Metropolitan Transportation Commission
Programming and Allocations Committee

MTC Resolution No. 4100, Revised

Subject: Allocation of FY2013-14 Transportation Development Act (TDA) funds in the amount of $3.5 million to AC Transit and $4.1 million to Santa Clara Valley Transportation Authority.

Background: Transportation Development Act (TDA) funds are derived from a ¼ cent of the general sales tax collected in each county and apportioned to eligible transit providers within that county. The counties of Alameda and Santa Clara increased their estimate of sales tax receipts for FY2013-14 in the FY2014-15 fund estimate (MTC Resolution 4133). This month's proposed action would allocate the projected increase in TDA revenue to AC Transit and Santa Clara VTA.

Issues: None

Recommendation: Refer MTC Resolution No. 4100, Revised to the Commission for approval.

Attachments: MTC Resolution No. 4100, Revised

J:\SECTION\ALLSTAFF\Resolution\TEMP-RES\MTC\June PAC\tmp-4100.docx

Date:	June 26, 2013	
Referred by:	PAC	
Revised:	07/24/13-C	07/31/13-DA
	09/25/13-C	10/23/13-DA
	11/20/13-C	01/22/14-DA
	03/26/14-C	04/23/14-DA
	06/25/14-C	

ABSTRACT

Resolution No. 4100, Revised

This resolution approves the allocation of fiscal year 2013-14 Transportation Development Act Article 4, Article 4.5 and Article 8 funds to claimants in the MTC region.

This resolution allocates funds to AC Transit, County Connection (CCCTA), LAVTA, NCTPA, Sonoma County Transit, Tri Delta Transit (ECCTA), Vacaville and WestCAT.

This resolution was revised on July 24, 2013 to allocate funds to Golden Gate Bridge Highway and Transportation District (GGBHTD), San Mateo County Transit (SamTrans), and San Francisco Municipal Transportation Agency (SFMTA).

This resolution was revised on July 31, 2013 to allocate funds to Vacaville and rescind funds from Sonoma County Transit.

This resolution was revised on September 25, 2013 to allocate funds to Fairfield, SolTrans, Union City Transit, and Santa Clara Valley Transportation Authority (VTA).

This resolution was revised by Delegated Authority on October 23, 2013 to rescind and allocate funds to CCCTA.

This resolution was revised on November 20, 2013 to allocate funds to AC Transit.

This resolution was revised on January 22, 2014 to rescind funds from NCTPA.

This resolution was revised on March 26, 2014 to allocate funds to Santa Rosa City Bus.

This resolution was revised by Delegated Authority on April 23, 2014 to rescind funds from Santa Rosa City Bus.

This resolution was revised on June 25, 2014 to allocate funds to AC Transit and Santa Clara Valley Transportation Authority (VTA).

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated June 12, 2013, July 10, 2013, September 11, 2013, November 13, 2013, March 5, 2014, and June 11, 2014.

Date: June 26, 2013
Referred by: PAC

Re: Allocation of Fiscal Year 2013-14 Transportation Development Act Article 4, Article 4.5 and Article 8 Funds to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4100

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission ("MTC") is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act ("Transportation Development Act" or "TDA"), Public Utilities Code Section 99200 et seq., makes certain retail sales tax revenues available to eligible claimants for public transportation projects and purposes; and

WHEREAS, MTC is responsible for the allocation of TDA funds to eligible claimants within the MTC region; and

WHEREAS, claimants in the MTC region have submitted claims for the allocation of fiscal year 2013-14 TDA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2013-14 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 2l000 et seq.), and with the State Environmental Impact Report Guidelines (l4 California Code of Regulations Section l5000 et seq.); now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2013-14 TDA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that pursuant to 21 California Code of Regulations Sections 6621 and 6659, a certified copy of this resolution, along with written allocation instructions for the disbursement of TDA funds as allocated herein, shall be forwarded to the county auditor of the county in which each claimant is located; and, be it further

RESOLVED, that all TDA allocations are subject to continued compliance with MTC Resolution 3866, Revised, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION

Amy Rein Worth, Chair

The above resolution was approved by the
Metropolitan Transportation Commission
at a regular meeting of the Commission held
in Oakland, California, on June 26, 2013.

