



METROPOLITAN
TRANSPORTATION
COMMISSION

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Memorandum

TO: Transit Finance Working Group

DATE: October 3, 2012

FR: Amy Burch

RE: Prop 1B Update: Transit (PTMISEA) and Transit Security (CTSGP)

Information that staff have updated since the September TFWG meeting is in italics.

Recent and Upcoming Deadlines

- Due to Caltrans October 5, 2012: Allocation requests for new FY2010-11 PTMISEA projects. Note that project sponsors may submit allocation requests to Caltrans before October 5th, and Bay Area agencies have nearly \$50 million in unrequested revenue-based PTMISEA funds. Please use the allocation request form available on Caltrans' website and updated as of July 25, 2012 (website listed below in contact info).
- Due to CalEMA October 31, 2012: Performance reports for all open projects.

CTSGP – Program Status

FY 2012 and 2013: In January, MTC programmed approximately \$5.5 million in FY2011-12 population-based Transit Security funds. *CalEMA plans to release draft guidance for FY2012-13 in November and Investment Justifications will be due in January.*

FY 2010 and 2011: \$60 million appropriated each year for the Transit Security program. FY2011 funds are available to request until June 2012. In February 2011, the Commission approved about \$5.4 million in FY2011 population-based funds for the Transit Security program.

FY 2008 and 2009: Requests from these fiscal years have been paid. Please submit close out reports for any completed projects as soon as possible.

PTMISEA – Program Status

FY 2011: \$1.5 billion appropriated statewide and available to allocate until June 2013. FY2011 appropriations represent three years of funding. Because of this, there is no planned allocation of PTMISEA funds for FY2012 or FY2013. MTC staff submitted \$76 million in FY2011 population-based requests to Caltrans in March 2011. MTC staff submitted requests for approximately \$46.5 million in FY2011 funds in the Lifeline category in May and June 2012.

Approximately \$123.7 million of the Bay Area's FY2011 PTMISEA requests have been paid to date. There may be another bond sale in the fall of this year. The CTC is authorizing allocations of Proposition 1B funds prior to bond sales this fall; however the funds are going to CTC-

administered 1B programs. Caltrans anticipates that allocations for PTMISEA will be released after a fall bond sale.

FY 2008, 2009 and 2010: The State paid the region's remaining FY2008 and 2009 requests in March 2011. Attachment 1 is a funding summary of PTMISEA allocations.

PTMISEA and CTSGP Contact Information

- PTMISEA – Stefanie Acton 916. 654.8172 stefanie_acton@dot.ca.gov
- CTSGP – Amber Lane 916.845.8030 amber.lane@calema.ca.gov
- PTMISEA website: <http://www.dot.ca.gov/hq/MassTrans/Proposition-1B.html>
- CTSGP website: <http://www.homeland.ca.gov/transitsystemsafety.html>
- MTC – Amy Burch 510.817.5735 aburch@mtc.ca.gov
- MTC – Kenneth Folan 510.817.5804 kfolan@mtc.ca.gov

