

**Metropolitan Transportation Commission
Programming and Allocations Committee**

MTC Resolution Nos. 4143, 4144, 4145, and 4146

Subject: Allocation of \$209 million in FY2014-15 Transportation Development Act (TDA) funds, State Transit Assistance (STA) funds, Assembly Bill 1107 (AB1107) funds, and Regional Measure 2 (RM2) operating and capital funds to support transit operations and capital projects in the region.

Background: This month's proposed actions begin the annual allocation process of these funds for FY2014-15. Entities requesting TDA, STA, AB1107 and RM2 allocations this month that exceed the \$1 million delegated authority limit are identified in the table below. Allocation requests that are less than \$1.0 million are approved separately through the Executive Director's Delegated Authority process. The allocation requests are consistent with the adopted MTC Fund Estimate (Resolution 4133 for TDA, STA and AB1107 funds) and the RM2 Operating Program (MTC Resolution 4142). Attachment A identifies some of the key changes in operator budgets from FY2013-14 to FY2014-15 as well as expected changes in service levels. Allocation requests, greater than \$1.0 million, are summarized below:

Transit Operator/ Claimant	Resolution No. 4144 (TDA)	Resolution No. 4145 (STA)	Resolution No. 4146 (AB 1107)	Resolution No. 4143 (RM2 Op)	Total
AC Transit	58,233,311	10,749,165	36,550,000	12,228,503	117,760,979
County Connection (CCCTA)	16,826,006	2,068,547	-	-	18,894,553
LAVTA	12,689,230	-	-	-	12,689,230
NCTPA	7,243,024	-	-	-	7,243,024
Tri Delta Transit (ECCTA)	10,824,328	3,576,279	-	-	14,400,607
Petaluma	1,228,667	-	-	-	1,228,667
WestCat (WCCTA)	2,338,855	2,014,108	-	-	4,352,963
WETA	-	-	-	18,237,500	18,237,500
MTC	-	14,500,000	-	-	14,500,000
Total	109,383,421	32,908,099	36,550,000	30,466,003	209,307,523

Information regarding the operating budgets of the above operators is provided in Attachment A. Generally, operating budgets and service levels are both increasing, though budgets are increasing at a higher rate. This discrepancy is especially pronounced for the smaller East Bay bus operators in this allocation action. Several operators are anticipating ridership increases, and several are also conducting operational analysis to identify potential productivity improvements.

Programming and Allocations Committee

June 11, 2014

Page 2

- Issues:** In May 2012, MTC approved the Transit Sustainability Project (TSP) recommendations, including a requirement for the largest seven transit operators (including AC Transit in this group of allocation actions) to achieve a 5 percent cost reduction in one of several performance measures by FY2016-17. Beginning this year, the region's seven largest operators must submit a Productivity Improvement Program (PIP) project describing how the operator will achieve this goal. The PIP is an annual state requirement for STA funds. The PIP is intended to build on the TSP Strategic Plans submitted by the large operators last year. Staff anticipates reporting this fall to the Committee on the operator's PIP submittals.
- Recommendation:** Refer MTC Resolution Nos. 4143, 4144, 4145, and 4146 to the Commission for approval.
- Attachments:** Attachment A – Transit Operator Budget Summary.
MTC Resolution Nos. 4143, 4144, 4145, and 4146

Attachment A - Transit Operator Budget Summary (page 1 of 3)

Operator	FY2013-14 Operating Budget	FY2014-15 Operating Budget	% Change	Revenue Vehicle Hours % Increase	FY2014-15 Operating Request	Allocation Request as a % of Operating Budget¹	Highlight of FY2014-15 Budgets
AC Transit	\$335,448,370	\$343,683,655	2.46%	2.33%	\$118,625,355	34.5%	<ul style="list-style-type: none"> • Service will be added to 1) improve on-time performance on major trunk lines; 2) provide more trips on Transbay routes to increase capacity and meet demand; and 3) provide small transit vehicles for low density transit areas. • Farebox is expected to grow by 5% from increased ridership. Ridership is expected to grow as a result of an improved fare structure including a day pass and incentives for Clipper use. • The cost of fringe benefits has exceeded net salaries since FY09-10 due to required increases in pension funding and higher than average cost of health care per employee. Health care costs are estimated to increase 8.8% in FY14-15.
County Connection (CCCTA)	\$ 32,938,397	\$34,983,566	6.2%	0.5%	\$ 21,692,920	62.0%	<ul style="list-style-type: none"> • The cost of wages will increase 4.3% and account for 28% of the budget increase. The increase is due to an additional position, and filling of vacancies. • 25% of the budget increase is due to expected increases for fuel and repair parts (i.e. Materials and Supplies). • 64 buses will be replaced in FY2013-14 and FY2014-15. Fixed route buses are not scheduled for replacement again until FY2012-22.
LAVTA	\$ 15,605,748	\$ 16,177,636	3.7%	0.1%	\$ 11,368,056	70.3%	<ul style="list-style-type: none"> • LAVTA procured a new provider for its demand response service. The new contract will increase the cost per trip by over \$5 and accounts for one-third of the budget increase. • The contracted rates for the fixed route service will increase 2.3% and accounts for another one-third of the budget increase. • LAVTA will conduct a Comprehensive Operational Analysis of its fixed route service with the aim of increasing efficiency, productivity, and ridership. It will also conduct a marketing study to identify opportunities to attract new riders and encourage more use from existing riders.

