DATE: December 10, 2014

FR: Programming and Allocations Committee

RE: Item Number 7b – Revised Resolution No. 4162 to Address Caltrain Railcar Vehicle Programming

The Programming and Allocations Committee recommends the approval of Item 7b, the Transit Capital Priorities program, with one exception as explained in this memorandum. The Committee recommends deferring programming into the Transportation Improvement Program (TIP) the $10.5 million that is currently held in a Vehicle Procurement Reserve for the Caltrain Railcar Replacement project. The Committee requests that staff return in two months with an update on the schedule and funding plan for Caltrain's railcars and Electrification project that reflects additional work by MTC and the Joint Powers Board member agencies, and to confirm the programming approach for the $10.5 million for the railcar vehicles. This condition is indicated on page 3 of Resolution No. 4162 Attachment A. No other modifications to this item are proposed.

Recommendation

The Committee recommends approval of the revised MTC Resolution No. 4162.

Metropolitan Transportation Commission
Programming and Allocations Committee

December 10, 2014 **Resolution Nos. 4035, Revised, 4084, Revised, 4162, 4163, and 4165**

Subject: FY2014-15 Transit Capital Priorities (TCP) and AB 664 Bridge Toll Revenues Preliminary Programs, and Revisions to FY2012-13 and FY2013-14 TCP Programs.

Background: This item programs roughly $404 million in Federal Transit Administration Sections 5307, 5337, and 5339 funds, Cycle 2 Surface Transportation Program funds, and AB664 Bridge Toll Funds to support transit capital replacement and rehabilitation projects, and maintenance and operating costs in FY2014-15. This item also programs $11.1 million in FY2012-13 and FY2013-14 FTA funds that were previously reserved for Caltrain electrification to Caltrain bridge replacement projects.

Issues: 1. Preliminary Program Shortfall. Staff initially planned to develop a two-year program for FY2014-15 and FY2015-16. However, funding requests from transit operators exceeded the projected funding levels by $220 million. The shortfall was the result of a combination of several major vehicle replacement projects coinciding with a reduction in projected federal funding. Staff elected to propose a one-year program for FY2014-15 and resolved the first year shortfall by deferring programming for fixed guideway infrastructure projects and the BART Car Replacement to future years. These program elements are discussed further below. Staff will return with a proposed program for FY2015-16 next year.

2. Uncertainty of Funding Reauthorization: Congress has not yet enacted a surface transportation bill to replace MAP-21 which expires in May 2015, so the amount of funding available for the FY2014-15 TCP program is currently unknown. Both Plan Bay Area and the Core Capacity Challenge Grant (CCCG) program assumed an average of 3% annual increase in TCP funds. Based on Congress's short-term extension of MAP-21 at FY2013-14 funding levels, staff conservatively assumed no growth in funding in the FY2014-15 preliminary program. The proposed TCP program also assumes that the next authorization will maintain the FTA program structure and requirements established by MAP-21 and preceding authorizations. If the reauthorization makes changes to FTA provisions that affect the regional policy or the program, staff will return to the Commission with proposed amendments to the policy and program. The preliminary program will also be revised after Congress adopts FY2014-15 appropriations and FTA apportions the funds to the region's urbanized areas.

3. Unexpended Prior-Year Grants. Most operators have prior-year FTA grants that have not yet been expended, with the balance for TCP grants totaling roughly $1 billion. The balances may have legitimate reasons, such as the need to stockpile funds to award a major contract, or multi-year construction schedules, but may also indicate that additional project funding is not needed at this time. The TCP policy ties new programming to the expenditure of prior year grants in order to direct the region's limited federal funds to those projects most in need of additional funding. Grants for fixed guideway infrastructure projects, such as track and train control system rehabilitation, are the primary concern; grants for vehicle replacements are usually spent in a timely manner. The shortfall in the preliminary FY2014-15 TCP program was addressed in part by deferring programming for fixed guideway infrastructure projects using a formula based on unexpended grant balances, in addition to voluntary deferrals.

For the FY2015-16 program, staff proposes to set a target for spending of prior-year grant balances over the next year for each fixed guideway operator equal to 1/3 of older (2012 or earlier) grant balances. If the target is met, staff will propose to program the full amounts specified for the operator in the TCP policy. If the target is not met, staff will propose to defer fixed guideway funding based on grant balances as in FY2014-15. BART staff expressed concern with BART's ability to meet the target and the impact of deferrals on rehabilitation projects. However, BART has a grant balance of approximately $364 million.

4. Major Vehicle Replacement Projects. The proposed program includes funding for several major vehicle replacement projects, including BART and Caltrain railcars, and bus replacements for SFMTA and AC Transit that were included in the Core Capacity Challenge Grant Program (CCCGP, MTC Resolution No. 4123). Proposed programming for the BART Car Replacement project was reduced from $75 million to $53 million to help resolve the FY2014-15 shortfall. The reduction will not affect the cash flow for the project, but will make meeting the remainder of the region's commitment of $871 million for the first 350 cars (Phase 1) between FY2015-16 and FY2018-19 more challenging or advance the need for financing against future FTA revenues.

The proposed program also includes $5 million for initiation of Caltrain's railcar replacement project, and reserves $10 million to help fund the $98 million requested by Caltrain in FY2015-16. This is the first installment of the region's commitment of $315 million for Caltrain vehicles made in the High Speed Rail Early Investment Strategy memorandum of understanding (MOU) approved by MTC in March of 2012 (MTC Resolution No. 4056). Funding for the railcars will come in part from the San Jose urbanized area, which will reduce the amount of funds available for VTA's preventive maintenance and other low-scoring projects.

The proposed program also includes $5 million for AC Transit Fleet Expansion, a CCCGP project. Programming for BART and SFMTA fleet expansion included in the CCCGP will be included in future TCP programs.

5. Caltrain Electrification. The proposed program reserves Caltrain's $11 million in fixed guideway FY2014-15 funds for the Electrification project, consistent with Resolution 4056. The funds will not be amended into the TIP at this time because Caltrain staff have requested these funds to support their fixed guideway rehabilitation projects. Staff will amend the funds into the TIP following additional discussions between Caltrain and the MOU partner agencies and a final funding request that aligns with the MOU. This item does include programming of $11 million in FY2012-13 and FY2013-14 TCP funds that were previously reserved for Electrification to Caltrain bridge replacement projects, including a $4 million fund exchange with SFMTA's GPS project. The $11 million programming was agreed to by the MOU partners following Caltrain Board action confirming that Caltrain will replace the funding for Caltrain Electrification with financing or other revenue.

6. AC Transit's Facilities Request. The CCCGP proposed $162 million in Cap & Trade funds for AC Transit facilities projects. AC Transit requested FY2015-FY2016 TCP funds for its highest priority projects – CAD-AVL system replacement to

support Bus Rapid Transit (BRT), rehabilitation and reopen the District 3 Bus Operations and Maintenance Facility (D3) facility in Richmond, and Operations Control Center relocation – in lieu of Cap & Trade funding. Staff's recommendation is to advance $5 million of TCP funds for the CAD-AVL project, work with ACTC to secure Measure BB funds for this and the other facilities projects consistent with local funding contributions indicated in the CCCGP, and recommend that the Commission prioritize the D3 facility for Cap & Trade Transit funds, once available.

7. BART Fare Collection Equipment. BART's request for $6 million for fare collection equipment replacement and rehabilitation was reviewed by Clipper staff and found to be in compliance with MTC Resolution No. 3866 regarding transit coordination, and is included in the proposed FY2014-15 program. BART's request for another $6 million for fare collection equipment in FY2015-16 will also be subject to a compliance review when that year's program is developed.

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8. Options for Resolving FY2015-16 Shortfall. The gap between funding requests and projected revenues in FY2015-16 currently stands at $182 million. Options for addressing the shortfall that staff will consider before presenting the program to the Commission next year include:

- Revisit apportionment projections based on Congressional reauthorization.
- Request operators to confirm funding requests or voluntarily defer projects.
- Defer fixed guideway funding based on grant balances and meeting proposed spending targets as described above.
- Reduce programming for vehicle replacement projects (bus or railcar) consistent with project schedules and/or cash flow needs.
- Assess the capacity and timing of financing for railcar or other CCCGP projects.
- Apply across the board reductions to all projects in San Francisco-Oakland, Concord and Antioch Urbanized Areas to eliminate any remaining shortfall.

Recommendation: Refer MTC Resolution Nos. 4035, Revised, 4084, Revised, 4162, 4163, and 4165 to the Commission for approval. Because Resolution No. 4035 is proposed for revision under other agenda items, it is included under agenda item 3c with all proposed revisions. Only items approved by the Committee will be forwarded to the Commission.

Attachments: Letter – Santa Clara Valley Transportation Authority Concerns
Presentation Slides
MTC Resolution Nos. 4084, Revised, 4162, 4163, and 4165

SANTA CLARA
Valley Transportation Authority

December 8, 2014

Steve Hemminger
Executive Director
Metropolitan Transportation Commission
101 8th Street
Oakland, CA 94607

Dear Mr. Hemminger, Steve

I write in regard to the proposed Fiscal 2015 Transit Capital Priorities program that is scheduled for presentation to the MTC Programming & Allocations Committee (PAC) on Wednesday, December 10, 2014.

