Metropolitan Transportation Commission
Programming and Allocations Committee

March 5, 2014 **Item Number 2g**

Resolution No. 4100, Revised

Subject: Allocation of $6.6 million in Transportation Development Act funds to the City of Santa Rosa.

Background: This month's proposed action would allocate $4.6 million in Transportation Development Act (TDA) funds for transit operations and $2 million for capital rehabilitation and replacement projects to the City of Santa Rosa. This allocation request is consistent with the adopted MTC Fund Estimate for TDA and STA funds (MTC Resolution 4086, Revised).

Issues: The allocation request is contingent upon receipt by MTC of the City of Santa Rosa's Board approved and signed claim.

Recommendation: Refer MTC Resolution No. 4100, Revised to the Commission for approval.

Attachments: MTC Resolution No. 4100, Revised

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Date:	June 26, 2013	
Referred by:	PAC	
Revised:	07/24/13-C	07/31/13-DA
	09/25/13-C	10/23/13-DA
	11/20/13-C	01/22/14-DA
	03/26/14-C	

<u>ABSTRACT</u>

Resolution No. 4100, Revised

This resolution approves the allocation of fiscal year 2013-14 Transportation Development Act Article 4, Article 4.5 and Article 8 funds to claimants in the MTC region.

This resolution allocates funds to AC Transit, County Connection (CCCTA), LAVTA, NCTPA, Sonoma County Transit, Tri Delta Transit (ECCTA), Vacaville and WestCAT.

This resolution was revised on July 24, 2013 to allocate funds to Golden Gate Bridge Highway and Transportation District (GGBHTD), San Mateo County Transit (SamTrans), and San Francisco Municipal Transportation Agency (SFMTA).

This resolution was revised on July 31, 2013 to allocate funds to Vacaville and rescind funds from Sonoma County Transit.

This resolution was revised on September 25, 2013 to allocate funds to Fairfield, SolTrans, Union City Transit, and Santa Clara Valley Transportation Authority (VTA).

This resolution was revised by Delegated Authority on October 23, 2013 to rescind and allocate funds to CCCTA.

This resolution was revised on November 20, 2013 to allocate funds to AC Transit.

This resolution was revised on January 22, 2014 to rescind funds from NCTPA.

This resolution was revised on March 26, 2014 to allocate funds to Santa Rosa City Bus.

Discussion of the allocations made under this resolution is contained in the Summary Sheet presented to the Programming and Allocations Committee on June 12, 2013, July 10, 2013, September 11, 2013, November 13, 2013, and March 5, 2014.

Date: June 26, 2013
Referred by: PAC

Re: Allocation of Fiscal Year 2013-14 Transportation Development Act Article 4, Article 4.5 and Article 8 Funds to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4100

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission ("MTC") is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act ("Transportation Development Act" or "TDA"), Public Utilities Code Section 99200 et seq., makes certain retail sales tax revenues available to eligible claimants for public transportation projects and purposes; and

WHEREAS, MTC is responsible for the allocation of TDA funds to eligible claimants within the MTC region; and

WHEREAS, claimants in the MTC region have submitted claims for the allocation of fiscal year 2013-14 TDA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2013-14 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 2l000 et seq.), and with the State Environmental Impact Report Guidelines (l4 California Code of Regulations Section l5000 et seq.); now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2013-14 TDA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that pursuant to 21 California Code of Regulations Sections 6621 and 6659, a certified copy of this resolution, along with written allocation instructions for the disbursement of TDA funds as allocated herein, shall be forwarded to the county auditor of the county in which each claimant is located; and, be it further

RESOLVED, that all TDA allocations are subject to continued compliance with MTC Resolution 3866, Revised, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION

Amy Rein Worth, Chair

The above resolution was approved by the
Metropolitan Transportation Commission
at a regular meeting of the Commission held
in Oakland, California, on June 26, 2013.

Date: June 26, 2013
Referred by: PAC
Revised: 07/24/13-C 07/31/13-DA
09/25/13-C 10/23/13-DA
11/20/13-C 01/22/14-DA
03/26/14-C

Attachment A
MTC Resolution No. 4100

ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT ARTICLE 4, 4.5 and 8 FUNDS
DURING FISCAL YEAR 2013-14