¹ The allocation request includes funds that will be allocated through Executive Director’s Delegated Authority as allowed by MTC Resolution No. 3620, Revised. Allocations made by Delegated Authority are reported to the Commission quarterly.

Attachment A - Transit Operator Budget Summary (page 2 of 3)

Operator	FY2013-14 Operating Budget	FY2014-15 Operating Budget	% Change	Revenue Vehicle Hours % Increase	FY2014-15 Operating Request	Allocation Request as a % of Operating Budget ²	Highlight of FY2014-15 Budgets
NCTPA (Transit costs only. CMA costs removed)	\$ 10,754,122	\$ 10,617,500	(1.3%)	14%	\$6,986,740	65.8%	<ul style="list-style-type: none"> • Beginning in December 2012, NCTPA had a significant service expansion and redesign of its seven transit services. The budget proposes to maintain existing increased levels of service and allows for other possible service enhancements and adjustments. • The VINE service, which comprises about 75% of the operating budget will see a 0.3% increase in budget. The overall budget is seeing a reduction because some smaller services were significantly overbudgeted in FY13-14. • Overall ridership is expected to increase 11% and VINE ridership is expected to grow 25%. New farebox have stabilized revenue collection and accountability. • Fuel costs decreased because of newer more efficient buses and a decrease in CNG prices.
Tri Delta Transit (ECCTA)	\$ 20,545,000	\$ 21,282,000	3.59%	(0.44%)	\$ 15,886,802	74.6%	<ul style="list-style-type: none"> • Ridership rose 3% in FY13-14 despite a 2% drop in revenue hours. Ridership is expected to increase another 2% in FY14-15. Fares will be 4% lower despite growing ridership due to increasing use of senior/disabled discount pass as well as multi-ride passes, particularly the day pass. • ECCTA will conduct a bus route evaluation and redesign that could lead to significant changes in fixed route service and schedule to improve service. • 40% of the budget increase is due to a 3% increase in the cost of purchased transportation.

² The allocation request includes funds that will be allocated through Executive Director's Delegated Authority as allowed by MTC Resolution No. 3620, Revised. Allocations made by Delegated Authority are reported to the Commission quarterly.

Attachment A - Transit Operator Budget Summary (page 3 of 3)

Operator	FY2013-14 Operating Budget	FY2014-15 Operating Budget	% Change	Revenue Vehicle Hours % Increase	FY2014-15 Operating Request	Allocation Request as a % of Operating Budget ³	Highlight of FY2014-15 Budgets
WCCTA (WestCAT)	\$ 9,190,500	\$ 9,812,000	6.76%	0.1%	\$ 6,339,528	64.6%	<ul style="list-style-type: none"> • The current year's budget was adjusted to remove the fuel contingency while the budget for FY14-15 increases the contingency accounting for two-thirds of the budget increase. • Purchased transportation costs are increasing 1.4% according to the terms of the contract and account for 12% of the budget increase. • Information Technology costs that were previously part of the capital budget have been moved to the operating budget
WETA	\$30,773,300	\$ 33,144,700	7.7%	1.5%	\$18,300,000	55.0%	<ul style="list-style-type: none"> • The Alameda-Oakland Service will add 5 morning crossings to meet demand and this increase in service accounts for 30% of the budget increase. • The cost of insurance will increase 55% and accounts for 17% of the budget increase. • WETA continues to evaluate its fare program and is currently conducting public outreach. The last fare increase was in 2008. WETA proposes to standardize fare categories, fare products, and discounting across routes in FY14-15 and introduce an annual fare increase starting in FY15-16 and continuing for five years.

