

# THE BAY AREA PARTNERSHIP

## Partnership Technical Advisory Committee

Monday, September 15, 2003, 1:30 p.m. – 3:30 p.m.

Auditorium, MTC  
101 8<sup>th</sup> Street, Oakland, CA

### AGENDA

1. Introductions
2. Minutes of July 21, 2003 PTAC Meeting \*
3. Report of August/September Joint Finance Working Group (Kline/Watry)

#### Discussion Items

4. Legislative Update: Federal TEA-21 Reauthorization Bill \* (Bockelman)  
*Report on any information on a continuing resolution and impacts to obligation authority availability.*
5. SB 916: Toll Bridge Revenues - Perata (Long)  
*Provide an update on status of legislation to authorize a local vote on increasing Bay Area bridge tolls by \$1.*
6. Performance Measures Update \* (Klein)  
*Provide an update on the performance measures for the Transportation 2030 (2005 RTP) and introduction to the upcoming online project submittal process.*
7. TIP Financial Constraint \* (Odunlami)  
*In response to a federal request, MTC and other regions in the State are updating the financial constraint analyses of their current TIPs to reflect the current fiscal climate of the State.*
8. CTC Update & Revised STIP Schedule \* (McKeown)  
*Recap of the August CTC meeting and impacts of the state budget on regional programs and projects, and revision to 2004 STIP Schedule.*
9. Regional Project Delivery Policy Proposal for TEA-21 Reauthorization \* (Folan)  
*MTC has been working with the Project Delivery Task Force to update the project delivery policies for TEA-21 Reauthorization STP, CMAQ, and TEA funds.*

#### Information Items

10. Transportation 2030 - Partnership Board Meeting Follow-up (Kimsey)
11. Caltrans Local Assistance Resource Reduction \* (McKeown)
12. Obligation Authority Status \* (McKeown)
13. STP/CMAQ/TEA Update \* (Folan)
14. STIP Update \* (Folan)
15. Other Business: Next meeting – Monday, October 20, 2003,  
1:30 pm to 3:30 pm in the MetroCenter Auditorium

\* Agenda Items attached

Contact Ross McKeown at 510.464.7842 if you have questions about this agenda.

**Public Comment:** The public is encouraged to comment on agenda items at committee meetings by completing a request-to-speak card (available from staff) and passing it to the committee secretary or chairperson. Public comment may be limited by any of the procedures set forth in Section 3.09 of MTC's Procedures Manual (Resolution No. 1058, Revised) if, in the chair's judgment, it is necessary to maintain the orderly flow of business.

**Record of Meeting:** MTC meetings are taped recorded. Copies of recordings are available at nominal charge, or recordings may be listened to at MTC offices by appointment.

**Sign Language Interpreter or Reader:** If requested three (3) working days in advance, sign language interpreter or reader will be provided; for information on getting written materials in alternate formats call 510/464-7787.

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1. Introductions  
Duncan Watry (Vice -Chair) requested introductions.
2. Minutes of June 16, 2003 PTAC Meeting  
The minutes were approved without comment.
3. Report of July Joint Finance Working Group  
Duncan Watry reported that the Finance Working Group met on July 2<sup>nd</sup>. The Project Delivery Section was mostly concerned with the RTIP and the RTIP application that is being developed by Caltrans. Geoff Kline gave an update on the activities of the Project Delivery Task Force.

The Joint Working Group discussed the status of the State budget and STIP.

The Transit Group dealt mostly with the FY 2003/04 Section 5307 and 5309 programs. There was also discussion about the usefulness of the F-Plan as a programming tool.

### DISCUSSION ITEMS

4. Legislative and State Budget Update  
Rebecca Long (MTC) said that the State budget is still stuck in stalemate in Sacramento. The most recent version being contemplated has less for TCRP than the \$207 million proposed in the Senate and will probably end up with only \$189 million. In addition, \$100 million may be proposed for the STIP (from Proposition 42 funds). The old formula, 40%/40%/20%, is no longer being contemplated for this year.

Caltrans has announced a possible short term solution to the budget crises, as outlined in Dianne Steinhauser's memo. The proposed possible solution would include:

- a number of contractors agreeing to keep working with the expectation that payment will be delayed
- several cities and counties agreeing to advance their share of a project's funding for any near-term payments
- a number of self-help counties agreeing to loan the State dollars or use their share of a project's funding for near-term payments in order to keep their counties' projects going
- the "borrowing" of FFY 2002-03 federal obligation authority (OA) that is due to come to the regions for projects that have not been obligated yet this year.

Some other steps Caltrans is considering include:

- the de-obligation of inactive projects,
- the de-obligation of projects that may have received an obligation but have not taken the next step that guarantees reimbursement from the State and feds, which is the approval by Caltrans and the sponsor of a program supplement, or "funding agreement"
- the de-obligation of unliquidated balances on projects that may be well under construction, but have not fully expended their obligations.

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There are \$110 million in OA that needs to be spent or Caltrans will use the funds.

Rebecca was asked about the required agreement between Caltrans and contractors to allow them to keep working? Paul Maxwell asked about the moratorium on advertising new contracts. This restriction would create problems for CMAs.

Kenneth Folan (MTC) distributed a memo and list of on going projects and the status of each project.

- Contractor-Financed Contracts - Tentative (These contracts will continue provided the Contractor finances them) **MTC Region Total: \$185,323,000**
- City/County-Financed Contracts - Tentative (These contracts will continue provided the local agency finances them) **MTC Region Total: \$65,738,000**
- Ongoing Contracts Subject to Suspension - Tentative (These contracts are at risk of being suspended unless a budget is passed) **MTC Region Total: \$170,465,000**
- Self-Help County Financed Contracts - Tentative (These contracts will continue provided the County finances them) **MTC Region Total: \$0**
- Contracts Advertised and Bid Opening Delayed (These contract bid openings are being delayed at least two weeks pending a state budget) **MTC Region Total: \$56,358,000**

Rebecca continued her discussion with some recent developments in the Federal SAFETEA legislation. A memo was distributed outlining what each of the two houses were proposing. Some of the highlights from the House Appropriation Subcommittee on Transportation include: \$3 billion more highway funding over last year's amount, \$147 less funding for the New Starts Program, JARC would receive 19% less, AMTRAK funding would be cut from \$1 billion to \$500 million and would be eligible for funding from the Highway Trust Fund. AASHTO expressed opposition to this proposal.

Rebecca was asked about the provision that would convert the JARC program to a formula basis rather than a discretionary program and how this might affect the region. Rebecca said that the region generally fares better under formula programs, but that also means that individual agencies would have no ability to seek direct earmarks.

### 5. Transportation 2030 Status Update

Doug Kimsey (MTC) discussed the various documents distributed in the Committee packet.

He said that the June 14<sup>th</sup>, 2003 Regional Summit resulted in many useful suggestions which will become subjects for future discussion:

- "Big Tent": A More Comprehensive Plan
- Lifeline Transportation
- Local Roads/Transit Shortfalls
- Regional Projects/Customer Service, etc.

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- Freight
- Resolution 3434 Update
- Interregional Coordination

Doug said the plan is to have MTC define the Regional Program and then turn the process over to the CMAs for project selection. The steps to achieve this goal will include:

- Start development of Regional Program
- Policy, focus groups, outreach meetings
- Define the program by end of year
- CMAs get involved my May 2004
- Finish by end of 2004

The three primary issues to be addressed in the program are:

- 1) New Investment vs Prior Commitments
- 2) Goals, Policies, Projects
- 3) Transportation Land Use Issue

Doug was asked about the lack of fiscal restraints on the old RTP and the problems that created. It was suggested that MTC state early on that only a limited number of projects will be able to be funded. Most of the group agreed that there should be fiscal restraints on the list of projects.

It was noted that Prop 42 is mentioned in the report but now that these funds are suspended, where is the revenue going to come from? There was much interest in the Prop 42 funding and it was suggested that an audit be done.

Doug was asked where MTC stands on revenue generating schemes such as Hot Lanes and Toll Roads? He thought that these subjects could be introduced during the discussion of the "Big Tent" projects.

Dorothy asked if MTC had defined what a "Regional Program" is? She suggested that MTC not loose the opportunity of using the local agencies to coordinate a Regional Project definition.

### 6. Caltrans Project Study Reports

A Caltrans representative reported that six of the nine counties submitted 20 projects for PSRs. The three lists of projects were distributed. The projects fall into four categories:

- 1) Projects that Caltrans can start quickly
- 2) Supplemental list
- 3) Not possible in 2004
- 4) Projects that require Caltrans oversight.

He was asked how Caltrans decides which projects to work on first? Staffing levels determine how many projects Caltrans can work on.

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### 7. 2004 State Transportation Improvement Program (STIP)

Kenneth Folan (MTC) discussed the development of the 2004 RTIP. Even though there will most likely be no funds available to program, the CTC still wants the regions to develop a list by March 1, 2004. The Policies and Procedures for the 2004 RTIP were sent out with the Committee packet. Most likely the projects currently programmed in 2003 will have to be moved to 2005 or later.

Kenneth reported that there are few changes from the last RTIP process. MTC asks that the sponsors send them copies of the applications, as well as to Caltrans. Caltrans is asking that all applications contain some form of Bike/Pedestrian Mitigation section.

MTC will be taking up to half of the PPM funds reserved for the Region, unlike the 2002 STIP when MTC didn't use any of the funds.

There is a move to streamline the Amendment process.

The 2004 Amendment and Extension Guidelines expand the delegated authority of the MTC's Executive Director to improve the concurrence process.

Kenneth was asked what MTC is expecting as far as Bike/Ped mitigation? MTC is still waiting to see what Caltrans is requiring, before they make a decision.

Kenneth was asked how much PPM money MTC will be using? He said he will research the answer and get back to the group.

Kenneth was asked what kinds of amendments can now be processed that weren't allowed in the past? Minor changes, including most deadline extension requests.

### 10. STP/CMAQ/TEA Update

Kenneth continued his report on the list of projects that are in jeopardy of missing the deadline for obligation of funds. He asked the sponsors to review the lists and verify that the information contained in them is correct.

## **Information Items**

### 8. Obligation Authority Status

Ross McKeown (MTC), referring to Dianne's memo, discussed the lack of Obligation Authority (OA) left in the region. He reported that prior to last week, Caltrans was going to release the remaining OA which should have covered all of the Region's needs. Caltrans is no longer able to release the OA because the State has taken all of the funds. The MTC region will receive none until the Federal government approves the reauthorization legislation, or passes a continuing resolution allowing another year's funding to be made available.

All projects to remain on hold until the State receives additional OA. There are 142 projects, totaling \$106 million in the Region that are impacted by the lack of OA.

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### 9. CTC Update

Ross McKeown (MTC) reported that because there are no funds to program, there will probably be a "Negative STIP". Sponsors will be able to move projects around and may possibly need to de-program some projects.

MTC is arguing that the Region or the Counties should have the authority to decide which projects will be re-programmed in the Region.

PPM – the CTC will not be making any allocations in the near future. Counties that have PPM funds programmed in the STIP may also have to wait. MTC projects will also be affected.

Ross was asked what the Counties should do? He said that Counties may not be able to access their programmed PPM funds and therefore can do nothing.

Ross requested assistance in helping to prioritize a list of projects that will receive any available funds in the future. The old priority method won't work in this situation. The Region must develop a new priority method. Ross was asked when Counties can expect any funds? Perhaps in January of 2004, not before.

Dianne Steinhauser (MTC) arrived to further discuss the funding crisis. She suggested that the self-help counties might be able to loan available funds to keep some projects from being cancelled. There is no hope that the General Funds can help out. Local who are able to loan funds to Caltrans must be sure to have pay-back deadlines in their agreements.

Some contractors will be able to continue working with their own funds and will receive a 10% penalty when they get repaid. Contractors are not obligated to continue.

### 13. Other Business

Next Meeting (Tentative )  
Monday, August 18, 2003  
MetroCenter, Auditorium  
1:30 p.m. – 3:30 p.m.



**METROPOLITAN  
TRANSPORTATION  
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*Memorandum*

TO: Legislation Committee

DATE: September 5, 2003

FR: Executive Director

RE: Federal Reauthorization Update

With the expiration of TEA 21 less than a month away, the focus has shifted from a discussion of a comprehensive six-year reauthorization bill to one of a short-term extension bill. The Administration released its bill, called SAFETEA, in May of 2003, but the House and Senate have yet to introduce transportation reauthorization proposals. Despite having no formal reauthorization proposals from the House or Senate, the authorizing committees have provided six-year revenue targets and individual members have introduced numerous bills indicating the types of transportation initiatives and funding mechanisms they would like to see in the next bill.

**TEA 21 Extension Bill**

Time is of the essence in getting a short-term extension bill approved by Congress. On September 30<sup>th</sup>, TEA 21 will expire and, unlike six years ago, there is now a provision of the revenue title that prohibits the Federal Highway Administration from making new program obligations, as well as making payments to states for costs incurred from those obligations. Given the potential for federal funds to stop flowing, most expect that Congress will act in September on a short-term extension bill or, at a minimum, on a legislative fix that would allow cash in the Highway Trust Fund to be liquidated to reimburse on existing commitments.

**Various Transportation Bill Proposals**

Without the benefit of a comprehensive reauthorization bill, the transportation bills introduced in the 108<sup>th</sup> Congress provide the best insight into the policy objectives of various members as the Congress moves toward discussion on reauthorization.

There are a host of bills aimed at addressing funding distribution, revenues, and financing, including a bill that increases the guaranteed return on highway trust fund dollars to 95 percent of a state's percent contribution (Highway Funding Act of 2003 – H.R. 2208/S.1090); a bill that relaxes restrictions on interstate tolling for use in road projects that reduce congestion (Freeing Alternatives for Speedy Transportation, or FAST, Act – H.R. 1767/S.1384); a bill that would provide \$50 billion in transportation infrastructure funding through federal bonding (Build America Bonds Act of 2003 – S. 1190); and a bill that would restore equal taxation of ethanol while providing a general-funded tax credit as incentive to use biodiesel fuels (Volumetric Ethanol Excise Tax Credit Act of 2003 – S. 1548).

Another theme of the transportation bill proposals is safety and security. For example, one bill would require the installation of chemical and biological detection devices in enclosed passenger boarding areas of heavy rail transit systems (Commuter Safety Act – H.R. 2301) while another would provide funding for port security enhancements (Port Security Improvement Act of 2003 – H.R. 2193). The Railway Safety Act of 2003 (H.R. 2289) would make grants for projects that add barriers between fixed guideway tracks and residential areas.

Also on the minds of members are transportation alternatives and smart growth strategies. The Pedestrian and Cyclist Equity Act of 2003 (H.R. 2568) would provide community grants for safe routes to school and active living programs. Growing Smarter Through Transportation Infrastructure Act of 2003 (H.R. 2940) would promote the integration of local land use planning and transportation planning.

Several bills have also been introduced that address rail infrastructure specifically. Of particular interest is RIDE 21 (H.R. 2571) that would provide up to \$12 billion in tax-exempt and tax-credit status for bonds issued by states for development of high-speed rail corridors and up to \$35 billion in loan and loan guarantees for rail projects under the Railroad Rehabilitation & Infrastructure Financing (RRIF) program.

On the transit side, a bill has been introduced that would improve transit in national parks (Transit in Parks Act – H.R. 2382/S.1032) and another bill would increase funding in the Ferryboat Discretionary Program from roughly \$40 million to \$150 million annually as well as expand eligibility for maintenance facilities (Ferry Transportation Enhancement Act of 2003 – H.R.2704/S.1345).

There are also some priorities of the Bay Area Congressional delegation that have yet to be introduced. Congresswoman Tauscher and Congressman Honda are working on a bill that would guarantee annual funding levels for New Starts projects per the Full Funding Grant Agreement schedule and reward projects that overmatch New Starts funding with a better project rating and expedited rules for advancing projects to design and construction. MTC staff has been working intensively with their staffs on this legislation.

Attachment A lists the nearly 30 House proposals and 20 Senate proposals introduced to date. Assuming a short-term TEA 21 extension is approved by Congress this month, staff will review these individual measures in detail and bring forward any relevant bills for Committee action later this fall.

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Steve Heminger

| Bill Number            | Bill Author/Introducer | Title  | Description   | Status   |
|------------------------|------------------------|--|---|--|
| <b>House Proposals</b> |                        |  |   |  |
| H.R.288                | Inslee                 | Roadway Safety and Congestion Mitigation Improvements Act  | To amend title 23, United States Code, to establish programs to enhance roadway safety and improve transportation efficiency in the United States, and for other purposes.  | Referred to the Subcommittee on Highways and Transit.  |
| H. R. 875              | Young                  | Over-the-Road Bus Security and Safety Act of 2003  | To direct the Secretary of Transportation to make grants for security improvements to over-the-road bus operations, and for other purposes.   | Placed on the Union Calendar, Calendar No. 23.   |
| H.R. 1052              | Blumenauer             | To amend the Internal Revenue Code of 1986 to extend the transportation fringe benefit to bicycle commuters. | To amend the Internal Revenue Code of 1986 to extend the transportation fringe benefit to bicycle commuters.  | Referred to the House Committee on Ways and Means.   |
| H. R. 1148             | Millender-McDonald     | Public Transportation Systems Vulnerability Assessment and Reduction Act of 2003                             | To direct the Secretary of Homeland Security to carry out activities to assess and reduce the vulnerabilities of public transportation systems. The bill proposes \$8 million annually through FY 2006 for projects equipment, training, etc. to ensure preparedness of public transportation systems. The Secretary shall allocate amounts made available for grants under this section in a fiscal year among eligible transportation agencies based on the needs of the agencies for emergency preparedness and response training and equipment. Not less than 10 percent of such amounts shall be allocated to eligible transportation agencies in non-urban areas.   | Referred to the House Committee on Transportation and Infrastructure.  |
| H. R. 1394             | Bernice Johnson        | Intermodal Transportation Act of 2003  | To amend title 49, United States Code, to authorize programs and activities to promote intermodal transportation of passengers, and for other purposes. Three programs are added with the authorizations shown: 1) Intermodal transportation facilities program (\$100 million annually from FY 2004 through FY 2009); 2) Rural connections to airports (\$30 million for FY 2004-FY 2006 and \$35 million for FY 2007-FY 2009); and 3) National transportation information system (\$20 million for FY 2004-2005 and FY 2006 - FY 2009).   | Referred to the House Committee on Transportation and Infrastructure.  |
| H. R.1491              | Oberstar               | Securing Transportation Energy Efficiency for Tomorrow Act of 2003   | To authorize programs and activities to improve energy use related to transportation and infrastructure facilities. Creates programs related to: fuel cell bus development, conserve by bicycling program, and other programs to monitor fuel efficiency and energy conservation for surface, air, rail, and public buildings. Extends transportation fringe benefit to commuters who bicycle, carpool, or use car-sharing.   | Referred to the Subcommittee on Domestic and International Monetary Policy, Trade, and Technology.   |
| H. R. 1617             | Lipinski               | National Rail Infrastructure Program Act   | Creates the National Rail Infrastructure Program Trust Fund to pay for the following eligible activities: projects to maintain and increase track and intermodal yard capacity, to acquire rights-of-way for future expansion, to separate railroad and road crossings and make other railroad and road interface improvements, to separate rail-to-rail crossings, to integrate railroad systems, and to construct, repair, and rehabilitate track and related supporting structures. Funds distributed to states based on the following factors as determined by the Secretary of Transportation: 1) the number of rail miles in the State; 2) the number of rail cars loaded in the State; 3) the number of rail cars unloaded in the State; and 4) the number of railroad and public road grade crossings in the State. | Referred to the Committee on Transportation and Infrastructure, and in addition to the Committee on Ways and Means, for a period to be subsequently determined by the Speaker, in each case for consideration of such provisions as fall within the jurisdiction of the committee concerned. |
| H. R. 1767             | Kennedy                | Freeing Alternatives for Speedy Transportation (FAST) Act  | To amend title 23, United States Code, to empower State and local authorities with tools to eliminate congestion on the Interstate System.  | Referred to the House Committee on Transportation and Infrastructure.  |

