



**METROPOLITAN
TRANSPORTATION
COMMISSION**

Joseph P. Bort MetroCenter
101 Eighth Street
Oakland, CA 94607-4700
TEL 510.817.5700
TDD/TTY 510.817.5769
FAX 510.817.5848
E-MAIL info@mtc.ca.gov
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: June 5, 2013

FR: Executive Director

W. I. 1152

RE: MTC Resolution No. 4089 : MTC FY 2013-14 Agency Budget

Staff requests Committee approval of MTC Resolution No. 4089, the MTC FY 2013-14 agency budget, and asks that the Committee forward the resolution to the Commission for final approval. The recommended budget is balanced and currently shows a slight operating surplus (Attachment A).

The MTC budget also includes operating and project based grants. Operating grants such as FHWA and FTA 5303 planning grants expire on an annual basis and are included in the MTC annual operating budget. Project grants are those that do not have a specific expiration or an expiration that extends past a single fiscal year. These project grants are adopted and accounted for on a life-to-date revenue and expense basis. The life-to-date schedule as well as FY 2013-14 adjustments are detailed in Attachment B.

The Clipper Smart card program is accounted for in a separate Enterprise Fund (Attachment C).

Revenue

The proposed budget for FY 2013-14 includes overall revenue of \$40 million, approximately \$500,000 less than the current MTC estimate for FY 2012-13 (see Table 1 on next page). The largest revenue drop will be in planning grants which are expected to decrease in FY 2013-14 by \$2.1 million due to a combination of reductions in FHWA and FTA 5303 estimated allocations as well as uncertainty over aspects of federal funding levels. Interfund transfers will increase by \$2 million with \$1.3 million due to the future use of RM2 funds for the Regional Rideshare Program to implement the Commuter Benefits program per SB 1339, and the 511 Real Time Transit services program. An additional \$700,000 is the result of increased overhead charges from other grant and capital project funded programs. Most other revenue sources are projected to increase in FY 2013-14. Transportation Development Act (TDA) sales tax revenue, for example, is expected to increase by \$200,000 over the FY 2012-13 estimate making the third straight annual increase as the economy recovers.

Table 1

	FY 2010-11 <u>Actual</u>	FY 2011-12 <u>Actual</u>	FY 2012-13 <u>Estimate</u>	FY 2013-14 <u>Budget</u>
TDA (Sales tax)	\$ 9,644,034	\$10,504,062	\$11,000,000	\$11,200,000
Interest/Other	428,131	486,069	427,974	480,000
Planning grants	11,025,915	12,373,297	12,109,720	9,983,945
Transfers	12,875,257	12,329,667	13,627,204	15,664,183
State & Local Funding	<u>3,832,938</u>	<u>4,278,185</u>	<u>3,239,538</u>	<u>2,628,181</u>
Total Op. Revenue	<u>\$37,806,275</u>	<u>\$39,971,280</u>	<u>\$40,404,436</u>	<u>\$39,956,309</u>

Operating Expenses

The proposed operating budget shows a slight increase in total operating expenses from FY 2012-13 estimate (see Table 2 on following page). The FY 2013-14 budget includes the following:

A. Staff Salaries and Benefits and OPEB Contribution

We are proposing an increase of four positions with three in the Planning Section and one in the Administrative Services and Technology Section. One planning position will be used to support regional planning for freight and goods movement per MAP-21 while two positions will be dedicated to the implementation of recommendations resulting from Plan Bay Area including the ongoing evaluation of the performance of the transportation system and Priority Development Area (PDA) planning. The fourth position will support the new records management system and IT systems upgrades.

With the new positions, total salaries and benefits will increase by approximately \$945,000. The breakdown includes \$566,000 cost for the four new positions; \$228,000 reflects the 2% MOU salary increase and \$151,000 is due to anticipated merit increases agency-wide.

B. Contractual Services

Overall, MTC contractual services will drop by \$1.4 million compared to FY 2012-13. The reduction is due primarily to the winding down of the Transit Sustainability Project.

Table 2

	FY 2010-11 <u>Actual</u>	FY 2011-12 <u>Actual</u>	FY 2012-13 <u>Estimate</u>	FY 2013-14 <u>Budget</u>
Salaries/ Benefits	\$ 13,442,958	\$ 14,673,811	\$ 16,259,136	\$ 17,203,718
OPEB	1,851,712	1,781,487	1,602,134	1,676,591
Agency Temporaries	1,085,160	1,080,289	986,663	1,569,570
Other Expenses	<u>2,625,651</u>	<u>2,589,019</u>	<u>4,299,486</u>	<u>4,809,544</u>
Ops Subtotal	19,005,481	20,124,606	23,147,419	25,259,423
Contractual	13,810,225	12,987,473	16,211,398	14,681,932
Transfer Out	<u>895,555</u>	<u>1,803,140</u>	<u>551,160</u>	<u>0</u>
Total Op.Expense	\$ 33,711,261	\$ 34,915,219	\$ 39,909,977	\$ 39,941,355

C. Other Expenses

Other Expenses are projected to increase by \$500,000. The Other Expenses category includes travel, printing, general operations and IT services. The increase is mainly for transition to a new records management system and increased license costs. The license costs should decrease over the next two years as new systems are implemented and old license agreements are ended.

Multi-year Federal Grants

The life-to-date budget for the multi-year federal grants is included in Attachment B. Approximately \$49 million in new grants will be added in FY 2013-14.