³ The allocation request includes funds that will be allocated through Executive Director's Delegated Authority as allowed by MTC Resolution No. 3620, Revised. Allocations made by Delegated Authority are reported to the Commission quarterly.

Date: June 25, 2014
W.I.: 1255
Referred by: PAC

ABSTRACT

Resolution No. 4143

This resolution approves the allocation of the Regional Measure 2 operating and planning funds for FY 2014-15.

This resolution allocates funds to the Water Emergency Transportation Authority (WETA) and AC Transit.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheet dated June 11, 2014.

Date: June 25, 2014
W.I.: 1255
Referred by: PAC

Re: Allocation of Regional Measure 2 funds for transit operations and planning for FY 2014-15

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION No. 4143

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, Streets and Highways Code Sections 30950 *et seq.* created the Bay Area Toll Authority (“BATA”) which is a public instrumentality governed by the same board as that governing MTC; and

WHEREAS, on March 2, 2004, voters approved Regional Measure 2, increasing the toll for all vehicles on the seven state-owned toll bridges in the San Francisco Bay Area by \$1.00, with this extra dollar funding various transportation projects within the region that have been determined to reduce congestion or to make improvements to travel in the toll bridge corridors, as identified in SB 916 (Chapter 715, Statutes of 2004), commonly referred as Regional Measure 2 (“RM2”); and

WHEREAS, RM2 establishes the Regional Traffic Relief Plan and programs eligible for RM2 funding for transit operating and planning assistance as identified in Streets and Highways Code Section 30914(d).

WHEREAS, RM2 assigns administrative duties and responsibilities for the implementation of the Regional Traffic Relief Plan to MTC; and

WHEREAS, BATA shall fund the projects of the Regional Traffic Relief Plan by transferring RM2 authorized funds to MTC; and

WHEREAS, MTC adopted policies and procedures for the implementation of the Regional Measure 2 Regional Traffic Relief Plan on June 23, 2004, specifying the allocation criteria and project compliance requirements for RM 2 funding (MTC Resolution No. 3636, Revised); and

WHEREAS, MTC has reviewed the allocation requests submitted for RM2 transit operations and planning funds from the project sponsor(s) listed in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length funds; and

WHEREAS, project sponsors seeking RM2 funds are required to submit an Operating Assistance Proposal (OAP), pursuant to Streets and Highway Code Section 30914(e) to MTC for review and approval, which demonstrates a fully funded operating plan and consistency with the performance measures, as applicable; and

WHEREAS, Attachment A lists the projects requested by project sponsors for RM2 funding, project specific conditions, and amounts recommended for RM2 allocation by MTC staff; and

RESOLVED, that MTC approves staff's review of the OAP for the projects listed in Attachment A; and be it further

RESOLVED, that MTC approves the allocation of RM2 funds in accordance with Attachment A; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds as set forth in Attachment A are conditioned upon the project sponsor complying with the provisions of the Regional Measure 2 Regional Traffic Relief Plan Policy and Procedures as set for in length in MTC Resolution 3636; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds are further conditioned upon the project specific conditions as set forth in Attachment A; and, be it further

RESOLVED, that a certified copy of this resolution, shall be forwarded to the project sponsors.

METROPOLITAN TRANSPORTATION COMMISSION

Amy Rein Worth, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at the regular meeting of the Commission held in Oakland, California, on June 25, 2014.

Date: June 25, 2014
W.I.: 1255
Referred by: PAC

Attachment A
MTC Resolution No. 4143
Page 1 of 1

FY 2014-15 ALLOCATION OF REGIONAL MEASURE 2 FUNDS
FOR TRANSIT OPERATIONS AND PLANNING

Claimant	Project Description	Allocation Amount	Allocation Code	Approval Date	Project Number	Farebox Requirement
WETA	Planning and Administration	3,000,000	01	06/25/14	11	n.a.
WETA	Ferry Operating	15,237,500	02	06/25/14	6	40% Peak service, 30% All Day Service
AC Transit	Express Bus Service	5,427,904	03	06/25/14	4	30% Peak, 20% All Day
AC Transit	Dumbarton Bus	2,466,976	04	06/25/14	5	30% Peak, 20% All Day
AC Transit	Owl Bus Service	1,333,623	05	06/25/14	7	10%
AC Transit	Enhanced/Rapid Bus Service	3,000,000	06	06/25/14	9	n.a.
Total		30,466,003				

Funding for each route is limited to the amount identified in the FY2014-15 RM2 Operating Program (MTC Resolution 4142). All routes are required to meet performance standards identified in MTC's RM2 Policies and Procedures (MTC Resolution 3636).