| Bill Number | Bill Author/ Introducer | Title  | Description   | Status  |
|-------------|-------------------------|--|---|---|
| H. R. 1980  | Kilpatrick              | Safety, Accountability, and Funding Efficiency for Transportation Act of 2003          | To require government agencies carrying out surface transportation projects to conduct a cost-benefit analysis before procuring architectural, engineering, and related services from a private contractor, and for other purposes.   | Referred to the Committee on Transportation and Infrastructure, and in addition to the Committee on Government Reform, for a period to be subsequently determined by the Speaker, in each case for consideration of such provisions as fall within the jurisdiction of the committee concerned. |
| H. R. 2088  | Young                   | Safe, Accountable, Flexible, and Efficient Transportation Equity Act of 2003 (SAFETEA) | To authorize funds for Federal-aid highways, highway safety programs, and transit programs, and for other purposes.   | Referred to the Subcommittee on Energy and Air Quality.   |
| H. R. 2148  | Andrews                 |  | To amend title 23, United States Code, to require the allocation of surface transportation program funds for the purchase of recycled materials.  | Referred to the House Committee on Transportation and Infrastructure.   |
| H. R. 2192  | Oberstar                | Surface Transportation Board Reform Act of 2003  | To authorize appropriations for the Surface Transportation Board, to enhance railroad competition, and for other purposes. In particular, this section of interest to MTC: 'A commuter authority may make an agreement with a rail carrier or regional transportation authority to use facilities of, and have services provided by, the carrier or authority in the same manner and under the same conditions as may Amtrak under section 24308. In carrying out this section, the Board shall ensure that commuter authorities are able to provide commuter rail passenger transportation that develops the potential of modern rail transportation to meet the commuter rail passenger transportation needs of the United States.' | Referred to the House Committee on Transportation and Infrastructure.   |
| H. R. 2193  | Ose                     | Port Security Improvements Act of 2003   | To provide funding for port security enhancements, and for other purposes.  | Referred to the Committee on Transportation and Infrastructure, and in addition to the Committee on Ways and Means, for a period to be subsequently determined by the Speaker, in each case for consideration of such provisions as fall within the jurisdiction of the committee concerned.    |
| H. R. 2208  | Voinovich               | Highway Funding Equity Act of 2003   | Ensure that each state receives 95 percent of its percent contributions into the Highway Trust Fund (other than the Mass Transit Account).  | Referred to the House Committee on Transportation and Infrastructure.   |
| H.R.2220    | Burgess                 |  | To amend the Transportation Equity Act for the 21st Century with respect to NAFTA corridor planning and development and coordinated border infrastructure and safety.   | Referred to the House Committee on Transportation and Infrastructure.   |
| H.R. 2289   | Andrews                 | Railway Safety Act of 2003   | To authorize the Secretary of Transportation to make grants for projects to construct fences or other barriers to prevent public access to tracks and other hazards of fixed guideway systems in residential areas.   | Referred to the House Committee on Transportation and Infrastructure.   |
| H.R.2301    | Andrews                 | Commuter Safety Act of 2003  | To direct the Secretary of Homeland Security to provide for the installation of chemical and biological detection devices in the enclosed passenger boarding stations of each heavy rail transit system in the United States.   | Referred to the House Committee on Transportation and Infrastructure.   |
| H. R. 2382  | Rahall                  | Transit in Parks Act   | To amend title 49, United States Code, relating to improving transportation in the national parks.  | Referred to the Subcommittee on National Parks, Recreation and Public Lands.  |

| Bill Number             | Bill Author/Introducer | Title  | Description   | Status   |
|-------------------------|------------------------|--|---|--|
| H. R. 2568              | Oberstar               | Pedestrian and Cyclist Equity Act of 2003  | To amend title 23, United States Code, to establish a transportation and active living program, a safe routes to school program, and a nonmotorized transportation pilot program.   | Referred to the House Committee on Transportation and Infrastructure.              |
| H. R. 2571              | Young/<br>Oberstar     | Rail Infrastructure Development and Expansion Act for the 21st Century                 | To provide for the financing of high-speed rail infrastructure, and for other purposes.   |  |
| H. R. 2615              | Costello               | Rebuild America Act of 2003  | The purposes of this act are: (1) To invest in the Nation's infrastructure to enhance the safety, security, and efficiency of highway, transit, aviation, rail, port, environmental, water resources, and public buildings infrastructure; (2) To create jobs and economic activity to put people back to work and stimulate the Nation's economy; (3) To create long-term capital assets for the Nation that will help the United States address its enormous infrastructure needs and improve its economic productivity; and (4) To demonstrate the commitment of the Federal Government to economic recovery, thereby increasing the confidence of consumers and businesses. |  |
| H. R. 2704              | Larsen                 | Ferry Transportation Enhancement Act of 2003   | \$150 million/year (fiscal years 2004 – 2009). Priority would be given in the allocation of funds under this section to those ferry systems and public entities responsible for developing facilities for ferries that carry the greatest number of passengers and vehicles, carry the greatest number of passengers in passenger-only service, or provide critical access to areas that are not well-served by other modes of surface transportation. Also makes ferry maintenance facilities eligible for funds and makes ferries eligible for Clean Fuels funding.   | Referred to the House Committee on Transportation and Infrastructure.              |
| H. R. 2722              | Pitts                  | Transit System Flexibility Protection Act of 2003                                      | To amend title 49, United States Code, to allow additional transit systems greater flexibility with certain mass transportation projects.   | Referred to the House Committee on Transportation and Infrastructure.              |
| H.R. 2914               | Carson                 | Flexibility Incentive Grant Pilot Program  | To amend title 49, United States Code, to provide for the establishment of a flexibility incentive grant program.   | Referred to the House Committee on Transportation and Infrastructure.              |
| H.R.2924                | Baker                  | Railroad Competition Act of 2003   | To amend title 49, United States Code, to enhance competition among and between rail carriers in order to ensure efficient rail service and reasonable rail rates, and for other purposes.  | Referred to the House Committee on Transportation and Infrastructure.              |
| H. R. 2940              | Gerlach                | Growing Smarter Through Transportation Infrastructure Act of 2003                      | To amend titles 23 and 49, United States Code, to promote the integration of local land use planning and transportation planning.   | Referred to the House Committee on Transportation and Infrastructure.              |
| H. RES. 156             | Rogers/<br>Tauscher    | Recognizing the goals and objectives of the Intelligent Transportation Systems Caucus. |   | Referred to the House Committee on Transportation and Infrastructure.              |
| <b>Senate Proposals</b> |                        |  |   |  |
| S. 14                   | Domenici               | Energy Policy Act of 2003  | A bill to enhance the energy security of the United States, and for other purposes.   | Returned to the Calendar. Calendar No. 79.   |
| S. 104                  | Hollings               | National Defense Rail Act  | To establish a national rail passenger transportation system, reauthorize Amtrak, improve security and service on Amtrak, and for other purposes.   | Read twice and referred to the Committee on Commerce, Science, and Transportation. |
| S 354                   | Bingaman               | National Transportation Modeling and Analysis Program Establishment Act                | To authorize the Secretary of Transportation to establish the National Transportation Modeling and Analysis Program to complete an advanced transportation simulation model, and for other purposes.  | Read twice and referred to the Committee on Environment and Public Works.          |
| S. 597                  | Grassley               | To amend the Internal Revenue Code of 1986 to provide energy tax incentives.           | Moves a portion of the taxes from gasohol, or gasoline blended with ethanol, from the general fund into the Highway Trust Fund to ensure that fuel taxes are used for highways, not unrelated government programs.  | Read twice and referred to the Committee on Finance.                               |

| Bill Number | Bill Author/Introducer | Title   | Description   | Status   |
|-------------|------------------------|---|---|--|
| S. 725      | Bingaman               | Tribal Transportation Program Improvement Act of 2003                                 | To amend the Transportation Equity Act for the 21st Century to provide from the Highway Trust Fund additional funding for Indian reservation roads, and for other purposes.   | Committee on Indian Affairs. Date of scheduled hearing. SR-485. 10:00 a.m.   |
| S. 919      | Burns                  | Railroad Competition Act of 2003  | To amend title 49, United States Code, to enhance competition among and between rail carriers in order to ensure efficient rail service and reasonable rail rates, and for other purposes.  | Read twice and referred to the Committee on Commerce, Science, and Transportation.   |
| S. 929      | McCain                 | Over-the-Road Bus Security and Safety Act of 2003                                     | To direct the Secretary of Transportation to make grants for security improvements to over-the-road bus operations, and for other purposes.   | Committee on Commerce, Science, and Transportation. Ordered to be reported with an amendment favorably.                              |
| S. 1032     | Sarbanes               | TRIP Act  | To provide for alternative transportation in certain federally owned or managed areas that are open to the general public. Funding amount is \$90 million annually from FY 2004 through Fy 2009.  | Read twice and referred to the Committee on Energy and Natural Resources.  |
| S. 1072     | Inhofe                 | Safe, Accountable, Flexible, and Efficient Transportation Equity Act of 2003 (SAFETEA | To authorize funds for Federal-aid highways, highway safety programs, and transit programs, and for other purposes.   | Committee on Commerce, Science, and Transportation Subcommittee on Competition, Foreign Commerce, and Infrastructure. Hearings held. |
| S.1090      | DeLay                  | Highway Funding Equity Act of 2003  | Ensure that each state receives 95 percent of its percent contributions into the Highway Trust Fund (other than the Mass Transit Account).  | Read twice and referred to the Committee on Environment and Public Works.  |
| S. 1093     | Snowe                  | Extension of Transportation Fringe Benefits to Bicycle Commuters.                     | To amend the Internal Revenue Code of 1986 to extend the transportation fringe benefit to bicycle commuters.  | Read twice and referred to the Committee on Finance.   |
| S.1109      | Talent/Wyden           | Build America Bonds Act of 2003   | A bill to provide \$50,000,000,000 in new transportation infrastructure funding through Federal bonding to empower States and local governments to complete significant infrastructure projects across all modes of transportation, including roads, rail, transit, aviation, and water, and for other purposes.  | Read twice and referred to the Committee on Finance.   |
| S.1122      | Johnson                | Tribal Transportation Program Improvement Act of 2003                                 | A bill to provide equitable funding for tribal transportation programs, and for other purposes.   | Read twice and referred to the Committee on Indian Affairs.  |
| S. 1169     | Specter                |   | To decrease the United States dependence on imported oil by the year 2015.  | Read twice and referred to the Committee on Commerce, Science, and Transportation.   |
| S. 1384     | Allard                 | Freeing Alternatives for Speedy Transportation (FAST) Act                             | To amend title 23, United States Code, to provide State and local authorities a means by which to eliminate congestion on the Interstate System.  | Read twice and referred to the Committee on Environment and Public Works.  |
| S. 1345     | Murray                 | Ferry Transportation Enhancement Act  | \$150 million/year (fiscal years 2004 – 2009). Priority would be given in the allocation of funds under this section to those ferry systems and public entities responsible for developing facilities for ferries that carry the greatest number of passengers and vehicles, carry the greatest number of passengers in passenger-only service, or provide critical access to areas that are not well-served by other modes of surface transportation. Also makes ferry maintenance facilities eligible for funds and makes ferries eligible for Clean Fuels funding. | Read twice and referred to the Committee on Environment and Public Works.  |
| S.1402      | McCain                 | Federal Railroad Safety Improvement Act   | A bill to authorize appropriations for activities under the Federal railroad safety laws for fiscal years 2004 through 2008, and for other purposes.  | Committee on Commerce, Science, and Transportation. Ordered to be reported with amendments favorably.                                |

| Bill Number | Bill Author/<br>Introducer | Title  | Description   | Status   |
|-------------|----------------------------|--|---|--|
| H.R.1491    | Oberstar                   | Securing Transportation Energy Efficiency for Tomorrow Act of 2003 | To authorize programs and activities to improve energy use related to transportation and infrastructure facilities.   | Referred to the Subcommittee on Domestic and International Monetary Policy, Trade, and Technology. |
| S.1505      | Hutchison                  | American Rail Equity Act of 2003                                   | A bill to establish a National Passenger Rail Office, and for other purposes.   | Read twice and referred to the Committee on Finance.   |
| S. 1548     | Grassley/<br>Baucus        | Volumetric Ethanol Excise Tax Credit (VEETC) Act of 2003           | To amend the Internal Revenue Code of 1986 to provide incentives for the production of renewable fuels and to simplify the administration of the Highway Trust Fund fuel excise taxes, and for other purposes. Under this proposal, ethanol-blended fuel would be taxed under the same rate as gasoline and diesel, 18.4 and 24.4 cents respectively. The bill would create a new biodiesel fuel credit funded out of the general fund instead of the highway trust fund. | Read twice and referred to the Committee on Finance.   |



METROPOLITAN  
TRANSPORTATION  
COMMISSION

Agenda Item 5a

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*Memorandum*

TO: Legislation Committee

DATE: September 5, 2003

FR: Executive Director

RE: Status of Federal Appropriations Bills

Returning from summer recess, Congress has only four weeks between their return and the end of the federal fiscal year — including the expiration of TEA 21. The House Appropriations Committee has completed its mark-up on H.R. 2989, the FY 2004 transportation-spending bill, and a vote on the House floor is scheduled for Tuesday September 9. In full House action so far on H.R. 2989, the House decisively passed an amendment to restore the Transportation Enhancements program and reject the Appropriations Committee's action to terminate the 10 percent set-aside in a very strong 327-90 vote.

In the Senate, full Senate Appropriations Committee passage took place on Thursday September 4<sup>th</sup> in a rare move to bypass subcommittee action altogether. Senate floor action is scheduled for the week of September 15<sup>th</sup>. As of this writing we do not have any written material from the Senate but are aware of two important details.

- 1) In the New Starts program, BART-to-SFO received the full \$100 million identified in its Full Funding Grant Agreement, matching the House. In addition, \$4 million was identified for BART to Silicon Valley and important policy language for the Muni Metro Third Street project was also in the bill.
- 2) Language needed to fully implement the transit operating subsidies funded by SB 916 (Perata) was included in the Senate version. This is an important step that MTC and the Water Transit Authority have been working on very hard this past summer.

For background, the House appropriations subcommittee released a somewhat controversial bill on July 11 that increased federal highway spending by about \$3 billion over FY 2003, from a total highway program obligation ceiling of \$31.6 billion in FY 2003 to \$34.1 billion in FY 2004. It also proposed to eliminate the 10% set-aside of Surface Transportation Program (STP) funds for Transportation Enhancement Activities and make Enhancement projects ineligible for highway funds. With regard to the transit program, the original House subcommittee proposal included \$7.12 billion for transit, a \$58 million reduction from the

current level of \$7.18 billion. This reduction reflected a significant cut to the New Starts program that saw reductions of \$147 million as compared to FY 2003. Cuts were also made to the Job Access Reverse Commute program.

The full House Appropriations Committee marked up the subcommittee proposal on July 24<sup>th</sup>, making significant changes to the original highway and transit program proposals. The obligation limit for federal-aid highways was set at \$33.4 billion; an increase of \$1.8 billion or 6% over FY 2003 enacted levels. The Committee maintained the provision to eliminate the Enhancements set-aside through a close vote of the members. The bill did, however, restore Enhancements as an eligible category of funding for STP funds. On the transit side, the Committee restored funding for the New Starts program to FY 2003 guaranteed levels and made some other funding corrections.

With the changes, the House bill calls for funding the transit program at \$7.23 billion, a 1% increase over FY 2003 enacted levels. Funding for the Bus and Bus Discretionary program is increased over FY 2003 levels from \$653 million to \$727 million while funding for the Job Access and Reverse Commute program is decreased to \$85 million (a decrease of \$65 million over guaranteed FY 2003 levels and \$20 million over that enacted in FY 2003). Funding for Amtrak was increased over the subcommittee bill to \$900 million, the level proposed in the Administration proposal.

In terms of specific Bay Area projects, the full Committee House bill included \$135.5 million in discretionary funding — \$15.7 million in federal-aid highway earmarks and \$119.8 million in Federal Transit Administration earmarks. The New Starts category alone included \$100 million for the BART to San Francisco International Airport project (as compared to the \$169 million proposed by the Administration) and \$10 million for the Muni Third Street Light Rail Project, Phase 2. It is important to note that the bill also included language to link Phase 1 and Phase 2 of the Third Street project for purposes of calculating non-federal contribution for New Starts criteria. The House bill did not contain any funding for the region's other New Starts priority — the BART extension to Silicon Valley — an oversight that was addressed in the Senate bill.

Table 1 is a summary comparison of the House Appropriations Committee with FY 2003 enacted and the FY 2004 Administration proposal. Table 2 compares discretionary project proposals. We will provide detailed information on the Senate bill at the Legislation Committee meeting next week.

---

Steve Heminger

Attachment

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**Table 1 - FY 2004 U.S. Department of Transportation Budget (in Millions)**

| <i>Figures in Thousand \$</i>                           | FY 2003 Enacted<br>(after 0.65%<br>reduction) | FY 2004<br>Administration<br>Proposal | FY 2004 House<br>Appropriations<br>Committee | Difference Between FY<br>2004 House Appropriations<br>Committee and FY 2003 | % Change |
|---|---|---------------------------------------|--|---|----------|
| <b>Highway Program (National)</b>                       |   |                                       |  |   |          |
| <b>Federal-aid Highway Program (Obligation Ceiling)</b> | 31,593  | 29,294.0                              | 33,385.0                                     | 1,791.7   | 6%       |
| <b>Major Transit Programs (National)</b>                |   |                                       |  |   |          |
| <b>Total Transit Program</b>                            | 7,179.0                                       | 7,226.0                               | 7,231.0                                      | 52.0  | 1%       |
| <b>Formula Program</b>                                  | 3,764.4                                       | 5,615.0                               | 3,839.0                                      | 74.6  | 2%       |
| Urbanized Area and Rural Formula                        | 3,662.6                                       | 4,739.0                               | 3,686.6                                      | 24.0  | 1%       |
| Fixed Guideway Modernization                            | In Capital                                    |                                       | In capital                                   | N/A   | N/A      |
| Elderly and Disabled                                    | 90.1  | 87.0                                  | 90.7   | 0.6   | 1%       |
| <b>Access to Jobs/Reverse Commute</b>                   | 104.3   | moved to formula                      | 85.0   | -19.3   | -19%     |
| <b>Planning and Research</b>                            | 121.1   | 89.0                                  | 122.0  | 0.9   | 1%       |
| <b>Major Capital Investment Program</b>                 | 3,110.7                                       | 1,534.0                               | 3,106.5                                      | -4.2  | 0%       |
| New Starts  | 1,251.2                                       | 1,515.0                               | 1,214.4                                      | -36.8   | -3%      |
| Fixed Guideway Modernization                            | 1,206.5                                       | moved to formula                      | 1,214.4                                      | 7.9   | 1%       |
| Bus/Bus Facilities/Clean Fuel Buses                     | 652.9   | eliminated                            | 727.2  | 74.3  | 11%      |
| <b>Bay Area Total Transit Earmarks Projects</b>         |   |                                       |  | 0.0   |          |
| <b>New Starts</b>                                       | 102.8   | 169.9                                 | 110.0  | 7.3   | 7%       |
| <b>Bus and Bus Facilities</b>                           | 10.7  | NA                                    | 8.6  | -2.1  | -20%     |
| <b>Job Access and Reverse Commute Grants</b>            | 4.2   | NA                                    | 1.2  | -3.0  | -71%     |

Note: House Appropriations data is based on manager's amendment - bill not yet available.

Source: President's Proposed Budget as detailed on FHWA and FTA websites & Senate and House Reports on DOT Appropriations Bill

**Table 2 - FY 2004 Federal Appropriation - Bay Area Earmark**

| <b>FHWA Earmark Projects</b>  | <b>FY 2003 Enacted</b> | <b>FY 2004 House Appropriations Committee</b> |
|---|------------------------|---|
| <b>ITS Projects</b>   |                        |   |
| City of Santa Rosa ITS  | \$0                    | \$500,000                                     |
| East Bay Incident Management System   | \$0                    | \$300,000                                     |
| MUNI Transportation and Communication System                                  | \$0                    | \$1,500,000                                   |
| <b>Bridge Replacement And Rehabilitation Program</b>                          |                        |   |
| Golden Gate Seismic Retrofit  | \$4,250,000            | \$5,000,000                                   |
| <b>Federal Lands Highway</b>  |                        |   |
| Marin Parklands/Muir Woods visitor access                                     | \$1,000,000            | \$2,000,000                                   |
| Presidio Trust/Crissy Field transit access improvements                       | \$1,000,000            | \$0   |
| Presidio Trails and Bikeways, GGNRA   | \$0                    | \$1,500,000                                   |
| <b>National Corridor Planning and Border Infrastructure Program</b>           |                        |   |
| Highway 101 Implementation Plan   | \$0                    | \$600,000                                     |
| <b>Surface Transportation Projects</b>  |                        |   |
| Alameda Contra Costa Satellite Communications                                 | \$1,000,000            | \$0   |
| SF Muni Automatic Vehicle Location/GPS  | \$2,000,000            | \$0   |
| I-880 Coleman Avenue Interchange  | \$0                    | \$1,000,000                                   |
| Muni Third Street Project Phase II roadwork                                   | \$0                    | \$2,500,000                                   |
| <b>Ferryboats and Ferry Terminal Facilities</b>                               |                        |   |
| Baylink Ferry, Terminal and Facilities - City of Vallejo                      | \$1,000,000            | \$0   |
| San Francisco Bay Area Water Transit Auth. Ferry Project                      | \$2,500,000            | \$0   |
| Golden Gate ferry berth facility, San Francisco Terminal                      | \$500,000              | \$0   |
| Oyster Point Ferry Vessel   | \$0                    | \$500,000                                     |
| <b>Transportation &amp; Community &amp; System Preservation Pilot Program</b> |                        |   |
| CalTrain train tracking information system (SamTrans)                         | \$250,000              | \$0   |
| Route 152 Safety Improvements, Santa Clara County                             | \$0                    | \$300,000                                     |
| <b>Subtotal For FHWA Earmark Projects</b>                                     | <b>\$13,500,000</b>    | <b>\$15,700,000</b>                           |
| <b>FTA Earmark Projects</b>   |                        |   |
| <b>Job Access and Reverse Commute</b>   |                        |   |
| MTC for LIFT program  | \$1,000,000            | \$0   |
| Santa Clara Valley - Guaranteed Ride Home Program                             | \$500,000              | \$0   |
| AC Transit CALWORKS   | \$2,000,000            | \$1,215,000                                   |
| East Palo Alto Shuttle Service  | \$700,000              | \$0   |
| <b>New Starts</b>   |                        |   |
| ACE - Stockton, Altamont Commuter Rail  | \$1,000,000            | \$0   |
| BART to SFO   | \$100,000,000          | \$100,000,000                                 |
| Muni Third Street Light Rail Project, Phase 2                                 | \$1,500,000            | \$10,000,000                                  |
| VTA Silicon Valley - San Jose PE  | \$250,000              | \$0   |
| <b>Section 5309 Bus and Bus Facilities</b>                                    |                        |   |
| AC Transit Buses and Bus Facilities   | \$1,050,000            | \$1,500,000                                   |
| Palo Alto Intermodal Center   | \$0                    | \$750,000                                     |
| San Francisco Muni, Bus and Bus Facilities                                    | \$5,000,000            | \$4,000,000                                   |
| Santa Clara Valley Transportation Authority Clean/Zero Emission Buses         | \$1,500,000            | \$400,000                                     |
| BART Fruitvale Transit Village, parking structure                             | \$250,000              | \$0   |
| Fairfield/Vacaville Intermodal Station  | \$500,000              | \$700,000                                     |
| San Mateo County zero emission buses  | \$1,385,000            | \$800,000                                     |
| Solano Transportation Authority - Fairfield/Suisun intermodal station         | \$500,000              | \$0   |
| Sonoma County CNG fueling facility upgrade                                    | \$500,000              | \$0   |
| Ed Roberts Campus   | \$0                    | \$450,000                                     |
| Eastern Contra Costa County Park and Ride Lots                                | \$0                    | \$700,000                                     |
| <b>Subtotal for FTA Earmark Projects</b>                                      | <b>\$117,635,000</b>   | <b>\$120,515,000</b>                          |
| <b>Grand Total for all Earmark Projects</b>                                   | <b>\$131,135,000</b>   | <b>\$136,215,000</b>                          |

Note: House Appropriations data is based on manager's amendment - bill not yet available.