As proposed, the Commission would program $10.5 million in Section 5337 funds from the San Jose Urbanized Area to Caltrain for Caltrain's future vehicle replacements. The Santa Clara Valley Transportation Authority (VTA) is deeply concerned by this recommended programming and our position is that this step is rather premature.

To date, the Caltrain Joint Powers Board (JPB) partners have not had the benefit of receiving nor reviewing a detailed funding plan or schedule for the vehicle replacement project being proposed with this funding. By contrast, these funds were included in VTA's adopted Fiscal Year 2015 budget for other purposes, and their removal would constitute a serious and unexpected shortfall in our funding.

It is our request that consideration of the specific programming of $10.5 million from the San José Urbanized Area be postponed until the Caltrain JPB partners and MTC staffs have the opportunity to review, discuss and concur with a financially feasible vehicle procurement schedule and funding plan. Thank you.

Sincerely,

Nuria I. Fernandez
VTA General Manager

cc: David Cortese – County of Santa Clara
Jason Baker – City of Campbell
Sam Liccardo – City of San Jose
Mike Scanlon – Samtrans/Caltrain JPB
Ed Reiskin– San Francisco Municipal Transportation Agency
Alix Bockelman - MTC
Gillian Gillett – City of San Francisco

METROPOLITAN
TRANSPORTATION
COMMISSION

TRANSIT CAPITAL PRIORITIES PROGRAMMING UPDATE

December 10, 2014
Programming & Allocations Committee

Transit Capital Priorities Program

- Programming of FTA and STP Formula Funds, and AB664 Bridge Tolls for transit capital replacement, rehab, maintenance and operations

Transit Capital Priorities Funding FY15 and FY16 ($ millions)

$423	FTA Urbanized Area Formula (5307)
343	FTA State of Good Repair(5337)
27	FTA Bus & Bus Facilities (5339)
5	Cycle 2 STP Transit Capital Rehabilitation
21	AB 664 Bridge Tolls for Core Capacity Projects
$819	Total TCP

Additional AB 664 Bridge Toll Revenues programmed to match TCP funds

Transit Capital Priorities Overview – Summary of Requests vs. Apportionments

TCP Program Summary (FY 15 & FY16) $ millions	
$1,039	Requested by transit operators
$819	Projected Revenues
$220	Shortfall

Transit Capital Priorities - Shortfall

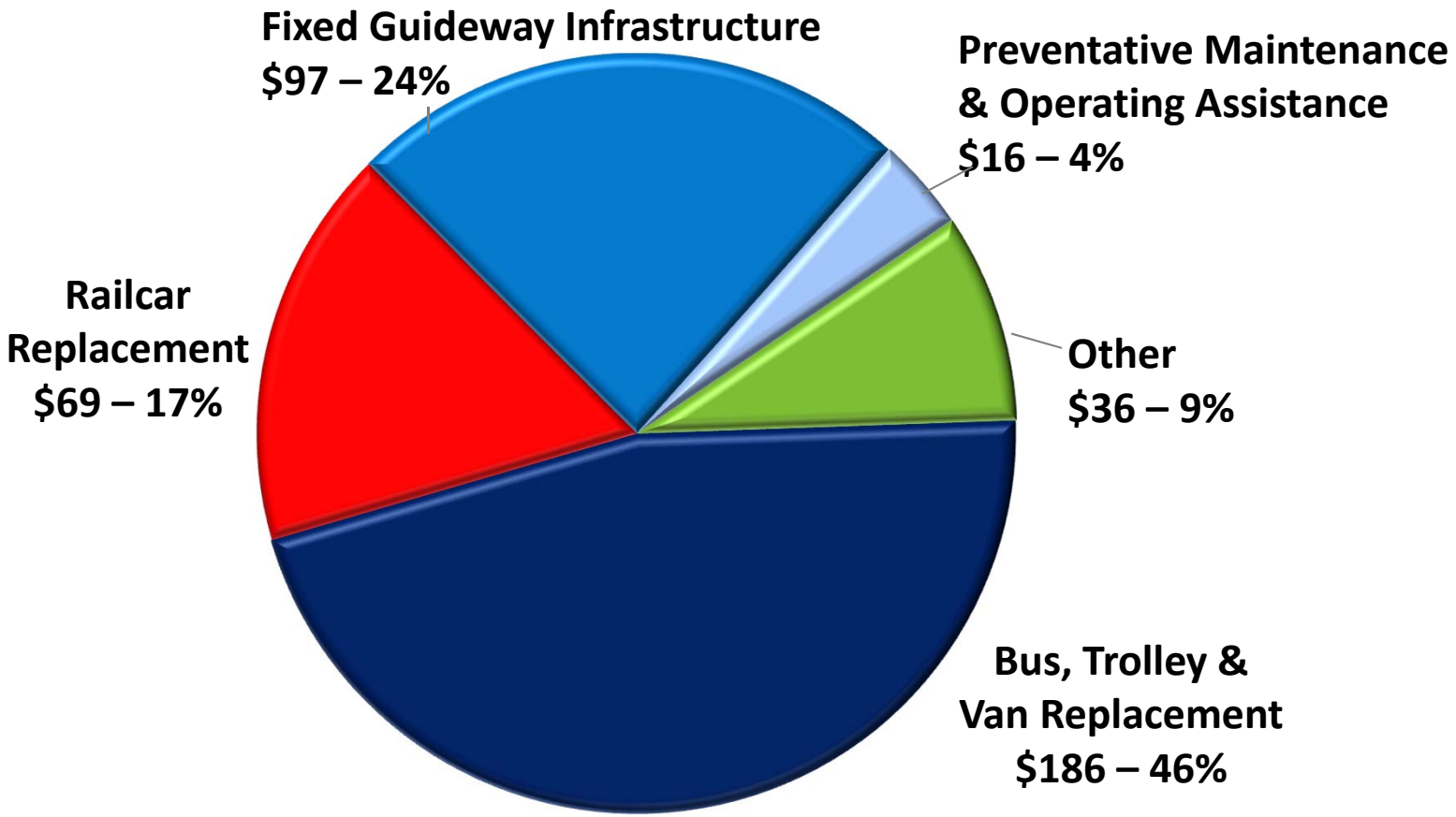
- Initial shortfall of $142M in FY15 and $78M in FY16
- Shortfall primarily in San Francisco-Oakland Urbanized Area
- Shortfall Result of Two Factors
  - Major Vehicle Replacements
  - Lower FTA apportionments
- Core Capacity Challenge Grant Program (CCCGP) has small impact in FY15; bulk of funding requested starting in FY17.

Addressing Shortfall

- **One-Year Program** – FY15 only
- **$20M Cap on Bus Replacement Projects** – remainder to be fully funded in subsequent years
- **Voluntary deferrals to FY17 and Beyond** – Golden Gate, SFMTA, WETA
- **Undisbursed Grant Balances** – mandatory deferrals of fixed guideway (FG) infrastructure funding by percentage of older (2002-2012) active TCP grants that are currently undisbursed
- **Defer** part of BART Car Funding to FY16 to eliminate FY15 shortfall
- **Program FY16 next year** – $182M shortfall still to be resolved

FY15 Program Summary

Transit Capital Priorities Rehabilitation Projects
FY 15 — $404 million Total ($ millions)



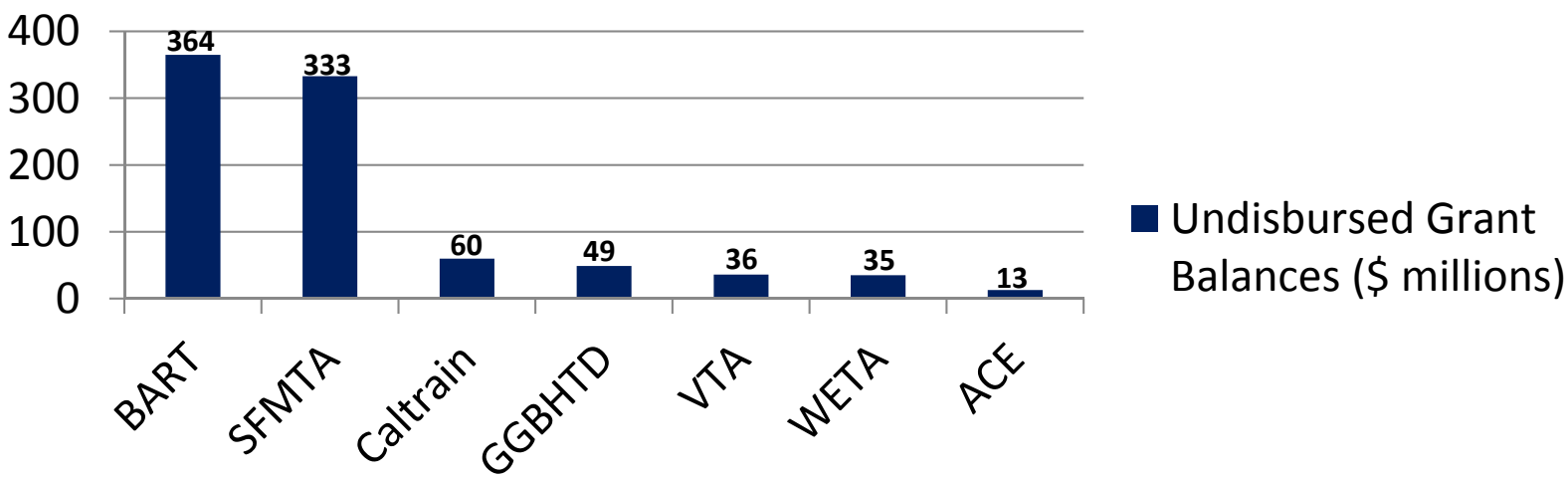
Program Issues

- **Uncertainty of Funding Re-Authorization**
  - No surface transportation bill to replace MAP-21 yet
  - Transit funding has been flat in MAP-21
- **Major Vehicle Replacement Projects**
  - BART Car Replacement: $53M
  - Caltrain EMU's: $5M in FY15, $10M reserved to help fund $98M requested in FY16.
  - AC Transit Fleet Expansion: $5M (CCCGP project)
  - BART and SFMTA Fleet Expansion (CCCGP projects) - starting in FY17

