

Date: June 27, 2012  
Referred by: PAC  
Revised: 07/25/12-C  
07/30/12-DA  
09/26/12-C

## ABSTRACT

### Resolution No. 4062, Revised

This resolution approves the allocation of State Transit Assistance (STA) funds for fiscal year 2012-13.

This resolution was revised on July 25, 2012 to allocate funds to additional operators.

This resolution was revised by Delegated Authority on July 30, 2012 to rescind Lifeline funds from AC Transit in order to allow other operators to claim their share of FY 12 revenues. The funds will be reallocated this fiscal year based on actual STA receipts.

This resolution was revised on September 26, 2012 to allocate funds to VTA and AC Transit.

Discussion of the allocations made under this resolution is contained in the Summary Sheets and/or Executive Director Memoranda presented to the Programming and Allocations Committee on June 13, 2012, July 11, 2012, and September 12, 2012.

Date: June 27, 2012  
Referred by: PAC

Re: Allocation of Fiscal Year 2012-13 State Transit Assistance to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4062

WHEREAS, pursuant to Government Code § 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area ; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., provides that the State Controller shall, pursuant to Public Utilities Code Section 99310, allocate funds in the Public Transportation Account (“PTA”) to the MTC region to be subsequently allocated by MTC to eligible claimants in the region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6, MTC has created a State Transit Assistance (“STA”) fund which resides with the Alameda County Auditor for the deposit of PTA funds allocated to the MTC region; and

WHEREAS, pursuant to Public Utilities Code Sections 99314.5(a) and 99314.5(b), claimants eligible for Transportation Development Act Article 4 and Article 8 funds are eligible claimants for State Transit Assistance funds; and

WHEREAS, eligible claimants have submitted applications to MTC for the allocation of fiscal year 2012-13 STA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2012-13 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6754, and MTC Resolution No. 2310, Revised, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

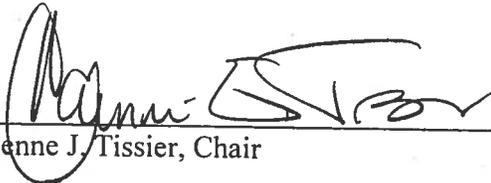
RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2012-13 STA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that, pursuant to 21 Cal. Code of Regs. §§ 6621 and 6753, a certified copy of this resolution, along with written allocation instructions for the disbursement of STA funds as allocated herein, shall be forwarded to the Alameda County Auditor; and, be it further

RESOLVED, that all STA allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION

  
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Adrienne J. Tissier, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on June 27, 2012.

Date: June 27, 2012  
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Attachment A  
 MTC Resolution No. 4062  
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ALLOCATION OF STATE TRANSIT ASSISTANCE FUNDS  
 DURING FISCAL YEAR 2012-13

All STA allocations are subject to continued compliance with MTC Resolution 3866, Revised,  
 the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area
<b>5821 - 6730B Capital Costs - Population-based MTC Regional Coordination</b>					
MTC	Clipper	12,189,000	01	06/27/12	MTC
		<b>Subtotal</b>			
		<b>12,189,000</b>			
<b>5820 - 6730A Operating Costs - Population-based Small Operator/Northern Counties</b>					
ECCTA	Transit Operating	1,668,266	09	07/25/12	Contra Costa County
		<b>Subtotal</b>			
		<b>1,668,266</b>			
<b>5820 - 6730A Operating Costs - Population-based Regional Paratransit</b>					
AC Transit	Paratransit Operating	1,152,857	02	06/27/12	Alameda County
SFMTA	Paratransit Operating	1,233,741	10	07/25/12	San Francisco County
VTA	Paratransit Operating	1,411,211	14	09/26/12	Santa Clara County
		<b>Subtotal</b>			
		<b>3,797,809</b>			
<b>5820 - 6730A Operating Costs - Revenue-based</b>					
WCCTA	Transit Operating	1,861,727	03	06/27/12	BART
AC Transit	Transit Operating	2,460,000	04	06/27/12	BART
AC Transit	Transit Operating	12,180,810	05	06/27/12	AC Transit D1 (Alamec
ECCTA	Transit Operating	1,963,547	11	07/25/12	BART
SFMTA	Transit Operating	48,664,622	12	07/25/12	SFMTA
VTA	Transit Operating	13,318,870	15	09/26/12	VTA
		<b>Subtotal</b>			
		<b>80,449,576</b>			
<b>5820 - 6730A Operations - Population-based Lifeline</b>					
AC Transit	Cycle 3: Preserve Existing Service	4,129,771	06	06/27/12	Alameda County
AC Transit	Cycle 3: Preserve Existing Service	(1,919,781)	06	07/30/12-DA	Alameda County
AC Transit	Interim Cycle: Preserve Service	2,937,632	06	09/26/12	Alameda County
AC Transit	Cycle 2: Preserve Existing Service	330	06	09/26/12	Alameda County
		<b>Subtotal</b>			
		<b>5,147,952</b>			
<b>5820 - 6730A Operating Costs - Population-based MTC Regional Coordination</b>					
MTC	Clipper	5,274,000	07	06/27/12	MTC
		<b>Subtotal</b>			
		<b>5,274,000</b>			
<b>5828 - 6731B Planning and Admin - Population-based Small Operator/Northern Counties</b>					
Solano TA	Planning and Admin	1,106,857	13	07/25/12	Solano County
		<b>Subtotal</b>			
		<b>1,106,857</b>			
<b>5822 - 6731C Paratransit - Operating - Population-based Small Operator/Northern Counties</b>					
CCCTA	Transit Operating	3,803,068	08	06/27/12	CCCTA
		<b>Subtotal</b>			
		<b>3,803,068</b>			
		<b>TOTAL</b>			
		<b>113,436,528</b>			

ALLOCATION OF FISCAL YEAR 2012-13 STATE TRANSIT ASSISTANCE FUNDS  
TO CLAIMANTS IN THE MTC REGION

**FINDINGS**

The following findings pertain, as the case may be, to claimants to which State Transit Assistance funds are allocated under this resolution.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with PUC §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 Cal. Code of Regs. § 6651), and with the applicable state regulations (21 Cal. Code of Regs. § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of PUC § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (PUC §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), or with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in PUC §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That each claimant is making full use of federal funds available under the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users Act for the 21<sup>st</sup> Century (SAFETEA), as amended; and
5. That the sum of each claimant's allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount the claimant is eligible to receive, in accordance with the calculations prescribed by 21 Cal. Code of Regs. § 6633.1 or § 6634; and

6. That MTC has given priority consideration to claims to offset reductions in federal operating assistance and the unanticipated increase in the cost of fuel, to enhance existing public transportation services, and to meet high priority regional, countywide, or areawide public transportation needs; and
7. That each claimant has made a reasonable effort to implement the productivity improvements recommended pursuant to PUC § 99244; and
8. That each claimant has submitted to MTC a copy of a certification from the California Highway Patrol verifying that the claimant is in compliance with Section 1808.1 of the Vehicle Code (“Pull Notice Program”), as required by PUC § 99251; and
9. That each claimant is in compliance with the eligibility requirements of PUC §§ 99314.6 or 99314.7; and
10. That each claimant has certified that it has entered into a joint fare revenue sharing agreement with every connecting transit operator, and that it is in compliance with MTC’s Transit Coordination Implementation Plan, pursuant to Government Code §§ 66516 and 66516.5, PUC §§ 99314.5(c) and §99314.7, and MTC Resolution No. 3055, Revised.