Source: Senate, House, and Conference Reports on Transportation Appropriations Bill



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*Memorandum*

TO: Partnership Technical Advisory Committee

DATE: September 15, 2003

FR: Lisa Klein

W.I.:

RE: Transportation 2030 Project Performance Evaluation Update

The attached memo to the Partnership Board gives an overview of the Transportation 2030 Project Performance Evaluation process taking place this fall. I want to draw your attention to a few points discussed in the memo:

- (1) MTC has requested the CMAs help coordinate identification of projects for submittal. It is probably appropriate, however, for projects sponsors to complete the submittal form.
- (2) Submittals are due to MTC by October 17, 2003. This deadline applies only to projects that will be evaluated this fall. The list of projects submitted in the fall for evaluation does not need to be – in fact, should not be – financially constrained to RTP revenue estimates. In May 2004, CMAs will submit financially constrained lists of projects proposed for inclusion in the plan.
- (3) A submittal form will be available on MTC's web site in early September, and MTC will notify the CMAs when it is available. Information requested on the form outlined in the attached memo. I will have an illustration or demonstration of the form at the P-TAC meeting.
- (4) New projects that may seek regional funding (RTIP, ITIP, STP, CMAQ) in Transportation 2030 should be submitted for evaluation this fall. This includes projects that may be in a new or renewed sales tax on the ballot in 2003 or 2004. Projects in the 2001 RTP for which the RTP funding level would increase by more than 30% excluding inflation also should be submitted for evaluation.



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## *Memorandum*

TO: Partnership Board

DATE: September 11, 2003

FR: Lisa Klein

W.I.:

RE: Project Performance Measures Process for Transportation 2030 – Project Submittal

### **OVERVIEW**

SB 1492 (Statutes of 2002) established new requirements for MTC to evaluate new projects and programs prior to their inclusion in Transportation 2030 Plan. In June MTC adopted MTC Resolution No. 3564 with corridor objectives, screening criteria and performance measurement criteria developed in consultation with a joint committee of the Partnership Technical Advisory Committee and the MTC Advisory Council. (See [Attachments A through C](#).)

This memo outlines the process that will take place this fall for evaluating new potential Transportation 2030 projects. Projects in the 2001 RTP do not need to be evaluated unless there is a greater than 30% change in the amount of RTP funding sought (RTIP, ITIP, STP or CMAQ). New projects should be submitted for evaluation no later than **October 17, 2003**.

MTC requests that each CMA coordinate with traditional project sponsors (e.g., cities, counties, transit operators, Caltrans and ports) to develop a list of projects for evaluation this fall. At this stage, the list does not need to be financially constrained – though it should be reasonable in proportion to projected RTP revenues. MTC will conduct the evaluation of new projects between October 2003 and March 2004. In May 2004, each CMA will submit a financially constrained list of all projects proposed for inclusion in the plan (new projects as well those carrying over from the 2001 RTP). At that time, the CMAs should submit a written narrative discussing how the MTC evaluation results were used in developing the financially constrained list.

While the CMAs should identify projects for submittal, it is probably appropriate for the project sponsors themselves to complete the web-based submittal form, which requires some detailed project information needed for the evaluation. MTC will notify the CMAs when the form is available, and they in turn should notify the sponsors that will be submitting projects. Both the CMA list and the completed projects submittal forms are due to MTC by October 17, 2003.

After screening the submittals to determine which projects are eligible for evaluation, MTC will conduct a two part evaluation described below. MTC will make a summary of the results available to the public as well as partner agencies in the spring of 2004, following review of results with project proposers. It is

important to note that the performance measures results will not themselves determine which projects are eligible or ineligible for inclusion in Transportation 2030. The measures will provide information for discussion as elected officials decide which projects should be recommended to MTC for inclusion by the CMAs in May 2004.

Questions about submitting projects or about the evaluation process should be directed to Lisa Klein by e-mail ([lklein@mtc.ca.gov](mailto:lklein@mtc.ca.gov)) or phone (510.464.7832).

### **PROJECT SUBMITTAL**

Projects should be submitted in October for evaluation if they meet all of the following criteria:

- Not included in the 2001 Regional Transportation Plan (RTP).
- Proposed for implementation by 2030.
- Total cost is estimated to be greater than \$5 million (lower cost projects will be excluded from the evaluation but will still be eligible for inclusion in Transportation 2030);.
- May be funded in whole or part with discretionary regional funding (RTIP, ITIP, STP, CMAQ). Projects funded entirely through local sources or SHOPP need not be evaluated. Projects in the proposed bridge toll legislation (SB 916) should be submitted only if they are not already in the 2001 RTP and they would require discretionary regional funding (RTIP, ITIP, STP, CMAQ). Similarly, projects that may be included in new sales tax measures under consideration on or before November 2004 should also be submitted in October if they are likely candidates for regional discretionary funding.
- Pass the adopted screening criteria. (See [Attachment B](#).)

In addition, projects already in the 2001 Regional Transportation Plan should be submitted if the requested amount of regional discretionary funding (as defined above) will increase by more than 30% (excluding inflation).

We have had several questions recently about the screening criterion requiring there be a reasonable expectation of operating funds. We are still assessing regional transit operating revenues and expenses over the RTP period, and it is too early to say whether there is a shortfall or a surplus. For this reason, transit expansion submittals will be accepted. We will reassess this approach once we have more information.

Note that projects may be submitted in October for evaluation even if a sponsor has not been identified; however a willing, eligible sponsor must be identified by February 2004 in order for a project to be considered for inclusion in Transportation 2030.

### Projects Submitted by Members of the Public

MTC is soliciting project ideas from members of the public through September 17, 2003. Once the short form public project submittals have been reviewed, MTC staff will share these projects with the interested agencies (e.g., CMAs, transit operators, Caltrans). For those projects eligible for evaluation, MTC will work with the public proposers and other interested agencies to develop the information necessary for evaluation.

What Information Must be Submitted?

The submittal form will ask for the information listed below. Required information is indicated with a star.

### Project Description

- ★ Title
- Sponsor
- ★ Investment type (maintenance, expansion, operations)
- Mode
- New project or existing RTP project?
- ★ Location (County, RTP corridor)
- Is project on the Metropolitan Transportation System (MTS) (All transit is on the MTS)
- Adopted plans including project
- ★ Brief discussion of project purpose
- ★ Brief project description
- ★ Detailed description for modeling (required only for capital and operating projects on the roadway or transit network; not needed for bicycle or pedestrian projects, broad programs, or maintenance or rehabilitation projects)

### Contact Information for Proposer

- ★ Name & agency/organization
- ★ Address, phone, fax and e-mail

### Project Cost (MTC will provide guidelines for estimating project costs)

- Total capital cost (2004\$)
- Annual operating & maintenance cost (2004\$)
- Net operating & maintenance cost for transit (2004\$)

### Project Schedule

- Project development status (PSR, environmental review, etc.)
- Expected start of construction and year of operation

### Information for Evaluation

- ★ Corridor objectives addressed (See [Attachment A](#).)
- ★ For each objective addressed
  - data or qualitative response (See [Attachment C](#)),
  - source,
  - additional information proposer wishes to provide

## **PROJECT EVALUATION**

The overall intent is to outline project and corridor benefits and their associated costs. The evaluation has two main elements:

1. Project Needs Assessment – Projects are proposed and developed in response to some identified transportation need. The baseline evaluation of transportation conditions in 2025 will assume all Track 1 projects in the current 2001 RTP are implemented, and is largely derived from MTC’s travel demand model (based on Projections 2003 land uses). New projects will be assessed on how well they improve these baseline transportation conditions. In a few cases, needs are assessed relative to current conditions or qualitative factors. All projects that pass the screening phase will be assessed in this portion of the analysis. The ability of projects to address the baseline needs will be rated on a consistent scale, e.g., high/medium/low.
2. Corridor Benefits Analysis – MTC will develop up to three packages composed of the projects submitted for evaluation and use the regional travel demand model to forecast how these projects affect travel conditions in 2025 in each of the RTP corridors. MTC will convene the joint committee that helped develop the measures to review the proposed packages of projects. Only those projects that can be evaluated using MTC’s regional travel demand model

will be assessed in this portion of the analysis. This will generally include transit and roadway expansion, transit service improvements, and transit and roadway operations projects. Bicycle and pedestrian projects, maintenance and rehabilitation projects, and programmatic investments for which specific improvements are not identified will not be included in this portion of the evaluation.

The adopted performance criteria for both parts of the analysis are listed in [Attachment B](#). When submitting projects, there will be an opportunity to include additional relevant information that is not captured by the evaluation. This additional information will also be noted in the evaluation summary.

The results of the evaluation will be presented along with cost information on individual projects and corridor packages. Cost information will include total and annualized capital cost and total and net annual operating cost.

### OVERALL SCHEDULE

| <b>Transportation 2030 Schedule</b> |  | <b>Focus on Project Evaluation</b>   |
|-------------------------------------|--|--|
| <b>Fall 2003</b>                    | MTC Public Workshops   | <i>September</i><br>Project submittal form available (mid-month)<br>Summary of 2025 conditions<br>MTC review testing results with Joint Committee<br><i>October 17</i><br>Deadline for project submittals to MTC |
| <b>Winter 2003</b>                  | MTC Public Workshops<br><br>Final Revenue Projections<br>Regional Investment Priorities & Funding<br><br>County Investment Targets | <i>November</i><br>MTC and CMAs jointly screen projects<br>MTC develops packages of projects for corridor benefits analysis. <sup>1</sup><br>MTC conducts evaluation   |
| <b>Winter 2004</b>                  |  | MTC conducts evaluation  |
| <b>Spring 2004</b>                  | County-Based Public Workshops<br>MTC Public Workshops<br>Local Investment Priorities Submitted by CMAs to MTC (May)                | <i>February/March</i><br>Evaluation results complete and reviewed with project proposers   |
| <b>Summer 2004</b>                  | MTC conducts technical analysis for Environmental Impact Report (EIR)  |  |
| <b>Fall 2004</b>                    | Draft Transportation 2030 Plan and Draft EIR<br>MTC Public Hearings  |  |
| <b>Winter 2005</b>                  | <b>MTC Adoption (January 2005)</b>   |  |

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<sup>1</sup> These packages will be reviewed with the Joint P-TAC/Advisory Council Committee.

## Attachment A

Date: June 25, 2003  
W.I.: 1212  
Referred by: POC

Attachment A  
Resolution No. 3564  
Page 1 of 1

### **Corridor Objectives Framework for Project and Corridor Performance Evaluation for the Transportation 2030**

#### Maintain the existing system

- Reduce maintenance and rehabilitation shortfalls

#### Improve System Safety

- Minimize injuries and loss of life in event of seismic failure or collisions/other safety incidents

#### Accommodate growth in person and freight travel while preserving or improving travel time

- Operate the system more efficiently
- Operate the system more reliably
- Increase capacity and reduce bottlenecks through strategic expansion

#### Increase convenience for persons and freight

- Improve system connectivity by adding new links to the transportation network, adding new points of connection or improving existing points of connection
- Improve access to the regional transportation system
- Operate the system with greater attention to customer service (Be more customer-oriented)

#### Maximize external benefits and minimize disbenefits

- Protect the environment/public health
- Support community vitality through transportation improvements that improve mobility and accessibility within communities
- Address transportation needs of region's most disadvantaged households
- Support the MTC-ABAG Smart Growth objectives.

**Attachment B**

Date: June 25, 2003

W.I.: 1212

Referred by: POC

Attachment B

Resolution No. 3564

Page 1 of 1

**Performance Measurement Criteria for  
the Transportation 2030**

**MEASURES FOR PROJECT NEEDS ASSESSMENT**

| <i>Objective Category/<br/>Aspect Measured</i>  | <i>Performance Criteria/<br/>Measurement</i>  |
|---|---|
| <b><i>Improve safety</i></b>  |   |
| • Seismic safety  | Number of persons at risk in event of failure in 2025<br><i>Number of daily facility users (persons)</i><br><br>Is project on Caltrans lifeline system? (state highways only)   |
| • Collisions (all modes) and transit security   | Recent incident history<br><i>Average number of incidents or incident rate over past three years*</i>   |
| <b><i>Maintain the system</i></b>   |   |
| • Roadway maintenance   | Future wear and tear on roads<br><i>Total vehicle miles traveled and truck vehicle miles traveled in 2025</i>   |
| • Transit maintenance   | Future wear and tear on transit system<br><i>Passenger miles per vehicle plus vehicle miles per vehicle in 2025</i>   |
| <b><i>Accommodate growth in person and freight travel from now until 2025 and preserve or improve travel time</i></b> |   |
| • Make existing capacity more reliable  | Roadways – Crowding in 2025<br><i>Peak period volume to capacity ratio</i><br><br>Transit – On-time performance<br><i>Future on-time performance rate based on record over past three years and 2025 operating conditions (deterioration in bus speeds)</i> |

| <b><i>Objective Category/<br/>Aspect Measured</i></b>   | <b><i>Performance Criteria/<br/>Measurement</i></b>  |
|---|--|
| <ul style="list-style-type: none"> <li>• Make more efficient use of existing capacity</li> <li>• Construct/create new capacity</li> </ul> | Roadways – Crowding in 2025<br><i>Peak period volume to capacity ratio (report separately for HOV lanes and major truck routes)</i><br><br>Transit – Ridership and capacity in 2025<br><i>Peak period transit passengers and seats</i> |

\* Data to be provided by project proposer

| <b><i>Objective Category/<br/>Aspect Measured</i></b>   | <b><i>Performance Criteria/<br/>Measurement</i></b>   |
|---|---|
| <b><i>Increase user convenience</i></b>   |   |
| <ul style="list-style-type: none"> <li>• Improve connectivity</li> </ul>  | New connections – Qualitative assessment of gap or connectivity in local or regional plan. If not in a plan, describe deficiency.*<br><br>Improved connection points (transit transfer points, highway-to-highway interchanges/intersections)<br><br>Transit – levels of connecting services in 2025<br><i>Rate of connecting services (e.g. buses/hour and trains/hour)</i><br><br>Roadways – level of activity at connections in 2025<br><i>Number of vehicles using connection</i> |
| <ul style="list-style-type: none"> <li>• Improve access for passengers to regional transportation network</li> </ul>              | Transit – Transit station boardings in 2025<br><i>Daily boardings at major transit terminals</i><br><br>Roadways – Population and job growth from today to 2025 in areas adjacent to highways   |
| <ul style="list-style-type: none"> <li>• Improve access from ports and airports to the regional transportation network</li> </ul> | Projected growth in cargo and air passengers from today to 2025*<br><i>Increase in port cargo volume, air freight tonnage and air passengers</i>  |
| <ul style="list-style-type: none"> <li>• Customer service improvements</li> </ul>   | Deficiencies identified through formal evaluation process*  |

***External benefits***

|   |  |
|---|--|
| <ul style="list-style-type: none"> <li>• Air Quality</li> </ul>     | Daily emissions in corridor in 2025 (ozone and particulate matter)<br><br>Is project a state or federal TCM? |
| <ul style="list-style-type: none"> <li>• Noise Reduction</li> </ul> | Traffic volume and speed in 2025   |

| <i><b>Objective Category/<br/>Aspect Measured</b></i>                | <i><b>Performance Criteria/<br/>Measurement</b></i>   |
|--|---|
| <ul style="list-style-type: none"> <li>Equity</li> </ul>             | <p>Is project intended to serve an identified community of concern from RTP equity analysis?*</p> <p>Is project an identified Lifeline transit route?*</p> <p>Is project intended to revitalize an urban area?*</p> <p>Is project from a community-based transportation plan?*</p>                        |
| <ul style="list-style-type: none"> <li>Community Vitality</li> </ul> | <p>Does project enable community residents to use a range of modes (bicycle, walk, transit) to access daily activities within the community?*</p> <p>Does project support a community's development and/or redevelopment activities?*</p> <p>Does project implement MTC-ABAG Smart Growth objectives?</p> |

**MEASURES FOR CORRIDOR BENEFITS ANALYSIS**

| <b>Corridor Benefit</b>                       | <b>Performance Criteria<br/><i>Measurement</i></b>   |
|---|--|
| <b>Mobility</b>                               | User benefit<br><i>Value of travel time savings plus out-of-pocket cost savings for the alternative compared to the 2001 RTP</i>   |
| <b>Accessibility</b>                          | Change in average travel time<br><i>All trips within corridor by mode AM and midday for each alternative compared to the 2001 RTP</i>  |
| <b>Emissions/Vehicle Miles Traveled (VMT)</b> | Change in emissions (ozone and particulate matter) <b>and VMT</b><br><i>Calculate change in VMT for each alternative compared to the 2001 RTP. Use EMFAC2002 to calculate change in daily emission levels from vehicle trips and VMT for each alternative compared to the 2001 RTP</i> |

## Attachment C

Date: June 25, 2003  
W.I.: 1212  
Referred by: POC

Attachment C  
Resolution No. 3564  
Page 1 of 1

### **Project Evaluation Screening Criteria**

- Investment is not defined sufficiently to generate sketch level data for evaluation. (Minimum requirements will be defined.)
- Investment is proposed to replace an existing Track 1 project, unless sponsor wishes to withdraw project from Track 1.
- Investment was studied and rejected in a recently completed corridor/major investment study.
- The cost of the investment is not reasonable in proportion to estimated new county Track 1 funds (i.e. a single project should not require more than 40% of estimated Track 1 funds; threshold may be higher in small counties with small amounts of new Track 1 funding.)
- There is not a reasonable guarantee of operating funds.
- Investment has a fatal environmental flaw.
- Investment requires a change in law or regulations to be funded or implemented, unless there is a reasonable expectation that such a change may be enacted.
- Proposal is a broad policy (e.g. value pricing, smart growth) rather than a project.



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## *Memorandum*

TO: Partnership Technical Advisory Committee

DATE: September 15, 2003

FR: Raymond Odunlami, Programming and Allocations Section

RE: Transportation Improvement Program (TIP) Financial Constraint Update

On August 8, 2003, Caltrans Director Jeff Morales received notification from the Federal Highway Administration (FHWA) and the Federal Transit Administration (FTA) that the two agencies would not approve any subsequent Federal Statewide Transportation Improvement Program (FSTIP)/ Transportation Improvement Program (TIP) amendments until the State and Metropolitan Planning Organizations (MPOs) provided updated financial information sufficient to demonstrate that the Federal FSTIP and TIPs continue to be financially constrained. This was in response to the State's adopted budget which FHWA felt contained provisions that could impact transportation funding and therefore, the financial constraint analysis of the programs. The letter also inferred that pending TIP amendments, including MTC's Amendment 03-08, the Preventive Maintenance amendment with nearly \$150 million in FTA Section 5307 funding, would not be approved until the TIP's financial constraint analysis was updated. To meet the conditions of the federal request and allow Amendment 03-08 and all subsequent formal amendments to move forward, MTC would have had to conduct a new financial analysis on estimates not yet adopted, a task that we believe is both premature and onerous.

In response to requests from Caltrans and the MPOs, FHWA and FTA have tentatively agreed to reconsider their position, and allow any pending amendments that were approved by an MPO prior to approval of the State budget (signed by the Governor in August), to move forward. This would allow Amendment 03-08 (which was approved by Caltrans on July 31, 2003) to move forward. Caltrans had asked that FHWA/FTA also wait until the 2004 STIP Fund Estimate is released later in the year before requiring MPO's to update their TIP financial constraint analysis. However, it appears that only pending amendments will move forward and any subsequent formal TIP amendments will require an update of the TIP financial constraint analysis prior to federal approval.

MTC does not plan to process any additional formal TIP amendments until later this year, and therefore plans to wait for the 2004 STIP Fund Estimate to be released at the end of the year before considering any updates to the TIP financial constraint analysis. Even then it may not be until March 2004, when MTC adopts the 2004 RTIP, or even until June/July 2004, when the CTC adopts the 2004 STIP, that it would be appropriate to update the TIP financial constraint analysis.

We continue to assert that the request by FHWA to update the financial constraint of the TIP is premature, and that the State already has a process in place (the biennial STIP update) that will respond to financial constraint issues for both STIP and TCRP funded projects. We will keep the Partnership informed as we proceed through this rather onerous process.