Program Issues, cont.

- **Prior-Year Grant Balances**
  - Roughly $1 billion total
  - TCP policy ties programming to expenditure of prior year grants
  - FY15 programming for FG projects deferred by formula based on grant balances and voluntary deferrals
  - Set target for spending of prior-year grant balances for each FG operator equal to 1/3 of older grant (2002-2012) balance.

Undisbursed Grant Balances ($ millions)



Program Issues, cont.

- **Caltrain Electrification**
  - HSR Electrification MOU includes $125M of Caltrain FG funds
  - Reserve $11M for electrification consistent with MOU
- **AC Transit's Facilities Request**
  - CCCGP included $162M Cap & Trade funds for AC Transit facilities
  - Advance $5M TCP funds for CAD-AVL, work with ACTC to secure Measure BB funds, and prioritize D3 facility for C&T funds.
- **BART Fare Collection Equipment**
  - $6M fare collection equipment request found to be in compliance with Res No.3866.

Program Issues, cont.

- **FY16 Shortfall – Options for Resolving**
  - Revisit apportionments.
  - Request operators to reconfirm requests or defer projects.
  - Reduce programming for vehicle replacement projects.
  - Defer FG funding based on grant balances, actual need/cashflow, and meeting spending targets.
  - Assess capacity and timing of financing.
  - Apply across the board reductions.

Transit Capital Priorities Program

- **Recommendation:** Refer MTC Resolution Nos.
  - **4162** – FY 2014-15 TCP Program
  - **4035**, Revised – Cycle 2 STP Transit Capital Rehabilitation Program
  - **4163** – FY2014-15 AB664 Program
  - **4165** – FY2014-15 AB664 Allocations
  - **4084** – Revision to FY2012-13 and FY2013-14 FTA Formula Funds Program

to the Commission for approval

Metropolitan Transportation Commission
Programming and Allocations Committee

December 10, 2014 **Resolution Nos. 4035, Revised, 4084, Revised, 4162, 4163, and 4165**

Resolution No. 4035, Revised is attached to Commission Agenda Item No. 7.c.

Date:	January 23, 2013	
W.I.:	1512	
Referred By:	PAC	
Revised:	02/27/13-C	04/24/13-C
	05/22/13-C	09/25/13-C
	02/26/14-C	04/23/14-C
	05/28/14-C	12/17/14-C

ABSTRACT

Resolution No. 4084, Revised

This resolution approves the FY2012-13 and FY2013-14 Transit Capital Priorities preliminary program of projects for inclusion in the Transportation Improvement Program (TIP). The program includes projects funded with FTA Section 5307 Urbanized Area, Section 5309 Fixed Guideway Modernization, Section 5337 State of Good Repair, and Section 5339 Bus and Bus Facilities.

This Resolution includes the following attachment:

Attachment A – FY2012-13 and FY2013-14 Program of Projects

This resolution was amended on February 27, 2013 to transfer $2 million in Section 5307 funds from an SFMTA bus replacement project to BART's enterprise asset management system project. The funding for the SFMTA project will be replaced with FTA Section 5309 Bus discretionary funds.

This resolution was revised on April 24, 2013 to reflect several transfers of funding between eligible projects and deferral of projects to future years.

This resolution was revised on May 22, 2013 to program previously reserved funds for ACE, Caltrain, and the Solano County operators, to program additional funding for AC Transit and SFMTA bus replacement projects, and to make program revisions to reconcile to final FY2012-13 FTA apportionments.

This resolution was revised on September 25, 2013 to make minor revisions to the Transit Capital Priorities program for FY2012-13 and FY2013-14 to reconcile the program to final FTA apportionments.

This resolution was revised on February 26, 2014 to make revisions to the FY2013-14 Transit Capital Priorities program to transfer funds from two existing AC Transit Bus Procurement projects to a new AC Transit Farebox Replacement project; and to transfer funds from two existing WETA Fixed Guideway projects to an existing WETA Ferry Replacement project. The resolution was also revised to program FY 2013-14 5307 funds to Lifeline Transportation Program projects to replace JARC funds that lapsed.

This resolution was revised on April 23, 2014 to make program revisions to reconcile the program to final FY2013-14 apportionments released by FTA and to make additional changes requested by operators that were consistent with the TCP policy.

This resolution was revised on May 28, 2014 to re-program $400,000 from Fairfield and Suisun Transit (FAST) bus engine replacements to operating assistance after the Solano County Coordinated Short Range Transit Plan was submitted demonstrating that the replacement of the engines and buses used for intercity express routes, as well as other capital needs, can be adequately funded while continuing to use FTA funds for operating costs. The resolution was also revised to re-program most of Caltrain's ADA set-aside funds to their Revenue Vehicle Rehabilitation Program, to re-program funds from Soltrans' Bus Purchase project to their Preventive Maintenance project as requested by the operator; and to revise Napa's and Soltrans' ADA operating set-aside amounts to keep them under the 10% ADA limit by Urbanized Area.

This resolution was revised on December 17, 2014 to re-program $4,258,982 from the amount reserved for Caltrain's Positive Train Control/Electrification project to Caltrain's San Mateo Bridges Replacement project in FY2012-13. The resolution was also revised to re-program $2,841,018 and $4,000,000 from the amount reserved for Caltrain's Positive Train Control/Electrification project to Caltrain's San Mateo Bridges Replacement project and SFMTA's Global Positioning System projects, respectively, in FY14. The resolution was also revised to reflect minor transfers of funding between AC Transit projects as a result of project cost savings. The changes have been highlighted under Attachment A to this resolution.

Further discussion of the Transit Capital Priorities program of projects is contained in the Programming and Allocation Committee Executive Director memorandum dated January 9, 2013, and the Programming and Allocation Committee summary sheet dated February 13, 2013, April 10, 2013, May 8, 2013, September 11, 2013, February 12, 2014, April 9, 2014, May 14, 2014 and December 10, 2014.

Date: January 23, 2013
W.I.: 1512
Referred By: PAC

RE: San Francisco Bay Area Regional Transit Capital Priorities

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4084

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Sections 66500 et seq.; and

WHEREAS, MTC is the designated Metropolitan Planning Organization (MPO) for the nine-county Bay Area and is required to prepare and endorse a Transportation Improvement Program (TIP) which includes a list of priorities for transit capital projects; and

WHEREAS, MTC is the designated recipient of the Federal Transit Administration (FTA) Section 5307 Urbanized Area, Section 5309 Fixed Guideway Modernization, Section 5337 State of Good Repair, and Section 5339 Bus and Bus Facilities funds for the large urbanized areas of San Francisco-Oakland, San Jose, Concord, Antioch, and Santa Rosa, and has been authorized by the California Department of Transportation (Caltrans) to select projects and recommend funding allocations subject to state approval for the FTA Section 5307 and Section 5339 small urbanized area funds of Vallejo, Fairfield, Vacaville, Napa, Livermore, Gilroy-Morgan Hill, and Petaluma in MTC's Federal Transportation Improvement Program; and

WHEREAS, MTC has worked cooperatively with the cities, counties and transit operators and with Caltrans in the region to establish priorities for the transit capital projects to be included in the TIP; and

WHEREAS, the process and criteria used in the selection and ranking of such projects are set forth in MTC Resolution No. 4072; and

WHEREAS, the projects to be included in the TIP are set forth in the detailed project listings in Attachment A, which are incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY2012-13 and FY 2013-14 Transit Capital Priorities program of projects to be included in the TIP as set forth in Attachments A; and, be it further

RESOLVED, that the Executive Director or designee is authorized to revise Attachment A as necessary to reflect the programming of projects as the projects are revised in the TIP; and be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to FTA, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

Adrienne J. Tissier, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California on January 23, 2013.