Date: June 26, 2013
Referred by: PAC
Revised: 07/24/13-C 07/31/13-DA
09/25/13-C 10/23/13-DA
11/20/13-C 01/22/14-DA
03/26/14-C 04/23/14-DA
06/25/14-C

Attachment A
MTC Resolution No. 4100

ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT ARTICLE 4, 4.5 and 8 FUNDS
DURING FISCAL YEAR 2013-14

All TDA allocations are subject to continued compliance with MTC Resolution 3866,
the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area/Footnotes	Note
5801 - 99233.7, 99275 Community Transit Service - Operations						
SamTrans	Transit Operating	1,839,741	17	07/24/13	San Mateo County	
	Subtotal	**1,839,741**				
5802 - 99260A Transit - Operations						
LAVTA	Transit Operating	6,599,084	01	06/26/13	LAVTA	
CCCTA	Transit Operating	16,081,645	02	06/26/13	CCCTA	
WCCTA	Transit Operating	2,246,061	03	06/26/13	WCCTA	
ECCTA	Transit Operating	10,215,810	04	06/26/13	ECCTA	
Sonoma County	Transit Operating	6,211,248	05	06/26/13	Sonoma County	
Sonoma County	Transit Operating	184,410	05	06/26/13	Petaluma	
NCTPA	Transit Operating	3,712,366	06	06/26/13	NCTPA	
AC Transit	Transit Operating	35,540,466	07	06/26/13	AC Transit Alameda D1	
AC Transit	Transit Operating	9,416,704	07	06/26/13	AC Transit Alameda D2	
AC Transit	Transit Operating	5,959,737	08	06/26/13	AC Transit Contra Costa	
AC Transit	Transit Operating	2,634,171	09	06/26/13	Alameda County	1
SamTrans	Transit Operating	34,505,186	18	07/24/13	SamTrans	
SFMTA	Transit Operating	39,804,137	19	07/24/13	SFMTA	
SFMTA	Transit Operating	2,093,876	20	07/24/13	SFMTA	1
GGBHTD	Transit Operating	10,707,587	21	07/24/13	GGBHTD (Marin)	
GGBHTD	Transit Operating	4,675,900	22	07/24/13	GGBHTD (Sonoma)	
SolTrans	Transit Operating	2,611,505	24	09/25/13	Vallejo	
Fairfield	Transit Operating	1,353,354	25	09/25/13	Fairfield	
VTA	Transit Operating	81,717,371	26	09/25/13	VTA	
VTA	Transit Operating	4,300,914	27	09/25/13	Santa Clara County	1
Union City	Transit Operating	2,483,838	28	09/25/13	Union City	
CCCTA	Transit Operating	(1,000,000)	02	10/23/13-DA	CCCTA	
AC Transit	Transit Operating	1,773,577	07	11/20/13	AC Transit Alameda D1	
AC Transit	Transit Operating	468,916	07	11/20/13	AC Transit Alameda D2	
AC Transit	Transit Operating	131,369	09	11/20/13	Alameda County	1
Santa Rosa	Transit Operating	4,574,014	30	03/26/14	Santa Rosa	
Santa Rosa	Transit Operating	(30,022)	30	04/23/14	Santa Rosa	