Attachments – FHWA/FTA and Caltrans Letters



**U.S. DEPARTMENT OF TRANSPORTATION**

FEDERAL HIGHWAY ADMINISTRATION

CALIFORNIA DIVISION

980 Ninth Street, Suite 400  
Sacramento, CA. 95814-2724

August 8, 2003

IN REPLY REFER TO  
HDA-CA  
Document #: S43461

Mr. Jeff Morales, Director  
California Department of Transportation  
1120 N Street  
Sacramento, CA 95814

Attention: Federal Resources Office, Room 3500  
For Jim Nicholas, Transportation Programming

Dear Mr. Morales:

**SUBJECT: Financial Constraint of the Federal Statewide Transportation Improvement Program**

Each time the Federal Highway Administration (FHWA) and the Federal Transit Administration (FTA) approve an amendment to the Federal Statewide Transportation Improvement Program (FSTIP), FHWA/FTA must determine that the FSTIP, including the proposed amendment, is financially constrained. When the FHWA/FTA approved the current FSTIP and made our conformity determinations on October 4, 2003, the State and MPOs had demonstrated that the funding for the FSTIP, including the MPOs TIPs, was committed and available and therefore the FSTIP was financially constrained. Since the State's newly adopted budget contains provisions that impact transportation funding, previous financial assumptions may no longer be valid. Therefore before FHWA/FTA approve any new amendments to the FSTIP, the State and MPO will need to provide updated financial information sufficient to demonstrate that the FSTIP, including the MPO's TIP, is financially constrained.

The impact of the State budget may affect each region's transportation program differently. As the State or MPO contemplates amendments to the FSTIP, FHWA/FTA will continue to work with the State and MPO to determine the financial information needed. If the State or MPO makes no amendments to the FSTIP, no additional financial information will be needed.

We will continue work closely with everyone to resolve this issue. If you have any questions, please contact K. Sue Kiser of the FHWA at (916) 498-5009 or Ray Sukys of the FTA at (415) 744-2802.

Sincerely,

*/s/ Leslie Rogers*  
Leslie Rogers  
Regional Administrator  
Federal Transit Administration

*/s/ Gary Hamby*  
Gary N. Hamby  
Division Administrator  
Federal Highway Administration

cc:

Caltrans:

Brian Smith

Kris Balaji

All MPOs:

Butte (BCAG)

Fresno (COFCG)

Kern (KCOG)

Kings

Madera

Merced (MCAG)

Monterey (AMBAG)

Sacramento (SACOG)

San Diego (SANDAG)

San Francisco (MTC)

San Joaquin (SJCOG)

San Luis Obispo (SLOCOG)

Santa Barbara (SBCAG)

Shasta (Shasta RTPA)

Southern California (SCAG)

Stanislaus (StanCOG)

Tahoe (TMPO)

Tulare (TCAG)

**DEPARTMENT OF TRANSPORTATION**  
DIVISION OF TRANSPORTATION PROGRAMMING  
1120 N STREET  
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*Flex your power!  
Be energy efficient!*

August 29, 2003

Mr. Gary N. Hamby  
Division Administrator  
Federal Highway Administration  
U.S. Bank Plaza  
980 9th Street, Suite 400  
Sacramento, CA 95814

Mr. Leslie T. Rogers  
Regional Administrator  
Federal Transit Administration  
201 Mission Street, Suite 2210  
San Francisco, CA 94105

Attention: Ms. Sue Kiser

Attention: Mr. Ray Sukys

Dear Ms. Kiser and Mr. Sukys:

This letter is in response to the Federal Highway Administration (FHWA) and the Federal Transit Administration (FTA)'s letter dated August 8, 2003 and the meeting held between FHWA, FTA and the California Department of Transportation (the Department) on August 27, 2003 regarding the Financial Constraint of the Metropolitan Planning Organizations' (MPO) Federal Transportation Improvement Program (FTIP) and the Federal Statewide Transportation Improvement Program (FSTIP).

At the August 27, 2003 meeting, the Department outlined the steps that are being taken and the associated timeline to demonstrate financial constraint of the FSTIP. The Department indicated that the process to demonstrate financial constraint hinged on the adoption of the State Transportation Improvement Program Fund Estimate by the California Transportation Commission (CTC) and culminated at the adoption of the State Transportation Improvement Program (STIP) and approval of the State Highway Operations and Protection (SHOPP) program. The Fund Estimate involves estimation of all the resources available at the State level for the State's transportation infrastructure over a five-year period. The Fund Estimate forms the basis of project prioritization and its process includes the following:

- a. CTC's review and comment on the Department's methodology and assumptions proposed for development of the Fund Estimate at its September 24-25, 2003 meeting.
- b. Department's submittal of a proposed draft Fund Estimate for discussion at the October 29-30, 2003 CTC Meeting.
- c. CTC's approval of the Fund Estimate at its December 10-11, 2003 meeting.

Upon approval of the Fund Estimate, the Department, County Transportation Commissions and the Regional Transportation Planning Agencies will be provided with their respective resource estimates to enable them prepare and submit a prioritized list of projects around March/April 2004. This process would subsequently allow the MPOs to update their respective FTIPs, constrained by available resources.

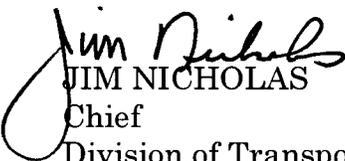
The meetings and procedures outlined, including the CTC meetings and the interagency consultations, is the normal process and response mechanism for evaluating fiscal considerations and adjusting the program. The FSTIP is a multi-billion dollar program involving multiple stakeholders, and as such requires a certain amount of time to evaluate the financial situation, suggest adjustments in the program and allow for discussion among these stakeholders.

As the information needed to re-evaluate the current FSTIP/FTIPs for financial constraint will not be available until the CTC adoption of the Fund Estimate, adoption of the STIP and approval of the SHOPP, the Department will consult with FHWA and/or FTA, on FSTIP/FTIP actions necessary to program transit funding, federal earmarks, or other fund sources to prevent the loss of funds for surface transportation improvements or impacts to transit operations. MPOs will be requested to consult with the Department prior to their Board actions on amendments involving programming actions other than those specified above. Once an MPO satisfactorily re-evaluates its FTIP and demonstrates financial constraint, the Department will resume normal processing of amendments for that MPO.

The Department appreciates the cooperation and assistance offered by FHWA and FTA to satisfactorily address the concerns raised in your August 8, 2003 letter. The Department will assure strict compliance to the FTIP approval recommendations agreed upon at the August 27, 2003 meeting and take advantage of the flexibility provided by the FHWA and FTA for Administrative Amendments.

If you have any questions or concerns regarding this matter, please do not hesitate to contact me at (916) 654-4013 or Kris Balaji of my staff at (916) 654-2983.

Sincerely,

  
JIM NICHOLAS  
Chief

Division of Transportation Programming

c: Wade Hobbs, Mayela Sosa - FHWA  
Ted Matley - FTA  
Bob Garcia, Brian Smith - Caltrans  
Kris Balaji - Caltrans  
MPO Executive Directors

**PROPOSED REVISED**  
**2004 RTIP**  
**Metropolitan Transportation Commission**  
**Regional Transportation Improvement Program**  
**Proposed Development Schedule**  
**REVISED 08-15-2003**

|                    |   |
|--------------------|---|
| June 4, 2003       | Presentation of initial outstanding issues for RTIP Policies and Procedures to FPWG   |
| July 2, 2003       | Fund Program Working Group (FPWG) review of proposed RTIP Policies and Procedures   |
| July 21, 2003      | Partnership Technical Advisory Committee (PTAC) review of Draft proposed RTIP Policies  |
| August 1, 2003     | Congestion Management Agencies (CMAs) begin solicitation of project proposals from eligible sponsors  |
| Sep/Oct/Nov 2003   | MTC works with CMAs and project sponsors on regional project proposals  |
| September 25, 2003 | Caltrans presents cash flow forecast and revenue assumptions to CTC   |
| October 30, 2003   | Caltrans presents Draft STIP Fund Estimate to CTC   |
| December 3, 2003   | PAC review and recommendation of final proposed RTIP Policies and Procedures  |
| December 11, 2003  | CTC adopts STIP Fund Estimate and STIP Guidelines   |
| December 17, 2003  | Commission adopts 2004 RTIP Policies and Procedures   |
| December 19, 2003  | CMAs submit RTIP project nomination sheets to MTC   |
| December 31, 2003  | Final changes to Application Nomination sheets to reflect any unforeseen changes in Final STIP Fund Estimate, due to MTC. Final PSR (or PSR Equivalent), Resolution of Local Support and Certification of Assurances due to MTC (Final Complete Applications due) |
| January 14, 2004   | Programming and Allocations Committee (PAC) review – authorize public hearing and release of draft RTIP   |
| January 20, 2004   | Circulate draft RTIP for public comment   |
| February 11, 2004  | Public Hearing (at PAC Meeting)   |
| February 24, 2004  | Close of public comment period for RTIP   |
| March 1, 2004      | 2005 TIP - Development Process Starts (TIP 'Locked Down' – No TIP Amendments until Oct)   |
| March 10, 2004     | PAC review and recommendation of proposed final 2004 RTIP   |
| March 22, 2004     | Commission approves 2004 RTIP   |
| April 1, 2004      | Submit 2004 RTIP to CTC   |
| May 12, 2004       | 2005 TIP – PAC review – authorize release of draft 2005 TIP and public hearing  |
| May 18, 2004       | 2005 TIP – Start of Public Comment Period   |
| June 9, 2004       | 2005 TIP – Public Hearing (at PAC Meeting)  |
| June 17, 2004      | CTC releases proposed 2004 STIP (CTC staff recommendations)   |
| June 22, 2004      | 2005 TIP – Close of public comment period for 2005 TIP  |
| July 14, 2004      | 2005 TIP – PAC review and recommendation of proposed final 2005 TIP   |
| July 21, 2004      | CTC adopts 2004 STIP  |
| July 28, 2004      | 2005 TIP – Commission approves 2005 TIP   |
| July 30, 2004      | 2005 TIP - submitted to Caltrans  |
| October 1, 2004    | 2005 TIP - Approved by FHWA and FTA   |

Shaded Area - 2005 TIP schedule



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## *Memorandum*

TO: Partnership Technical Advisory Committee

DATE: September 15, 2003

FR: Kenneth Folan

RE: Draft Proposed Regional Project Delivery Policy for TEA-21 Reauthorization STP, CMAQ and Enhancement Funds

### **Background**

The MTC region has maintained close to a 100% project delivery record over the six-year federal Transportation Equity Act for the Twenty-First Century (TEA-21) period. This excellent delivery record is due to the hard work of project sponsors, Caltrans Local Assistance and the regional delivery policies developed by MTC and the Bay Area Partnership. In an effort to maintain this delivery record for the TEA-21 Reauthorization and assure the maximum amount of federal funds flow into the region, MTC and the Bay Area Partnership have revised the existing regional delivery policies. These revisions take into account changes in federal and state funding regulations, the current economic environment, and anticipated future federal and state policies regarding the availability of transportation funding.

Over the past six months, the Project Delivery Task Force of the Finance Working Group has developed a proposed project delivery policy for the TEA-21 Reauthorization period. The policy was presented to the Finance Working Group at the September 3 meeting. With input from the Partnership Technical Advisory Committee (PTAC), the policy will then be submitted to MTC for approval and become the regional project delivery policy for Surface Transportation Program (STP), Congestion Management and Air Quality (CMAQ), and Transportation Enhancement (Enhancement) funds for the TEA-21 reauthorization period.

### **Benefits of the TEA-21 Reauthorization Policy:**

- Improve the region's ability to meet AB 1012 requirements, thus minimizing the risk of losing federal transportation funding. The policy will still provide flexibility for the Congestion Management Agencies (CMAs) to swap delayed projects within their counties with projects ready to use the funding.
- By holding firm on obligation deadlines, the region stands to obligate the bulk of its Transportation Equity Act for the 21<sup>st</sup> Century (TEA-21) STP, CMAQ and Enhancements funding in a timely manner. A demonstrated success in the delivery of regional transportation projects will support requests for additional federal funding for the region.
- The policy will establish a standard policy to be applied for all STP, CMAQ and Enhancements programming cycles. A standardized policy will make it easier for

sponsors, MTC staff and Commissioners to implement project delivery strategies consistently among the programmed projects. All STP, CMAQ and Enhancements funds will be treated alike, regardless of the cycle in which they were programmed. The only difference with the various programming cycles is the actual obligation deadline, which is based on the year of available federal funding (federal apportionment and Obligation Authority).

- The policy minimizes the potential loss of federal funding to the region due to missed federal or state deadlines by establishing regional deadlines ahead of state and federal deadlines.
- The policy builds upon the regional TEA-21 delivery policy, which assisted the region and sponsors in achieving a near perfect project delivery record.

**Existing Regional Project Delivery Policies (MTC Resolution 3239) Continued in TEA-21 Reauthorization Delivery Policy):**

- The obligation deadline may not be extended. The funds must be obligated by the established deadline or the funds will be de-programmed from the project and redirected to a project that can use the funds in a timely manner.
- Within the formula-based programs (i.e. county guaranteed funding returned to counties based on a population share) the CMAs may redirect funds from delayed or failed projects to new projects, up to the final obligation deadline. The substituted projects must still obligate the funds within the original deadline.
- For funding programmed through regional competitive programs, such as the regional Transportation for Livable Communities (TLC) program, or for annual customer service projects, such as TravInfo®, or for planning activities, such as the 3% planning funds for CMA planning activities, the Commission has discretion to redirect funds from delayed or failed projects to different projects, up to the final obligation deadline.
- Funds not obligated by the regional deadline return to the Commission for reprogramming at its discretion. In selecting projects to receive the redirected funding, the Commission may use existing lists of projects that did not receive funding in past programming exercises, it could direct funding to agencies with proven on-time project delivery, or it could identify other projects with merit to receive the funding.
- From time to time projects may be completed at a lower cost than anticipated, or with a reduced scope. In such circumstances, the project sponsor should notify MTC and the appropriate CMA(s) that remaining funds will be returned. Funds returned prior to the obligation deadline, may be redirected at the discretion of the CMA for guaranteed formula-based programs, or redirected by MTC for regional competitive programs. Funds returned after the obligation deadline will be reprogrammed at the discretion of MTC regardless of whether the funds were programmed in a guaranteed formula-based program or regional competitive-based program.

- Projects selected to receive funding should have a demonstrated ability to obligate all the programmed funds by the established obligation deadline. It is the responsibility of the project sponsor, at the time of programming, to ensure the regional deadlines can be met.

### **Proposed New Policies for TEA-21 Reauthorization Project Delivery Policy:**

- **Funds to be Obligated in the same Fiscal Year as Programmed in the TIP**

#### **Delivery Issue**

Projects are currently programmed in cycles ranging from one to three years. Under current regionally established deadline requirements, the project sponsor may request an obligation of the federal funds anytime prior to the established deadline. However, federal Obligation Authority (OA) and State Budget Authority (SBA) limit the amount of federal funds available each year. Matching the annual supply and demand of OA and SBA is difficult because project sponsors may request an obligation of funds in any year prior to the deadline. When OA or SBA are exhausted for a given fiscal year, sponsors intending to obligate funds must wait for the next release of OA or SBA or proceed through Advance Construction (AC).

#### **Proposed Policy**

Program STP/CMAQ/Enhancement funds in the Transportation Improvement Program (TIP) in the fiscal year the funds will be obligated or transferred to the Federal Transit Administration (FTA), similar to the programming of the State Transportation Improvement Program (STIP), up to the apportionment level for that fiscal year. This will improve the likelihood that OA and SBA will be available for projects that are programmed in a particular fiscal year. Obligations for funds programmed in future years will be permitted only upon the availability of surplus OA and SBA in a particular year, with current programmed projects meeting delivery deadlines having priority for OA in a given year. Sponsors wishing to advance projects may request Advance Construction (AC) authorization from Caltrans (or pre-award authority from the Federal Transit Administration) to proceed with the project using local funds until OA becomes available.

- **Field Reviews**

#### **Delivery Issue**

Project sponsors frequently wait until deadlines are approaching before implementing a project. Unfortunately, it may not be apparent that certain state or federal permits or documentation are required until the project is underway. The purpose of a field review is to ascertain the requirements of a project early in the project development process, to allow sufficient time so as not to delay the timely use of funds.

#### **Proposed Policy**

Project sponsors are required to request a field review within 6 months of MTC's approval of the project in the TIP for all federal-aid projects receiving funding through the STP, CMAQ, and regional Enhancement programs that are subject to AB 1012 or regional obligation deadlines. This policy also applies to federal-aid projects in the STIP.

The requirement would not apply to projects for which a field review would not be applicable (FTA transfers, customer service projects and planning activities).

Failure for a project sponsor to make a good-faith effort in scheduling and/or obtaining a field review from Caltrans Local Assistance within six months of programming into the TIP could result in the funding being subject to reprogramming.

- **Complete Environmental Submittal to Caltrans 12 months prior to Obligation Deadline**

**Delivery Issue**

The environmental approval process is often the most time and resource intensive element of project delivery. If the process is not initiated early enough or if unforeseen delays occur, meeting the obligation deadline, and project delivery schedule is difficult.

**Proposed Policy**

Twelve months prior to the obligation deadline, a complete environmental submittal to Caltrans for all projects except those determined *Programmatic Categorical Exemption* (as determined by Caltrans at the Field Review) is required. This policy creates a more realistic time frame for projects to progress from the Field Review through the environmental process to the request for obligation of right of way and construction funds. If the environmental process, as determined at the Field Review, will take longer than 12 months before obligation, the sponsor is responsible to deliver the complete environmental submittal in a timely manner. Failure to comply with this policy could result in the funding being subject to reprogramming. The requirement would not apply to FTA transfers, customer service projects and planning activities.

- **Annual Obligation/Submittal Deadlines**

**Delivery Issue**

MTC has adopted a policy to program to apportionment rather than the level of Obligation Authority (OA). This allows for some projects to fail, without affecting overall program delivery. Programming to apportionment is also consistent with the provisions of AB 1012 which require delivery based on apportionment levels rather than OA levels. MTC and Caltrans District 4 Local Assistance need an accelerated delivery schedule at the close of each federal fiscal year to manage the aggressive programming policy and assure that the region takes advantage of all available OA, including advances from future years and unused OA that may be redirected from other regions.

**Proposed Policy**

STP, CMAQ, and Enhancement funds will be subject to an obligation/FTA transfer request submittal deadline of April 1<sup>st</sup>, and an obligation deadline of June 30<sup>th</sup> of the fiscal year the funds are programmed in the TIP. Implementing agencies are required to submit the complete request for obligation or FTA transfer to Caltrans Local Assistance by April 1 of the fiscal year programmed, and receive an obligation/FTA transfer of the funds by June 30<sup>th</sup>. For example, projects programmed in FY 2005-06 have an obligation/FTA Transfer request submittal deadline (to Caltrans) of April 1, 2006 and an obligation/FTA Transfer deadline of June 30, 2006. Projects programmed in FY 2006-07

have an obligation request submittal deadline (to Caltrans) of April 1, 2007 and an obligation/FTA transfer deadline of June 30, 2007.

- Regional Submittal Deadline: April 1 – Implementing agency required to submit complete package to Caltrans (3 months prior to the Regional Obligation Deadline)
- Regional Obligation Deadline: June 30 (Advanced 3 months from TEA-21 schedule)
- No extensions

**April 1** is the regional submittal deadline. Complete package submittals received by April 1 of the fiscal year programmed in the TIP will receive first priority for obligations against available OA.

**April 2 – June 30** Projects submitted during this timeframe are subject to deprogramming. If OA is still available, these projects would compete with projects advanced from the next fiscal year. If OA is not available, these projects would compete with projects advanced from the next fiscal year on a first come-first serve basis.

**June 30** is the regional obligation deadline. Funds not obligated/transferred to FTA by June 30 of the fiscal year programmed in the TIP will be returned to MTC for reprogramming. No extensions of this deadline will be approved.

In order to assure funds are obligated/transferred to FTA, the implementing agency is required to deliver a complete funding obligation/FTA Transfer request package to Caltrans Local Assistance by April 1 of the year the funds are programmed in the TIP. Projects with complete packages delivered by April 1 of the programmed year will have first priority to available OA. If the project is delivered after April 1 of the programmed year, the funds will not be the highest priority for obligation in the event of Obligation Authority (OA) limitations, and will compete with projects advanced from future years for limited OA. Fund obligation/FTA transfer requests submitted after April 1 will be viewed as subject to reprogramming.

The Congestion Management Agencies (CMAs) may adjust programming up until April 1 of the programmed year, swapping funds to a ready project in order to utilize all of the programming capacity, subject to available OA.

- **Expenditure/Liquidation/Project Close-Out Deadlines**

**Delivery Issue**

Federal regulations require that STP, CMAQ and Enhancement funds (among other federal funds) be obligated within three years after the fiscal year of apportionment. Funds not obligated within this time frame are permanently lost to the state. Generally under federal regulations, these funds are available for expenditure and reimbursement for up to ten years following obligation, however, the State has imposed a more restrictive timeframe.

Under State statute, federal funds apportioned to a region shall remain available for two years after the fiscal year of apportionment. Federal funds not obligated within this time frame are permanently lost to the region. Furthermore, State statutes require that federal

funds obligated to a project must be expended, invoiced, liquidated and reimbursed within three fiscal years after the state fiscal year of encumbrance. Funds not meeting this time frame must be reappropriated by the State Legislature in each state budget thereafter. In the past, these funds have been routinely reappropriated. However, due to the current state financial climate, Caltrans has indicated that the encumbrance/ expenditure and liquidation deadlines are now being enforced by the Department of Finance, and there is no guarantee the funds will be reappropriated beyond the three-year liquidation deadline.

### **Proposed Policy**

In accordance with state budget authority, federal (and state) funds must be encumbered by an approved State funding agreement within one year after the state fiscal year of obligation. Furthermore, the funds must be fully expended, invoiced, liquidated, reimbursed and the project accepted, within three years after the state fiscal year in which the funds were obligated.