Capital Projects

Capital projects are also budgeted on a life-to-date basis. The proposed FY 2013-14 budget for agency capital expenditures includes computer hardware and software purchases. The Hub Signage life-to-date budget of \$10,218,450 will increase by \$311,500 to reflect the work related to the Real Time Sign Enhancement. The Urban Partnership Program (UPP) life-to-date budget of \$7,734,531 will increase by \$422,000 to reflect work related to UPP Trip Planner, Clipper and 511 Parking projects.

The proposed budget increase for agency capital expenditures is due to a telephone system replacement and purchase of a records management system.

	FY 2012-13 <u>Budget</u>	FY 2013-14 <u>Budget</u>
Capital Expenditures	\$560,000	\$969,000
UPP Program	0	422,000
Hub Signage	0	311,500
Total	<u>\$560,000</u>	<u>\$1,702,500</u>

Clipper Enterprise

The recommended Clipper operating budget for FY 2013-14 is approximately \$31.3 million. The breakdown is as follows:

Revenue:	<u>\$ million</u>
STA	\$ 17.1
Operators	12.5
RM2	<u>1.7</u>
Total Revenue	\$ 31.3

Expenses:	
Operating Center	\$23.7
Staff	1.8
Marketing	1.7
In person customer service	1.2
Bad Debt	2.4
Other contracts	<u>.5</u>
Total Expense	\$31.3

Revenue from the transit operators (\$12.5 million) contributes to 53% of the total operating center costs. Also included in the FY 2013-14 budget is an adjustment to reconcile and clear all of the customer charge backs, negative card balances and declined credit/debit card payments accrued to date (approximately \$3 million). Like all operating centers the Clipper service center has to deal with a certain level of liabilities resulting from bad transactions which, if collection efforts fail, ultimately have to be written off as bad debt. These accumulated liabilities will be reconciled and paid following approval of the budget. Starting in FY 2013-14, the operators will assume primary responsibility for these liabilities.

The Clipper capital program will add \$14.7 million for FY 2013-14. The cost includes \$1.7 million for system expansion and \$11.3 million for the repair and replacement of equipment, as well as \$1.7 million for staff costs. System expansion includes Phase 3 operators in Napa and Solano Counties and East Bay operators.

Reserve Balances

The unrestricted reserve is projected to exceed \$16 million at the end of FY 2013-14. Based on an operating budget of \$40 million, the unrestricted reserve provides approximately 5 months of operating costs. Our target reserve level is slightly higher at 6 months. The reserve is very important to MTC particularly because the \$157 million in budgeted grants and the \$37 million in Clipper costs are all on a reimbursement basis. Without the cash flow capacity provided by the reserve, MTC could not deliver projects on the basis of budgetary priority.

Our current and projected reserve position is shown in the table below.

Description	Actual FY 2011-12	Estimate FY 2012-13	Budget FY 2013-14
Benefits Reserve	\$ 1,076,467	\$ 1,500,000	\$1,500,000
Liability Reserve	456,647	500,000	500,000
Compensated Leave	3,586,668	3,700,000	3,700,000
Encumbrances	3,496,549	4,000,000	4,000,000
Fixed Asset Replacement	-0-	500,000	500,000
Unrestricted	<u>17,611,857</u>	<u>16,411,916</u>	<u>16,426,870</u>
Total Reserves	\$ 26,228,188	\$ 26,611,916	\$ 26,626,870

Public Employees Retirement System

The potential changes in PERS costs represent one of MTC’s most serious financial challenges in the future. PERS staff is proposing changes in the amortization method, demographics and discount rate applied to future cost calculations. The changes are recommended to begin in FY 2015-16 with implementation phased in through FY 2025-26. If all the recommended changes are adopted by the PERS Board we can expect our overall rate to nearly double by FY 2025-26.

	<u>FY 2013-14</u>	<u>FY 2025-26</u>
Employer	16.40	17.20
Methodology	-	7.40
Demographics	-	2.25
Discount Rate	-	<u>3.58</u>
	<u>16.40%</u>	<u>30.43%</u>

The incremental cost of the PERS increase is approximately \$4 million per year by FY 2025-26. MTC accounts for 62% of all costs and would absorb \$2.5 million with the remainder paid by BATA and SAFE. After accounting for federal overhead reimbursement, MTC will be left with an incremental cost of approximately \$1.3 million per year.

Current MTC resources should be adequate to absorb the additional costs through FY 2025-26. However, the increase will require most of the projected revenue growth and there are no guarantees that current resources will continue at or above current levels. A long term option will be developed and presented after PERS adopts final rules and timing for implementation.

Recommendation:

MTC staff recommends that this Committee forward Resolution No. 4089, MTC’s FY 2013-14 operating and capital budgets, to the Commission for approval.



Steve Heminger

Date: June 26, 2013
W.I.: 1152
Referred By: Administration

ABSTRACT

Resolution No. 4089

This resolution approves the Agency Budget for FY 2013-14.

Further discussion of the agency budget is contained in the Executive Director's Memorandum dated June 5, 2013.

