

**Metropolitan Transportation Commission
Programming and Allocations Committee**

October 10, 2012

Item Number 4a

Resolution Nos. 4061, Revised and 4062, Revised

Subject: Allocate \$43 million to SamTrans and \$17 million to BART to support transit operations and correct allocations to CCCTA.

Background: This month's proposed actions continue the annual allocation process. Entities requesting Transportation Development Act (TDA) and State Transit Assistance (STA) allocations this month that exceed the \$1 million delegated authority limit are the San Mateo County Transit District (SamTrans), BART, and the Central Contra Costa Transit Authority (CCCTA). Allocation requests less than \$1.0 million may be approved separately through the Executive Director's Delegated Authority process. The allocation requests are consistent with the adopted MTC Fund Estimate for TDA and STA funds (Resolution 4051, Revised). Total allocation requests, greater than \$1.0 million, are summarized below:

Transit Operator/ Claimant	Resolution No. 4061 (TDA)	Resolution No. 4062 (STA)	Total
SamTrans	\$ 33,523,291	\$ 9,497,620	\$ 43,020,911
BART	-	\$ 17,305,464	\$ 17,305,464
CCCTA	\$ 1,128,644	\$ (1,128,644)	\$ -
Total	\$ 33,523,291	\$ 26,803,084	\$ 60,326,375

Attachment A details the changes in the operating budgets of SamTrans and BART from FY2011-12 to FY2012-13 as well as highlights significant budget items. Included in SamTrans' claim is \$5.3 million for Caltrain operations from the Caltrain STA Revenue-based apportionment. Detail on CCCTA's budget was presented in June 2012.

Due to an earlier error in the fund estimate that was corrected in September, \$1.1 million in STA will be rescinded from CCCTA and replaced by an allocation of the same amount in TDA funds.

Issues: None

Recommendation: Refer MTC Resolution Nos. 4061, Revised and 4062, Revised to the Commission for approval.

Attachments: Table A – Transit Operator Budget Summary.
MTC Resolution Nos. 4061 Revised and 4062, Revised

Operator	FY2011-12 Operating Budget	FY2012-13 Operating Budget	% Change	Revenue Vehicle Hours % Increase	FY2012-13 Operating Request (includes Delegated Authority)	Allocation Request as a % of Operating Budget	Highlight of FY2012-13 Budgets
SamTrans	\$122,363,767	\$123,102,899	0.6%	1.5%	\$ 39,706,693 (SamTrans) and \$ 5,310,982 (Caltrain pass thru)	32.4% (SamTrans)	<ul style="list-style-type: none"> • SamTrans' FY2012-13 budget is essentially the same as the FY2011-12 budget. • Ridership is estimated to increase by 2% during FY2012-13. • SamTrans is claiming \$5.3 million in STA Revenue-based funds on behalf of Caltrain to support Caltrain operations. • Due to \$24.5 million in debt servicing, which includes \$12.7 million for BART, SamTrans will need to use \$7.7 million in reserves to balance its operating budget.
BART	\$555,556,547	\$532,674,941	-4.1%	1.2%	\$ 18,596,085	3.5%	<ul style="list-style-type: none"> • Ridership in FY2011-12 was 4.7% over budget and a 3% increase in ridership is expected in FY 13. That translates into an additional \$18 million in revenue in FY2011-12 and \$16 million in FY2012-13. • BART had a 1.4% fare increase starting July 1, 2012. The fare increase is expected to generate \$4.8 million and is part of the \$16 million described in the first bullet point. The fare increase is the last in a series of four inflation-based fare increases made between 2006 and 2012. • BART will add 57 operating positions at the cost of \$9.6 million to address needs resulting from years of cuts. • BART will extend weekday peak service on the Richmond-Daly City line.

Date: June 27, 2012
Referred by: PAC
Revised: 07/25/12-C
07/30/12-DA
09/26/12-C
10/24/12-C

ABSTRACT

Resolution No. 4061, Revised

This resolution approves the allocation of fiscal year 2012-13 Transportation Development Act Article 4, Article 4.5 and Article 8 funds to claimants in the MTC region.

This resolution was revised on July 25, 2012 to allocate funds to additional operators.

This resolution was revised by Delegated Authority on July 30, 2012 to rescind funds from ECCTA.

This resolution was revised on September 26, 2012 to allocate funds to VTA.

This resolution was revised on October 24, 2012 to allocate funds to SamTrans and Central Contra Costa Transit Authority.

Discussion of the allocations made under this resolution is contained in the Summary Sheet presented to the Programming and Allocations Committee on June 13, 2012, July 11, 2012, September 12, 2012, and October 10, 2012.