All TDA allocations are subject to continued compliance with MTC Resolution 3866,
the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area/Footnotes
5801 - 99233.7, 99275 Community Transit Service - Operations					
SamTrans	Transit Operating	1,839,741	17	07/24/13	San Mateo County
	Subtotal	**1,839,741**			
5802 - 99260A Transit - Operations					
LAVTA	Transit Operating	6,599,084	01	06/26/13	LAVTA
CCCTA	Transit Operating	16,081,645	02	06/26/13	CCCTA
WCCTA	Transit Operating	2,246,061	03	06/26/13	WCCTA
ECCTA	Transit Operating	10,215,810	04	06/26/13	ECCTA
Sonoma County	Transit Operating	6,211,248	05	06/26/13	Sonoma County
Sonoma County	Transit Operating	184,410	05	06/26/13	Petaluma
NCTPA	Transit Operating	3,712,366	06	06/26/13	NCTPA
AC Transit	Transit Operating	35,540,466	07	06/26/13	AC Transit Alameda D1
AC Transit	Transit Operating	9,416,704	07	06/26/13	AC Transit Alameda D2
AC Transit	Transit Operating	5,959,737	08	06/26/13	AC Transit Contra Costa
AC Transit	Transit Operating	2,634,171	09	06/26/13	Alameda County
SamTrans	Transit Operating	34,505,186	18	07/24/13	SamTrans
SFMTA	Transit Operating	39,804,137	19	07/24/13	SFMTA
SFMTA	Transit Operating	2,093,876	20	07/24/13	SFMTA
GGBHTD	Transit Operating	10,707,587	21	07/24/13	GGBHTD (Marin)
GGBHTD	Transit Operating	4,675,900	22	07/24/13	GGBHTD (Sonoma)
SolTrans	Transit Operating	2,611,505	24	09/25/13	Vallejo
Fairfield	Transit Operating	1,353,354	25	09/25/13	Fairfield
VTA	Transit Operating	81,717,371	26	09/25/13	VTA
VTA	Transit Operating	4,300,914	27	09/25/13	Santa Clara County
Union City	Transit Operating	2,483,838	28	09/25/13	Union City
CCCTA	Transit Operating	(1,000,000)	02	10/23/13-DA	CCCTA
AC Transit	Transit Operating	1,773,577	07	11/20/13	AC Transit Alameda D1
AC Transit	Transit Operating	468,916	07	11/20/13	AC Transit Alameda D2
AC Transit	Transit Operating	131,369	09	11/20/13	Alameda County
Santa Rosa	Transit Operating	4,574,014	30	03/26/14	Santa Rosa
	Subtotal	**289,003,246**			

5803 - 99260A Transit - Capital

CCCTA	Transit Capital	2,816,744	10	06/26/13	CCCTA
Sonoma County	Transit Capital	1,139,495	11	06/26/13	Sonoma County
NCTPA	Transit Capital	5,647,800	12	06/26/13	NCTPA
NCTPA	Transit Capital	(3,000,000)	12	1/22/2014-DA	NCTPA
Union City	Transit Capital	1,016,551	29	09/25/13	Union City
CCCTA	Transit Capital	1,000,000	10	10/23/13-DA	CCCTA
Santa Rosa	Transit Capital	2,000,000	31	03/26/14	Santa Rosa
	Subtotal	**10,620,590**			

5812 - 99400D Planning & Admin - Operating

NCTPA	Planning and Administration	1,085,900	13	06/26/13	NCTPA
	Subtotal	**1,085,900**			

5809 - 99400C Elderly & Handicapped - Operations

Sonoma County	Transit Operating	1,134,674	14	06/26/13	Sonoma County
Sonoma County	Transit Operating	36,882	14	06/26/13	Petaluma
Vacaville	Transit Operating	1,298,426	15	06/26/13	Vacaville
Vacaville	Transit Operating	27,540	15	06/26/13	Vallejo
	Subtotal	**2,497,522**			

5813 - 99400E Contractual - Capital

Sonoma County	Transit Capital	1,149,452	16	6/26/2013	Sonoma County
Sonoma County	Transit Capital	(1,149,452)	16	7/31/2013-DA	Sonoma County
Vacaville	Transit Capital	1,000,000	23	7/31/2013-DA	Vacaville
	Subtotal	**1,000,000**			

TOTAL 306,046,999

Note:

(1) MTC finds that these Article 4.5 funds can be used to better advantage for Article 4 purposes.

ALLOCATION OF FISCAL YEAR 2013-14
TRANSPORTATION DEVELOPMENT ACT
ARTICLE 4, ARTICLE 4.5 AND ARTICLE 8
FUNDS TO CLAIMANTS IN THE MTC REGION

FINDINGS

The following findings pertain, as the case may be, to claimants to which Transportation Development Act funds are allocated under this resolution.

Transportation Development Act Article 4 Funds

Public Utilities Code § 99268 et seq.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and

2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California. Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations; and

3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of Public Utilities Code § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (Public Utilities Code §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), as so attested to by the claimant's chief financial officer; and

4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 2l California Code of Regulations § 6633.l, or § 6634; and

5. That pursuant to Public Utilities Code § 99233.7 funds available for purposes stated in TDA Article 4.5 can be used to better advantage by a claimant for purposes stated in Article 4 in the development of a balanced transportation system.

Transportation Development Act Article 4.5 Funds

Public Utilities Code § 99275

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and

2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and

3. That in accordance with Public Utilities Code § 99275.5(c), MTC finds that the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC, responds to a transportation need not otherwise met in the community of the claimant; that the services of the claimant are integrated with existing transit services, as warranted; that the claimant has prepared and submitted to MTC an estimate of revenues, operating costs and patronage for the fiscal year in which TDA Article 4.5 funds are allocated; and that the claimant has submitted a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code § 99268.5 or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and

4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634; and

5. That each claimant is in compliance with Public Utilities Code §§ 99155 and 99155.5, regarding user identification cards.

Transportation Development Act Article 8 Transit Funds

Public Utilities Code §§ 99400(c), 99400(d) and 99400(e)

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and

2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and

3. That each claimant has submitted to MTC as part of its application for TDA Article 8 funds a budget indicating compliance the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and

4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 2l California Code of Regulations § 6634.