Date: January 23, 2013
W.I.: 1512
Referred by: PAC
Revised: 02/27/13-C
04/24/13-C
05/22/13-C
09/25/13-C
04/23/14-C
12/17/14-C

Attachment A
Resolution No. 4084

FY 2012-13 Transit Capital Priorities / Transit Capital Rehabilitation Program						
TIP ID	**Operator**	**Project Description**	**FTA Section 5307**	**FTA Section 5309 FG**	**FTA Section 5337**	**FTA Section 5339**
		Actual Apportionments	**206,676,575**	**0**	**167,541,738**	**12,658,679**
		Previous Year Carryover	**36,464,600**	**1,683,596**	**0**	**0**
		Funds Available for Programming	**243,141,175**	**1,683,596**	**167,541,738**	**12,658,679**
Lifeline Set-Aside (JARC Projects)						
TBD	TBD	Reserved for projects included in the Lifeline Transportation Program Cycle 3 (MTC Resolution No. 4053, Revised).	3,456,429			
ADA Operating Set-Aside						
ALA990076	AC Transit	ADA Set-aside	3,933,205			
ALA050042	ACE	Preventive Maintenance	503,096			
BRT99T01B	BART	ADA Paratransit Capital Accessibility Improve	2,962,267			
SM-050040	Caltrain	ADA Set-aside	79,363			
REG090051	Caltrain	Revenue Vehicle Rehab Program	868,379			
CC-99T001	CCCTA	ADA Set-aside	667,479			
CC-030035	ECCTA	ADA Set-aside	522,888			
MRN090033	GGBHTD	ADA Set-aside	445,751			
ALA990077	LAVTA	ADA Set-aside	302,768			
MRN110047	Marin Transit	ADA Set-aside	668,627			
NAP030004	Napa VINE	ADA Set-aside	29,557			
SM-990026	SamTrans	ADA Set-aside	992,293			
SF-990022	SFMTA	ADA Set-aside	3,732,102			
SOL110025	SolTrans	ADA Set-aside	665,421			
SCL050046	VTA	ADA Set-aside	3,124,039			
CC-990045	WestCat	ADA Set-aside	107,889			
Prior-Year Commitments - Projects Deferred from FY2011-12						
REG090067	WETA	Ferry Fixed Guideway Connectors - Main Street Terminal	1,000,000			
		Total Program Set-asides and Commitments	**24,061,553**	**0**	**0**	**0**
		Funds Available for Capital Programming	**219,079,622**	**1,683,596**	**167,541,738**	**12,658,679**
Capital Projects						
ALA010034	AC Transit	CAD/AVL	5,000,000			
ALA010034	AC Transit	Radio communication system	5,000,000			
ALA990052	AC Transit	Paratransit Van Leasing	1,433,386			
ALA110116	AC Transit	(51) Diesel Particulate Filters for 30' Buses	795,225			
REG110044	ACE	Positive Train Control (PTC)	1,664,400			
BRT030004	BART	Train Control			13,000,000	
BRT030005	BART	Traction Power			13,000,000	
BRT97100B	BART	Rail, Way, and Structures Program		726,392	12,273,608	
ALA090065	BART	Fare Collection Equipment			6,067,914	
REG050020	BART	BART Car Exchange Preventive Maintenance	11,753,191		60,246,809	
REG090037	BART	Railcar Replacement			500,000	
ALA110090	BART	Enterprise Asset Management System	2,000,000			
SM-010054	Caltrain	San Mateo Bridges Replacement			8,766,563	
SM-050041	Caltrain	Caltrain: Signal/Communication Rehab. & Upgrades			1,153,437	
SM-110076	Caltrain	Caltrain TVM Replacement			980,000	
REG110030	Caltrain	Positive Train Control/Electrification - RESERVED			0	
CC-110095	CCCTA	CCCTA: Replace 7 30' Buses	1,999,441			840,438
CC-110096	CCCTA	CCCTA: Replace 6 22' Paratransit Vans	401,592			
CC-110097	CCCTA	CCCTA: Replace 4 Paratransit Minivans	180,236			
CC-110098	CCCTA	Purchase and Install 40 Electric Cooling Fans	200,000			
CC-070092	ECCTA	Replace Ten, 2001 40' Gilligs	4,774,603			
CC-070092	ECCTA	Replace Two, 2007 Cheverolet Minivans				
CC-070092	ECCTA	Replace One, 2003 DR Cutaway/Van	89,787			
CC-070092	ECCTA	Replace One, 2006 DR Cutaway/Van	66,932			
CC-030037	ECCTA	Preventive Maintenance	266,647			
SOL010006	Fairfield	Fairfield Operating Assistance	2,378,311			
MRN110045	GGBHTD	Replace 7 - 40' Diesel Buses	3,008,005			
ALA030030	LAVTA	Preventative Maintenance	1,399,366			
MRN110043	Marin Transit	Replace 7 Local Buses	4,057,707			
NAP970010	Napa Vine	Napa Vine: Operating Assistance	1,776,524			
NAP090008	Napa Vine	Equipment Replacement & Upgrades	46,814			170,991
SON110051	Petaluma	Replace 2 Paratransit Cutaways FY13	9,360			124,504

FY 2012-13 Transit Capital Priorities / Transit Capital Rehabilitation Program						
TIP ID	**Operator**	**Project Description**	**FTA Section 5307**	**FTA Section 5309 FG**	**FTA Section 5337**	**FTA Section 5339**
Capital Projects, continued						
SM-110062	Samtrans	Replacement of 1998 Gillig Buses	17,397,271			
SM-110070	Samtrans	Replacement of 14 2009 Minivans	619,597			
SM-030023	Samtrans	Preventive Maintenance	6,896,630			
SON090023	Santa Rosa	Santa Rosa CityBus: Operating Assistance	1,678,872			
SON090024	Santa Rosa	Santa Rosa CityBus: Preventative Maintenance	1,281,664			
SON030012	Santa Rosa	Santa Rosa CityBus: Transit Enhancements	31,093			
SON070020	Santa Rosa	Diesel-Electric Hybrid Fixed-Route Replacement Bus				231,591
SF-090043	SFMTA	45 40' NABI Replacement	7,419,719			6,690,972
SF-090035	SFMTA	35 22' Paratransit vans	4,163,725			
SF-110050	SFMTA	58 40' Neoplan Bus Replacement	15,815,991			
SF-110051	SFMTA	26 60' Neoplan Bus Replacement	16,742,037			
SF-070045	SFMTA	60 60' New Flyer Trolley Bus Replacement	0			
SF-990003	SFMTA	ITS Radio System Replacement	5,000,000			
SF-95037B	SFMTA	Muni Rail Replacement			26,992,086	
SF-970073	SFMTA	Cable Car Renovation Program			960,000	
SF-990003	SFMTA	Global Positioning System			2,600,000	
SOL110040	Soltrans	Operating Assistance	1,100,000			
SOL090033	Soltrans	Maintenance Facility	1,750,000			
SOL090034	Soltrans	Bus Purchase	416,835			
SOL110038	Soltrans	Technology Enhancements				
SON030005	Sonoma County	SCT Preventive Maintenance Program	986,845			
SON050021	Sonoma County	SCT Bus Stop Enhancements	10,364			
SON110049	Sonoma County	Replacement of One CNG 40-Foot Orion Bus				
SOL110042	Vacaville	Additional FR Buses	1,205,486			0
SOL010007	Vacaville	Operating Assistance	985,000			
SCL990046	VTA	VTA: Preventive Maintenance	32,541,169		2,601,175	
SCL050045	VTA	VTA: ADA Bus Stop Improvements	350,749			
SCL050002	VTA	VTA: Rail Replacement Program		957,204	705,379	
SCL050001	VTA	VTA: Standard and Small Bus Replacement				2,743,276
CC-110092	WestCat	Replacement of 8 (1988) 40' transit buses.	3,502,672			
SF-110053	WETA	Replacement Vessel	14,800,000			
REG090057	WETA	Ferry Major Component Rehabiliation - Solano	1,600,000			
REG090057	WETA	Ferry Major Component Rehabiliation - Vallejo	960,000			
REG090055	WETA	Ferry Propulsion System Replacement - Peralta	4,208,000			
REG090067	WETA	Ferry Fixed Guideway Connectors - Main Street Terminal	224,000			
		Total Capital Projects	**189,989,246**	**1,683,596**	**149,846,971**	**10,801,772**
		Total Programmed	**214,050,799**	**1,683,596**	**149,846,971**	**10,801,772**
		Fund Balance	**29,090,376**	**0**	**17,694,767**	**1,856,907**