Date: June 26, 2013
W.I.: 1152
Referred By: Administration

Re: Metropolitan Transportation Commission's Agency Budget for FY 2013-14

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4089

WHEREAS, the Metropolitan Transportation Commission (MTC or the Commission) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, on May 22, 2013 the Commission approved MTC's Overall Work Program (OWP) for Fiscal Year 2013-14 with the adoption of MTC Resolution No. 4088; and

WHEREAS, the OWP identifies MTC's unified work program for FY 2013-14; and

WHEREAS, the final draft MTC Agency Budget for FY 2013-14 as reviewed and recommended by the Administration Committee is consistent with the OWP as adopted pursuant to MTC Resolution No. 4088; now, therefore, be it

RESOLVED, that MTC's Agency Budget for FY 2013-14, prepared in accordance to generally accepted accounting principles and modified accrual, attached hereto as Attachment A, and incorporated herein as though set forth at length, is approved; and, be it further

RESOLVED, that MTC delegates to its Administration or Operations Committees the authority to approve all contracts and expenditures in MTC's Agency Budget for FY 2013-14, providing that there shall be no increase in the overall budget without prior approval of the Commission; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall submit written requests to the Administration or Operations Committees for approval of consultants, professional services, and expenditures authorized in the MTC Agency Budget for FY2013-14; and, be it further

RESOLVED, that MTC's Executive Director, and the Chief Financial Officer are authorized to carry over and re-budget all grants, contracts and funds properly budgeted in the

prior year for which expenditures were budgeted and encumbered and which will take place in FY 2013-14; and be it further

RESOLVED, that the Commission authorizes that the use of funds from the general fund as an advance on authorized expenditures until the expenditures have been reimbursed

RESOLVED, that the Commission authorizes the designation of certain reserves for FY2013-14 as follows: Benefits, Liability, Compensated leave, Encumbrances, Building, and Fixed Asset Replacement. The Chief Financial Officer is authorized to set aside a \$500,000 liability reserve and establish a \$250,000 contract budget against the liability reserve. The Chief Financial Officer is authorized to utilize the funds in the Benefits Reserve to meet any obligations resulting from changes in the employee labor agreements. No additional expenditures shall be authorized from any designated reserves authorized by MTC's Agency Budget for FY 2013-14 without prior authorization of the Administration Committee; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall furnish the Administration Committee with a monthly financial report to reflect budgeted and actual income, expenditures, obligations for professional and consultant services and such other information and data as may be requested by the Administration Committee.

METROPOLITAN TRANSPORTATION COMMISSION

Amy Rein Worth, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California on June 26, 2013.

Date: June 26, 2013
W.I.: 1152
Referred by: Administration

Attachment A
Resolution No. 4089

METROPOLITAN TRANSPORTATION COMMISSION

AGENCY BUDGET

FY 2013-14

TABLE OF CONTENTS

	<u>Page</u>
Budget Summary	1
Revenue Detail	2
Expense Summary	5
Contractual Services	6

METROPOLITAN TRANSPORTATION COMMISSION

BUDGET FY 2013-14

Attachment A

SUMMARY

PART 1: OPERATING REVENUE-EXPENSE SUMMARY

	AMENDED BUDGET FY 2012-13	BUDGET FY 2013-14	Change % Inc./(Dec)	Change \$ Inc./(Dec)
General Planning Revenue	\$22,036,907	\$21,183,945	-4%	(\$852,962)
Other MTC Revenue	960,000	1,026,840	7%	66,840
Transfers from other Funds	15,561,749	15,664,183	1%	102,434
Local Revenue Grants	2,392,658	2,081,341	-13%	(\$311,317)
Total Operating Revenue	\$40,951,314	\$39,956,309	-2%	(\$995,005)
Total Operating Expense	\$40,406,738	\$39,941,355	-1%	(\$465,383)
Operating Surplus (Shortfall)	\$544,576	\$14,954	-97%	(\$529,622)
Total Operating Revenue - Prior Year	\$7,422,374	\$0	0%	(\$7,422,374)
Total Operating Expense - Prior Year	\$7,892,374	\$0	0%	(\$7,892,374)
Operating Surplus (Shortfall)- Prior year	(\$470,000)	\$0	-100%	\$470,000
Total Operating Surplus (Shortfall)	\$74,576	\$14,954	-80%	(\$59,622)

PART2: CAPITAL PROJECTS REVENUE-EXPENSE SUMMARY

Total Annual Capital Revenue	\$500,000	\$1,702,500	241%	\$1,202,500
Total Annual Capital Expense	\$560,000	\$1,702,500	204%	\$1,142,500
Capital Surplus(Shortfall)	(\$60,000)	\$0	0%	\$60,000
TOTAL FISCAL YEAR SURPLUS (SHORTFALL)	\$14,576	\$14,954	3%	\$378

PART3: CHANGES IN RESERVES

Transfer To Designated Reserve	\$0	\$0		
Net MTC Reserves - in(out)	\$14,576	\$14,954	3%	\$378
Current Year Ending Balance	\$0	\$0		