The following provisions are required in order to ensure no funds are lost after obligation. Failure to meet these requirements will result in the potential loss of funding, and potential loss of reimbursement for incurred project costs. Sponsors with projects that require reappropriation in the State budget, or have projects that have been inactive for more than three years, or fail to meet the following provisions are subject to restrictions on receipt of OA for subsequent projects, and/or subject to limitations on future programming of funds until the reappropriated/ inactive projects are cleared up, or a firm commitment date is provided to Caltrans Local Assistance in order to meet the post-obligation deadlines.

- Funds must be encumbered within one year after the state fiscal year of obligation (encumbrance is approval of a funding agreement with the state). This requirement does not apply to FTA transfers.
- Construction/Equipment Purchase contract must be awarded within one year after the state fiscal year of obligation of construction funds (this requirement does not apply to FTA transfers).
- Funds must be liquidated within four years after the state fiscal year in which the funds were obligated (this requirement does not apply to FTA transfers).
- For FTA projects, funds must be in approved/awarded in an FTA Grant within one year after the fiscal year in which the funds were transferred to FTA.

This post-obligation deadline policy will assist sponsors in ensuring that obligated funds are not lost due to missing a state deadline or by not being reappropriated in the State budget beyond the three-year availability provision. It provides an additional mechanism to prevent funds from being lost to the project.

**Attachment:** Proposed Regional Project Delivery Policy for TEA-21 Reauthorization

**PROPOSED**  
**Regional Project Delivery Policy**  
**for TEA-21 Reauthorization**  
**STP, CMAQ and Enhancement Funds**

**General Policy**

The region has established deadlines for funding in the Surface Transportation Program (STP), Congestion Mitigation and Air Quality (CMAQ) Program and Enhancements Program to ensure timely project delivery against state and federal funding deadlines. This resolution establishes a standard policy for enforcing project delivery deadlines and project substitutions for these funds during TEA-21 Reauthorization.

The regional STP, CMAQ and Enhancements programs are project specific. Projects are chosen for the program based on eligibility, project merit, and deliverability within the established deadlines. The programmed STP, CMAQ or Enhancements funds are for those projects alone.

It is the responsibility of the implementing agency at the time project submittal and programming, to ensure the regional deadlines and provisions of the regional project delivery policy can be met.

MTC staff will actively monitor and report the obligation status of projects to the Fund Programming Working Group (FPWG) of the Bay Area Partnership. The FPWG will monitor project delivery issues as they arise and recommend any actions to the Partnership Technical Advisory Committee (PTAC) as necessary.

Implementing agency or MTC may feel that circumstances may justify changes to the STP, CMAQ and Enhancement programming. These changes, or amendments to these regional programs, are not routine. All proposed changes will be reviewed by MTC staff before any formal actions on program amendments are considered by the Commission. All changes must follow MTC policies on the Public Involvement Process and Federal Air Quality Procedures and Conformity Protocol. Changes must be consistent with the Regional Transportation Plan (RTP), must not adversely affect the expeditious implementation of Transportation Control Measures (TCMs), must not negatively impact the deliverability of other projects in the regional programs, and must not affect the conformity finding in the Transportation Improvement Program (TIP).

Final decisions regarding the reprogramming of available funds will be made by the Commission.

**Project Cost Savings/Reductions in Scope/Project Failures**

From time to time projects may be completed at a lower cost than anticipated, or have a minor reduction in scope resulting in a lower project cost, or may not proceed to implementation. In such circumstances, the implementing agency must notify MTC, Caltrans and the appropriate county Congestion Management Agency (CMA) within a timely manner, that the funds resulting from these 'project savings' will not be used.

Project savings accrued prior to the established obligation deadline will be available for redirection within the program of origin. Savings within the formula-based programs, such as county guaranteed funding returned to counties based on a population share, are available for redirection by the CMAs within the formula program, subject to Commission approval.

Project savings within regional competitive programs, such as the regional Transportation for Livable Communities (TLC) program, or for annual customer service projects, such as TravInfo®, or for planning activities, such as the 3% planning funds for CMA planning activities, are available for redirection by the Commission.

For all programs, the projects using the redirected savings prior to the obligation deadline must still obligate the funds within the original deadline.

Project savings or unused funding realized after the obligation deadline return to MTC. Any unused funds that have been obligated but unused, will be deobligated from the project and returned to the Commission for redirection. In selecting projects to receive the redirected funding, the Commission may use existing lists of projects that did not receive funding in past programming exercises, it could direct funding to agencies with proven on-time project delivery, or it could identify other projects with merit to receive the funding, or it may add the funds to the next programming cycle.

### **Specific Policy Provisions**

Projects selected to receive STP, CMAQ or Enhancement funding must have a demonstrated ability to use the funds within the established regional, state and federal deadlines. This criteria will be used for selecting projects for funding, and for placement of funding in a particular year of the TIP.

It is the responsibility of the implementing agency to ensure the funds can be used within the established regional, state and federal deadlines and that the provisions of the regional delivery policy can be met. It is also the responsibility of the implementing agency to continuously monitor the progress of the programmed funds against regional, state and federal deadlines, and to report any potential difficulties in meeting these deadlines, (or difficulties in meeting the provisions of the regional delivery policy) to MTC, Caltrans and the appropriate county CMA within a timely manner, to seek solutions to potential problems well in advance of potential delivery failure or permanent loss of funding.

Specific provisions of the Regional Project Funding-Delivery Policy are as follow:

- **Funds to be Obligated/Transferred in the Fiscal Year Programmed in the TIP**

STP, CMAQ and Enhancement funds are to be programmed, up to the apportionment level for that fiscal year, in the TIP within the fiscal year in which the funds are to be obligated by the Federal Highway Administration (FHWA) or transferred to the Federal Transit Administration (FTA), similar to the programming of the State Transportation Improvement Program (STIP). This will improve the overall management of federal Obligation Authority (OA) within the region and improve the likelihood that OA and State Budget Authority (SBA) will be available for projects that are programmed in a particular fiscal year.

Obligations for funds advanced from future years of the TIP will be permitted only upon the availability of surplus OA and SBA in a particular year, with current programmed projects that have met the delivery deadlines having priority for OA in a given year. Advanced

obligations will be based on the availability of OA and will not be considered until after April 1 of each fiscal year. In some years, OA may not be available for advancements until after June 30. Implementing agencies wishing to advance projects may request Advance Construction (AC) authorization from Caltrans (or pre-award authority from FTA) to proceed with the project using local funds until OA becomes available.

- **Field Reviews**

Implementing agencies are required to request a field review within 6 months of MTC's approval of the project in the TIP for federal-aid projects receiving funding through the STP, CMAQ, and regional Enhancement programs that are subject to AB 1012 or regional obligation deadlines. This policy also applies to federal-aid projects in the STIP. The requirement does not apply to projects for which a field review would not be applicable (such as FTA transfers, customer service projects and planning activities).

Failure for an implementing agency to make a good-faith effort in scheduling and/or obtaining a field review from Caltrans Local Assistance within six months of programming into the TIP could result in the funding being subject to reprogramming.

- **Complete Environmental Submittal to Caltrans 12 months prior to Obligation Deadline**

Implementing agencies are required to submit a complete environmental package to Caltrans for all projects (except those determined *Programmatic Categorical Exemption* as determined by Caltrans at the field review), twelve months prior to the obligation deadline for right of way or construction funds. This policy creates a more realistic time frame for projects to progress from the field review through the environmental and design process, to the right of way or construction phase. If the environmental process, as determined at the field review, will take longer than 12 months before obligation, the implementing agency is responsible to deliver the complete environmental submittal in a timely manner. Failure to comply with this provision could result in the funding being subject to reprogramming. The requirement does not apply to FTA transfers, customer service projects or planning activities.

- **Obligation/Submittal Deadlines**

Projects selected to receive STP, CMAQ or Enhancement funding must demonstrate the ability to obligate programmed funds by the established obligation deadline. This criteria will be used for selecting projects for funding, and for placement in a particular year of the TIP. It is the responsibility of the implementing agency to ensure the deadlines can be met.

In order to ensure funds are obligated or transferred to FTA in a timely manner, the implementing agency is required to deliver a complete funding obligation / FTA Transfer request package to Caltrans Local Assistance by April 1 of the year the funds are programmed in the TIP. Projects with complete packages delivered by April 1 of the programmed year will have first priority to available OA. If the project is delivered after April 1 of the programmed year, the funds will not be the highest priority for obligation in the event of Obligation Authority (OA) limitations, and will compete with projects advanced from future years for limited OA. Fund obligation/FTA transfer requests submitted after the April 1 deadline will be viewed as subject to reprogramming.

Within the formula-based programs, such as county guaranteed funding returned to counties based on a population share the Congestion Management Agencies (CMAs) may adjust programming up until April 1 of the programmed year, swapping funds to a ready project in order to utilize all of the programming capacity, subject to available OA. The substituted project(s) must still obligate the funds within the original funding deadline.

For funding programmed through regional competitive programs, such as the regional Transportation for Livable Communities (TLC) program, or for customer service projects, such as TravInfo®, or for planning activities, such as the CMA planning activities, the Commission has discretion to redirect funds from delayed or failed projects.

STP, CMAQ, and Enhancement funds are subject to an obligation/FTA transfer deadline of June 30<sup>th</sup> of the fiscal year the funds are programmed in the TIP. Implementing agencies are required to submit the complete request for obligation or FTA transfer to Caltrans Local Assistance by April 1 of the fiscal year programmed in the TIP, and receive an obligation/FTA transfer of the funds by June 30<sup>th</sup> of the fiscal year programmed in the TIP. For example, projects programmed in FY 2005-06 of the TIP have an obligation/FTA transfer request submittal deadline (to Caltrans) of April 1, 2006 and an obligation/FTA transfer deadline of June 30, 2006. Projects programmed in FY 2006-07 have an obligation request submittal deadline (to Caltrans) of April 1, 2007 and an obligation/FTA transfer deadline of June 30, 2007.

- **Submittal Deadline:** April 1 of the fiscal year programmed in the TIP. The Implementing Agency is required to submit a complete obligation/transfer package to Caltrans (3 months prior to the Obligation Deadline).
- **Obligation Deadline:** June 30 of the fiscal year programmed in the TIP. No extensions will be granted to the obligation deadline.

**April 1** - Regional submittal deadline. Complete package submittals received by April 1 of the fiscal year programmed in the TIP will receive first priority for obligations against available OA.

**April 2 – June 30** - Projects submitted during this timeframe are subject to deprogramming. If OA is still available, these projects may receive OA if obligated by June 30. If OA is limited, these projects would compete for OA with projects advanced from the following fiscal year on a first come-first serve basis, through June 30.

**June 30** - Regional obligation deadline. Funds not obligated (or transferred to FTA) by June 30 of the fiscal year programmed in the TIP will be returned to MTC for reprogramming. No extensions of this deadline will be granted.

The obligation deadline may not be extended. The funds must be obligated by the established deadline or they will be de-programmed from the project and redirected by the Commission to a project that can use the funds in a timely manner. In selecting projects to receive the redirected funding, the Commission may use existing lists of projects that did not receive funding in past programming exercises, or direct the funds to agencies with proven on-time project delivery, or it could identify other projects with merit to receive the funding.

- **Encumbrance/Liquidation/Project Close-Out Deadlines**

STP, CMAQ or Enhancement funds must be encumbered by an approved State funding agreement within one year after the state fiscal year of obligation. Furthermore, the funds must be fully liquidated (expended, invoiced and reimbursed) and the project accepted and closed out, within four years after the state fiscal year in which the funds were obligated.

The following provisions are required in order to ensure no funds are lost after obligation. Failure to meet these requirements will result in the potential loss of funding for reimbursement of incurred project costs.

- Funds must be encumbered within two state fiscal years including the fiscal year in which the funds were obligated (encumbrance is approval of a funding agreement with the state). This requirement does not apply to FTA transfers.
- Construction/Equipment Purchase contract must be awarded within two state fiscal years including the fiscal year in which the construction funds were obligated (this requirement does not apply to FTA transfers).
- Funds must be liquidated (expended, invoiced and reimbursed) within five state fiscal years including the fiscal year in which the funds were obligated (this requirement does not apply to FTA transfers).
- Project must be accepted and closed out within six fiscal years including the fiscal year in which the funds were obligated (this requirement does not apply to FTA transfers).
- For FTA projects, funds must be approved/awarded in an FTA Grant within two state fiscal years including the fiscal year in which the funds were transferred to FTA.

Implementing agencies with projects that require reappropriation in the State budget, or have projects that have been inactive for more than three years, or fail to meet the post-obligation provisions are subject to restrictions on receipt of OA for subsequent projects, and/or subject to limitations on future programming of funds until the reappropriated/ inactive projects are cleared up and a firm commitment date is provided to Caltrans Local Assistance to meet the post-obligation deadlines.

This post-obligation deadline provision will assist implementing agencies in ensuring that obligated funds are not lost due to missing a state deadline. It provides an additional mechanism to prevent funds from being lost to the project.

| Milestone Deadline       | State FY 1 | State FY 2 | State FY 3 | State FY 4 | State FY 5 | State FY 6 |
|--------------------------|------------|------------|------------|------------|------------|------------|
|                          | June 30    |
| <b>Programmed</b>        |            |            |            |            |            |            |
| <b>Obligated</b>         |            |            |            |            |            |            |
| <b>Encumbered</b>        |            |            |            |            |            |            |
| <b>Awarded</b>           |            |            |            |            |            |            |
| <b>Liquidated</b>        |            |            |            |            |            |            |
| <b>Project Close-Out</b> |            |            |            |            |            |            |

## Memorandum

*Flex your power!  
Be energy efficient!*

To: ROBERT L. BUCKLEY, Chief  
Division of Engineering Services

Date: June 6, 2003

LAWRENCE H. ORCUTT, Chief  
Division of Maintenance

File:

DISTRICT LOCAL ASSISTANCE ENGINEERS

From: TERRY L. ABBOTT  
Chief  
Division of Local Assistance



Subject: Fiscal Year 2003/04 Local Assistance Preliminary Allocations

As we discussed at our January telephone conference, the Local Assistance Program's staffing level has been reduced in the current fiscal year (FY) 2002/03 by 20 PYs and additional reductions (40 PYs) are expected to take effect in the coming FY 2003/04. The Program is expected to be down approximately 60 positions from where we started this year. In addition, a recent Department of Finance Budget Letter was released to eliminate an additional 30 PYs from the Program. If full reductions are implemented, the Program could lose as many as 90 PYs.

This effectively eliminates all enhanced services provided in the February 1999 Finance Letter. The Finance Letter identified 20 PYs in training and 97 PYs in project delivery enhanced services activities. The expectation is that most or all of the activities identified in the Finance Letter will be severely curtailed. Depending on the specific needs of your local agencies, the reduced or eliminated activities include:

- Attending pre-programming field reviews
- STIP amendment reviews
- Statewide informal and on the job training
- Attending field reviews
- Allocation and authorization document preparation
- Technical support (design, environmental, structural, hydraulics, etc.)
- DBE and ADA support
- Consultant selection support
- Maintain dual-unit standards
- Inspections and testing services
- Issue resolution
- Response to specific project issues

Please work with your local agencies to help them understand what services you will be able to continue next FY.

While the final outcome of the reductions for the 2003/04 budget year will not be known until later this summer, for planning purposes, we are releasing preliminary allocations assuming the worst case scenario. Unfortunately, the reduction will impact all districts as well as headquarters.

A list of proposed allocations for FY 2003/04 for each district is attached. This amount includes the PYs your district crossed over. To help you achieve your anticipated reduced allocation level, it may be necessary to not fill vacancies.

With these proposed reductions, it is even more important that we remain focused on assisting delivery of local transportation projects in accordance with State and Federal requirements.

We will continue to monitor the proposed 2003/2004 budget and notify you of any adjustments that may impact the program. We are hopeful that the numbers above can be restored at some future date. If you have any questions or concerns regarding these allocations, please feel free to contact Norma Ortega at (916) 654-6841 or Joan Musillani at (916) 653-3760.

Attachment

# LOCAL ASSISTANCE SUPPORT

## FY 2003-04 Preliminary Allocations (Including Proposed Reductions)

|                         | Proposed Reductions               |   |  |   |  | 2003-04<br>Allocation |  |
|-------------------------|-----------------------------------|---|--|---|--|-----------------------|--|
|                         | 2002-03<br>Starting<br>Allocation | (9 PYs)<br>2002-03<br>Vacancy<br>Reductions | (4.4 PYs)<br>2002-03<br>Attrition<br>Reduction | (10.2 PYs)<br>2003-04<br>Attrition<br>Reduction | (29.3 PYs)<br>25% Enh<br>Services<br>Reduction |                       | (25 PYs)<br>10%<br>Statewide<br>Pers.<br>Services<br>Reduction |
| 50-Headquarters         | 74.3                              | (5.0)                                       | (1.2)  | (2.2)   | (3.5)  | (3.8)                 | 58.6   |
| 56-Maintenance          | 7.8                               | -   | -  | -   | 1.0  | -                     | 8.8  |
| 59-Engineering Services | 25.2                              | -   | (0.7)  | (1.1)   | (5.4)  | (3.2)                 | 14.8   |
| 1-Eureka                | 5.7                               | -   | (0.1)  | (0.2)   | (0.4)  | (0.4)                 | 4.6  |
| 2-Redding               | 9.5                               | -   | (0.1)  | (0.3)   | (0.6)  | (1.2)                 | 7.3  |
| 3-Marysville            | 15.6                              | -   | (0.2)  | (0.6)   | (1.6)  | (1.4)                 | 11.8   |
| 4-Oakland               | 38.7                              | (3.0)                                       | (0.5)  | (1.1)   | (2.8)  | (2.5)                 | 28.8   |
| 5-San Luis Obispo       | 12.5                              | -   | (0.2)  | (0.4)   | (1.1)  | (1.0)                 | 9.8  |
| 6-Fresno                | 15.8                              | -   | (0.2)  | (0.5)   | (1.5)  | (1.3)                 | 12.3   |
| 7-Los Angeles           | 44.3                              | (1.0)                                       | (0.6)  | (1.4)   | (5.8)  | (3.3)                 | 32.2   |
| 8-San Bernardino        | 17.3                              | -   | (0.2)  | (0.6)   | (1.9)  | (1.6)                 | 13.0   |
| 9-Bishop                | 4.1                               | -   | -  | (0.1)   | (0.2)  | (0.2)                 | 3.6  |
| 10-Stockton             | 15.1                              | -   | (0.2)  | (0.5)   | (1.1)  | (1.6)                 | 11.7   |
| 11-San Diego            | 15.0                              | -   | (0.2)  | (0.5)   | (1.8)  | (1.4)                 | 11.1   |
| 12-Santa Ana            | 12.9                              | -   | (0.1)  | (0.4)   | (1.7)  | (1.3)                 | 9.4  |
| All Other Districts     | 11.9                              | -   | -  | (0.3)   | (0.9)  | (0.8)                 | 9.9  |
| <b>Total PYs</b>        | <b>325.7</b>                      | <b>(9.0)</b>                                | <b>(4.4)</b>                                   | <b>(10.2)</b>                                   | <b>(29.3)</b>                                  | <b>(25.0)</b>         | <b>247.9</b>   |

