Agenda Item: 3

METROPOLITAN
TRANSPORTATION
COMMISSION

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Memorandum

TO: Administration Committee DATE: November 5, 2014

FR: Executive Director W.I.: 1152

RE: MTC Resolution No. 4141, Revised – FY 2014-15 Overall Work Program (OWP) Amendment

Staff requests that the Committee refer Resolution No. 4141, Revised, which amends the OWP in order to include carryover of approximately $2.2 million in unspent federal planning funds from FY 2013-14 and two new federal grants totaling $1.7 million, to the Commission for approval.

The grants include a new FHWA grant of $700,000 to improve how transit is represented in the travel model and a new TIGER grant for $1,000,000 for the Transit Core Capacity Analysis. The carryover balances were finalized in the MTC audit and must be amended into the FY 2014-15 OWP in order to incorporate the funds into MTC's budget.

The breakdown of the Federal Funds is as follows:

	Planning carryover Funds
FHWA PL Funds (Requires Local Match)	$ 625,000
FTA 5303 Funds (Requires Local Match)	1,612,402
Total Planning Carryover funds	$2,237,402
	New Funds
FHWA Grant	$ 700,000
TIGER Grant	1,000,000
Total new funds	$1,700,000
Total OWP Revised	$3,937,402

The FHWA PL and the FTA 5303 funds are proposed to support on-going work for both the Transit Sustainability and the Regional Transit on Board Travel Survey Projects.

After approval of the amended OWP by federal funding authorities and Caltrans, the $3.9 million will have to be amended into the MTC FY 2014-15 Agency budget.

Recommendation:
Staff recommends that the Administration Committee refer MTC Resolution No. 4141, Revised to the Commission for approval.

Steve Heminger

Date: April 23, 2014
W.I.: 1152
Referred by: Administration Committee
Revised: 11/19/14-C

ABSTRACT

Resolution No. 4141, Revised

This resolution approves MTC's Overall Work Program (OWP) for transportation planning activities in the nine-county San Francisco Bay Area for FY 2014-15, certifies that the planning process of the Metropolitan Transportation Commission is in conformance with the applicable joint metropolitan transportation planning and programming regulations of the U.S. Department of Transportation, and authorizes MTC's Executive Director to apply for and execute an agreement with the U.S. Department of Transportation for grants to aid in the financing of the OWP.

Further discussion of the OWP is contained in the Executive Director's memorandum dated April 2, 2014.

The resolution was revised on November 19, 2014 to carry over unspent federal planning funds from FY 2013-14 (FHWA PL and FTA 5303) and to add two new grants (FHWA and TIGER). Further discussion of the OWP revision is contained in the Executive Director's memorandum dated November 5, 2014.

Date: April 23, 2014
W.I.: 1152
Referred by: Administration Committee

Re: Overall Work Program for Fiscal Years 2014-15, Certification of Compliance with Requirements of Federal Metropolitan Transportation Planning and Programming Regulations, and Authorization to Apply for and Execute an Agreement for Federal Grants.

METROPOLITAN TRANSPORTATION COMMISSION

RESOLUTION NO. 4141

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, MTC is also the designated Metropolitan Planning Organization (MPO) for the Bay Area and is charged with carrying out the metropolitan transportation planning and programming process required to maintain the region's eligibility for federal funds for transportation planning, capital improvements, and operations; and

WHEREAS, MTC has articulated goals and objectives for the region's transportation system through its current Regional Transportation Plan (RTP) entitled the Transportation 2035 Plan, which was adopted in April 2009, and the plan update entitled Plan Bay Area, which was adopted in July 2013; and

WHEREAS, MTC has developed, in cooperation with the State of California and with publicly-owned operators of mass transportation services, a work program for carrying out continuing, comprehensive, and cooperative transportation planning; and

WHEREAS, an Overall Work Program (OWP) for planning activities in the Bay Area for FY 2014-15 has been prepared by MTC, the Association of Bay Area Governments, and the California Department of Transportation; and

WHEREAS, the OWP for Fiscal Years 2014-15 includes MTC's unified work program for the fiscal year to achieve the goals and objectives in MTC's RTP; and