REVENUE DETAIL

	AMENDED BUDGET FY 2012-13	BUDGET FY 2013-14	Change % Inc./(Dec)	Change \$ Inc./(Dec)
General Planning Revenue				
FTA Section 5303	\$3,048,403	\$2,988,945	-2%	(\$59,458)
FTA 5303 - Final allocation adjustment for FY12	(66,825)	0	0%	66,825
FTA 5303 carryover FY'12	107,668	0	-100%	(107,668)
Prop 84	750,000	0	-100%	(750,000)
Prop 84 carryover FY'12	100,000	0	-100%	(100,000)
FHWA 1/2 % PL	7,964,200	6,995,000	-12%	(969,200)
FHWA - Final allocation adjustment for FY12	(419,034)	0	-100%	419,034
FHWA PL carryover FY'12	52,495	0	-100%	(52,495)
TDA (Planning/Administrative)	10,500,000	11,200,000	7%	700,000
Subtotal: General Planning Revenue	\$22,036,907	\$21,183,945	-4%	(\$852,962)
Other MTC Revenue				
STIP-PPM	\$550,000	\$546,840	-1%	(\$3,160)
HOV lane fines	400,000	400,000	0%	0
Interest	10,000	80,000	700%	70,000
Subtotal: MTC Other Revenue	\$960,000	\$1,026,840	7%	\$66,840
Operating Transfers				
BATA 1%	\$6,500,000	\$6,500,000	0%	\$0
Transfer BATA RM2	613,750	1,711,500	179%	1,097,750
BATA Reimbursements (Audit/misc. contracts)	2,182,900	302,900	-86%	(1,880,000)
RAFC Management Services	300,000	300,000	0%	0
Service Authority Freeways Expressways (SAFE)	2,284,508	1,702,784	-25%	(581,724)
BAIFA	60,000	0	-100%	(60,000)
STA Transfer	707,105	1,511,174	114%	804,069
2% Transit Transfers	511,063	500,000	-2%	(11,063)
AB664	79,000	79,000	0%	0
Grant Funded - Overhead	994,814	1,447,480	46%	452,666
Capital Programs - Overhead	1,328,809	1,609,345	21%	280,736
Subtotal: Transfers from other funds	\$15,561,749	\$15,664,183	1%	\$102,434
MTC Total Planning Revenue	\$38,558,656	\$37,874,968	-2%	(\$683,688)
Local Revenue Grants				
Misc. Revenue (PMP Sales)	\$650,000	\$700,000	8%	\$50,000
TFCA (Regional Rideshare), Spare the Air.	1,436,000	1,187,000	-17%	(249,000)
Kresge Foundation	85,700	0	-	(85,700)
Cities (Match for P-TAP projects)	220,958	194,341	-12%	(26,617)
Subtotal: Local Revenue Grants	\$2,392,658	\$2,081,341	-13%	(\$311,317)
Total Current Year Revenue	\$40,951,314	\$39,956,309	-2%	(\$995,005)
MTC Prior Year Project Revenue				
Prior Year Project Revenue - Federal/State				
FTA 5303	\$981,173			
FHWA	1,109,345			
Prop. 84	240,000			
State Transit Assistance (STA)	1,338,654			
Subtotal:	3,669,172			
Prior Year Project Revenue - Local				
General Fund	\$1,750,871			
Transportation Funds for Clean Air (TFCA)	138,535			
Service Authority for Freeways/Expressways (SAFE)	656,743			
AB 664	3,164			
AB 1171	278,471			
2% Transit	460,563			
BATA RM2	230,227			
Other (PTAP LM,PPM)	234,629			
Subtotal:	3,753,203			
Total Prior Year Project Revenue	\$7,422,374			

**EXPENSE SUMMARY
BUDGET FY 2013-14**

	AMENDED BUDGET FY 2012-13	BUDGET FY 2013-14	Change % Inc./Dec)	Change \$ Inc./Dec)
Operating Expense				
I. Salaries and Benefits	\$18,858,467	\$20,449,880	8%	\$1,591,413
MTC Staff - Regular	\$15,769,830	\$16,746,543	6%	\$976,714
OPEB	1,602,134	1,676,591	5%	74,458
Temporary Staff	259,056	233,800	-10%	(25,256)
Project Based Staff & LGS	997,197	1,569,570	57%	572,373
Interns	230,250	223,375	-3%	(6,875)
II. Travel and Training	\$347,000	\$398,000	15%	\$51,000
III. Printing, Repro. & Graphics	\$344,500	\$186,000	-46%	(\$158,500)
IV. Computer Services	\$867,000	\$1,339,350	54%	\$472,350
V. Commissioner Expense	\$121,500	\$90,000	-26%	(\$31,500)
VI. Advisory Committees	\$40,000	\$30,000	-25%	(\$10,000)
VII. General Operations	\$2,579,486	\$2,766,193	7%	\$186,707
Subtotal Staff Cost	\$23,157,953	\$25,259,423	9%	\$2,101,470
IX. Contractual Services	\$16,697,625	\$14,681,932	-12%	(\$2,015,693)
Total Operating Expense Current Year	\$39,855,578	\$39,941,355	0%	\$85,777
IX. Contractual Services - Prior Year	\$7,892,374	\$0	-100%	(\$7,892,374)
Transfer out to BAHA	\$551,160	\$0	-100%	(\$551,160)
Total Operating Expense	\$48,299,112	\$39,941,355	-17%	(\$8,357,757)

CAPITAL PROJECTS

	AMENDED BUDGET FY 2012-13	BUDGET FY 2013-14	Change % Inc./Dec)	Change \$ Inc./Dec)
Annual Transfer from Reserve to Capital	\$500,000	\$969,000	94%	\$469,000
Annual Capital Expense	\$560,000	\$969,000	73%	\$409,000

	LTD Budget Thru FY2012-13	BUDGET FY 2013-14	LTD Budget Thru FY2013-14
UPP Project Revenue			
Urban Partnership Project Grant	\$6,040,000	\$0	\$6,040,000
STP	0	181,000	\$181,000
BATA	250,000	0	\$250,000
SAFE	1,044,531	0	\$1,044,531
STA	400,000	241,000	\$641,000
	\$7,734,531	\$422,000	\$8,156,531

Expense			
Staff	\$1,349,728	\$181,000	\$1,530,728
Consultants	6,384,803	241,000	\$6,625,803
	\$7,734,531	\$422,000	\$8,156,531