DRAFT - POPULATION-BASED PROPOSITION 1B - PTMISEA

Investment Category	Original MTC Estimated Prop 1B Total (FY 08 - 17)	Total Remaining (FY 10-17) from SCO *3*	FY 2007-08			FY 2008-09			FY 2009-10			FY 2010-11				
			FY 2007-08 Appropriated	Actual Allocations (Paid)	CARRYOVER Unallocated FY 2007-08	A	B	C=A+B	D	FY 2009-10 Appropriated	FY 2009-10 Total Available - Including Adjustment for Urban Core Payback to Lifeline	Actual Allocations (Paid)	E	FY 2010-11 Appropriated	FY 2010-11 Requests Pending Caltrans Approval and Future Bond Sale	Remaining to Allocate FY 2010-11
Lifeline Transportation Program																
Alameda	30,688,000	22,434,096	1,734,416	5,098,588						2,884,930	2,884,930	2,884,930				
Contra Costa	14,000,000	10,234,533	791,248		212,018	1,804,823	2,016,841	2,016,841		1,316,118	1,316,118	1,316,118				
Marin	3,024,000	2,210,659	170,910		45,796	389,842	435,638	435,638		284,281	284,281	284,281				
Napa	1,904,000	1,391,896	107,610		28,834	245,456	274,290	274,290		178,992	178,992	178,992				
San Francisco *1*	16,912,000	13,434,055	955,828		256,123	2,180,226	2,436,349	1,365,610	1,589,870	2,660,604	1,768,870					
San Mateo	7,952,000	5,958,781	449,429		120,426	1,025,140	1,145,566	1,000,000		747,555	893,121	893,120				
Santa Clara	24,304,000	18,958,018	1,373,607		368,063	3,133,173	3,501,236	2,310,367	2,284,781	3,475,650	3,475,650					
Solano	6,160,000	4,503,194	348,149		93,288	794,122	887,410	887,410		579,092	579,092	579,092				
Sonoma	7,056,000	5,158,205	398,789		106,857	909,631	1,016,488	1,016,488		663,323	663,323	663,323				
MTC - Regional Projects *2*			12,278,000	12,278,000												
Subtotal - Lifeline Program	112,000,000	84,283,437	18,607,987	17,376,588	1,231,399	10,482,413	11,713,818	9,306,644	10,528,942	12,936,111	12,044,376					

See Resolution 4033 Distribution below for FY2010-11 Appropriations

1 In FY 2008-09, MTC approved San Francisco Lifeline projects totaling \$2,436,344. However, Caltrans only approved \$212,000 for work scheduled within 6 months. SF to reapply when contract award within 6 months of funding.
 2 MTC Regional Projects funded with 1B to free up STA funds for Lifeline.
 3 Total Remaining based on SCO letter dated October 30, 2009. The State reduced the original estimate due to changes in PMIA loan interest and administrative charges. The State may revise this estimate again in the future.

Lifeline Transportation Program - Distribution per Resolution 4033 commencing with FY 2010-11 Appropriations

AC Transit													8,403,487	8,403,487		
BART													8,173,010	8,173,010		
CCCTA													484,534	484,534		
Golden Gate Transit/Marin Transit													1,477,729	1,477,729		
LAVTA													240,910	240,910		
SFMTA													11,723,430	11,723,430		
SamTrans													2,272,697	2,272,697		
ECCTA													327,019	327,019		
NCTPA													597,647	597,647		
VTA													9,186,049	9,186,049		
WestCat													147,335	147,335		
Solano County Operators													1,547,328	1,547,328		
Sonoma County Operators													1,938,791	1,938,791		
Subtotal - Lifeline Program													46,519,967	46,519,967		

Urban Core Transit Improvements

BART Seismic	24,000,000			24,000,000												
San Francisco Muni Central Subway	100,000,000	82,882,935				15,000,000		16,070,728		(1,070,734)	8,554,268		54,667,911			
Santa Clara VTA Line 522/523 BRT	45,000,000	34,802,176		9,726,977									10,000,000		10,000,000	
BART to Warm Springs	17,000,000	15,485,685						1,336,440		(1,336,440)	8,338,275		6,987,098		6,987,098	
East Contra Costa BART Extension	17,000,000	12,822,752				3,999,373		3,999,373					12,662,433		12,662,433	
Subtotal - Urban Core	203,000,000	145,993,548	33,726,977	33,726,977		18,999,373		21,406,541	19,083,710	16,676,541	16,892,543	84,317,442	84,317,442			29,649,531

*Note: To meet timely use of funds requirements, MTC advanced \$2.4 million in FY 08-09 funds to the Urban Core from the Lifeline category. This advance has been credited to the FY 2009-10 Lifeline funding available: San Francisco \$1,070,739; San Mateo \$145,566; Santa Clara \$1,190,869.
 *To meet timely use of funds requirements for FY 2009-10 funds, MTC again advanced funds to the Urban Core from the Lifeline category. In May 2011 the Commission approved an advance totaling \$216,000 in FY 09-10 funds for San Francisco, which will be credited back to Lifeline in future cycles.
 *SFMTA's FY 10-11 Urban Core allocation requests include \$17.5M as part of a 1:1 funding exchange between AC Transit, BART and SFMTA that MTC approved on June 22, 2011 (see MTC Reso. 3880 and 3831, Revised).
 The \$17.5M in PTMISEA funds from SFMTA was exchanged for \$17.5M in CMAQ funds. The PTMISEA funds are allocated to BART's Train Control Switch Replacement project.