FY 2013-14 Transit Capital Priorities / Transit Capital Rehabilitation Program					
TIP ID	**Operator**	**Project Description**	**FTA Section 5307**	**FTA Section 5337**	**FTA Section 5339**
		Actual Apportionments	**208,984,999**	**170,320,038**	**13,072,341**
		Previous Year Carryover	**29,090,376**	**17,694,767**	**1,856,907**
		Funds Available for Programming	**238,075,375**	**188,014,805**	**14,929,248**
Lifeline Set-Aside (JARC Projects)					
To be programmed	To be programmed	Reserved for projects included in the Lifeline Transportation Program Cycle 3 (MTC Resolution No. 4053, Revised) and Cycle 4.	2,889,856		
ADA Operating Set-Aside					
ALA990076	AC Transit	ADA Set-aside	3,987,520		
ALA050042	ACE	Preventive Maintenance	510,043		
BRT99T01B	BART	ADA Paratransit Capital Accessibility Improve	3,003,174		
SM-050040	Caltrain	ADA Set-aside	0		
REG090051	Caltrain	Revenue Vehicle Rehab Program	960,667		
CC-99T001	CCCTA	ADA Set-aside	676,696		
CC-030035	ECCTA	ADA Set-aside	530,109		
MRN090033	GGBHTD	ADA Set-aside	451,907		
ALA990077	LAVTA	ADA Set-aside	306,948		
MRN110047	Marin Transit	ADA Set-aside	677,860		
NAP030004	Napa VINE	ADA Set-aside	23,847		
SM-990026	SamTrans	ADA Set-aside	1,005,996		
SF-990022	SFMTA	ADA Set-aside	3,783,639		
SOL110025	SolTrans	ADA Set-aside	590,647		
SCL050046	VTA	ADA Set-aside	3,166,259		
CC-990045	WestCat	ADA Set-aside	109,379		
Vehicle Procurement Reserve					
New	Caltrain	Railcar Replacement - RESERVED		24,323,719	
		Total Program Set-asides and Commitments	**22,674,547**	**24,323,719**	**0**
		Funds Available for Capital Programming	**215,400,828**	**163,691,086**	**14,929,248**
Capital Projects					
ALA990052	AC Transit	Paratransit Van Leasing	1,433,386		
ALA110117	AC Transit	Replace (28) 2000 40' Urban buses	10,200,964		
ALA110118	AC Transit	Replace (40) 2002 40' Urban buses	14,572,805		
ALA130002	AC Transit	Replace (27) 2003 60' articulated buses	22,303,145		
ALA110106	AC Transit	Farebox Replacement	2,000,000		
ALA010034	AC Transit	Facilities Upgrade	746,788		
REG110044	ACE	Positive Train Control (PTC)	1,664,400		
BRT030004	BART	Train Control		13,000,000	
BRT030005	BART	Traction Power		13,000,000	
BRT97100B	BART	Rail, Way, and Structures Program		13,000,000	
ALA090065	BART	Fare Collection Equipment		6,067,914	
REG050020	BART	BART Car Exchange Preventive Maintenance	7,267,896	66,900,255	
REG090037	BART	Railcar Replacement		500,000	
SM-010054	Caltrain	San Mateo Bridges Replacement		2,841,018	
SM-03006B	Caltrain	Caltrain: Systemwide Track Rehab & Related Struct.		7,058,982	
REG110030	Caltrain	Positive Train Control/Electrification - RESERVED - see Notes		413,000	
CC-110100	CCCTA	Replace 18 40' Heavy Duty Diesel Over the Road Buses	8,334,023		863,162
CC-110099	CCCTA	CCCTA: Replace 15 40' Heavy Duty Diesel Transit Buses	6,578,760		
CC-070092	ECCTA	Replace Ten, 2001 40' Gilligs	4,960,618		893,992
CC-070092	ECCTA	Replace Four, 2010 Dodge Minivans	183,572		
CC-030037	ECCTA	Preventive Maintenance	64,251		
CC-070092	ECCTA	Replace Two, 2007 Chevrolet Minivans	90,118		
SOL010006	Fairfield	Fairfield Operating Assistance	2,422,394		
SOL110044	Fairfield	Intercity Bus Engine Replacements	0		
SOL110041	Fairfield	Bus Replacement			564,485
MRN110046	GGBHTD	Replace 14 - 45' OTR Coaches	7,709,590		
ALA030030	LAVTA	Preventative Maintenance	196,984		
MRN110044	Marin Transit	13 Paratransit Vehicles	891,338		
MRN110042	Marin Transit	4 Local Buses	2,235,772		
NAP970010	Napa Vine	Napa Vine: Operating Assistance	1,432,231		
NAP090008	Napa Vine	Equipment Replacement & Upgrades	48,035		174,228
SON110052	Petaluma	Replace 2 Paratransit Cutaways FY14	10,657		126,859

FY 2013-14 Transit Capital Priorities / Transit Capital Rehabilitation Program					
TIP ID	**Operator**	**Project Description**	**FTA Section 5307**	**FTA Section 5337**	**FTA Section 5339**
Capital Projects, continued					
SM-110053	SamTrans	Advanced Communication System Upgrades	2,653,250		
SM-110069	SamTrans	Replacement of 19 2007 Cutaway Buses	1,837,710		
SON090023	Santa Rosa	Santa Rosa CityBus: Operating Assistance	1,701,083		
SON090024	Santa Rosa	Santa Rosa CityBus: Preventative Maintenance	672,263		
SON030012	Santa Rosa	Santa Rosa CityBus: Transit Enhancements	24,768		
SON070020	Santa Rosa	Diesel-Electric Hybrid Fixed-Route Replacement Bus			277,289
New	SFMTA	30 60' Motor Coaches	30,500,000		
New	SFMTA	Farebox Replacement	1,120,000		
SF-110050	SFMTA	50 40' Neoplan Bus Replacement	5,855,020		6,908,739
SF-110051	SFMTA	26 60' Neoplan Bus Replacement	4,116,619		
SF-110050	SFMTA	8 40' Neoplan Bus Replacement	4,643,523		
SF-070045	SFMTA	60 60' New Flyer Trolley Bus Replacement		12,677,488	
New	SFMTA	42 40' Neoplan Bus Replacement	5,000,000		
New	SFMTA	49 60' Neoplan Bus Replacement	8,365,234		
SF-95037B	SFMTA	Muni Rail Replacement		4,092,086	
SF-970073	SFMTA	Cable Car Renovation Program		960,000	
SF-990003	SFMTA	Global Positioning System		4,000,000	
SOL110040	SolTrans	Operating Assistance	5,706,408		
SOL090034	SolTrans	Bus Purchase	975,000		767,581
SOL070032	SolTrans	Preventive Maintenance	599,674		
New	Sonoma County	CNG Bus Replacement	410,123		
SON030005	Sonoma County	SCT Preventive Maintenance Program	1,308,507		
SON050021	Sonoma County	SCT Bus Stop Enhancements	17,935		
SON110049	Sonoma County	Replacement of One CNG 40-Foot Orion Bus			432,386
ALA110114	Union City	Replacement of Two (2) Transit Buses	953,135		
SOL010007	Vacaville	Operating Assistance	985,000		
New	Vacaville	Paratransit Bus Purchase 3 40' ARBOC Low-Floor Gasoline			394,072
SCL990046	VTA	VTA: Preventive Maintenance	32,874,210	2,072,309	
SCL050045	VTA	VTA: ADA Bus Stop Improvements	361,097		
SCL050049	VTA	VTA: Rail Substation Rehab/ Replacement		4,560,000	
SCL090044	VTA	VTA: TP OCS Rehab and Replacement			
SCL050002	VTA	VTA: Rail Replacement Program		5,556,034	
SCL050001	VTA	VTA: Standard and Small Bus Replacement			3,185,141
CC-110093	WestCat	Replacement of 2 35' suburban diesel transit bus	735,324		
CC-110094	WestCat	Replacement of 2 35' suburban diesel transit bus	223,954		
REG090054	WETA	Ferry Channel Dredging		1,600,000	
REG090057	WETA	Ferry Major Component Replacement			
REG090067	WETA	Ferry Fixed Guideway Connectors			
SF-110053	WETA	Replacement Vessel	749,345	5,392,000	
		Total Capital Projects	**207,736,909**	**163,691,086**	**14,587,934**
		Total Programmed	**230,411,456**	**188,014,805**	**14,587,934**
		Fund Balance	**7,663,919**	**0**	**341,314**

FY2012-13 - FY2013-14 Transit Capital Priorities / Transit Capital Rehabilitation Program Notes