	LTD Budget Thru FY2012-13	BUDGET FY 2013-14	LTD Budget Thru FY2013-14
Hub Signage Program Revenue			
Prop. 1B	\$9,856,450	\$0	\$9,856,450
RM2	362,000	0	362,000
Real Time Sign - BART	0	300,000	300,000
Real Flap Sign - STA	0	11,500	11,500
	\$10,218,450	\$311,500	\$10,529,950

Expense			
Staff	\$1,200,000	\$0	\$1,200,000
Consultants	9,018,450	311,500	9,329,950
	\$10,218,450	\$311,500	\$10,529,950

**CONTRACTUAL SERVICES DETAIL
New Contractual and Professional Services**

Work Element Description/Purpose	AMENDED BUDGET FY 2012-13	BUDGET FY 2013-14	Change \$ Inc./Dec)
1111 Support Commission Standing Committees			
Planning Programs - Other	\$250,000	\$200,000	(\$50,000)
TOTAL	\$250,000	\$200,000	(\$50,000)
1112 Implement Public Information Program			
LWV Monitor	\$25,000	\$25,000	\$0
Photography services for MTC/BATA	50,000	75,000	25,000
Design & Production Services	125,000	150,000	25,000
On-call Facilitation and Outreach	50,000	50,000	0
Peak Democracy : online comment tool	60,000	20,000	(40,000)
Awards Program	0	15,000	15,000
MTC web integration/portal	80,000	150,000	70,000
TOTAL	\$390,000	\$485,000	\$95,000
1121 Plan Bay Area			
EIR Supplemental Costs	\$100,000	\$0	(\$100,000)
Public Involvement Evaluation	400,000	75,000	(325,000)
2nd & 3rd Telephone Poll	120,000	0	(120,000)
CBO Focus Groups - Round 2	100,000	0	(100,000)
Video	20,000	0	(20,000)
Public Hearings (5)	100,000	0	(100,000)
Constituent Correspondence Tracking System	10,000	0	(10,000)
Draft and Final Plan Design	50,000	50,000	0
Visualization Enhanced online interactive maps and data	0	0	0
TOTAL	\$900,000	\$125,000	(\$775,000)
1122 Analyze Regional Data using GIS and Travel Models			
Travel Model Assistance	\$25,000	\$25,000	\$0
Web-Based Projects/Visualization	50,000	100,000	50,000
Interregional Model Development	0	800,000	800,000
Bicycle and Pedestrian Count	0	75,000	75,000
Bay Area Travel Survey	500,000	0	(500,000)
Regional Transit On Board Travel Survey	0	1,400,000	1,400,000
TOTAL	\$575,000	\$2,400,000	\$1,825,000
1125 Non-Motorized Transportation			
Non-motorized Technical Training Workshops	\$60,000	\$0	(\$60,000)
TOTAL	\$60,000	\$0	(\$60,000)
1132 Advocacy Coalitions			
Legislative advocates - Sacramento	\$110,600	\$110,600	\$0
Legislative advocates - Washington D.C.	263,100	263,100	0
TOTAL	\$373,700	\$373,700	\$0

CONTRACTUAL SERVICES DETAIL

Work Element Description/Purpose	AMENDED BUDGET FY 2012-13	BUDGET FY 2013-14	Change \$ Inc./Dec
1152 Agency Financial Management			
Financial Audit	\$510,000	\$385,000	(\$125,000)
Project Audits	350,000	0	(350,000)
OPEB Actuary	15,000	10,000	(5,000)
Financial System Upgrade	80,000	50,000	(30,000)
TOTAL	\$955,000	\$445,000	(\$510,000)
1153 Administrative Services			
Total Compensation Study	\$0	\$50,000	\$50,000
Ergonomics	40,000	30,000	(10,000)
TOTAL	\$40,000	\$80,000	\$40,000
1161 Information Technology Services			
Network/Security Support	\$22,000	\$0	(\$22,000)
Web/DB Application Development/Integration	0	50,000	50,000
Novell to MS Migration	25,000	55,000	30,000
Ceridian HRIS	80,000	0	(80,000)
TOTAL	\$127,000	\$105,000	(\$22,000)
1222 Regional Rideshare Program			
511 Ridesharing Program Operations	\$1,600,000	\$1,261,555	(\$338,445)
SB 1339 Implementation	0	780,000	780,000
Project audit	2,294	2,294	0
TOTAL	\$1,602,294	\$2,043,849	\$441,555
1223 Operational Support for Regional Programs			
ITS/511 Program Technical Advisor	\$4,588	\$34,410	\$29,822
Operations Department Strategic Plan	120,000	50,000	(70,000)
TMS Equipment and Operations	0	0	0
TMC Floor Cabinets	0	0	0
TOTAL	\$124,588	\$84,410	(\$40,178)
1224 Regional Traveler Information			
511 Traffic/Real Time Transit	\$568,434	\$903,800	\$335,366
511 Web Services	0	65,744	65,744
AT & T	0	172	172
511 Transit	923,681	970,722	47,041
511 ESRI License	0	5,276	5,276
TOTAL	\$1,492,115	\$1,945,714	\$453,599
1226 Regional Bicycle Information			
Bike-to-Work Day Promotion	\$150,000	\$0	(\$150,000)
TOTAL	\$150,000	\$0	(\$150,000)
1228 Regional Transportation Emergency Operation			
Satellite Telephone-Annual Operations	\$40,000	\$33,800	(\$6,200)
TOTAL	\$40,000	\$33,800	(\$6,200)
1229 Regional Transportation Emergency Planning			
Ongoing Emergency Exercise Support	\$100,000	\$300,000	\$200,000
EOC Training & Support	30,000	20,000	(10,000)
CESRS Equipment Replacement	40,000	30,000	(10,000)
TOTAL	\$170,000	\$350,000	\$180,000