**Note:** Allocations are computed *prior* to distribution of cross-over PYs.

METROPOLITAN TRANSPORTATION COMMISSION

**PROPOSED**

FY 2002-03 Obligation Plan

Projects with Federal STP/CMAQ/TEA Funds

August 20, 2003

| Status                                 | CT           | Status                                  | County                      | Agency              | Federal Project ID | TIP ID                | Project Title              | Programmed  | Fund  | Obligated '02 | Balance as of June 30, 2003 | Running Total |              |              |
|--|--------------|---|-----------------------------|---------------------|--------------------|-----------------------|----------------------------|---|---|---------------|-----------------------------|---------------|--------------|--------------|
| <b>8 1) FTA Transfers</b>              |              |   |                             |                     |                    |                       |                            |   |   |               |                             |               |              |              |
|  | Award Status |   |                             |                     |                    |                       |                            |   |   |               |                             |               |              |              |
| 1                                      | FY 02        | FTA                                     | Transfer Pending            | 3-year annual proj. | Contra Costa       | CCCTA                 | CC-010005                  | Contra Costa Route 114 Monument Corridor - FY 01-02 | \$220,220   | CMAQ          | \$110,110                   | \$110,110     | \$110,110    |              |
| 2                                      | FY 02        | FTA                                     | Transfer Pending            | 3-year annual proj. | Contra Costa       | CCCTA                 | CC-010005                  | Contra Costa Route 114 Monument Corridor - FY 02-03 | \$110,110   | CMAQ          |                             | \$110,110     | \$220,220    |              |
| 2                                      | FY 03        | FTA                                     | Transfer Pending            |                     | Alameda            | AC Transit            | CA-90-Y215<br>ALA991058    | Link-Bay Fair BART/Hillsdale Caltrain St - FY 02-03 | \$451,000   | CMAQ          |                             | \$451,000     | \$671,220    |              |
| 3                                      | FY 02        | FTA                                     | Transfer Pending            | 3-year annual proj. | Solano             | City of Vallejo       | CML-5030(033)<br>SOL991054 | Mare Island Service - Operations (2 yr) - FY 01-02  | \$70,000  | CMAQ          |                             | \$70,000      | \$741,220    |              |
| 3                                      | FY 03        | FTA                                     | Transfer Pending            | 3-year annual proj. | Solano             | City of Vallejo       | CML-5030(033)<br>SOL991054 | Mare Island Service - Operations (2 yr) - FY 02-03  | \$70,000  | CMAQ          |                             | \$70,000      | \$811,220    |              |
| 4                                      | FY 04        | FTA                                     | Transfer Pending            |                     | Solano             | MTC                   | SOL010019                  | Sereno Transit Center Improvements (Vallejo HIP)    | \$382,500   | STP           |                             | \$382,500     | \$1,193,720  |              |
| 5                                      | FY 04        | FTA                                     | Transfer Pending            | Cycle 1             | San Mateo          | SamTrans              | SM-030018                  | Acquire 209 Bus Catalyst Devices - FY 03-04         | \$1,694,000   | CMAQ          |                             | \$1,694,000   | \$2,887,720  |              |
| 6                                      | FY 04        | FTA                                     | Transfer Pending            | Cycle 1             | Marin              | GGBHTD                | MRN010032                  | Acquire 132 Bus Catalyst Devices - FY 03-04         | \$665,000   | CMAQ          |                             | \$665,000     | \$3,552,720  |              |
| 7                                      | FY 04        | FTA                                     | Transfer Pending            | Cycle 1             | Ala / CC           | AC Transit            | ALA010063                  | Acquire 727 Bus Catalyst Devices - FY 03-04         | \$3,419,000   | CMAQ          |                             | \$3,419,000   | \$6,971,720  |              |
| 8                                      | FY 04        | FTA                                     | Transfer Pending            | Cycle 1             | San Francisco      | MUNI                  | SF-010035                  | Acquire 375 Bus Catalyst Devices - FY 03-04         | \$3,038,000   | CMAQ          |                             | \$3,038,000   | \$10,009,720 |              |
|  |              |   |                             |                     |                    |                       |                            |   |   |               | \$10,009,720                | \$10,009,720  |              |              |
| <b>83 2) Advance Construction (AC)</b> |              |   |                             |                     |                    |                       |                            |   |   |               |                             |               |              |              |
| 9                                      | AC           | STIP Impact                             | On-Going                    | Cycle 1             | Sonoma             | MTC/Sonoma Co TA      | PARTIAL                    | SON970081   | CMA Planning Funds - Sonoma CTA - FY 03-04          | \$121,752     | STP                         |               | \$121,752    | \$10,131,472 |
| 10                                     | AC           | STIP Impact                             | On-Going                    | Cycle 1             | Napa               | MTC/Napa TPA          | PARTIAL                    | NAP970004   | CMA Planning Funds - Napa TPA - FY 03-04            | \$121,751     | STP                         |               | \$121,751    | \$10,253,223 |
| 11                                     | AC           | STIP Impact                             | On-Going                    | Cycle 1             | Marin              | MTC/Marin CMA         |                            | MRN970034   | CMA Planning Funds - Marin CMA - FY 03-04           | \$390,000     | STP                         |               | \$390,000    | \$10,643,223 |
| 12                                     | AC           | STIP Impact                             | On-Going                    | Cycle 1             | Solano             | MTC/Solano TA         |                            | SOL970033   | CMA Planning Funds - Solano TA - FY 03-04           | \$390,000     | STP                         |               | \$390,000    | \$11,033,223 |
| 13                                     | AC           | STIP Impact                             | On-Going                    | Cycle 1             | San Mateo          | MTC/San Mateo TA      |                            | SM-979033   | CMA Planning Funds - San Mateo TA - FY 03-04        | \$690,000     | STP                         |               | \$690,000    | \$11,723,223 |
| 14                                     | AC           | STIP Impact                             | On-Going                    | Cycle 1             | San Francisco      | MTC/San Francisco TA  |                            | SF-990015   | CMA Planning Funds - San Francisco TA - FY 03-04    | \$391,000     | STP                         |               | \$391,000    | \$12,114,223 |
| 15                                     | AC           | STIP Impact                             | On-Going                    | Cycle 1             | Santa Clara        | MTC/Santa Clara VTA   |                            | SCL978008   | CMA Planning Funds - Santa Clara VTA - FY 03-04     | \$671,000     | STP                         |               | \$671,000    | \$12,785,223 |
| 16                                     | AC           | STIP Impact                             | On-Going                    | Cycle 1             | Contra Costa       | MTC/Contra Costa TA   |                            | CC-979042   | CMA Planning Funds - Contra Costa TA - FY 03-04     | \$444,000     | STP                         |               | \$444,000    | \$13,229,223 |
| 17                                     | AC           | STIP Impact                             | On-Going                    | Cycle 1             | Alameda            | MTC/Alameda Co CMA    |                            | ALA979001   | CMA Planning Funds - Alameda CCMA - FY 03-04        | \$597,000     | STP                         |               | \$597,000    | \$13,826,223 |
| 17                                     | AC           | STIP Impact                             | On-Going                    | Cycle 1             | Alameda            | MTC/Alameda Co CMA    |                            | ALA979001   | CMA Planning Funds - Alameda CCMA - FY 03-04        | \$750,000     | STP                         |               | \$750,000    | \$14,576,223 |
| 18                                     | AC           | STIP Impact (Multi-Year Contract) 5 yea | On-Going                    | Cycle 1             | Region-Wide        | MTC                   | PARTIAL                    | MTC990003   | Regional Redishare - FY 03-04                       | \$599,503     | CMAQ                        |               | \$599,503    | \$15,175,726 |
| 19                                     | AC           | STIP                                    | 6/6/02 Awdd \$64,999        | AC                  | Solano             | City of Rio Vista     | ACSTPL-5099(007)           | SOL991040   | Front Street Overlay Project                        | \$83,000      | STP                         |               | \$83,000     | \$15,258,726 |
| 20                                     | AC           | STIP                                    | 1/11/02 State Awdd \$35 Mil | AC                  | Napa               | Caltrans / Napa       | CML-6204(049)              | NAP950004   | Route 29 / Trancas Street Interchange               | \$262,000     | CMAQ                        |               | \$262,000    | \$15,520,726 |
| 21                                     | AC           | STIP                                    | 3/3/03 Awdd \$1,239,728     | AC                  | Santa Clara        | City of Gilroy        | STPLER-5034(011)           | SCL010034   | Monterey Streetscape Imps. - 6th to 7th Streets     | \$1,363,891   | CMAQ                        | \$174,000     | \$1,189,891  | \$16,710,617 |
| 22                                     | AC           | STIP                                    |                             | AC                  | Solano             | City of Fairfield     | ACRPSTPL-5132(019)         | SOL010013   | Central Way Rehabilitation.                         | \$45,000      | STP                         |               | \$45,000     | \$16,755,617 |
| 23                                     | AC           | STIP                                    |                             | AC                  | Solano             | City of Fairfield     | ACRPSTPL-5132(019)         | SOL991087   | Pittman Road Rehabilitation                         | \$95,000      | STP                         |               | \$95,000     | \$16,850,617 |
| 24                                     | AC           | STIP                                    |                             | AC                  | Marin              | City of San Rafael    | ACSTPL-5043(018)           | MRN991015   | Fourth Street Resurfacing (Miracle Mile)            | \$200,000     | STP                         |               | \$200,000    | \$17,050,617 |
| 25                                     | AC           | STIP                                    |                             | AC                  | Marin              | City of San Rafael    | ACSTPL-5043(017)           | MRN010002   | Second Street Resurfacing                           | \$238,000     | STP                         |               | \$238,000    | \$17,288,617 |
| 26                                     | AC           | TEA                                     | 10/21/02 Awdd \$1,889,900   | AC                  | San Mateo          | City of San Mateo     | ACSTPLER-5102(024)         | SM-991097   | San Mateo Main St. Ped & Tr Center Links            | \$813,610     | TEA                         |               | \$813,610    | \$18,102,227 |
| 27                                     | AC           | TEA                                     |                             | AC                  | Alameda            | City of Oakland       | CML-5012(051)              | ALA991080   | Fruitvale Streetscape & Multi-Modal Trans Project   | \$1,800,000   | TEA                         |               | \$1,800,000  | \$19,902,227 |
| 28                                     | AC           | TEA                                     |                             | AC                  | San Francisco      | Port of San Francisco | STPL-6169(008)             | SF-991024   | Embarcadero Promenade Pedestrian Imps123            | \$226,000     | STP                         |               | \$226,000    | \$20,128,227 |
| 29                                     | AC           | TEA                                     |                             | AC                  | Santa Clara        | City of Milpitas      | ACSTPLE-5314(001)          | SCL991056   | Berryessa Creek Bike/Ped Trail                      | \$375,000     | TEA                         |               | \$375,000    | \$20,503,227 |
| 30                                     | AC           | TEA                                     |                             | AC                  | Santa Clara        | City of Morgan Hill   | STPLER-5152(011)           | SCL010030   | Bufferfield Blvd Linear Park from Main to San Pedro | \$460,000     | TEA                         |               | \$460,000    | \$20,963,227 |
| 31                                     | AC           | TEA                                     |                             | AC                  | Santa Clara        | City of San Jose      | STPLER-5005(067)           | SCL991067   | Guadalupe River Park Trail Project                  | \$500,000     | TEA                         | \$70,824      | \$429,176    | \$21,392,403 |
| 32                                     | AC           | TEA                                     |                             | Partial AC - CON1   | Santa Clara        | City of San Jose      | STPLER-5005(068)           | SCL991068   | Los Gatos Creek - (CON1) Phase 1                    | \$301,000     | TEA                         |               | \$301,000    | \$21,693,403 |
| 33                                     | AC           | TEA                                     |                             | Partial AC - PE     | Santa Clara        | Santa Clara County    | ACSTPLER-5937(083)         | SCL010026   | Bascom Ave. Median & Landscaping - (ENV & PSE)      | \$107,000     | TEA                         |               | \$107,000    | \$21,800,403 |
| 34                                     | AC           | TEA                                     |                             |                     | Sonoma             | Sonoma County         | STPLER-5920(081)           | SON010026   | West County Bike Trail: Phase 10                    | \$240,000     | TEA                         |               | \$240,000    | \$22,040,403 |
| 35                                     | AC           | Awarded                                 | 7/9/02 Awdd \$261,752       | AC                  | Marin              | Marin County          | ACSTPL-5927(031)           | MRN991021   | Sir Francis Drake Blvd. East Overlay                | \$337,000     | STP                         | \$40,500      | \$296,500    | \$22,336,903 |
| 36                                     | AC           | Awarded                                 | 7/23/02 Awdd \$916,425      | AC                  | Alameda            | City of Oakland       | STPL-5012(055)             | ALA991032   | Oakland Street Resurfacing-MLK Jr Way               | \$1,281,000   | STP                         | \$121,286     | \$1,159,714  | \$23,496,617 |
| 37                                     | AC           | Awarded                                 | 9/9/02 Awdd \$547,776       | AC                  | Alameda            | City of Oakland       | STPL-5012(054)             | ALA991031   | Oakland Street Resurfacing -MacArthur               | \$769,000     | STP                         | \$72,594      | \$696,406    | \$24,193,023 |
| 38                                     | AC           | Awarded                                 | 9/10/02 Awdd \$384,479      | AC                  | San Mateo          | San Mateo County      | STPL-5935(016)             | SM-991048   | Sand Hill Road Resurfacing                          | \$161,000     | STP                         | \$18,591      | \$142,409    | \$24,335,432 |

METROPOLITAN TRANSPORTATION COMMISSION

**PROPOSED**

FY 2002-03 Obligation Plan

Projects with Federal STP/CMAQ/TEA Funds

August 20, 2003

| Status | CT | Status       | County                         | Agency           | Federal Project ID | TIP ID               | Project Title    | Programmed | Fund   | Obligated '02 | Balance as of June 30, 2003 | Running Total |              |              |
|--------|----|--------------|--------------------------------|------------------|--------------------|----------------------|------------------|------------|--|---------------|-----------------------------|---------------|--------------|--------------|
| 39     | AC | Awarded      | 9/10/02 Awdd \$1,737,173       | AC               | Alameda            | City of Hayward      | STPL-5050(027)   | ALA991036  | Arteial Pavement Rehab - Phase III TEA-21              | \$1,533,100   | STP                         | \$88,530      | \$1,444,570  | \$25,780,002 |
| 40     | AC | Awarded      | 9/17/02 Awdd \$132,130         | AC               | Marin              | City of Mill Valley  | STPL-5113(004)   | MRN991022  | Buena Vista Ave Resurfacing                            | \$183,000     | STP                         | \$24,000      | \$159,000    | \$25,939,002 |
| 41     | AC | Awarded      | 10/22/02 Awdd \$577,935        | AC               | Sonoma             | City of Rohnert Park | ACSTPL-5379(011) | SON991026  | Various Overlays - 2000                                | \$314,000     | STP                         |               | \$314,000    | \$26,253,002 |
| 42     | AC | Complete     | 11/5/02 Awdd \$1,907,597       | AC               | Contra Costa       | City of Richmond     | ACSTPL-5137(024) | CC-010010  | Valley View Road Improvements                          | \$600,000     | STP                         | \$88,530      | \$511,470    | \$26,764,472 |
| 43     | AC | Awarded      | 7/9/03 Awdd \$787,600          | AC               | Contra Costa       | City of El Cerrito   | ACSTPL-5239(007) | CC-991048  | Potrero Avenue Rehabilitation                          | \$374,900     | STP                         | \$36,000      | \$338,900    | \$27,103,372 |
| 44     | AC | Awarded      | - Multi-year Contract - 8 year | AC               | Region-Wide        | MTC                  | CML-6084(060)    | MTC990002  | TransLink@ FY 02-03                                    | \$10,332,000  | CMAQ                        |               | \$10,332,000 | \$37,435,372 |
| 45     | AC | Awarded      | - Multi-year Contract - 6 Year | AC               | Region-Wide        | MTC                  | CML-6084(061)    | MTC990006  | TravInfo@ FY 02-03                                     | \$5,410,000   | CMAQ                        |               | \$5,410,000  | \$42,845,372 |
| 46     | AC | Awarded      | On-Going                       | Cycle 1          | Region-Wide        | BAAQMD               |                  | MTC990015  | Spare the Air - FY 03-04                               | \$1,000,000   | CMAQ                        |               | \$1,000,000  | \$43,845,372 |
| 47     | AC | Awarded      | - Multi-year Contract - 8 year | Cycle 1          | Region-Wide        | MTC                  |                  | MTC990002  | TransLink@ FY 03-04                                    | \$10,400,000  | CMAQ                        |               | \$10,400,000 | \$54,245,372 |
| 48     | AC | Awarded      | - Multi-year Contract - 3 year | Cycle 1          | Region-Wide        | MTC                  |                  | MTC990013  | Transit Marketing - FY 03-04                           | \$500,000     | STP                         |               | \$500,000    | \$54,745,372 |
| 49     | AC | Awarded      | - Multi-year Contract - 3 year | Cycle 1          | Region-Wide        | MTC                  |                  | MTC990014  | Regional Transit Info - FY 03-04                       | \$700,000     | STP                         |               | \$700,000    | \$55,445,372 |
| 50     | AC | Awarded      | - Multi-year Contract - 6 Year | Cycle 1          | Region-Wide        | MTC                  |                  | MTC990006  | TravInfo - FY 03-04                                    | \$6,000,000   | STP                         |               | \$6,000,000  | \$61,445,372 |
| 51     | AC | Work Started | Project Development            | Partial AC - PE  | Marin              | City of San Rafael   | ACCML-5043(019)  | MRN010038  | Medway/Canal Enhancements - (PE)                       | \$80,000      | CMAQ                        |               | \$80,000     | \$61,525,372 |
| 52     | AC | Work Started | Project Development            | Partial AC - PE  | Alameda            | City of Alameda      | ACCML-5014(023)  | ALA030008  | Park St. Streetscape & Transit Hub - (PE)              | \$141,648     | CMAQ                        |               | \$141,648    | \$61,667,020 |
| 53     | AC | Work Started | Project Development            | Partial AC - PE  | Alameda            | City of San Leandro  | ACCML-5041(023)  | ALA010068  | W. Estudillo St. Streetscape & BART Connections - (PE) | \$145,189     | CMAQ                        |               | \$145,189    | \$61,812,209 |
| 54     | AC | Work Started | Project Development            | Partial AC - ENV | Santa Clara        | City of Sunnyvale    | ACSTPL-5213(021) | SCL010025  | Evelyn Ave Class II Bike Lane - (ENV)                  | \$30,000      | STP                         |               | \$30,000     | \$61,842,209 |
| 55     | AC | Work Started | Project Development            | Partial AC - ENV | Santa Clara        | City of Sunnyvale    | ACSTPL-5213(022) | SCL010028  | Sunnyvale North-South Bikeways - (ENV)                 | \$27,000      | STP                         |               | \$27,000     | \$61,869,209 |
| 56     | AC | Rightof Way  | Rightof Way                    | Partial AC - ROW | Solano             | Solano County        | STPL-5923(054)   | SOL991051  | Pleasants Valley Road Rehabilitation - (ROW)           | \$214,000     | STP                         | \$88,530      | \$125,470    | \$61,994,679 |
| 57     | AC | Work Started | Awarded                        | AC               | Alameda            | Port of Oakland      | STPL-6057(009)   | ALA991054  | MV Encinal Ferry Vessel Refurbish                      | \$375,000     | STP                         | \$77,021      | \$297,979    | \$62,292,658 |
| 58     | AC | Awarded      | Awarded - Jul 29, 03           | AC               | Alameda            | Alameda County       | STPL-5933(061)   | ALA991035  | Pavement Rehab Lump Sum - Various Locations            | \$1,995,000   | STP                         | \$233,134     | \$1,761,866  | \$64,054,524 |
| 59     | AC | Awarded      | Not Awarded                    | AC               | Alameda            | City of Oakland      | STPL-5012(061)   | ALA010021  | City of Oakland Street Resurfacing                     | \$1,242,000   | STP                         |               | \$1,242,000  | \$65,296,524 |
| 60     | AC | Awarded      | Not Awarded                    | AC               | Alameda            | City of Oakland      | STPL-5012(062)   | ALA990058  | Citywide sidewalk repair project.                      | \$2,000,000   | STP                         |               | \$2,000,000  | \$67,296,524 |
| 61     | AC | Awarded      | Not Awarded                    | AC               | Marin              | City of Corte Madera | STPL-5232(005)   | MRN991046  | Paradise Drive/San Clemente Dr Rehabilit               | \$217,000     | STP                         | \$26,000      | \$191,000    | \$67,487,524 |
| 62     | AC | Awarded      | Not Awarded                    | AC               | Marin              | City of Corte Madera | STPL-5232(006)   | MRN010003  | Fifer Avenue/Lucky Drive Rehabilitation                | \$99,000      | STP                         | \$10,000      | \$89,000     | \$67,576,524 |
| 63     | AC | Awarded      | Not Awarded                    | AC               | Marin              | City of Larkspur     | ACSTPL-5166(011) | MRN991016  | Doherty Drive Rehabilitation - Phase 1                 | \$289,000     | STP                         | \$28,329      | \$260,671    | \$67,837,195 |
| 64     | AC | Awarded      | Not Awarded                    | AC               | Marin              | City of Ross         | STPL-5176(002)   | MRN991025  | Sir Francis Drake Blvd. Street Rehab                   | \$75,000      | STP                         | \$7,082       | \$67,918     | \$67,905,113 |
| 65     | AC | Awarded      | Not Awarded                    | AC               | Marin              | Marin County         | ACSTPL-5927(029) | MRN991014  | North San Pedro Road, MP 0.54 to 1.76                  | \$548,000     | STP                         | \$62,000      | \$486,000    | \$68,391,113 |
| 66     | AC | Awarded      | Not Awarded                    | AC               | Marin              | Marin County         | ACSTPL-5927(030) | MRN991020  | Sir Francis Drake Blvd., MP 3.08 to 3.63               | \$548,000     | STP                         | \$62,000      | \$486,000    | \$68,877,113 |
| 67     | AC | Awarded      | Not Awarded                    | AC               | Napa               | Napa County          | STPL-5921(025)   | NAP991016  | Maintenance Overlay - Howell Mountain Ro               | \$503,000     | STP                         | \$22,132      | \$480,868    | \$69,357,981 |
| 68     | AC | Awarded      | Not Awarded                    | AC               | Napa               | Napa County          | STPL-5921(026)   | NAP010005  | Silverado Trail Resurfacing                            | \$182,000     | STP                         | \$26,559      | \$155,441    | \$69,513,422 |
| 69     | AC | Awarded      | Not Awarded                    | AC               | Sonoma             | City of Healdsburg   | STPL-5027(007)   | SON991020  | Healdsburg Avenue Overlay                              | \$266,000     | STP                         | \$41,000      | \$225,000    | \$69,738,422 |
| 70     | AC | Awarded      | Not Awarded                    | AC               | Sonoma             | Sonoma County        | ACCML-5920(069)  | SON991035  | West County Bike Trail: Phase 7.                       | \$450,000     | CMAQ                        |               | \$450,000    | \$70,188,422 |
| 71     | AC | Awarded      | Not Awarded                    | AC               | Sonoma             | Sonoma County        | STLP-5920(079)   | SON010020  | Old Redwood Highway Class II Bike Lanes                | \$250,000     | STP                         | \$26,559      | \$223,441    | \$70,411,863 |
| 72     | AC | TLC - FY 04  | Not Awarded                    | AC               | Solano             | City of Vacaville    | ACCML-5094(035)  | SOL010040  | Davis St. Ped & Gateway Improvements                   | \$482,000     | CMAQ                        |               | \$482,000    | \$70,893,863 |
| 73     | AC | Not Awarded  | Not Awarded                    | AC               | Sonoma             | Sonoma County        | STPL-5920(080)   | SON991023  | Stony Point Road - Stage 5A                            | \$1,650,000   | STP                         |               | \$1,650,000  | \$72,543,863 |
| 74     | AC | Not Awarded  | Not Awarded                    | AC               | Solano             | City of Vallejo      | ACSTPL-5030(030) | SOL010016  | Tennessee Street Overlay: Monterey to Halliday         | \$243,000     | STP                         |               | \$243,000    | \$72,786,863 |
| 75     | AC | Not Awarded  | Not Awarded                    | AC               | Solano             | City of Vallejo      | ACSTPL-5030(030) | SOL991047  | Broadway Overlay: Highway 37 to Mini Drive             | \$339,000     | STP                         |               | \$339,000    | \$73,125,863 |
| 76     | AC | Not Awarded  | Not Awarded                    | AC               | Solano             | City of Vallejo      | ACSTPL-5030(030) | SOL991048  | Rollingwood Dr. Overlay                                | \$198,000     | STP                         |               | \$198,000    | \$73,323,863 |
| 77     | AC | Not Awarded  | Not Awarded                    | AC               | Solano             | City of Vallejo      | ACSTPL-5030(030) | SOL991049  | Solano Av. Overlay: Georgia to Mariposa                | \$368,000     | STP                         |               | \$368,000    | \$73,691,863 |
| 78     | AC | Not Awarded  | Not Awarded                    | AC               | Solano             | City of Vallejo      | ACSTPL-5030(030) | SOL991050  | Santa Clara St. overlay: Carolina St. to Maine St.     | \$118,000     | STP                         |               | \$118,000    | \$73,809,863 |
| 79     | AC | Not Awarded  | Not Awarded                    | AC               | Solano             | City of Vallejo      | ACSTPL-5030(030) | SOL991090  | Oakwood St. overlay: Teakwood to Springs Road          | \$95,000      | STP                         |               | \$95,000     | \$73,904,863 |
| 80     | AC | Not Awarded  | Not Awarded                    | AC               | San Mateo          | City of San Carlos   | ACSTPL-5267(009) | SM-991058  | San Carlos Avenue Rehabilitation                       | \$225,000     | STP                         |               | \$225,000    | \$74,129,863 |
| 81     | AC | Not Awarded  | Not Awarded                    | AC               | San Francisco      | SF Public Works      | STPL-5934(112)   | SF-991016  | Lake Merced Pav. Renovation - Phase II                 | \$3,982,000   | STP                         |               | \$3,982,000  | \$78,111,863 |
| 82     | AC | Not Awarded  | Not Awarded                    | AC               | Napa               | City of Napa         | ACSTPL-5042(031) | NAP010006  | Dry Creek Rd, Linda Vista, & Solano Resurfacing        | \$182,000     | STP                         |               | \$182,000    | \$78,293,863 |