Date: June 25, 2014
Referred by: PAC

ABSTRACT

Resolution No. 4144

This resolution approves the allocation of fiscal year 2014-15 Transportation Development Act Article 4, Article 4.5 and Article 8 funds to claimants in the MTC region.

This resolution allocates funds to AC Transit, County Connection (CCCTA), LAVTA, NCTPA, Tri Delta Transit (ECCTA), Petaluma, and WestCAT.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheet dated June 11, 2014.

Date: June 25, 2014
Referred by: PAC

Re: Allocation of Fiscal Year 2014-15 Transportation Development Act Article 4, Article 4.5 and Article 8 Funds to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4144

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., makes certain retail sales tax revenues available to eligible claimants for public transportation projects and purposes; and

WHEREAS, MTC is responsible for the allocation of TDA funds to eligible claimants within the MTC region; and

WHEREAS, claimants in the MTC region have submitted claims for the allocation of fiscal year 2014-15 TDA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2014-15 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2014-15 TDA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that pursuant to 21 California Code of Regulations Sections 6621 and 6659, a certified copy of this resolution, along with written allocation instructions for the disbursement of TDA funds as allocated herein, shall be forwarded to the county auditor of the county in which each claimant is located; and, be it further

RESOLVED, that all TDA allocations are subject to continued compliance with MTC Resolution 3866, Revised, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION

Amy Rein Worth, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on June 25, 2014.

ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT ARTICLE 4, 4.5 and 8 FUNDS
 DURING FISCAL YEAR 2014-15

All TDA allocations are subject to continued compliance with MTC Resolution 3866,
 the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area/Footnotes	Note
5802 - 99260A Transit - Operations						
LAVTA	Transit Operating	8,689,230	01	06/25/14	LAVTA	
CCCTA	Transit Operating	16,826,006	02	06/25/14	CCCTA	
WCCTA	Transit Operating	2,338,855	03	06/25/14	WCCTA	
ECCTA	Transit Operating	10,824,328	04	06/25/14	ECCTA	
AC Transit	Transit Operating	38,809,061	05	06/25/14	AC Transit Alameda D1	
AC Transit	Transit Operating	10,292,454	06	06/25/14	AC Transit Alameda D2	
AC Transit	Transit Operating	6,046,855	07	06/25/14	AC Transit Contra Costa	
AC Transit	Transit Operating	3,084,941	08	06/25/14	Alameda County	1
Petaluma	Transit Operating	1,228,667	09	06/25/14	Petaluma	
NCTPA	Transit Operating	4,914,124	10	06/25/14	NCTPA	
		Subtotal	103,054,521			
5803 - 99260A Transit - Capital						
LAVTA	Transit Capital	4,000,000	11	06/25/14	LAVTA	
NCTPA	Transit Capital	1,111,000	12	06/25/14	NCTPA	
		Subtotal	5,111,000			
5812 - 99400D Planning & Admin - Operating						
NCTPA	Planning and Administration	1,217,900	13	06/25/14	NCTPA	
		Subtotal	1,217,900			
		TOTAL	109,383,421			

Note:

(1) MTC finds that these Article 4.5 funds can be used to better advantage for Article 4 purposes.

Date: June 25, 2014
Referred by: PAC

Attachment B
Resolution No. 4144
Page 1 of 3

ALLOCATION OF FISCAL YEAR 2014-15
TRANSPORTATION DEVELOPMENT ACT
ARTICLE 4, ARTICLE 4.5 AND ARTICLE 8
FUNDS TO CLAIMANTS IN THE MTC REGION

FINDINGS

The following findings pertain, as the case may be, to claimants to which Transportation Development Act funds are allocated under this resolution.

Transportation Development Act Article 4 Funds

Public Utilities Code § 99268 et seq.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of Public Utilities Code § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (Public Utilities Code §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6633.1, or § 6634; and

5. That pursuant to Public Utilities Code § 99233.7 funds available for purposes stated in TDA Article 4.5 can be used to better advantage by a claimant for purposes stated in Article 4 in the development of a balanced transportation system.

Transportation Development Act Article 4.5 Funds

Public Utilities Code § 99275

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and

2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and

3. That in accordance with Public Utilities Code § 99275.5(c), MTC finds that the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC, responds to a transportation need not otherwise met in the community of the claimant; that the services of the claimant are integrated with existing transit services, as warranted; that the claimant has prepared and submitted to MTC an estimate of revenues, operating costs and patronage for the fiscal year in which TDA Article 4.5 funds are allocated; and that the claimant has submitted a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code § 99268.5 or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and

4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634; and

5. That each claimant is in compliance with Public Utilities Code §§ 99155 and 99155.5, regarding user identification cards.