CONTRACTUAL SERVICES DETAIL

Work Element Description/Purpose	AMENDED BUDGET FY 2012-13	BUDGET FY 2013-14	Change \$ Inc./Dec)
1234 Arterial Operations	\$60,945	\$0	(\$60,945)
TOTAL	\$60,945	\$0	(\$60,945)
1233 Pavement Management System			
Software Development and Maintenance	\$700,000	\$750,000	\$50,000
Software Training Support	125,234	81,124	(44,110)
P-TAP Projects	220,958	194,341	(26,617)
Inspector Certification Program	50,000	50,000	0
TOTAL	\$1,096,192	\$1,075,465	(\$20,727)
1236 Freeway Management			
California Transportation Financing Authority	\$350,000	\$0	(\$350,000)
Caltrans	1,500,000	0	(1,500,000)
TOTAL	\$1,850,000	\$0	(\$1,850,000)
1237 Freeway Performance Initiative			
Freeway Performance Monitoring	\$0	\$58,778	\$58,778
TOTAL	\$0	\$58,778	\$58,778
1311 Implement Lifeline Program			
Community - Based Transportation Plan Funding Agreement	\$0	\$360,000	\$360,000
Lifeline Cycle 3 Means Based Fare Study/Call for Project	0	500,000	500,000
TOTAL	\$0	\$860,000	\$860,000
1412 Transportation Conformity and Air Quality Planning			
Adaption Planning Alameda County Phase 2	\$100,000	\$0	(\$100,000)
TOTAL	\$100,000	\$0	(\$100,000)
1413 Climate Initiative			
Climate Change Coordinator (JPC)	\$137,000	\$145,000	\$8,000
Evaluate Strategic Council	0	35,000	35,000
JPC Climate Resilience Project	85,700	0	(85,700)
TOTAL	\$222,700	\$180,000	(\$42,700)
1512 Federal TIP Development			
Transit Capital Inventory	\$81,100	\$150,000	\$68,900
TOTAL	\$81,100	\$150,000	\$68,900
1514 Regional Assistance Programs			
Performance audits - TDA audit & RM2 Oversight	\$650,000	\$418,300	(\$231,700)
TOTAL	\$650,000	\$418,300	(\$231,700)
1515 State Programming/Project Monitoring STIP			
Performance audits - TDA audit & RM2 Oversight	\$0	\$200,000	\$200,000
TOTAL	\$0	\$200,000	\$200,000
1517 Transit Sustainability			
TOTAL	\$1,508,731	\$500,000	(\$1,008,731)
1611 Transportation for Livable Communities			
ABAG - FHWA/FTA5303/TDA/Prop.84	\$2,873,260	\$2,157,916	(\$715,344)
On going Parking Tech Support	80,000	0	(80,000)
Commuter Benefits Technical Assistance	0	50,000	50,000
Priority Conservation Area Planning	100,000	25,000	(75,000)
Complete Streets Technical Assistance	0	85,000	85,000
PDA Readiness Assessment	75,000	0	(75,000)
Station Area Planning	40,000	0	(40,000)
TOTAL	\$3,168,260	\$2,317,916	(\$850,344)
1612 Prop 84 PL			
MTC ABAG Planning Coordinator	\$135,000	\$0	(\$135,000)
TOTAL	\$135,000	\$0	(\$135,000)
106 Legal Services			
Transfer from Reserve	\$400,000	\$250,000	(\$150,000)
	\$175,000	\$0	(\$175,000)
101 Encumbrances Contracts			
	\$0	\$0	\$0
Total consultant contracts:	\$16,697,625	\$14,681,932	(\$2,015,693)

LTD Federal Grants Budget

Attachment B

STP Grants		LTD Grant	LTD Actual & Enc	Balance
		thru FY 2013	thru FY 2013	thru FY 2013
Fund Source	Project Description			
1534	Station Area Planning	\$1,212,000	\$852,109	\$359,891
1573	Travel Information	18,000,000	18,000,000	0
1580	Station Area Planning	17,598,000	16,236,458	1,361,542
1583	CMA Planning	27,231,000	26,686,147	544,853
1585	Regional Streets and Roads	1,500,000	1,187,561	312,439
1586	Pavement Management	4,500,000	4,500,000	0
1587	511 Traffic and 511 Transit	26,700,000	24,478,028	2,221,972
1590	Freeway Performance Initiative	750,000	296,332	453,668
1801	CMA Planning	41,677,000	7,427,960	34,249,040
1803	511 Grant	11,625,000	1,443,611	10,181,389
1805	Regional Streets and Roads	1,200,000	283,296	916,704
1806	Pavement Management	6,000,000	1,815,148	4,184,852
1807	Freeway Performance Initiative	750,000	0	750,000
New	Transit Oriented Housing	0	0	0
New	Cal train/HSR Corridor	0	0	0
New	PDA Implementation	0	0	0
New	OBAG Regional PDA	0	0	0
New	Freeway Performance Initiative	0	0	0
		\$158,743,000	\$103,206,650	\$55,536,350