WHEREAS, MTC's Administration Committee has reviewed and recommended adoption of the OWP for FY 2014-15; and

WHEREAS, 23 CFR 450.334 requires that the designated MPO certify each year that the planning process is being conducted in conformance with the applicable requirements; and

WHEREAS, MTC desires to apply for and execute one or more agreements with the United States Department of Transportation (DOT) for a grant(s) to aid in the financing of MTC's unified work program for fiscal year 2014-15; now, therefore, be it

RESOLVED, that MTC does hereby adopt the FY 2014-15 OWP and proposed budget therein, attached hereto as Attachment A to this Resolution and incorporated herein as though set forth at length; and be it further

RESOLVED, that MTC certifies that MTC's planning process is addressing the major issues in the metropolitan area and will be conducted in accordance with 23 CFR 450.334 and the Moving Ahead for Progress in the 21st Century Act (MAP-21) and applicable requirements that are set forth in Attachment B to this Resolution and incorporated herein as though set forth at length; and be it further

RESOLVED, that MTC's Administration Committee shall monitor, direct, and update the OWP as necessary during Fiscal Year 2014-15 and shall incorporate any amendments into appropriate supplements to the OWP; and it be further

RESOLVED, that the Executive Director or his designee is authorized to apply for and execute any agreements with DOT for grants to aid in the financing of MTC's unified work program included in Attachment A to this Resolution and to execute any subsequent amendments to such agreement(s) consistent with Attachment C to this Resolution; and be it further

RESOLVED, that the Executive Director or his designee is authorized to execute and file with such application assurances or other documentation requested by

DOT of MTC's compliance with applicable federal statutory and regulatory requirements; and be it further

RESOLVED, that the Executive Director or his designee is authorized to make administrative changes to the grant application(s) so long as such changes do not affect the total amount of the grant or scope of work.

METROPOLITAN TRANSPORTATION COMMISSION

Amy Rein Worth, Chair

The above resolution was entered into by
the Metropolitan Transportation Commission
at a regular meeting of the Commission
held in Oakland, California on April 23, 2014

Date: April 23, 2014
W.I.: 1152
Referred by: Admin

Attachment A
Resolution No. 4141

Attachment A is the FY 2014-15 Overall Work Program for Planning Activities in the San Francisco Bay Area. Copies are on file at the MTC library.

In accordance with 23 CFR 450.334 and 450.220, and the Moving Ahead for Progress in the 21st Century Act (MAP-21), Metropolitan Transportation Commission ("MTC"), the Metropolitan Planning Organization for the San Francisco Bay Area, hereby certifies that the transportation planning process is addressing the major issues in the metropolitan planning area, and is being conducted in accordance with all the applicable requirements of:

(1) 23 U.S.C. 134 and 135, 49 U.S.C. 5303 through 5306, and 5323(l); and

(2) Sections 174 and 176(c) and 176(d) of the Clean Air Act, as amended (42 U.S.C. 7504, 7506(c), and 7506(d); and

(3) Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d *et. seq.*) and the Title VI assurance executed by California under 23 U.S.C. 324 and 29 U.S.C. 794; and

(4) Section 1101(b) of MAP-21 (Pub. L. 112-141, 126 Stat. 405) regarding the involvement of disadvantaged business enterprises in the FHWA and the FTA funded projects (FR Vol. 64 No. 21, 49 CFR 26); and

(5) The provisions of the Americans with Disabilities Act of 1990 (Pub. L. 101-336, 104 Stat. 327, as amended, and as codified at 42 U.S.C. 12101 *et seq.*) and the US DOT implementing regulations (49 CFR 27, 37 and 38).

Date: April 23, 2014
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Revised: 11/19/14-C

Attachment C
Resolution No. 4141

Attachment C includes all amendments and supplements to the FY 2014-15 Overall Work Program for Planning Activities in the San Francisco Bay Area. Copies are on file at the MTC offices.

OWP Amendment No. 15-01 adds planning carryover funds for FHWA PL of $625,000 and FTA 5303 of $1,612,402 and adds $700,000 of FHWA and $1,000,000 of TIGER grants.