NOTE:
(1) MTC finds that these Article 4.5 funds can be used to better advantage for Article 4 purposes.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area/Footnotes	Note
				Attachment A MTC Resolution No. 4100 Page 2 of 2		
AC Transit	Transit Operating	2,791,996	07	06/25/14	AC Transit Alameda D1	
AC Transit	Transit Operating	739,722	07	06/25/14	AC Transit Alameda D2	
VTA	Transit Operating	3,944,782	26	06/25/14	VTA	
VTA	Transit Operating	207,139	27	06/25/14	Santa Clara County	1
	Subtotal	**296,656,863**				
5803 - 99260A Transit - Capital						
CCCTA	Transit Capital	2,816,744	10	06/26/13	CCCTA	
Sonoma County	Transit Capital	1,139,495	11	06/26/13	Sonoma County	
NCTPA	Transit Capital	5,647,800	12	06/26/13	NCTPA	
NCTPA	Transit Capital	(3,000,000)	12	1/22/2014-DA	NCTPA	
Union City	Transit Capital	1,016,551	29	09/25/13	Union City	
CCCTA	Transit Capital	1,000,000	10	10/23/13-DA	CCCTA	
Santa Rosa	Transit Capital	2,000,000	31	03/26/14	Santa Rosa	
Santa Rosa	Transit Capital	(1,780,000)	31	04/23/14	Santa Rosa	
	Subtotal	**8,840,590**				
5812 - 99400D Planning & Admin - Operating						
NCTPA	Planning and Administration	1,085,900	13	06/26/13	NCTPA	
	Subtotal	**1,085,900**				
5809 - 99400C Elderly & Handicapped - Operations						
Sonoma County	Transit Operating	1,134,674	14	06/26/13	Sonoma County	
Sonoma County	Transit Operating	36,882	14	06/26/13	Petaluma	
Vacaville	Transit Operating	1,298,426	15	06/26/13	Vacaville	
Vacaville	Transit Operating	27,540	15	06/26/13	Vallejo	
	Subtotal	**2,497,522**				
5813 - 99400E Contractual - Capital						
Sonoma County	Transit Capital	1,149,452	16	6/26/2013	Sonoma County	
Sonoma County	Transit Capital	(1,149,452)	16	7/31/2013-DA	Sonoma County	
Vacaville	Transit Capital	1,000,000	23	7/31/2013-DA	Vacaville	
	Subtotal	**1,000,000**				
	TOTAL	**311,920,616**				

NOTE:
(1) MTC finds that these Article 4.5 funds can be used to better advantage for Article 4 purposes.

ALLOCATION OF FISCAL YEAR 2013-14
TRANSPORTATION DEVELOPMENT ACT
ARTICLE 4, ARTICLE 4.5 AND ARTICLE 8
FUNDS TO CLAIMANTS IN THE MTC REGION

FINDINGS

The following findings pertain, as the case may be, to claimants to which Transportation Development Act funds are allocated under this resolution.

Transportation Development Act Article 4 Funds

Public Utilities Code § 99268 et seq.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and

2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California. Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations; and

3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of Public Utilities Code § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (Public Utilities Code §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), as so attested to by the claimant's chief financial officer; and

4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 2l California Code of Regulations § 6633.l, or § 6634; and

5. That pursuant to Public Utilities Code § 99233.7 funds available for purposes stated in TDA Article 4.5 can be used to better advantage by a claimant for purposes stated in Article 4 in the development of a balanced transportation system.

Transportation Development Act Article 4.5 Funds

Public Utilities Code § 99275

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and

2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and

3. That in accordance with Public Utilities Code § 99275.5(c), MTC finds that the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC, responds to a transportation need not otherwise met in the community of the claimant; that the services of the claimant are integrated with existing transit services, as warranted; that the claimant has prepared and submitted to MTC an estimate of revenues, operating costs and patronage for the fiscal year in which TDA Article 4.5 funds are allocated; and that the claimant has submitted a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code § 99268.5 or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and

4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634; and

5. That each claimant is in compliance with Public Utilities Code §§ 99155 and 99155.5, regarding user identification cards.

Transportation Development Act Article 8 Transit Funds

Public Utilities Code §§ 99400(c), 99400(d) and 99400(e)

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and

2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and

3. That each claimant has submitted to MTC as part of its application for TDA Article 8 funds a budget indicating compliance the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and

4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 2l California Code of Regulations § 6634.