Date: June 27, 2012
Referred by: PAC

Re: Allocation of Fiscal Year 2012-13 Transportation Development Act Article 4, Article 4.5 and Article 8 Funds to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4061

WHEREAS, pursuant to Government Code Section 66500 *et seq.*, the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 *et seq.*, makes certain retail sales tax revenues available to eligible claimants for public transportation projects and purposes; and

WHEREAS, MTC is responsible for the allocation of TDA funds to eligible claimants within the MTC region; and

WHEREAS, claimants in the MTC region have submitted claims for the allocation of fiscal year 2012-13 TDA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2012-13 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 *et seq.*), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 *et seq.*); now, therefore, be it

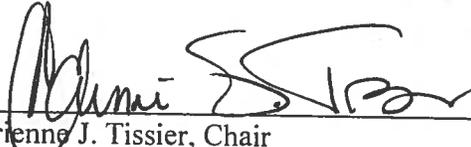
RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2012-13 TDA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that pursuant to 21 California Code of Regulations Sections 6621 and 6659, a certified copy of this resolution, along with written allocation instructions for the disbursement of TDA funds as allocated herein, shall be forwarded to the county auditor of the county in which each claimant is located; and, be it further

RESOLVED, that all TDA allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION



Adrienne J. Tissier, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on June 27, 2012.

Date: June 27, 2012
 Referred by: PAC
 Revised: 07/25/12-C
 07/30/12-DA
 09/26/12-C
 10/24/12-C

Attachment A
 MTC Resolution No. 4061
 Page 1 of 1

ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT ARTICLE 4, 4.5 and 8 FUNDS
 DURING FISCAL YEAR 2012-13

All TDA allocations are subject to continued compliance with MTC Resolution 3866,
 the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area/Footnotes	Note
5802 - 99260A Transit - Operations						
NCTPA	Transit Operating	3,048,000	01	06/27/12	NCTPA	
CCCTA	Transit Operating	11,644,800	02	06/27/12	CCCTA	
LAVTA	Transit Operating	7,093,991	03	06/27/12	LAVTA	
WCCTA	Transit Operating	1,962,652	04	06/27/12	WCCTA	
AC Transit	Transit Operating	35,616,458	05	06/27/12	AC Transit D1 (Alameda)	
AC Transit	Transit Operating	9,397,635	06	06/27/12	AC Transit D2 (Alameda)	
AC Transit	Transit Operating	5,780,273	07	06/27/12	AC Transit D1 (Contra Costa)	
AC Transit	Transit Operating	2,641,435	08	06/27/12	Alameda County	1
Petaluma	Transit Operating	1,111,932	09	06/27/12	Petaluma	
Sonoma County	Transit Operating	4,963,486	12	07/25/12	Sonoma County	
ECCTA	Transit Operating	8,391,800	13	07/25/12	ECCTA	
ECCTA	Transit Operating	(1,000,000)	13	07/30/12-DA	ECCTA	
SFMTA	Transit Operating	40,003,319	14	07/25/12	SFMTA	
SFMTA	Transit Operating	2,105,136	15	07/25/12	San Francisco County	1
SolTrans	Transit Operating	3,029,818	16	07/25/12	Vallejo	
VTA	Transit Operating	77,581,943	17	09/26/12	VTA	
VTA	Transit Operating	4,083,260	18	09/26/12	Santa Clara County	1
SamTrans	Transit Operating	31,871,276	19	10/24/12	SamTrans	
CCCTA	Transit Operating	1,128,644	02	10/24/12	CCCTA	
	Subtotal	250,455,858				
5803 - 99260A Transit - Capital						
NCTPA	Transit Capital	1,958,100	10	06/27/12	NCTPA	
LAVTA	Transit Capital	1,711,642	11	06/27/12	LAVTA	
	Subtotal	3,669,742				
5801 - 99233.7, 99275 Community Transit Service - Operations						
SamTrans	Transit Operating	1,652,015	20	10/24/12	San Mateo County	
	Subtotal	1,652,015				
TOTAL		255,777,615				

Note:

(1) MTC finds that these Article 4.5 funds can be used to better advantage for Article 4 purposes.

Date: June 27, 2012
Referred by: PAC
Revised: 07/25/12-C
07/30/12-DA
09/26/12-C
10/24/12-C

ABSTRACT

Resolution No. 4062, Revised

This resolution approves the allocation of State Transit Assistance (STA) funds for fiscal year 2012-13.

This resolution was revised on July 25, 2012 to allocate funds to additional operators.

This resolution was revised by Delegated Authority on July 30, 2012 to rescind Lifeline funds from AC Transit in order to allow other operators to claim their share of FY 12 revenues. The funds will be reallocated this fiscal year based on actual STA receipts.

This resolution was revised on September 26, 2012 to allocate funds to VTA and AC Transit.

This resolution was revised on October 24, 2012 to allocate funds to SamTrans, and BART and to rescind funds from CCCTA.

Discussion of the allocations made under this resolution is contained in the Summary Sheets and/or Executive Director Memoranda presented to the Programming and Allocations Committee on June 13, 2012, July 11, 2012, September 12, 2012, and October 10, 2012.