New Grant	staff	Consultant	Balance
FY 2013-14	FY 2013-14	FY 2013-14	thru FY 2014
\$0	\$0	\$0	\$359,891
0	0	0	0
8,750,000	0	9,560,000	551,542
0	0	0	544,853
0	0	0	312,439
0	0	0	0
0	0	2,195,577	26,395
0	0	422,683	30,985
0	658,000	7,712,000	25,879,040
0	1,449,144	5,976,747	2,755,498
0	61,124	238,876	616,704
0	0	1,500,000	2,684,852
0	0	0	750,000
10,000,000	0	10,000,000	0
850,000	0	284,000	566,000
750,000	0	750,000	0
8,000,000	0	2,600,000	5,400,000
2,000,000	0	2,000,000	0
\$30,350,000	\$2,168,268	\$43,239,883	\$40,478,199

CMAQ Grants

1568	Freeway Management Program	\$2,284,000	\$2,284,000	\$0
1576	Regional Signal Timing	2,250,000	2,250,000	0
1581	Ramp Meter Installation	266,000	209,391	56,609
1582	Regional Marketing	2,100,000	1,986,767	113,233
1584	Incident Management	9,233,000	9,228,330	4,670
1588	Rideshare	7,800,000	7,302,985	497,015
1589	Arterial Operations	8,750,000	3,664,947	5,085,053
1591	Climate Initiatives Program Public Outree	10,393,432	4,371,614	6,021,818
1592	Climate Initiatives Evaluation	4,000,000	2,854,862	1,145,138
1596	Freeway Performance Initiative	8,608,000	1,503,550	7,104,450
1800	Incident Management	7,300,000	1,006,936	6,293,064
1804	511 Grant	16,270,000	2,876,982	13,393,018
		\$79,254,432	\$39,540,363	\$39,714,069

\$0	\$0	\$0	\$0
0	0	0	0
0	0	0	56,609
0	0	113,233	0
0	0	0	4,670
0	0	497,015	0
0	338,243	3,750,000	996,810
0	285,501	1,650,000	4,086,317
0	0	0	1,145,138
0	1,731,651	2,200,000	3,172,799
0	296,825	1,260,000	4,736,239
0	161,197	4,314,041	8,917,780
\$0	\$2,813,417	\$13,784,289	\$23,116,363

FTA GRANTS

1605	FTA 5304 Planning	\$5,297,243	\$5,297,243	\$0
New	FTA 5339	0	0	0
1613	JARC	714,716	710,201	4,515
1614	JARC	5,357,119	4,853,466	503,653
1622	JARC	990,671	901,678	88,993
1625	JARC	2,654,120	2,443,862	210,258
1627	JARC	1,004,559	944,225	60,334
1629	JARC	805,190	64,956	740,234
New	JARC	0	0	0
1623	New Freedom	1,545,232	1,530,232	15,000
1624	New Freedom	1,612,117	1,612,117	0
1626	New Freedom	3,748,859	3,652,858	96,000
1628	New Freedom	2,793,517	2,692,743	100,774
New	New Freedom	0	0	0
		\$26,523,343	\$24,703,580	\$1,819,762

\$0	\$0	\$0	\$0
\$11,207,601	0	11,207,601	0
0	0	0	4,515
0	0	0	503,653
0	0	0	88,993
0	0	0	210,258
0	0	0	60,334
0	29,849	0	710,385
4,297,703	184,816	4,019,024	93,863
0	0	15,000	0
0	0	0	0
0	0	96,000	0
0	0	100,774	0
2,003,313	0	1,903,147	100,166
\$17,508,617	\$214,665	\$17,341,546	\$1,772,168

HPP/VPP GRANTS

1735	VPPL Value PRICING	\$436,000	\$436,000	\$0
New	VPPL Value PRICING	0	0	0
New	HEPP TRAVEL MODEL	0	0	0
1736	Expansion of City Car Share Services	595,529	595,529	0
		\$1,031,529	\$1,031,529	\$0

\$0	\$0	\$0	\$0
560,000	0	560,000	0
90,000	0	90,000	0
0	0	0	0
\$650,000	\$0	\$650,000	\$0

HUD Grant

1737	HUD Grant	\$4,991,336	\$1,043,084	\$3,948,253
------	-----------	-------------	-------------	-------------

\$0	\$190,745	\$50,000	\$3,707,508
-----	-----------	----------	-------------

Other Grants

New	FHWA - Climate Change	\$0	\$0	\$0
New	FEMA	0	0	0
		\$0	\$0	\$0

\$300,000	\$0	\$300,000	\$0
220,150	0	220,150	0
\$520,150	\$0	\$520,150	\$0

Total Federal Grants Budget

\$270,543,640	\$169,525,205	\$101,018,434
----------------------	----------------------	----------------------

\$49,028,767	\$5,387,095	\$75,585,868	\$69,074,238
---------------------	--------------------	---------------------	---------------------

