

**Metropolitan Transportation Commission  
Programming and Allocations Committee**

**December 10, 2014**

**Agenda Item 2i**

**Resolution No. 4145, Revised**

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**Subject:** Allocation of \$17.6 million in State Transit Assistance (STA) funds to BART for transit operations.

**Background:** BART is apportioned STA Revenue-based funds through the Fund Estimate (MTC Resolution, 4133, Revised). After accounting for transfers of funds to other transit operators for Feeder Bus service and for the fare coordination set-aside identified in the fund estimate, BART is claiming the balance of funds available. BART will use the \$17.6 million in support of their transit operations. BART's total operating budget for FY2014-15 is \$599 million.

**Issues:** None

**Recommendation:** Refer MTC Resolution No. 4145, Revised, to the Commission for approval.

**Attachments:** MTC Resolution No. 4145, Revised

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Date: June 25, 2014  
Referred by: PAC  
Revised: 07/23/14-C 10/22/14-C  
11/19/14-C 12/17/14-C

## ABSTRACT

### Resolution No. 4145, Revised

This resolution approves the allocation of State Transit Assistance (STA) funds for fiscal year 2014-15.

This resolution allocates funds to AC Transit, County Connection (CCCTA), MTC, Tri Delta Transit (ECCTA), and WestCAT (WCCTA).

This resolution was revised on July 23, 2014 to allocate funds to San Francisco Municipal Transportation Authority (SFMTA), and Santa Clara Valley Transportation Authority (VTA).

This resolution was revised on October 22, 2014 to allocate funds to Golden Gate Bridge, Highway and Transportation District (GGBHTD) and San Mateo County Transit District (SamTrans).

This resolution was revised on November 19, 2014 to allocate funds to SFMTA and rescind funds from AC Transit and SamTrans.

This resolution was revised on December 17, 2014 to allocate funds to BART.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheet dated June 11, 2014, July 9, 2014, October 8, 2014, November 12, 2014, and December 10, 2014.

Date: June 25, 2014  
Referred by: PAC

Re: Allocation of Fiscal Year 2014-15 State Transit Assistance to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4145

WHEREAS, pursuant to Government Code § 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area ; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., provides that the State Controller shall, pursuant to Public Utilities Code Section 99310, allocate funds in the Public Transportation Account (“PTA”) to the MTC region to be subsequently allocated by MTC to eligible claimants in the region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6, MTC has created a State Transit Assistance (“STA”) fund which resides with the Alameda County Auditor for the deposit of PTA funds allocated to the MTC region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6(d), MTC may allocate funds to itself for projects to achieve regional transit coordination objectives; and

WHEREAS, pursuant to Public Utilities Code Sections 99314.5(a) and 99314.5(b), claimants eligible for Transportation Development Act Article 4 and Article 8 funds are eligible claimants for State Transit Assistance funds; and

WHEREAS, eligible claimants have submitted applications to MTC for the allocation of fiscal year 2014-15 STA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2014-15 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6754, and MTC Resolution No. 3837, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2014-15 STA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that, pursuant to 21 Cal. Code of Regs. §§ 6621 and 6753, a certified copy of this resolution, along with written allocation instructions for the disbursement of STA funds as allocated herein, shall be forwarded to the Alameda County Auditor; and, be it further

RESOLVED, that all STA allocations are subject to continued compliance with MTC Resolution 3866, Revised, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION



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Amy Rein Worth, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on June 25, 2014.