METROPOLITAN TRANSPORTATION COMMISSION

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FY 2002-03 Obligation Plan

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August 20, 2003

| Status  | CT    | Status      | County        | Agency                  | Federal Project ID      | TIP ID           | Project Title  | Programmed                               | Fund      | Obligated '02 | Balance as of June 30, 2003 | Running Total |              |
|---|-------|-------------|---------------|-------------------------|-------------------------|------------------|--|--|-----------|---------------|-----------------------------|---------------|--------------|
| 83  | AC    | Not Awarded | Napa          | City of Napa            | ACSTPL-5042(031)        | NAP991014        | Terrace Drive Overlay                                  | \$314,000                                | STP       |               | \$314,000                   | \$78,607,863  |              |
| 84  | AC    | Not Awarded | Napa          | City of Napa            | ACSTPL-5042(032)        | NAP991010        | First Street Overlay                                   | \$301,000                                | STP       |               | \$301,000                   | \$78,908,863  |              |
| 85  | AC    | Not Awarded | Napa          | City of Napa            | ACSTPL-5042(032)        | NAP991011        | Foothill Boulevard Overlay                             | \$178,000                                | STP       |               | \$178,000                   | \$79,086,863  |              |
| 86  | AC    | Not Awarded | Napa          | City of Napa            | ACSTPL-5042(032)        | NAP991012        | Linda Vista Avenue Overlay                             | \$332,000                                | STP       |               | \$332,000                   | \$79,418,863  |              |
| 87  | AC    | Not Awarded | Napa          | City of Napa            | ACSTPL-5042(032)        | NAP991013        | Old Sonoma Overlay                                     | \$124,000                                | STP       |               | \$124,000                   | \$79,542,863  |              |
| 88  | AC    | Not Awarded | Napa          | City of Napa            | ACSTPL-5042(032)        | NAP991015        | West Pueblo Avenue Overlay                             | \$200,000                                | STP       |               | \$200,000                   | \$79,742,863  |              |
| 89  | AC    | Not Awarded | Marin         | City of San Anselmo     | ACSTPL-5159(008)        | MRN991023        | Center Boulevard Rehabilitation                        | \$184,000                                | STP       |               | \$184,000                   | \$79,926,863  |              |
| 90  | AC    | Not Awarded | Alameda       | Alameda County          | STPLER-5933(058)        | ALA991074        | Niles Railroad Corridor Rehabilitation - CON           | \$560,000                                | STP       |               | \$560,000                   | \$80,486,863  |              |
| 91  | AC    | Not Awarded | Alameda       | Caltrans/EBPD           | STPLER-6075(014)        | ALA990093        | Bay Trail (Baumberg Track Trail seg)                   | \$250,000                                | CMAQ      |               | \$250,000                   | \$80,736,863  |              |
| 92  | AC    | Not Awarded | Alameda       | City of Berkeley        | STPL-5027(025)          | ALA991027        | Spruce Street Reconstruction - Arch St to Grizzly Peak | \$1,651,000                              | STP       | \$53,118      | \$1,597,882                 | \$82,334,745  |              |
| 93  | AC    | Not Awarded | Alameda       | Alameda County          | STPLER-5933(058)        | ALA991074        | Niles Railroad Corridor Rehabilitation                 | \$560,000                                | STP       |               | \$560,000                   | \$82,894,745  |              |
|   |       |             |               |                         |                         |                  |  |  |           |               | \$72,885,025                | \$82,894,745  |              |
| <b>#### 3) Waiting in Sacramento for OA for E-76</b>                        |       |             |               |                         |                         |                  |  |  |           |               |                             |               |              |
| 94  | W     | Waiting     | Solano        | City of Vallejo         | CML-5030(031)           | SOL991059        | I-80 EB Redwood St. on & off ramp mod.                 | \$70,000                                 | CMAQ      |               | \$70,000                    | \$82,964,745  |              |
| 95  | W     | Waiting     | Solano        | City of Vacaville       | STPL-5094(034)          | SOL010010        | Nut Tree Rd. Resurfacing.                              | \$462,000                                | STP       |               | \$462,000                   | \$83,426,745  |              |
| 96  | W     | Waiting     | Solano        | City of Rio Vista       | STPL-5099(008)          | SOL991088        | Drouin Drive Overlay                                   | \$40,000                                 | STP       | \$2,655       | \$37,345                    | \$83,464,090  |              |
| 97  | W     | Waiting     | Solano        | City of Benicia         | STPL-5003(016)          | SOL010015        | East H Street Overlay                                  | \$105,000                                | STP       |               | \$105,000                   | \$83,569,090  |              |
| 98  | W     | Waiting     | Solano        | City of Benicia         | STPL-5003(017)          | SOL991035        | East Fifth Street Overlay                              | \$115,000                                | STP       | \$12,394      | \$102,606                   | \$83,671,696  |              |
| 99  | W     | Waiting     | Solano        | City of Benicia         | STPL-5003(018)          | SOL991084        | East Second Street Overlay                             | \$90,000                                 | STP       |               | \$90,000                    | \$83,761,696  |              |
| 100   | W     | Waiting     | Solano        | City of Benicia         | CML-5003(019)           | SOL991056        | Mills Elementary School Route Imps.                    | \$50,000                                 | CMAQ      | \$5,311       | \$44,689                    | \$83,806,385  |              |
| 101   | W     | Waiting     | Contra Costa  | City of Oakley          | STPL-5477(001)          | CC-010012        | O'Hara Avenue Overlay                                  | \$217,000                                | STP       |               | \$217,000                   | \$84,023,385  |              |
| 102   | W     | Waiting     | Contra Costa  | City of Oakley          | STPL-5477(001)          | CC-991053        | East Cypress Road Overlay                              | \$258,000                                | STP       | \$44,265      | \$213,735                   | \$84,237,120  |              |
| 103   | W     | Waiting     | Contra Costa  | City of Pittsburg       | STPL-5127(010)          | CC-991064        | Buchanan Road Pavement Overlay                         | \$493,000                                | STP       |               | \$493,000                   | \$84,730,120  |              |
| 104   | W     | Waiting     | San Francisco | SF Parking and Traffic  | CML-5934(103)           | SF-991010        | Stockton Street sidewalk widening                      | \$433,000                                | CMAQ      | \$36,297      | \$396,703                   | \$85,126,823  |              |
| 105   | W     | Waiting     | Marin         | City of Fairfax         | STPL-5277(014)          | MRN991048        | Center Boulevard Rehabilitation                        | \$72,000                                 | STP       | \$8,853       | \$63,147                    | \$85,189,970  |              |
| 106   | W     | Waiting     | Marin         | City of Novato          | STPL-5361(016)          | MRN991013        | Redwood Blvd between Lamont Ave & Olive Ave            | \$426,000                                | STP       |               | \$426,000                   | \$85,615,970  |              |
| 107   | W     | Waiting     | Napa          | Napa County             | CML-5921(023)           | NAP991022        | Cuttings Wharf Road Bicycle Lane                       | \$240,000                                | CMAQ      | \$40,000      | \$200,000                   | \$85,815,970  |              |
|   |       |             |               |                         |                         |                  |  |  |           |               | \$2,921,225                 | \$85,815,970  |              |
| <b>24 4) September 30, 2003 Deadline - Not Yet Submitted to Caltrans HQ</b> |       |             |               |                         |                         |                  |  |  |           |               |                             |               |              |
| 108   | FY 03 | TEA         | Alameda       | City of Alameda         | STPLER-5014(019)        | ALA010026        | Webster Renaissance Project.                           | \$881,219                                | TEA       | \$146,711     | \$734,508                   | \$86,550,478  |              |
| 109   | FY 03 | TEA         | Alameda       | City of Oakland         | STPLER-5012(066)        | ALA010024        | Laurel Streetscape Improvement Project                 | \$938,780                                | TEA       |               | \$938,780                   | \$87,489,258  |              |
| 110   | FY 03 | TEA         | Marin         | City of Fairfax         | 5277                    | MRN010011        | Sir Francis Drake Path.                                | \$146,000                                | TEA       |               | \$146,000                   | \$87,635,258  |              |
| 111   | FY 03 | TEA         | Marin         | Marin County            | STPLER-5927(039)        | MRN010010        | Olema - Bolinas Path                                   | \$246,700                                | TEA       |               | \$246,700                   | \$87,881,958  |              |
| 112   | FY 03 | TEA         | Marin         | Marin County            | 5927                    | MRN991049        | Inkwell's Bridge Adjoining Sir Francis Dr              | \$375,000                                | TEA       |               | \$375,000                   | \$88,256,958  |              |
| 113   | FY 03 | TEA         | Napa          | Napa County             | STPLER-5921(027)        | NAP991028        | Yountville Crossroad Class 2 Bike Path                 | \$150,000                                | TEA       | \$15,050      | \$134,950                   | \$88,391,908  |              |
| 114   | FY 03 | TEA         | San Francisco | SF Dept of Public Works | STPLER-5934(110)        | SF-991022        | Neighborhood Traffic Calming & Beauty                  | \$548,880                                | TEA       | \$80,000      | \$468,880                   | \$88,860,788  |              |
| 115   | FY 03 | TEA         | Santa Clara   | City of Santa Clara     | STPLER-5019(014)        | SCL010024        | San Tomas Aquino/Saratoga Creek Trail                  | \$1,700,000                              | TEA       |               | \$1,700,000                 | \$90,560,788  |              |
| 116   | FY 03 | TEA         | Partial - CON | Santa Clara             | Santa Clara County      | STPLER-5937(083) | SCL010026  | Bascom Ave. Median & Landscaping - (CON) | \$532,000 | TEA           |                             | \$532,000     | \$91,092,788 |
| 117   | FY 03 | TEA         | Santa Clara   | Santa Clara County      | STPLER-5937(086)        | SCL010027        | Elliot Avenue Sidewalk Project                         | \$205,000                                | TEA       |               | \$205,000                   | \$91,297,788  |              |
| 118   | FY 03 | TEA         | Late          | Solano                  | City of Dixon           | STPLER-5056(010) | SOL010008  | Downtown Dixon Streetscape.              | \$48,086  | TEA           |                             | \$48,086      | \$91,345,874 |
| 118   | FY 03 | TEA         | Late          | Solano                  | City of Dixon           | STPLER-5056(010) | SOL010008  | Downtown Dixon Streetscape.              | \$188,914 | STP           |                             | \$188,914     | \$91,534,788 |
| 119   | FY 03 | TEA         | Late          | Santa Clara             | City of Sunnyvale       | STPLER-5213(015) | SCL991059  | Calabazas Creek Trail - TEA project      | \$381,000 | TEA           | \$70,824                    | \$310,176     | \$91,844,964 |
| 120   | FY 03 | TEA         | Late          | Santa Clara             | City of San Jose        | STPLER-5005(068) | SCL991068  | Los Gatos Creek - (CON2) Phase 2         | \$99,000  | TEA           |                             | \$99,000      | \$91,943,964 |
| 121   | FY 03 | TEA         | Late          | Napa                    | City of American Canyon | STPLER-5470(001) | NAP991024  | American Canyon Rd/SR29 Landscaping      | \$78,000  | TEA           | \$10,623                    | \$67,377      | \$92,011,341 |

METROPOLITAN TRANSPORTATION COMMISSION

**PROPOSED**

FY 2002-03 Obligation Plan

Projects with Federal STP/CMAQ/TEA Funds

August 20, 2003

| Status | CT    | Status | County                | Agency           | Federal Project ID | TIP ID                | Project Title    | Programmed | Fund   | Obligated '02 | Balance as of June 30, 2003 | Running Total |              |              |
|--------|-------|--------|-----------------------|------------------|--------------------|-----------------------|------------------|------------|--|---------------|-----------------------------|---------------|--------------|--------------|
| 122    | FY 03 | TEA    |                       | Late             | Alameda            | City of Berkeley      | STPL-5057(023)   | ALA990050  | Berkeley Rail stop & Transit Plaza           | \$641,000     | TEA                         | \$641,000     | \$92,652,341 |              |
| 123    | FY 03 | TEA    |                       |                  | Alameda            | Caltrans/EBPD         | STPLER-6075(015) | ALA990090  | Bay Trail: Fremont-Newark Segment.           | \$177,279     | TEA                         | \$177,279     | \$92,829,620 |              |
| 123    | FY 03 |        |                       |                  | Alameda            | Caltrans/EBPD         | STPLER-6075(015) | ALA990090  | Bay Trail: Fremont-Newark Segment.           | \$350,721     | STP                         | \$350,721     | \$93,180,341 |              |
| 124    | FY 03 |        |                       |                  | Contra Costa       | City of Richmond      | STPLER-5137(026) | CC-010043  | North Richmond Main Street Project           | \$1,431,000   | CMAQ                        | \$1,431,000   | \$94,611,341 |              |
| 124    | FY 03 |        |                       |                  | Contra Costa       | City of Richmond      | STPLER-5137(026) | CC-010043  | North Richmond Main Street Project           | \$274,588     | STP                         | \$274,588     | \$94,885,929 |              |
| 125    | FY 03 |        |                       | Partial - PSE    | Santa Clara        | City of Sunnyvale     | STPL-5213(021)   | SCL010025  | Evelyn Ave Class II Bike Lane - (PSE)        | \$27,000      | STP                         | \$27,000      | \$94,912,929 |              |
| 125    | FY 03 |        |                       | Partial - CON    | Santa Clara        | City of Sunnyvale     | STPL-5213(021)   | SCL010025  | Evelyn Ave Class II Bike Lane - (CON)        | \$93,000      | STP                         | \$93,000      | \$95,005,929 |              |
| 126    | FY 03 |        |                       | Partial - PSE    | Santa Clara        | City of Sunnyvale     | ACSTPL-5213(022) | SCL010028  | Sunnyvale North-South Bikeways - (PSE)       | \$30,000      | STP                         | \$30,000      | \$95,035,929 |              |
| 126    | FY 03 |        |                       | Partial - CON    | Santa Clara        | City of Sunnyvale     | ACSTPL-5213(022) | SCL010028  | Sunnyvale North-South Bikeways - (CON)       | \$93,000      | STP                         | \$93,000      | \$95,128,929 |              |
| 127    | FY 03 |        |                       | Partial - CON    | Solano             | Solano County         | STPL-5923(054)   | SOL991051  | Pleasants Valley Road Rehabilitation - (CON) | \$917,000     | STP                         | \$917,000     | \$96,045,929 |              |
| 128    | FY 03 |        |                       | No Activity ?    | San Francisco      | Port of San Francisco | STPLER-6169      | SF-991025  | Renovation of Pier 43 Ferry Arch.            | \$255,000     | STP                         | \$255,000     | \$96,300,929 |              |
| 129    | FY 02 |        |                       | No Activity ?    | Solano             | City of Benicia       | CML-5003(014)    | SOL991067  | Park Lane Bike Lane                          | \$160,000     | CMAQ                        | \$30,985      | \$129,015    | \$96,429,944 |
| 130    | FY 03 | FTA    | TIP Amendment Pending | NO DBE           | Solano             | City of Fairfield     | STPL-5132(016)   | SOL991076  | Fairfield Transit Center                     | \$95,000      | STP                         | \$95,000      | \$96,524,944 |              |
| 131    | FY 03 |        |                       | Possible Failure | Contra Costa       | City of Richmond      | STPLER-5137(028) | CC-010019  | Richmond Greenway and Bikeway                | \$1,204,223   | TEA                         | \$1,204,223   | \$97,729,167 |              |
| 131    | FY 03 |        |                       | Possible Failure | Contra Costa       | City of Richmond      | STPLER-5137(028) | CC-010019  | Richmond Greenway and Bikeway                | \$275,777     | STP                         | \$275,777     | \$98,004,944 |              |
|        |       |        |                       |                  |                    |                       |                  |            |  |               | \$12,188,974                | \$98,004,944  |              |              |

**17 Projects Obligated in FY 2002-03**

|    |       |             |                             |                  |                   |                     |                    |                    |  |   |             |              |              |              |
|----|-------|-------------|-----------------------------|------------------|-------------------|---------------------|--------------------|--------------------|--|---|-------------|--------------|--------------|--------------|
| 1  | Oblig | Obligated   |                             |                  | Multiple/Regional | BAAQMD              | CML-6297(001)      | MTC990015          | Spare the Air Program FY 00-01, FY 01-02, FY 02-03 | \$3,000,000                                       | CMAQ        |              | \$98,004,944 |              |
| 2  | Oblig | Obligated   |                             |                  | Sonoma            | City of Santa Rosa  | FTACML-5028(024)   | SON990040          | Santa Rosa Creek Multi-Use path                    | \$201,000   | TEA         |              | \$98,004,944 |              |
| 3  | Oblig | Obligated   |                             |                  | Santa Clara       | City of Santa Clara | STPL-5019(013)     | SCL010017          | Riverwood Grove Pedestrian Way.                    | \$230,000   | TEA         |              | \$98,004,944 |              |
| 4  | Oblig | Obligated   |                             |                  | San Mateo         | San Mateo County    | STPLER-5935(026)   | SM-991108          | Mirada Rd. Ped/Bike Bridge                         | \$147,750   | TEA         | -\$250       | \$98,004,694 |              |
| 5  | Oblig | Obligated   |                             |                  | Napa              | City of Napa        | STPLER-6204-(046)  | NAP991029          | Maxwell Bridge Enhancements                        | \$171,000   | TEA         |              | \$98,004,694 |              |
| 6  | Oblig | Obligated   |                             |                  | Solano            | City of Suisun City | STPLER-5032(014)   | SOL010009          | Jepson Parkway Bikeway & Transit Connection Proj.  | \$575,000   | TEA         |              | \$98,004,694 |              |
| 7  | Oblig | Obligated   |                             |                  | Contra Costa      | City of Concord     | CML-5135(020)      | CC-990047          | Iron Horse Trail Gap Closure                       | \$227,000   | TEA         |              | \$98,004,694 |              |
| 8  | Oblig | Obligated   |                             |                  | Contra Costa      | City of Antioch     | STPL-5038(012)     | CC-991111          | Mokelumne Trail Modification                       | \$168,000   | TEA         | \$35,412     | \$98,004,694 |              |
| 9  | Oblig | Obligated   |                             | Partial - TEA PE | Contra Costa      | City of Richmond    | STPLER-5137(026)   | CC-010043          | North Richmond Main Street Project                 | \$294,412   | TEA         | \$412        | \$98,005,106 |              |
| 10 | Oblig | Obligated   |                             |                  | Sonoma            | City of Sebastopol  | STPLER-5123(008)   | SON010015          | Street Smart Sebastopol                            | \$500,000   | TEA         | \$85,000     | \$98,005,106 |              |
| 11 | Oblig | Obligated   |                             |                  | Region-Wide       | MTC                 | STPL-6084(059)     | MTC990017          | Pavement Management Technical Assistance FY 01-02  | \$500,000   | STP         |              | \$98,005,106 |              |
| 12 | Oblig | STIP AB 872 | 2/24/03                     | Awdd \$710,204   | AC                | Contra Costa        | City of Lafayette  | RSTPL-5404(013)    | CC-991089  | Reliez Valley Rd Walkway - Reg. Trail Gap closure | \$420,000   | CMAQ         |              | \$98,005,106 |
| 13 | Oblig | STIP AB 872 | 2/11/03                     | Awdd \$212,212   | AC                | Marin               | City of Sausalito  | CML-5098(004)      | MRN991027  | Bridgeway North-South Bikeway Phase I             | \$196,000   | CMAQ         | \$25,673     | \$98,005,106 |
| 14 | Oblig | STIP AB 872 | 2/25/03                     | Awdd \$44,253    | AC                | Marin               | City of Sausalito  | STPL-5098(006)     | MRN010005  | Bridgeway Rehabilitation Project                  | \$91,000    | STP          | \$7,967      | \$98,005,106 |
| 15 | Oblig | STIP AB 872 | Awarded                     |                  | AC                | Santa Clara         | City of Palo Alto  | ACSTPLER-5100(005) | SCL991016  | Palo Alto Medical Found./Bike/Ped Xing            | \$2,035,000 | CMAQ         | \$283,010    | \$98,005,106 |
| 15 | Oblig | STIP AB 872 | Awarded                     |                  | AC                | Santa Clara         | City of Palo Alto  | ACSTPLER-5100(005) | SCL991016  | Palo Alto Medical Found./Bike/Ped Xing            | \$502,000   | STP          |              | \$98,005,106 |
| 16 | Oblig | STIP AB 872 | Awarded                     | TLC - FY 04 - AC | Santa Clara       | City of Palo Alto   | ACSTPLER-5100(005) | SCL030004          | Caltrain/Homer St. Bike/Ped Undercrossing          | \$464,000   | CMAQ        |              | \$98,005,106 |              |
| 17 | Oblig | STIP Impact | (Multi-Year Contract) 5 yea | Cycle 1          | Region-Wide       | MTC                 | PARTIAL            | MTC990003          | Regional Rideshare - FY 03-04                      | \$4,200,497                                       | CMAQ        |              | \$98,005,106 |              |
| 16 | Oblig | STIP Impact | On-Going                    | Cycle 1          | Sonoma            | MTC/Sonoma Co TA    | PARTIAL            | SON970081          | CMA Planning Funds - Sonoma CTA - FY 03-04         | \$268,248   | STP         |              | \$98,005,106 |              |
| 17 | Oblig | STIP Impact | On-Going                    | Cycle 1          | Napa              | MTC/Napa TPA        | PARTIAL            | NAP970004          | CMA Planning Funds - Napa TPA - FY 03-04           | \$268,249   | STP         |              | \$98,005,106 |              |
|    |       |             |                             |                  |                   |                     |                    |                    |  | \$13,922,659                                      | \$162       | \$98,005,106 |              |              |