Small Operators/North Counties

Marin	3,404,473	2,488,800	565,629	565,629		318,635		318,635	320,049	320,049	320,049	1,414,071	1,414,071			546,355
Napa	1,806,699	1,320,766	300,170	300,170		169,094		169,094	169,845	169,845	169,845	750,425	750,425			
Solano (includes Vallejo)	5,682,360	4,154,021	944,083	944,082		531,829		531,829	534,190	534,190	534,190	2,360,208		2,360,208		
Sonoma	6,449,431	4,714,780	1,071,526	1,071,526		603,621		603,621	606,301	606,301	606,300	2,678,816	2,678,816			1,471,964
CCCTA	6,555,668	4,792,443	1,089,177	1,089,177		613,564		613,564	616,288	616,288	616,288	2,722,941	2,722,941			1,463,184
ECCTA	3,654,151	2,671,324	607,111	607,111		342,003		342,003	343,521	343,521	343,521	1,517,777	1,517,777			
LAVTA	2,583,887	1,888,920	429,294	429,294		241,834		241,834	242,907	242,907	242,907	1,073,235	1,073,235			1,073,235
Union City	956,272	699,071	158,878	158,878		89,500		89,500	89,898	89,898	89,898	397,194	397,194			
WestCat	907,058	663,094	150,701	150,701		84,894		84,894	85,271	85,271	85,271	376,753	376,753			421,988
Subtotal - Small Operators/North Counties	32,000,000	23,393,218	5,316,568	5,316,568		2,994,974		2,994,974	3,008,270	3,008,270	3,008,269	13,291,420	10,931,212	2,360,208		6,494,503

Population-based Total	347,000,000	253,670,208	57,651,532	56,420,133	1,231,399	32,476,760	11,713,818	33,708,159	32,620,922	32,620,922	31,945,188	144,128,829	141,768,621	2,360,208		36,144,034
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	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	Total Appropriated	Remaining to Appropriate
Total State-wide Appropriated	600,000,000	350,000,000	350,000,000	1,500,000,000	2,800,000,000	800,000,000

All PTMISEA funds are available for allocation until June 30, 2013, and available for encumbrance and liquidation until June 30, 2017.