1. Apportionment projections are based on MAP-21 authorizations and FY13 partial-year apportionments released by FTA. The program will be reconciled to the final apportionments for each year after they are released by FTA.
2. Operators in the Fairfield, Napa, Petaluma, Santa Rosa and Vacaville Urbanized Areas did not wish to participate in the ADA operating set-aside programming element at the time the current ADA set-aside formula was developed. Future revisions to the ADA set-aside formula may include operators in these urbanized areas.
3. $400,000 of FY2013-14 Section 5307 programmed to Fairfield & Suisun Transit for intercity bus engine replacements based on the intercity bus replacement strategy agreed to by the operators may be reprogrammed to another FAST project if review of the draft Solano County Short Range Transit Plan demonstrates that the engine replacements can be funded with other sources while providing sufficient funding for other capital and operating needs.
4. Caltrain deferred $1,706,500 of its FY13 fixed guideway cap to FY14. $413,000 of Caltrain's FY14 fixed guideway cap funds are reserved for the for Positive Train Control/Electrification project pending discussions with HSR Early Investment Strategy MOU partner agencies and a final request that aligns with the MOU. Proposed program includes $11.1 million FY13 and FY14 funds for bridge replacement projects as requested by Caltrain - previously reserved for electrification. $4M of the $11.1M was programmed to SFMTA's GPS project in FY14 in exchange for $4M of SFCTA Prop K funds for Caltrain's Quint St. Bridge project.
5. $24,323,719 for Caltrain's Railcar Replacement project will be held in a Vehicle Procurement Reserve pending development of the project schedule, and will be programmed in a future amendment.
6. ECCTA excercised the Capital Exchange element of the TCP policy by deferring replacement of two 1998 40' diesel buses to FY22 in exchange for $266,647 for Preventive Maintenance in FY13, and by deferring replacement of two 2001 Trolley Replicas to FY25 in exchange for $55,042 in Preventive Maintenance in FY14.
7. GGBHTD deferred $22,074,000 of fixed guideway cap funds from FY11, FY12, FY13 and FY14 to FY15. These funds will have priority for programming in FY15 as a prior-year commitment.
8. LAVTA excercised the Capital Exchange element of the TCP policy by deferring replacement of nine 2006 22' cutaways to FY20 in exchange for $1,157,841 for Preventive Maintenance in FY13. LAVTA also deferred replacement of five 2000 40' hybrid buses to FY15 in exchange for $241,525 in Preventive Maintenance in FY13, and deferred replacement of eight 2002 40' hybrid buses to FY15 in exchange for $196,984 in Preventive Maintenance in FY14.
9. Programming for Santa Rosa CityBus and Sonoma County Transit in FY14 is based on a renegotiated agreement to share apportionments in the Santa Rosa urbanized area between the two agencies.
10. SFMTA deferred $5,000,000 of its FY13 fixed guideway cap to FY15 in exchange for advancing funding for two bus replacement projects from FY14 to FY13.
11. VTA deferred $1,138,534 of its fixed guideway cap from FY13 to FY14.
12. WestCAT deferred $849,920 for replacement of two buses from FY13 to FY15 in exchange for advancing funding for two different bus replacements from FY15 to FY14.
13. WETA deferred $5,392,000 of its FY14 fixed guideway cap funds to FY15 in exchange for advancing funding for a ferry vessel replacement from FY16 to FY14.
14. SamTrans deferred $20,000,000 of FY14 5307 for articulated bus replacement to FY15 in exchange for$2,653,250 for Advanced Communication System Upgrades in FY14
15. The balance of the regional share of AC Transit's Replace (27) 2003 60' articulated buses project ($3,567,594), SFMTA's 42 40' Neoplan Bus Replacement project ($19,378,498) and SFMTA's 49 60' Neoplan Bus Replacement project ($20,000,000 annual cap) will have priority for funding in FY2014-15 as prior-year commitments.

Date: December 17, 2014
W.I.: 1512
Referred By: PAC

<u>ABSTRACT</u>

Resolution No. 4162

This resolution approves the FY2014-15 Transit Capital Priorities preliminary program of projects for inclusion in the Transportation Improvement Program (TIP). The program includes projects funded with FTA Section 5307 Urbanized Area, Section 5337 State of Good Repair, and Section 5339 Bus and Bus Facilities. In addition, Surface Transportation Program Cycle 2 Transit Capital Rehabilitation funds are being programmed in MTC Resolution No. 4035, Revised, and AB664 Bridge Toll revenues are programmed in MTC Resolution No. 4163 for FY2014-15 Transit Capital Priorities projects.

This Resolution includes the following attachment:

Attachment A – FY2014-15 Program of Projects

Further discussion of the Transit Capital Priorities program of projects is contained in the Programming and Allocation Committee summary sheet dated December 10, 2014.

Date: December 17, 2014
W.I.: 1512
Referred By: PAC

RE: San Francisco Bay Area Regional Transit Capital Priorities

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4162

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Sections 66500 et seq.; and

WHEREAS, MTC is the designated Metropolitan Planning Organization (MPO) for the nine-county Bay Area and is required to prepare and endorse a Transportation Improvement Program (TIP) which includes a list of priorities for transit capital projects; and

WHEREAS, MTC is the designated recipient of the Federal Transit Administration (FTA) Section 5307 Urbanized Area, Section 5337 State of Good Repair, and Section 5339 Bus and Bus Facilities funds for the large urbanized areas of San Francisco-Oakland, San Jose, Concord, Antioch, and Santa Rosa, and has been authorized by the California Department of Transportation (Caltrans) to select projects and recommend funding allocations subject to state approval for the FTA Section 5307 and Section 5339 small urbanized area funds of Vallejo, Fairfield, Vacaville, Napa, Livermore, Gilroy-Morgan Hill, and Petaluma in MTC's Federal Transportation Improvement Program; and

WHEREAS, MTC has worked cooperatively with the cities, counties and transit operators and with Caltrans in the region to establish priorities for the transit capital projects to be included in the TIP; and

WHEREAS, the process and criteria used in the selection and ranking of such projects are set forth in MTC Resolution No. 4140; and

WHEREAS, the projects to be included in the TIP are set forth in the detailed project listings in Attachment A, which are incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY 2014-15 Transit Capital Priorities program of projects to be included in the TIP as set forth in Attachment A; and, be it further

RESOLVED, that the Executive Director or designee is authorized to revise Attachment A as necessary to reflect the programming of projects as the projects are revised in the TIP; and be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to FTA, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

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Amy Rein Worth, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California on December 17, 2014.

FY 2014-15 Transit Capital Priorities / Transit Capital Rehabilitation Program					
TIP ID	**Operator**	**Project Description**	**FTA Section 5307**	**FTA Section 5337**	**FTA Section 5339**
		Actual Apportionments	**208,984,999**	**170,320,038**	**13,072,341**
		Previous Year Carryover	**7,663,919**	**0**	**695,353**
		Funds Available for Programming	**216,648,918**	**170,320,038**	**13,767,694**
Lifeline Set-Aside (JARC Projects)					
To be programmed	To be programmed	Reserved for future programming in Lifeline Transportation Program Cycle 4.	2,889,856		
ADA Operating Set-Aside					
ALA990076	AC Transit	ADA Set-aside	3,913,691		
ALA050042	ACE	Preventive Maintenance	8,836		
BRT99T01B	BART	ADA Paratransit Capital Accessibility Improve	2,678,954		
REG090051	Caltrain	Revenue Vehicle Rehab Program	163,267		
CC-99T001	CCCTA	ADA Set-aside	1,178,716		
CC-030035	ECCTA	ADA Set-aside	523,153		
MRN130015	GGBHTD	Transit System Enhancements	307,963		
ALA990077	LAVTA	ADA Set-aside	335,595		
MRN110047	Marin Transit	ADA Set-aside	461,944		
NAP030004	Napa VINE	ADA Set-aside	38,496		
SON150007	Petaluma Transit	ADA Set-aside	82,795		
SM-990026	SamTrans	ADA Set-aside	1,847,776		
SF-990022	SFMTA	ADA Set-aside	3,990,682		
SOL110025	SolTrans	ADA Set-aside	302,177		
New	Sonoma City Tran	ADA Set-aside	28,939		
New	Union City Transit	ADA Set-aside	128,318		
SCL050046	VTA	ADA Set-aside	3,645,778		
CC-990045	WestCat	ADA Set-aside	243,804		
New	WETA	Ferry Major Component Rehab/Replacement	5,133		
Vehicle Procurement Reserve					
New	Caltrain	Positive Train Control/Electrification - RESERVED		10,770,994	
New	Caltrain	Railcar Replacement - RESERVED		10,469,721	
		Total Program Set-asides and Commitments	**22,775,873**	**21,240,715**	**0**
		Funds Available for Capital Programming	**193,873,045**	**149,079,323**	**13,767,694**
Capital Projects					
ALA010034	AC Transit	Replace CAD/AVL/Radio System	8,567,594		
ALA150018	AC Transit	Replace (25) 40ft Urban Buses - Hybrids	9,940,433		
ALA150018	AC Transit	Replace (40) 40ft Urban Buses - Diesels	13,953,720		
ALA150013	AC Transit	Purchase (15) 40ft Expansion Urban Buses - Diesels	5,232,645		
ALA990052	AC Transit	ADA Paratransit Van Replacement	1,363,034		
REG110044	ACE	Positive Train Control		1,240,810	
REG050020	BART	BART Car Exchange Preventive Maintenance	1,598,164		
BRT030004	BART	Train Control		12,805,069	
BRT97100B	BART	Rail, Way, and Structures Program		17,070,028	
ALA090065	BART	Fare Collection Equipment		6,000,000	
REG050020	BART	BART Car Exchange Preventive Maintenance		51,073,634	
REG090037	BART	Railcar Replacement		500,000	
SF-010028	Caltrain	Railcar Replacement		5,234,766	
CC-150006	CCCTA	Replace (18) 30' Buses	5,985,478		863,162
CC-150007	CCCTA	Replace (13) 35' Buses	5,106,140		
CC-150008	CCCTA	Replace (3) Paratransit Vans	295,200		
REG090045	Clipper	Golden Gate Bus - Fare Collection Equipment Replacement	918,823		
REG090045	Clipper	AC Transit - Fare Collection Equipment Replacement	4,000,957		
REG090045	Clipper	MTC - Fare Collection Back Office Equipment Replacement		2,315,228	
REG090045	Clipper	SFMTA - Fare Collection Equipment Replacement		2,538,052	
REG090045	Clipper	Golden Gate Ferry - Fare Collection Equipment Replacement		195,958	
REG090045	Clipper	Golden Gate Bus - Fare Collection Equipment Replacement		1,228,907	
CC-070092	ECCTA	Replace (5), 45' diesel, over the road coaches	2,037,153		451,547
CC-070092	ECCTA	Replace (20) Ford four year gas cutaway/vans	1,410,400		
CC-070092	ECCTA	Replace (30) MDTs for paratransit fleet	360,000		
SOL010006	Fairfield	Fairfield Operating Assistance	2,422,394		
SOL110041	Fairfield	(2) 40' Transit Hybrid Buses			284,891
MRN990017	GGBHTD	Ferry Channel and Berth Dredging		9,200,000	
ALA150017	LAVTA	Replace (5) 2000 40' Diesel Vehicles with 5 40' Hybrids	2,594,228		513,572
ALA150015	LAVTA	Replace (4) 2002- Over the Road Diesel vehicles with 4 40' Hybrids	2,486,240		
ALA150014	LAVTA	Replace (4) 2002- Low Floor Diesel vehicles with 4 40' Hybrids	2,486,240		
ALA150016	LAVTA	Replace (7) 2003- Diesel vehicles with 7 40' Hybrids	4,350,920		
New	Marin Transit	Replace (9) ADA Paratransit Vehicles	634,680		
New	Marin Transit	Replace (3) Stage Coach Vehicles	364,080		
New	Marin Transit	Install fareboxes on Marin County Paratransit Vehicles	76,260		
New	Marin Transit	Install fareboxes on Marin County Dial-A-Ride Vehicles	22,960		
New	Marin Transit	Replace Marin Transit Fixed Route Fareboxes	34,440		
New	Marin Transit	Replace Paratransit Radios	49,200		
New	Marin Transit	Replace Paratransit MDTs	29,520		
NAP090008	Napa Vine	Equipment Replacement & Upgrades			174,228
NAP970010	Napa Vine	Napa Vine: Operating Assistance	1,480,266		