Transportation Development Act Article 8 Transit Funds

Public Utilities Code §§ 99400(c), 99400(d) and 99400(e)

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 8 funds a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634.

Date: June 25, 2014
Referred by: PAC

ABSTRACT
Resolution No. 4145

This resolution approves the allocation of State Transit Assistance (STA) funds for fiscal year 2014-15.

This resolution allocates funds to AC Transit, County Connection (CCCTA), MTC, Tri Delta Transit (ECCTA), and WestCAT (WCCTA).

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheet dated June 11, 2014.

Date: June 25, 2014
Referred by: PAC

Re: Allocation of Fiscal Year 2014-15 State Transit Assistance to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4145

WHEREAS, pursuant to Government Code § 66500 *et seq.*, the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area ; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 *et seq.*, provides that the State Controller shall, pursuant to Public Utilities Code Section 99310, allocate funds in the Public Transportation Account (“PTA”) to the MTC region to be subsequently allocated by MTC to eligible claimants in the region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6, MTC has created a State Transit Assistance (“STA”) fund which resides with the Alameda County Auditor for the deposit of PTA funds allocated to the MTC region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6(d), MTC may allocate funds to itself for projects to achieve regional transit coordination objectives; and

WHEREAS, pursuant to Public Utilities Code Sections 99314.5(a) and 99314.5(b), claimants eligible for Transportation Development Act Article 4 and Article 8 funds are eligible claimants for State Transit Assistance funds; and

WHEREAS, eligible claimants have submitted applications to MTC for the allocation of fiscal year 2014-15 STA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2014-15 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6754, and MTC Resolution No. 3837, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2014-15 STA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that, pursuant to 21 Cal. Code of Regs. §§ 6621 and 6753, a certified copy of this resolution, along with written allocation instructions for the disbursement of STA funds as allocated herein, shall be forwarded to the Alameda County Auditor; and, be it further

RESOLVED, that all STA allocations are subject to continued compliance with MTC Resolution 3866, Revised, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION

Amy Rein Worth, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on June 25, 2014.

ALLOCATION OF STATE TRANSIT ASSISTANCE FUNDS
DURING FISCAL YEAR 2014-15

All STA allocations are subject to continued compliance with MTC Resolution 3866, Revised,
the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area
<i>5820 - 6730A Operating Costs - Population-based Small Operator/Northern Counties</i>					
CCCTA	Transit Operating	2,068,547	01	06/25/14	CCCTA
ECCTA	Transit Operating	1,308,377	02	06/25/14	ECCTA
	Subtotal	3,376,924			
<i>5820 - 6730A Operating Costs - Revenue-based</i>					
WCCTA	Transit Operating	2,014,108	03	06/25/14	BART
AC Transit	Transit Operating	9,730,364	04	06/25/14	AC Transit
ECCTA	Transit Operating	2,267,902	05	06/25/14	BART
	Subtotal	14,012,374			
<i>5820 - 6730A Operating Costs - Population-based MTC Regional Coordination</i>					
MTC	Transit Operating	14,500,000	06	06/25/14	MTC
	Subtotal	14,500,000			
<i>5822 - 6731C Paratransit - Operating - Population-based Regional Paratransit</i>					
AC Transit	Transit Operating	1,018,801	07	06/25/14	Alameda County
	Subtotal	1,018,801			
	TOTAL	32,908,099			

ALLOCATION OF FISCAL YEAR 2014-15 STATE TRANSIT ASSISTANCE FUNDS
TO CLAIMANTS IN THE MTC REGION

FINDINGS

The following findings pertain, as the case may be, to claimants to which State Transit Assistance funds are allocated under this resolution.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with PUC §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 Cal. Code of Regs. § 6651), and with the applicable state regulations (21 Cal. Code of Regs. § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of PUC § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (PUC §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), or with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in PUC §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That each claimant is making full use of federal funds available under the Moving Ahead for Progress in the 21st Century Act (MAP-21), as amended; and
5. That the sum of each claimant's allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount the claimant is eligible to receive, in accordance with the calculations prescribed by 21 Cal. Code of Regs. § 6633.1 or § 6634; and