Date: June 25, 2014  
 Referred by: PAC  
 Revised: 07/23/14-C 10/22/14-C  
 11/19/14-C 12/17/14-C

Attachment A  
 MTC Resolution No. 4145  
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ALLOCATION OF STATE TRANSIT ASSISTANCE FUNDS  
 DURING FISCAL YEAR 2014-15

All STA allocations are subject to continued compliance with MTC Resolution 3866, Revised,  
 the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area
<b>5820 - 6730A Operating Costs - Population-based Small Operator/Northern Counties</b>					
CCCTA	Transit Operating	2,068,547	01	06/25/14	CCCTA
ECCTA	Transit Operating	1,308,377	02	06/25/14	ECCTA
GGBHTD	Transit Operating	1,085,691	11	10/22/14	Marin
	<b>Subtotal</b>	<b>3,376,924</b>			
<b>5820 - 6730A Operating Costs - Revenue-based</b>					
WCCTA	Transit Operating	2,014,108	03	06/25/14	BART
AC Transit	Transit Operating	9,730,364	04	06/25/14	AC Transit
ECCTA	Transit Operating	2,267,902	05	06/25/14	BART
SFMTA	Transit Operating	34,981,090	08	07/23/14	SFMTA
VTA	Transit Operating	12,450,348	09	07/23/14	VTA
SamTrans	Transit Operating	3,694,119	12	10/22/14	SamTrans
SamTrans	Transit Operating	6,558,608	13	10/22/14	PCJPB
GGBHTD	Transit Operating	5,480,956	14	10/22/14	GGBHTD
AC Transit	Transit Operating	(1,147,147)	04	11/19/14	AC Transit
SFMTA	Transit Operating	5,527,297	08	11/19/14	SFMTA
SamTrans	Transit Operating	(567,646)	12	11/19/14	SamTrans
BART	Transit Operating	17,604,309	13	12/17/14	BART
	<b>Subtotal</b>	<b>98,594,308</b>			
<b>5820 - 6730A Operating Costs - Population-based MTC Regional Coordination</b>					
MTC	Transit Operating	14,500,000	06	06/25/14	MTC
	<b>Subtotal</b>	<b>14,500,000</b>			
<b>5822 - 6731C Paratransit - Operating - Population-based Regional Paratransit</b>					
AC Transit	Transit Operating	1,018,801	07	06/25/14	Alameda County
VTA	Transit Operating	1,256,203	10	07/23/14	Santa Clara County
	<b>Subtotal</b>	<b>2,275,004</b>			
<b>TOTAL</b>		<b>118,746,236</b>			

Date: June 25, 2014  
Referred by: PAC

Attachment B  
Resolution No. 4145  
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ALLOCATION OF FISCAL YEAR 2014-15 STATE TRANSIT ASSISTANCE FUNDS  
TO CLAIMANTS IN THE MTC REGION

**FINDINGS**

The following findings pertain, as the case may be, to claimants to which State Transit Assistance funds are allocated under this resolution.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with PUC §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 Cal. Code of Regs. § 6651), and with the applicable state regulations (21 Cal. Code of Regs. § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of PUC § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (PUC §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), or with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in PUC §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That each claimant is making full use of federal funds available under the Moving Ahead for Progress in the 21<sup>st</sup> Century Act (MAP-21), as amended; and
5. That the sum of each claimant's allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount the claimant is eligible to receive, in accordance with the calculations prescribed by 21 Cal. Code of Regs. § 6633.1 or § 6634; and

6. That MTC has given priority consideration to claims to offset reductions in federal operating assistance and the unanticipated increase in the cost of fuel, to enhance existing public transportation services, and to meet high priority regional, countywide, or areawide public transportation needs; and
7. That each claimant has made a reasonable effort to implement the productivity improvements recommended pursuant to PUC § 99244; and
8. That each claimant has submitted to MTC a copy of a certification from the California Highway Patrol verifying that the claimant is in compliance with Section 1808.1 of the Vehicle Code (“Pull Notice Program”), as required by PUC § 99251; and
9. That each claimant is in compliance with the eligibility requirements of PUC §§ 99314.6 or 99314.7; and
10. That each claimant has certified that it has entered into a joint fare revenue sharing agreement with every connecting transit operator, and that it is in compliance with MTC’s Transit Coordination Implementation Plan, pursuant to Government Code §§ 66516 and 66516.5, PUC §§ 99314.5(c) and §99314.7, and MTC Resolution No. 3866, Revised.