FY 2014-15 Transit Capital Priorities / Transit Capital Rehabilitation Program					
TIP ID	**Operator**	**Project Description**	**FTA Section 5307**	**FTA Section 5337**	**FTA Section 5339**
Capital Projects, continued					
SON150004	Petaluma	(1) 40' Diesel Electric Replacement Standard Bus	494,701		126,859
SON090030	Petaluma	AVL/CAD Communications System	352,302		
SON150005	Petaluma	Purchase new Bus Radios	1,476		
SM-150005	Samtrans	Replacement of (60) 2003 Gillig Buses	20,000,000		
SM-110068	Samtrans	Replacement of (55) NABI articulated buses	20,000,000		
New	Santa Rosa	Replace 40' New Flyer buses with new 40' Diesel Buses	149,931		277,289
New	Santa Rosa	Equip new fixed route fleet buses with farebox	24,000		
New	Santa Rosa	Equip new fixed route fleet buses with radio systems	60,000		
New	Santa Rosa	Security improvements for access at bus stops	43,724		
SON090023	Santa Rosa	Santa Rosa CityBus: Operating Assistance	1,701,083		
SON090024	Santa Rosa	Santa Rosa CityBus: Preventative Maintenance	396,639		
SON030012	Santa Rosa	Santa Rosa CityBus: Transit Enhancements	22,737		
SF-150004	SFMTA	Station-Area Pedestrian and Bicycle Access Improvements	500,000		
SF-95037B	SFMTA	Muni Rail Replacement		6,316,972	
SF-030013	SFMTA	Wayside Fare Collection		1,000,000	
SF-970170	SFMTA	Overhead Line Rehabilitation		10,481,371	
SF-050024	SFMTA	Wayside/Central Train Control & Trolley Signal Systems Rehabilitation		5,000,000	
SF-99T002	SFMTA	Cable Car Infrastructure		1,000,000	
SF-970073	SFMTA	Cable Car Renovation Program		960,000	
SF-150005	SFMTA	Replacement of (67) 40' Motor Coaches	5,591,261		6,908,739
SF-150006	SFMTA	Replacement of (98) 60' Motor Coaches	20,000,000		
SOL110040	Soltrans	Operating Assistance	2,839,481		
SOL090033	Soltrans	Maintenance Facility	2,562,602		387,398
SON030005	Sonoma County	SCT Preventive Maintenance Program	1,280,000		
SON110049	Sonoma County	Replacement of (1) CNG 40-Foot Heavy-Duty Bus in SCT's Fixed-Route Fleet	439,200		200,795
SON050021	Sonoma County	Installation of Passenger Shelters and Other Amenities at Various SCT Bus Stops	17,365		
ALA130033	Union City	Union City: Replacement of Two (2) Transit Buses	588,728		
SOL010007	Vacaville	Operating Assistance	985,000		
SCL050045	VTA	ADA Bus Stop Improvements	474,503		
SCL050001	VTA	(61) 40' Hybrid Bus Procurement	30,314,859		3,185,141
New	VTA	60' Hybrid Articulated Bus Procurement	370,520		
SCL990046	VTA	Preventive Maintenance	2,017,192		
SCL050002	VTA	Rail Replacement Program		303,088	
SCL110104	VTA	Light Rail Track Crossovers and Switches		2,179,440	
SCL110100	VTA	Kinkisharyo LRV Overhaul Program		640,000	
SCL150011	VTA	North First Street Corridor Light Rail Speed Improvements		400,000	
SCL150005	VTA	Train to Wayside Communication System Upgrade		200,000	
SCL150007	VTA	Upgrade Ohlone/Chynoweth Interlocking		960,000	
SCL150008	VTA	Track Intrusion Abatement		1,600,000	
SCL150009	VTA	LR Signal Shop Modification		396,000	
SCL150010	VTA	Upgrade LR Ring #1 Communications Equipment		1,760,000	
SCL150006	VTA	Back-up Power Devices for Elevated Station		320,000	
CC-150001	WestCat	Replacement of (10) Cut Away Vans	984,000		
CC-150004	WestCat	Replacement of (1) 40 Ft Revenue Vehicle	427,220		
CC-150005	WestCat	Replacement of (1) 40 Ft Revenue Vehicle	497,740		
CC-150002	WestCat	Purchase of (10) Radio systems for (10) Cut Away Van's	8,000		
CC-150003	WestCat	Purchase of (2) Fast Fare Electronic Fareboxes	28,498		
CC-030025	WestCat	Preventive Maintenance	232,200		
REG090057	WETA	Ferry Major Component Rehab/Replacement		3,496,000	
REG090055	WETA	Ferry Propulsion System Replacement		2,288,000	
REG090067	WETA	Fixed Guideway Connectors		376,000	
		Total Capital Projects	**191,206,131**	**149,079,323**	**13,373,621**
		Total Programmed	**213,982,004**	**170,320,038**	**13,373,621**
		Fund Balance	**2,666,914**	**0**	**394,073**

FY2014-15 Transit Capital Priorities / Transit Capital Rehabilitation Program Notes

1. Apportionment projections are based on 0% escalation relative to FY14 apportionments provided by the current extension of MAP-21. The program will be reconciled to the final apportionments after they are released by FTA.
2. Operators in the Fairfield, Napa, Santa Rosa and Vacaville Urbanized Areas did not wish to participate in the ADA operating set-aside programming element at the time the current ADA set-aside formula was developed. Future revisions to the ADA set-aside formula may include operators in these urbanized areas.
3. Programming for Santa Rosa CityBus and Sonoma County Transit in FY15 is based on a renegotiated agreement to share apportionments in the Santa Rosa urbanized area between the two agencies.
4. AC Transit: $5M provisionally programmed for CAD-AVL System project pending discussions with AC Transit and ACTC on funding plan for CCCGP projects that were to be funded with Cap & Trade and local funds in CCCGP funding plan.
5. ACE: $146,190 of FY15 FG cap deferred by formula based on grant balances to FY17.
6. BART: $13,194,931 of FY15 FG cap deferred by formula based on grant balances to FY18.
7. Caltrain: $1,835,506 of FG cap deferred by formula based on grant balances to FY17.
8. Caltrain: Reserved $10.7 million FG cap for Electrification consistent with HSR/CalMod MOU, pending potential revision of Electrification funding plan.
9. Caltrain: $10,469,721 for Caltrain's Railcar Replacement project will be held in a Vehicle Procurement Reserve. On December 17, 2014, the Commission directed staff to withhold programming these funds into the TIP. Staff is directed to return in two months with an update on the schedule and funding plan for Caltrain's railcars and Electrification project that reflects additional work by MTC and the Joint Powers Board member agencies, and to confirm the programming approach for the $10.5 million for the railcar vehicles.
10. GGBHTD: Voluntarily deferred $23,628,000 of fixed guideway cap funds from FY11 through FY15 to FY17. These funds will have priority for programming in FY17 as a prior-year commitment.
11. SFMTA: Voluntarily deferred $15,000,000 of its FY15 fixed guideway cap to FY18; also deferred their 21 40ft Trolley Coach procurement to FY15-16 in response to MTC"s request for deferral of projects to reduce shortfall. An additional $1,518,629 of SFMTA's FY15 FG Cap was deferred by formula based on grant balances to FY17.
12. SFMTA: $500k programmed to Station Bike and Pedestrian Improvements project in exchange for $500k of SFMTA revenue bond funds for FG cap projects.
13. WestCAT excercised the Capital Exchange element of the TCP policy by deferring replacement of six 2002 40' diesel vehicles until FY16-17. Total savings to the region equals $464,600. WestCAT will utilize the option for using 50% ($232,300) of these savings for a non Score 16 project, preventive maintenance.
14. WETA: Voluntarily deferred $3,424,000 of FG cap to FY17.