DRAFT - REVENUE-BASED PROPOSITION 1B - PTMISEA

Agency	Original MTC Estimated Prop 1B Total (FY 08 - 17)	Total Remaining (FY 10-17) from SCO *	FY 2007-08		FY 2008-09		FY 2009-10		FY 2008-09 and FY 2009-10	FY 2010-11			
			FY 2007-08 Appropriated	Actual Allocations (Paid)	FY 2008-09 Appropriated	Actual Allocations (Paid)	FY 2009-10 Appropriated	Actual Allocations (Paid)	Remaining to Allocate	FY 2010-11 Appropriated	FY 2010-11 Requests Pending Caltrans Approval and Future Bond Sale	Remaining to Allocate FY 2010-11	Actual Allocations (Paid)
Alameda CMA - for ACE	1,699,328	1,245,898	283,155	283,155	159,509	159,509	160,217	160,217		707,887	707,887	-	707,887
Benicia	129,528	94,966	21,583	21,583	12,158	12,158	12,212	12,212	24,370	53,957		53,957	-
Caltrain	41,108,705	30,139,739	6,849,847	6,849,847	3,858,715	3,858,715	3,875,844	3,875,844		17,124,618		17,124,618	-
CCCTA	5,117,254	3,751,827	852,676	852,676	480,337	480,337	482,469	482,469		2,131,691	2,131,691	-	-
Dixon	41,542	30,459	6,922	6,922	3,900	3,900	3,917	3,917		17,306	17,306	-	17,306
ECCTA	2,076,372	1,522,337	345,981	345,981	194,901	194,901	195,766	195,766		864,952	864,952	-	864,952
Fairfield	724,664	531,302	120,749	120,749	68,021	68,021	68,323	68,323		301,872	301,872	-	-
GGBHTD	35,123,114	25,751,271	5,852,482	5,852,482	3,296,871	3,296,871	3,311,505	3,311,505		14,631,204	13,338,908	1,292,296	-
Healdsburg	11,217	8,222	1,869	1,869	1,053	1,053	1,057	1,057		4,671	4,671	-	4,671
LAVTA	1,606,102	1,177,550	267,621	267,621	150,759	150,759	151,428	151,428		669,053	669,053	-	669,053
NCPTA	429,082	314,592	71,497	71,497	40,276	40,276	40,455	40,455		178,743	178,743	-	178,743
SamTrans	48,424,898	35,503,763	8,068,927	8,068,927	2,568,430	2,568,430	4,565,635	4,565,635		20,172,317	18,716,271	1,456,046	199,960
Santa Rosa	1,099,151	805,867	183,149	183,149	103,173	103,173	103,631	103,631		457,873		457,873	-
Sonoma County Transit	1,392,500	1,020,940	232,029	232,029	130,708	130,708	131,289	131,289		580,072	580,072	-	580,072
Union City	411,210	301,488	68,519	68,519	38,599	38,599	38,770	38,770		171,297	171,297	-	-
Vallejo	5,933,235	4,350,078	988,641	988,641	556,930	556,930	559,402	559,402		2,471,601		2,471,601	-
VTA	143,993,645	105,572,064	23,993,323	23,993,323	13,516,126	13,516,126	13,576,124	13,576,124		59,983,308	34,604,590	25,378,718	126,257
VTA - for ACE	2,371,371	1,738,624	395,136	395,136	222,592	222,592	223,580	223,580		987,841		987,841	-
WestCAT	2,484,810	1,821,792	414,038	414,038	233,239	233,239	234,275	234,275		1,035,095	1,035,095	-	835,095
SUBTOTAL	294,177,728	215,682,779	49,018,144	49,018,144	25,636,297	25,624,139	27,735,899	27,723,687	24,370	122,545,358	73,322,408	49,222,950	4,183,996

AC Transit	94,030,133	68,940,231	15,668,020	15,668,020	8,826,245	8,826,245	8,865,424	8,865,424		39,170,051	39,170,051	-	20,000,000
BART	235,238,734	172,470,379	39,197,278	39,197,278	24,057,977	24,057,977	22,178,966	22,178,966		97,993,194	97,993,194	-	14,999,373
SFMTA	309,462,843	226,889,394	51,565,067	51,565,067	29,048,079	29,048,079	29,177,022	29,177,022		128,912,666	128,912,666	-	48,400,000
SUBTOTAL	638,731,711	468,300,004	106,430,365	106,430,365	61,932,301	61,932,301	60,221,412	60,221,412	-	266,075,911	266,075,911	-	83,399,373
Revenue-based Total	932,909,439	683,982,783	155,448,509	155,448,509	87,568,598	87,556,440	87,957,311	87,945,099	24,370	388,621,269	339,398,319	49,222,950	87,583,369

	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	Total Appropriated	Remaining to Appropriate
Total State-wide Appropriated	600,000,000	350,000,000	350,000,000	1,500,000,000	2,800,000,000	800,000,000

All PTMISEA funds are available for allocation until June 30, 2013, and available for encumbrance and liquidation until June 30, 2017.

J:\PROJECT\Funding\Infrastructure Bond\I-Bond\Transit\MTC Regional Transit Proposal - \$347M_Project Summary PTMISEA\PTMISEA Project List_08.21.2012.xlsx|REV

*Total Remaining based on SCO letter dated October 30, 2009. The State reduced the original estimate due to changes in PMIA loan interest and administrative charges. The State may revise this estimate again in the future.