6. That MTC has given priority consideration to claims to offset reductions in federal operating assistance and the unanticipated increase in the cost of fuel, to enhance existing public transportation services, and to meet high priority regional, countywide, or areawide public transportation needs; and
7. That each claimant has made a reasonable effort to implement the productivity improvements recommended pursuant to PUC § 99244; and
8. That each claimant has submitted to MTC a copy of a certification from the California Highway Patrol verifying that the claimant is in compliance with Section 1808.1 of the Vehicle Code (“Pull Notice Program”), as required by PUC § 99251; and
9. That each claimant is in compliance with the eligibility requirements of PUC §§ 99314.6 or 99314.7; and
10. That each claimant has certified that it has entered into a joint fare revenue sharing agreement with every connecting transit operator, and that it is in compliance with MTC’s Transit Coordination Implementation Plan, pursuant to Government Code §§ 66516 and 66516.5, PUC §§ 99314.5(c) and §99314.7, and MTC Resolution No. 3866, Revised.

Date: June 25, 2014
Referred by: PAC

ABSTRACT

Resolution No. 4146

This resolution approves the allocation of fiscal year 2014-15 AB 1107 half-cent sales tax funds to AC Transit.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheet dated June 11, 2014.

Date: June 25, 2014
Referred by: PAC

Re: Allocation of Fiscal Year 2014-15 "AB 1107" Half-Cent Sales Tax Funds

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4146

WHEREAS, pursuant to Government Code Section 66500 *et seq.*, the Metropolitan Transportation Commission ("MTC") is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, Public Utilities Code Section 29142.2(b) provides that, after deductions for certain administrative expenses, twenty-five percent (25%) of the proceeds from the one-half cent transactions and use tax collected within the San Francisco Bay Area Rapid Transit District (hereinafter referred as "AB 1107" funds), shall, on the basis of regional priorities established by MTC, be allocated by MTC to the City and County of San Francisco for the Municipal Railway ("Muni") and to the Alameda-Contra Costa Transit District ("AC Transit"), for transit services; and

WHEREAS, Muni and/or AC Transit has submitted a request for the allocation of fiscal year 2014-15 AB 1107 funds for transit service projects and purposes in accordance with the regional priorities established by MTC; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2014-15 allocations requested by Muni and/or AC Transit, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists findings pertaining to the allocations made under this resolution to Muni and/or AC Transit, as the case may be; and

WHEREAS, Muni and/or AC Transit has certified that its projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California

Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC finds that the projects and purposes as listed and recorded in Attachment A are in conformance with MTC's Regional Transportation Plan; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2014-15 funds under this resolution to Muni and/or AC Transit, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A.

RESOLVED, that all AB1107 allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION

Amy Rein Worth, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on June 25, 2014.

Date: June 25, 2014
Referred by: PAC

Attachment A
MTC Resolution No. 4146
Page 1 of 1

ALLOCATION OF AB 1107 FUNDS
DURING FISCAL YEAR 2014-15

All AB 1107 allocations are subject to continued compliance with MTC Resolution 3866,
the Transit Coordination Implementation Plan.

Claimant	Project Description	Fare Ratio Percentage		Allocation Amount	Alloc. Code	Approval Date
		FY 12-13	FY 14-15			
AC Transit	Transit Operations	61.7%	58.4%	50% of deposits to MTC's AB 1107 account.	01	06/25/14

Date: June 25, 2014
Referred by: PAC

Attachment B
Resolution No. 4146
Page 1 of 1

ALLOCATION OF FISCAL YEAR 2014-15
AB 1107 FUNDS

FINDINGS

The following findings pertain to the allocation of funds under this resolution to the San Francisco Municipal Transportation Agency (SFMTA) and/or AC Transit, as the case may be.

	<i>AC Transit</i>
1. In accordance with Public Utilities Code §29142.4(a), the operator is a participating member of the Bay Area Partnership, Partnership Transit Coordinating Committee, established by MTC and which serves the function of a regional transit coordinating council.	<i>YES</i>
2. In accordance with Public Utilities Code §29142(c), the operator has complied with the transit system standards established by MTC pursuant to Government Code §66517.5.	<i>YES</i>
3. In accordance with Public Utilities Code § 29142.5, MTC may consider local support revenues in excess of the operator's base amount as fare revenues, as long as by doing so it will enable the operator to maintain or improve vital transit service within a coordinated fare structure. The audited financials submitted by the claimant for FY 2012-13 and included in the proposed FY 2014-15 budget demonstrate a fare ratio of greater than 33 percent when considering other local excess revenue.	<i>YES</i>