Date: June 27, 2012
Referred by: PAC

Re: Allocation of Fiscal Year 2012-13 State Transit Assistance to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4062

WHEREAS, pursuant to Government Code § 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area ; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., provides that the State Controller shall, pursuant to Public Utilities Code Section 99310, allocate funds in the Public Transportation Account (“PTA”) to the MTC region to be subsequently allocated by MTC to eligible claimants in the region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6, MTC has created a State Transit Assistance (“STA”) fund which resides with the Alameda County Auditor for the deposit of PTA funds allocated to the MTC region; and

WHEREAS, pursuant to Public Utilities Code Sections 99314.5(a) and 99314.5(b), claimants eligible for Transportation Development Act Article 4 and Article 8 funds are eligible claimants for State Transit Assistance funds; and

WHEREAS, eligible claimants have submitted applications to MTC for the allocation of fiscal year 2012-13 STA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2012-13 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6754, and MTC Resolution No. 2310, Revised, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

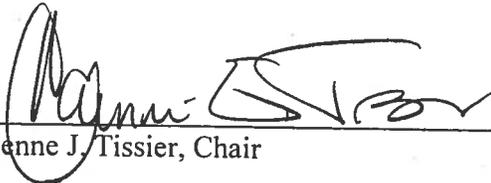
RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2012-13 STA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that, pursuant to 21 Cal. Code of Regs. §§ 6621 and 6753, a certified copy of this resolution, along with written allocation instructions for the disbursement of STA funds as allocated herein, shall be forwarded to the Alameda County Auditor; and, be it further

RESOLVED, that all STA allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION



Adrienne J. Tissier, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on June 27, 2012.

Date: June 27, 2012
 Referred by: PAC
 Revised: 07/25/12-C
 07/30/12-DA
 09/26/12-C
 10/24/12-C

Attachment A
 MTC Resolution No. 4062
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ALLOCATION OF STATE TRANSIT ASSISTANCE FUNDS
 DURING FISCAL YEAR 2012-13

All STA allocations are subject to continued compliance with MTC Resolution 3866, Revised,
 the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area
5821 - 6730B Capital Costs - Population-based MTC Regional Coordination					
MTC	Clipper	12,189,000	01	06/27/12	MTC
	Subtotal	12,189,000			
5820 - 6730A Operating Costs - Population-based Small Operator/Northern Counties					
ECCTA	Transit Operating	1,668,266	09	07/25/12	Contra Costa County
	Subtotal	1,668,266			
5820 - 6730A Operating Costs - Population-based Regional Paratransit					
AC Transit	Paratransit Operating	1,152,857	02	06/27/12	Alameda County
SFMTA	Paratransit Operating	1,233,741	10	07/25/12	San Francisco County
VTA	Paratransit Operating	1,411,211	14	09/26/12	Santa Clara County
	Subtotal	3,797,809			
5820 - 6730A Operating Costs - Revenue-based					
WCCTA	Transit Operating	1,861,727	03	06/27/12	BART
AC Transit	Transit Operating	2,460,000	04	06/27/12	BART
AC Transit	Transit Operating	12,180,810	05	06/27/12	AC Transit D1 (Alamed
ECCTA	Transit Operating	1,963,547	11	07/25/12	BART
SFMTA	Transit Operating	48,664,622	12	07/25/12	SFMTA
VTA	Transit Operating	13,318,870	15	09/26/12	VTA
SamTrans	Transit Operating	4,186,638	16	10/24/12	SamTrans
SamTrans	Transit Operating	5,310,982	17	10/24/12	Caltrain
BART	Transit Operating	17,305,464	18	10/24/12	BART
	Subtotal	107,252,660			
5820 - 6730A Operations - Population-based Lifeline					
AC Transit	Cycle 3: Preserve Existing Service	4,129,771	06	06/27/12	Alameda County
AC Transit	Cycle 3: Preserve Existing Service	(1,919,781)	06	07/30/12-DA	Alameda County
AC Transit	Interim Cycle: Preserve Service	2,937,632	06	09/26/12	Alameda County
AC Transit	Cycle 2: Preserve Existing Service	330	06	09/26/12	Alameda County
	Subtotal	5,147,952			
5820 - 6730A Operating Costs - Population-based MTC Regional Coordination					
MTC	Clipper	5,274,000	07	06/27/12	MTC
	Subtotal	5,274,000			
5828 - 6731B Planning and Admin - Population-based Small Operator/Northern Counties					
Solano TA	Planning and Admin	1,106,857	13	07/25/12	Solano County
	Subtotal	1,106,857			
5822 - 6731C Paratransit - Operating - Population-based Small Operator/Northern Counties					
CCCTA	Transit Operating	3,803,068	08	06/27/12	CCCTA
CCCTA	Transit Operating	(1,128,644)	08	10/24/12	CCCTA
	Subtotal	2,674,424			
	TOTAL	139,110,968			