CONTRACTUAL SERVICES DETAIL Federal Grants

Work Element Description/Purpose	AMENDED BUDGET	BUDGET
	FY 2012-13	FY 2013-14
1122 Analyze Regional Data using GIS and Travel Models Travel Mode Research	\$0	\$90,000
1152 Agency Financial Management Project Audits	0 \$0	200,000 \$200,000
1222 Regional Rideshare Program 511 Ridesharing Program Operations Rideshare: Employer Services (CMAs) Project Audits TOTAL	\$2,584,000 450,000 17,706 \$3,051,706	\$2,855,000 450,000 17,706 \$3,322,706
1223 Operational Support for Regional Programs ITS/511 Program Technical Advisor UPP Evaluation Report TOTAL	\$35,412 100,000 \$135,412	\$265,590 0 \$265,590
1224 Regional Traveler Information 511 Traffic Real Time Transit 511 Transit 511 Web Services 511 ESRI License AT & T TOTAL	\$6,899,319 3,915,566 0 0 0 \$10,814,885	\$5,799,116 3,379,861 507,438 40,724 1,328 \$9,728,467
1233 Pavement Management System Software Training Support P-TAP Projects TOTAL	\$194,766 1,705,442 \$1,900,208	\$238,876 1,500,000 \$1,738,876
1234 Arterial Operations Coordination Program for Arterial System TOTAL	\$1,690,000 \$1,690,000	\$3,750,000 \$3,750,000
1235 Incident Management Incident Management Task Force I-880 ICM	\$0 0 \$0	\$460,000 800,000 \$1,260,000
1237 Freeway Performance Initiative Corridor Studies FPI Implementation and Ramp Metering Freeway Performance Monitoring	\$0 0 0 \$0	\$2,000,000 2,000,000 422,683 \$4,422,683
1310 Implement Lifeline Transportation Program Planning for Lifeline Transportation Program	\$0 \$0	\$4,019,024 \$4,019,024
1414 Climate Change FHWA Grant Climate Change	\$0 \$0	\$300,000 \$300,000
1413 Climate Initiative Climate Initiative Outreach and Marketing program TOTAL	\$2,800,000 \$2,800,000	\$1,650,000 \$1,650,000
1512 Federal TIP Development Transit Capital Inventory Transit Operators TOTAL	\$5,195 0 \$5,195	\$0 11,207,601 \$11,207,601
1518 New Freedom New Freedom Projects TOTAL	\$6,806,697 \$6,806,697	\$2,114,921 \$2,114,921
1611 Transportation for Livable Communities ABAG - STP BCDC STP CMAs - STP Transit Oriented Affordable Housing (TOAH) Caltrain/HSR Corridor Planning Regional Parking Pricing Model PBA Performance Measure Monitoring/State of Region R PDA Implementation Studies Regional PDA Planning Program PDA Planning Grant TOTAL	\$638,000 320,000 6,512,000 0 0 0 0 0 0 4,281,565 \$11,751,565	\$1,368,000 340,000 6,714,000 10,000,000 284,000 560,000 150,000 750,000 2,600,000 8,750,000 \$31,516,000
Total Federal funded Consultants	\$38,955,868	\$75,585,868

Clipper Operating:

	AMENDED BUDGET FY 2012-13	BUDGET FY 2013-14	Change % Inc./(Dec)	Change \$ Inc./(Dec)
Revenue:				
CMAQ	\$8,789,470	\$0	-100%	(\$8,789,470)
RM2	2,565,000	1,700,000	-34%	(865,000)
STA	1,876,281	17,125,269	813%	15,248,988
Transit Operators	17,820,000	12,522,230	-30%	(5,297,770)
	<u>\$31,050,751</u>	<u>\$31,347,499</u>	<u>1%</u>	<u>\$296,748</u>
Expenses:				
Salaries and Benefits	\$774,751	\$1,817,123	135%	\$1,042,372
Temporary Agency	135,000	0	-100%	(135,000)
Travel	30,000	30,000	0%	0
Promotion/Outreach/Fare Inc.	2,822,000	2,900,000	3%	78,000
Bad Debt	0	2,400,000	100%	2,400,000
Clipper Operations	27,289,000	24,200,376	-11%	(3,088,624)
	<u>\$31,050,751</u>	<u>\$31,347,499</u>	<u>1%</u>	<u>\$296,748</u>

Clipper Capital:

	LTD Budget Thru FY2012-13	Budget FY 2013-14	LTD Budget Thru FY2013-14
Revenue:			
CMAQ	\$55,452,723	\$2,800,000	\$58,252,723
Card Sales	\$0	\$1,000,000	
ARRA	11,000,000	0	11,000,000
FTA	24,307,589	0	24,307,589
STP	33,610,368	9,994,634	43,605,002
STA	21,207,597	0	21,207,597
Prop 1B	1,000,000	0	1,000,000
SFMTA	3,905,421	0	3,905,421
GGGHTD	2,975,000	0	2,975,000
BART	725,000	0	725,000
MTC Exchange Fund	8,269,158	0	8,269,158
BATA	27,904,813	(780,000)	27,124,813
Transit Operators	863,000	1,750,000	2,613,000
WETA	500,000	0	500,000
Sales Tax	99,311	0	99,311
	<u>\$191,819,980</u>	<u>\$14,764,634</u>	<u>\$206,584,614</u>
Expense:			
Staff Costs	\$5,304,791	\$1,736,885	\$7,041,676
Travel	3,208	0	3,208
Pilot Equipment Maintenance	3,093,834	0	3,093,834
Transit Agency Funded Projects	2,563,000	0	2,563,000
Design	53,940,574	750,000	54,690,574
Site Preparation	3,899,437	0	3,899,437
Construction	19,867,682	2,000,000	21,867,682
Consultants	17,246,266	800,000	18,046,266
Engineering	7,953,061	0	7,953,061
Communications	1,583,000	0	1,583,000
Marketing	2,212,029	0	2,212,029
Financial Services	391,600	0	391,600
Equipment	32,316,965	9,257,749	41,574,714
Clipper Cards	8,568,828	220,000	8,788,828
Other	32,875,705	0	32,875,705
	<u>\$191,819,980</u>	<u>\$14,764,634</u>	<u>\$206,584,614</u>