Date: December 17, 2014
W.I.: 1514
Referred by: PAC

ABSTRACT

Resolution No. 4163

This resolution establishes the AB 664 Net Bridge Toll Revenues program of projects for FY2014-15. The initial program consists of $5,219,167 being programmed to AC Transit towards their fleet replacement consistent with the Core Capacity Challenge Grant Program funding plan. The initial program also consists of $67,304 in savings from the original allocation to the region for the Regional Transit Capital Inventory project in FY2006-07 that has lapsed and is now being re-programmed towards the same project. This resolution will be amended to add the remainder of the FY2014-15 AB 664 program in conjunction with final revisions to the FY2014-15 Transit Capital Priorities program.

The following attachment is provided with this resolution:

Attachment A. Program of AB 664 Net Bridge Toll Revenue Projects FY2014-15

Further discussion of the AB 664 program of projects is contained in the Programming and Allocations Committee summary sheet dated December 10, 2014.

Date: December 17, 2014
W.I.: 1514
Referred by: PAC

RE: Programming of AB 664 Net Bridge Toll Revenues in Fiscal Year 2014-15

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4163

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 et seq., and

WHEREAS, pursuant to Streets and Highways Code § 30892, after deduction for MTC's administrative costs, MTC shall allocate toll bridge net revenues to public entities operating public transportation systems to achieve MTC's capital planning objectives in the vicinity of toll bridges as set forth in its adopted Regional Transportation Plan (RTP) ("Net Revenues"); and

WHEREAS, pursuant to Streets and Highways Code § 30894, MTC has adopted MTC Resolution No. 4015, which sets forth MTC's Bridge Toll Revenue Allocation Policy; and

WHEREAS, MTC has adopted a transit capital priorities program which set forth the priorities for funding transit capital projects in the Transportation Improvement Program (TIP); and

WHEREAS, “claimants” certify that their respective projects programmed in the TIP are in conformance with MTC’s Regional Transportation Plan, with the requirements of the California Environmental Quality Act (Public Resources Code § 2100 et seq.) and the State EIR Guidelines (14 Cal. Admin. Code § 15000 et seq.); now therefore, be it

RESOLVED, that MTC approves the FY2014-15 programming of AB 664 Net Bridge Toll Revenues to the claimants, in the amounts, for the purposes, and subject to the conditions listed on Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length.

METROPOLITAN TRANSPORTATION COMMISSION

_____
Amy Rein Worth, Chair

The above resolution was entered into by the
Metropolitan Transportation Commission
at a regular meeting of the Commission held in
Oakland, California on December 17, 2014.

Date: December 17, 2014
W.I.: 1514
Referred by: PAC

Attachment A
Resolution No. 4163

PROGRAM OF AB 664 NET BRIDGE TOLL REVENUE PROJECTS

FY2014-15 Program				
Sponsor	**Eligible Capital Projects**	**Fund Source**	**East Bay**	**West Bay**
	Revenue Projections		**$5,219,167**	
	Previous Year Carry-Over (if any)			
	Expirations and Rescissions		**$37,304**	**$30,000**
	Total Funds Available		**$5,256,471**	**$30,000**
Current Year Programming				
AC Transit	Replace (25) 40ft Urban Buses - Hybrids	AB664		
	Total Amount Programmed to AC Transit		**5,219,167**	
Region	Regional Transit Capital Inventory[1]	AB664		
	Total Amount Programmed to the Region		**37,304**	**30,000**
		Total Programmed	**$5,256,471**	**$30,000**
		Fund Balance	**$0**	**$0**

Notes:
1. Includes reallocation of lapsed savings of $79,000 from #07-3768-8/5850 and 07-3768-13/5850 07/26/06.

J:\SECTION\ALLSTAFF\Resolution\TEMP-RES\MTC\December PAC\[tmp-4163_attachment.xls]Attachment A

Date: December 17, 2014
W.I.: 1514
Referred by: PAC

ABSTRACT

Resolution No. 4165

This resolution allocates AB 664 Net Bridge Toll Revenues to eligible transit operators for FY2014-15. The initial allocations consist of $5,219,167 to AC Transit towards their fleet replacement consistent with the Core Capacity Challenge Grant Program funding plan, and $67,304 to the region for the Regional Transit Capital Inventory project (this was part of the original allocation to the region for the Regional Transit Capital Inventory project in FY2006-07 that has lapsed and is now being re-allocated towards the same project). This resolution will be amended to add the remainder of the FY2014-15 AB 664 allocations in conjunction with final revisions to the FY2014-15 Transit Capital Priorities program.

The following attachment is provided with this resolution:

Attachment A. Allocation of AB 664 Net Bridge Toll Revenue FY2014-15

Further discussion of the AB 664 program of projects is contained in the Programming and Allocations Committee summary sheet dated December 10, 2014.

Date: December 17, 2014
W.I.: 1514
Referred by: PAC

RE: Allocation of AB 664 Net Bridge Toll Revenues for FY 2014-15

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4165

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code § 66500 et seq.; and

WHEREAS, MTC is responsible for the allocation of certain bridge toll revenues, to wit:

(1) Pursuant to Streets and Highways Code § 30892, after deduction for MTC's administrative costs, MTC shall allocate certain toll bridge net revenues to public entities operating public transportation systems to achieve MTC's capital planning objectives in the vicinity of toll bridges as set forth in its adopted Regional Transportation Plan (RTP) ("Net Revenues"); and

WHEREAS, MTC Resolution No. 4015 sets forth MTC's bridge toll revenue allocation policies; and

WHEREAS, pursuant to Streets and Highways Code § 30895, MTC has prepared and submitted to the Legislature a report on the capital planning and ferry system objectives of MTC to be achieved through the allocation of net toll revenues; and

WHEREAS, “Claimants” have each submitted an application to MTC for an allocation of net bridge toll revenues in FY2014-15 for the projects and purposes set forth in Attachment A to this resolution, attached hereto and in MTC Resolution No. 4163, and incorporated herein as though set forth at length; and

WHEREAS, MTC Resolution No. 4163 programs Net Bridge Toll Revenues for FY2014-15; and

WHEREAS, claimants certify that their respective projects and purposes set forth in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code § 21000 et seq.) and the State EIR Guidelines (14 Cal. Code Regs. § 15000 et seq.).; now, therefore, be it

RESOLVED, that MTC finds that the Claimants' projects and purposes as set forth in Attachment A are in conformance with MTC's Regional Transportation Plan, MTC's bridge toll revenue allocation policies, and MTC's capital planning and ferry system objectives; and, be it further

RESOLVED, that MTC approves the allocation of net bridge toll revenues in FY2014-15 to Claimants, in the amounts, for the purposes, and subject to the conditions listed on Attachment A to this resolution and consistent with MTC Resolution 4163.

METROPOLITAN TRANSPORTATION COMMISSION

_____

Amy Rein Worth, Chair

The above resolution was entered into by the
Metropolitan Transportation Commission
at a regular meeting of the Commission held in
Oakland, California on December 17, 2014.

Date: December 17, 2014
W.I.: 1514
Referred by: PAC

Attachment A
Resolution No. 4165
Page 1 of 1

ALLOCATION OF AB 664 NET BRIDGE TOLL REVENUE

FY 2014-15 Program

PO/Acct. Code	Project Sponsor	Project	East Bay Allocation	West Bay Allocation	Approval Date
Match to Federal Transit Capital Funds					
15-4165-01/5850	Region (1)	Match to capital projects programmed in MTC Resolution No. 4163 -Regional Transit Capital Inventory	37,304	30,000	12/17/14
15-4165-02/5850	AC Transit	Match to capital projects programmed in MTC Resolution No. 4163 - Replace (25) 40ft Urban Buses - Hybrids	5,219,167		12/17/14
					Grand Total
		Total Allocations	5,256,471	30,000	5,286,471

Notes:

1. Includes reallocation of lapsed savings of $67,304 from #07-3768-8/5850 and 07-3768-13/5850 07/26/06.