**Programming Changes**

|   |       |             |  |   |               |                   |                    |           |  |           |      |          |              |
|---|-------|-------------|--|---|---------------|-------------------|--------------------|-----------|--|-----------|------|----------|--------------|
| 1 | FY 02 | No Progress |  | To Be Deleted                             | San Francisco | SF MUNI           |                    | SF-010036 | Treasure Island Permanent Ferry Terminal | \$445,152 | CMAQ |          | \$98,005,106 |
| 2 | FY 03 |             |  | Redirected to ACCMA Planning              | Alameda       | City of Dublin    |                    | BRT010001 | Dublin Transit Center Plaza              | \$750,000 | TEA  |          | \$98,005,106 |
| 3 | AC    | TEA         |  | To Be Swapped with Cuttings Wharf Project | Napa          | City of Calistoga | ACSTPLER-5061(004) | NAP991026 | Class I Bike Path (old RR ROW) - (PE)    | \$42,000  | TEA  | \$42,000 | \$98,047,106 |
| 4 | FY 03 | TEA         |  | To Be Swapped with Cuttings Wharf Project | Napa          | City of Calistoga | STPLER-5061(004)   | NAP991026 | Class I Bike Path (old RR ROW) - (CON)   | \$80,000  | TEA  | \$80,000 | \$98,127,106 |
| 5 | FY 03 |             |  | Redirected to SM CCAG Planning            | San Mateo     | City of Belmont   |                    | SM-991110 | US 101 Ped/Bike Path                     | \$300,000 | TEA  |          | \$98,127,106 |

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FY 2002-03 Obligation Plan

Projects with Federal STP/CMAQ/TEA Funds

August 20, 2003

| Status                           | CT    | Status  | County                                      | Agency            | Federal Project ID     | TIP ID           | Project Title | Programmed   | Fund        | Obligated '02 | Balance as of June 30, 2003 | Running Total |
|----------------------------------|-------|---------|---|-------------------|------------------------|------------------|---------------|--|-------------|---------------|-----------------------------|---------------|
| 3                                | FY 03 | FTA     | To Be Swapped with Fairfield Transit Center | Solano            | City of Fairfield      | STPL-5132(016)   | SOL991076     | Bike/Ped Path on W. Texas Street                           | \$95,000    | STP           | \$95,000                    | \$98,222,106  |
| 6                                | FY 03 | Reserve | To Be Programmed                            | Multiple/Regional | MTC                    |                  | MTC991007     | TLC Projects - Lump Sum Regional Share                     | \$275,777   | STP           |                             | \$98,222,106  |
| <b>39 Delivery in FY 2003-04</b> |       |         |   |                   |                        |                  |               |  |             |               | \$217,000                   | \$98,222,106  |
| 1                                | FY 04 |         | Partial - CON                               | Alameda           | City of Alameda        | CML-5014(023)    | ALA030008     | Park St. Streetscape & Santa Clara Ave Transit Hub - (CON) | \$779,352   | CMAQ          | \$779,352                   | \$99,001,458  |
| 2                                | FY 04 |         |   | Alameda           | City of Oakland        |                  | ALA030007     | Coliseum Transit Hub Streetscape Improvements              | \$100,000   | TEA           | \$100,000                   | \$99,101,458  |
| 2                                | FY 04 |         |   | Alameda           | City of Oakland        |                  | ALA030007     | Coliseum Transit Hub Streetscape Improvements              | \$440,000   | CMAQ          | \$440,000                   | \$99,541,458  |
| 2                                | FY 04 |         |   | Alameda           | City of Oakland        |                  | ALA030007     | Coliseum Transit Hub Streetscape Improvements              | \$460,000   | TEA           | \$460,000                   | \$100,001,458 |
| 3                                | FY 04 |         | Partial - CON                               | Alameda           | City of San Leandro    | CML-5041(023)    | ALA010068     | W. Estudillo St. Streetscape & BART Connections - (CON)    | \$854,811   | CMAQ          | \$854,811                   | \$100,856,269 |
| 4                                | FY 04 |         |   | Alameda           | MTC                    |                  | ALA010027     | Santa Fe ROW Bike/Ped Path                                 | \$1,000,000 | STP           | \$1,000,000                 | \$101,856,269 |
| 5                                | FY 04 |         |   | Contra Costa      | City of El Cerrito     |                  | CC-010050     | Fairmont St. Pedestrian & Streetscape Improvements         | \$500,000   | CMAQ          | \$500,000                   | \$102,356,269 |
| 6                                | FY 04 |         | Partial - CON                               | Marin             | City of San Rafael     | ACCML-5043(019)  | MRN010038     | Medway/Canal Enhancements - (CON)                          | \$820,000   | CMAQ          | \$820,000                   | \$103,176,269 |
| 7                                | FY 04 |         |   | San Francisco     | BART                   |                  | SF-010047     | 16th St. BART Station Plaza Redesign                       | \$1,298,000 | CMAQ          | \$1,298,000                 | \$104,474,269 |
| 8                                | FY 04 |         |   | San Mateo         | City of East Palo Alto |                  | SM-010060     | Bay Road Streetscape & Calming Improvements                | \$236,000   | CMAQ          | \$236,000                   | \$104,710,269 |
| 8                                | FY 04 |         |   | San Mateo         | City of East Palo Alto |                  | SM-010060     | Bay Road Streetscape & Calming Improvements                | \$464,000   | STP           | \$464,000                   | \$105,174,269 |
| 9                                | FY 04 |         |   | Santa Clara       | VTA                    |                  | SCL010043     | River Oaks Bike/Pedestrian Bridge                          | \$1,000,000 | CMAQ          | \$1,000,000                 | \$106,174,269 |
| 10                               | FY 04 |         |   | Santa Clara       | VTA                    |                  | SCL010044     | San Fernando Light-Rail Station Plaza                      | \$885,000   | CMAQ          | \$885,000                   | \$107,059,269 |
| 11                               | FY 04 |         |   | Solano            | City of Suisun City    |                  | SOL010039     | Driftwood Drive Pedestrian Way                             | \$350,000   | CMAQ          | \$350,000                   | \$107,409,269 |
| 12                               | FY 04 |         | AC  | Solano            | City of Vacaville      | ACCML-5094(035)  | SOL010040     | Davis St. Ped & Gateway Improvements                       | \$482,000   | CMAQ          | \$482,000                   | \$107,891,269 |
| 13                               | FY 04 |         |   | Alameda           | MTC                    |                  | ALA010028     | Dublin Transit Center HIP Reserve                          | \$1,306,125 | STP           | \$1,306,125                 | \$109,197,394 |
| 14                               | FY 04 |         |   | Alameda           | MTC                    |                  | ALA010029     | Emeryville Housing Incentive Program Reserve               | \$151,500   | STP           | \$151,500                   | \$109,348,894 |
| 15                               | FY 04 |         |   | Alameda           | MTC                    |                  | ALA010030     | Oakland Housing Incentive Program Reserve                  | \$1,000,000 | STP           | \$1,000,000                 | \$110,348,894 |
| 16                               | FY 04 |         |   | Alameda           | MTC                    |                  | ALA010031     | Union City Senior Village HIP Reserve                      | \$233,500   | STP           | \$233,500                   | \$110,582,394 |
| 17                               | FY 04 |         |   | Contra Costa      | MTC                    |                  | CC-010020     | El Cerrito Housing Incentive Program Reserve               | \$351,500   | STP           | \$351,500                   | \$110,933,894 |
| 18                               | FY 04 |         |   | Contra Costa      | MTC                    |                  | CC-010021     | Richmond Housing Incentive Program Reserve                 | \$963,000   | STP           | \$963,000                   | \$111,896,894 |
| 19                               | FY 04 |         |   | San Francisco     | MTC                    |                  | SF-010016     | San Francisco HIP Reserve                                  | \$1,144,875 | STP           | \$1,144,875                 | \$113,041,769 |
| 20                               | FY 04 |         |   | San Mateo         | MTC                    |                  | SM-010041     | Daly City Housing Incentive Program Reserve                | \$342,000   | STP           | \$342,000                   | \$113,383,769 |
| 21                               | FY 04 |         |   | San Mateo         | MTC                    |                  | SM-010042     | East Palo Alto HIP Reserve                                 | \$293,000   | STP           | \$293,000                   | \$113,676,769 |
| 22                               | FY 04 |         |   | San Mateo         | MTC                    |                  | SM-010043     | San Bruno Housing Incentive Program Reserve                | \$684,000   | STP           | \$684,000                   | \$114,360,769 |
| 23                               | FY 04 |         |   | San Mateo         | MTC                    |                  | SM-010044     | San Carlos Housing Incentive Program Reserve               | \$113,000   | STP           | \$113,000                   | \$114,473,769 |
| 24                               | FY 04 |         |   | San Mateo         | MTC                    |                  | SM-010045     | San Mateo Housing Incentive Program Reserve                | \$750,500   | STP           | \$750,500                   | \$115,224,269 |
| 25                               | FY 04 |         |   | Sonoma            | MTC                    |                  | SON010016     | Petaluma Housing Incentive Program Reserve                 | \$258,000   | STP           | \$258,000                   | \$115,482,269 |
| 26                               | FY 04 | FTA     |   | San Francisco     | Caltrain               |                  | SF-010028     | Caltrain Electrification                                   | \$6,000,000 | STP           | \$6,000,000                 | \$121,482,269 |
| 27                               | AC    |         | On-Going                                    | Cycle 1           | Region-Wide            | BAAQMD           | MTC990015     | Spare the Air - FY 04-05                                   | \$1,000,000 | CMAQ          | \$1,000,000                 | \$122,482,269 |
| 28                               | FY 04 | FTA     |   | Cycle 1           | Alameda / Contra Costa | AC Transit       | CC-030020     | Express Bus - I-80 Richmond TransBay Route                 | \$104,000   | CMAQ          | \$104,000                   | \$122,586,269 |
| 29                               | FY 04 | FTA     |   | Cycle 1           | Contra Costa           | CCCTA            | CC-030021     | Express Bus - I-680 Martinez to Walnut Creek Route         | \$175,000   | CMAQ          | \$175,000                   | \$122,761,269 |
| 30                               | FY 04 | FTA     |   | Cycle 1           | Solano                 | Fairfield/Suisun | SOL030016     | Express Bus - Vacaville to Walnut Creek BART               | \$116,000   | CMAQ          | \$116,000                   | \$122,877,269 |
| 31                               | FY 04 | FTA     |   | Cycle 1           | Marin                  | GGT              | MRN030008     | Express Bus - Route 101 Corridor                           | \$319,000   | CMAQ          | \$319,000                   | \$123,196,269 |
| 32                               | FY 04 | FTA     |   | Cycle 1           | Alameda                | LAVTA            | ALA030017     | Express Bus - Route 70 and Subscription Routes             | \$74,000    | CMAQ          | \$74,000                    | \$123,270,269 |
| 33                               | FY 04 | FTA     |   | Cycle 1           | San Mateo              | SamTrans         | SM-030019     | Express Bus - El Camino Real Corridor                      | \$166,000   | CMAQ          | \$166,000                   | \$123,436,269 |
| 34                               | FY 04 | FTA     |   | Cycle 1           | Contra Costa           | Tri Delta        | CC-030022     | Express Bus - Route 300                                    | \$511,000   | CMAQ          | \$511,000                   | \$123,947,269 |
| 35                               | FY 04 | FTA     |   | Cycle 1           | Solano                 | Vallejo          | SOL030017     | Express Bus - Bay Link Express and BARTLink Rte 70         | \$242,000   | CMAQ          | \$242,000                   | \$124,189,269 |
| 36                               | FY 04 | FTA     |   | Cycle 1           | Contra Costa           | WestCat          | CC-030023     | Express Bus - Hwy 4 del Norte BART to Martinez             | \$246,000   | CMAQ          | \$246,000                   | \$124,435,269 |
| 37                               | FY 04 |         | Submitted for AC                            | Cycle 1           | Region-Wide            | MTC              | MTC990018     | TETAP - (CMAQ Portion)                                     | \$1,200,000 | CMAQ          | \$1,200,000                 | \$125,635,269 |

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Projects with Federal STP/CMAQ/TEA Funds

August 20, 2003

| Status                                   | CT       | Status                               | County        | Agency                 | Federal Project ID | TIP ID    | Project Title                                 | Programmed  | Fund | Obligated '02 | Balance as of June 30, 2003 | Running Total |
|--|----------|--------------------------------------|---------------|------------------------|--------------------|-----------|---|-------------|------|---------------|-----------------------------|---------------|
| 37                                       | FY 04    | Submitted for AC                     | Region-Wide   | MTC                    |                    | MTC990018 | TETAP - (STP Portion)                         | \$250,000   | STP  |               | \$250,000                   | \$125,885,269 |
| 38                                       | FY 04    | Submitted for AC                     | Region-Wide   | MTC                    |                    | MTC990017 | PTAP  | \$700,000   | STP  |               | \$700,000                   | \$126,585,269 |
| 39                                       | FY 04    |                                      | Region-Wide   | MTC                    |                    | MTC030005 | TLC/HIP Planning Grants                       | \$442,000   | STP  |               | \$442,000                   | \$127,027,269 |
| 40                                       | FY 04    |                                      | Contra Costa  | City of Richmond       | STPLER-5137(028)   | CC-010019 | Richmond Greenway and Bikeway                 | \$420,000   | CMAQ |               | \$420,000                   | \$127,447,269 |
| 41                                       | FY 04    |                                      | Contra Costa  | City of Richmond       | STPLER-5137(028)   | CC-010019 | Richmond Greenway and Bikeway                 | \$1,204,223 | TEA  |               | \$1,204,223                 | \$128,651,492 |
| 41                                       | FY 04    |                                      | Contra Costa  | City of Richmond       | STPLER-5137(028)   | CC-010019 | Richmond Greenway and Bikeway                 | \$275,777   | STP  |               | \$275,777                   | \$128,927,269 |
|  |          |                                      |               |                        |                    |           |   |             |      |               | \$30,705,163                | \$128,927,269 |
| <b>Savings and Rounding Adjustments?</b> |          |                                      |               |                        |                    |           |   |             |      |               |                             |               |
| FY 02                                    | Savings? |                                      | Contra Costa  | City of Moraga         | STPL-5415(005)     | CC-010013 | St. Mary's Road Repair and Resurfacing        | \$920,000   | STP  | \$600,000     | \$320,000                   | \$129,247,269 |
| FY 02                                    | Savings? |                                      | Marin         | Marin County           | CML-5927(033)      | MRN990035 | Marin Parklands Visitor Access Improvements   | \$2,850,000 | CMAQ | \$2,812,135   | \$37,865                    | \$129,285,134 |
| FY 02                                    | Savings? |                                      | Santa Clara   | City of Sunnyvale      | CML-5213(020)      | SCL991021 | Pedestrian Access Improvements Nr Tasman      | \$500,000   | CMAQ | \$471,870     | \$28,130                    | \$129,313,264 |
| FY 02                                    | Savings? |                                      | San Francisco | SF Parking and Traffic | CML-5934(100)      | SF-991009 | Fill Gap in Muni F Line Tracks                | \$71,000    | CMAQ | \$44,265      | \$26,735                    | \$129,339,999 |
| FY 03                                    | Savings? | TEA Funding in TIP Obligated as CMAQ | Contra Costa  | EBRPD                  | CML-6075(013)      | CC-991109 | Iron Horse Trail Diamond Blvd Undercrossing   | \$289,000   | TEA  | \$264,000     | \$25,000                    | \$129,364,999 |
| FY 02                                    | Savings? |                                      | Solano        | City of Vacaville      | CML-5094(016)      | SOL990049 | Southside Bikeway - Alamo Dr to VRTC          | \$150,000   | CMAQ | \$140,035     | \$9,965                     | \$129,374,964 |
| FY 02                                    | Savings? |                                      | Santa Clara   | Caltrans               | CML-6204(047)      | SCL991023 | Traffic Ops System (TOS) Improvements         | \$80,000    | CMAQ | \$77,183      | \$2,817                     | \$129,377,781 |
| FY 02                                    | Savings? |                                      | San Mateo     | City of San Carlos     | CML-5267(004)      | SM-979019 | Industrial Road Sidewalk Project.             | \$521,000   | CMAQ | \$520,000     | \$1,000                     | \$129,378,781 |
| FY 02                                    | Rounding |                                      | Contra Costa  | City of Pleasant Hill  | CML-5375(010)      | CC-991082 | TR@KS Transportation Information System       | \$16,000    | CMAQ | \$15,670      | \$330                       | \$129,379,111 |
| FY 03                                    | Rounding |                                      | Marin         | City of Larkspur       | STPLER-5166(013)   | MRN010009 | Larkspur East - West Pathway.                 | \$268,300   | TEA  | \$268,000     | \$300                       | \$129,379,411 |
| FY 03                                    | Rounding |                                      | Alameda       | Alameda County         | STPLER-5933(058)   | ALA991074 | Niles Railroad Corridor Rehabilitation - PS&E | \$125,000   | TEA  | \$124,827     | \$173                       | \$129,379,584 |
| FY 03                                    | Rounding |                                      | San Francisco | Caltrain               | FTASTCM-6170(015)  | SF-991027 | Caltrain Bikestation (4th/King)               | \$354,120   | TEA  | \$354,000     | \$120                       | \$129,379,704 |
| FY 02                                    | Rounding |                                      | Contra Costa  | EBRP                   | CML-6075(013)      | CC-990051 | Iron Horse Trail Und/cros @ Willow Pass       | \$264,092   | CMAQ | \$264,000     | \$92                        | \$129,379,796 |
| FY 02                                    | Rounding |                                      | Solano        | Solano County          | CML-5923(053)      | SOL991070 | Abernathy Road - Linear Park Bike Path        | \$15,000    | CMAQ | \$15,050      | -\$50                       | \$129,379,746 |
| FY 02                                    | Rounding |                                      | San Mateo     | San Mateo County       | RSTP-5935(014)     | SM-991094 | Hillsdale Blvd Resurfacing                    | \$234,804   | STP  | \$235,000     | -\$196                      | \$129,379,550 |
| FY 02                                    | Rounding |                                      | San Mateo     | City of Belmont        | CML-5268(010)      | SM-991083 | Ralston AveSignal Interconnect                | \$132,750   | CMAQ | \$133,000     | -\$250                      | \$129,379,300 |
| FY 02                                    | Rounding |                                      | San Francisco | SF Parking and Traffic | CML-5934(105)      | SF-991011 | Van Ness Pedestrian Safety Improvements       | \$620,000   | CMAQ | \$620,308     | -\$308                      | \$129,378,992 |
| FY 02                                    | Rounding |                                      | Alameda       | Alameda County         | CML-5933(065)      | ALA991059 | Ashland / Bayfair BART Transit Center         | \$290,000   | CMAQ | \$290,378     | -\$378                      | \$129,378,614 |
|  |          |                                      |               |                        |                    |           |   |             |      |               | \$451,345                   | \$129,378,614 |
| Status                                   |          |                                      | County        | Agency                 | Federal Project ID | TIP ID    | Project Title                                 | Program     | Fund | Obligated '02 | Balance '03                 | Running Total |
|  |          |                                      |               |                        |                    |           |   |             |      |               | \$129,378,614               | \$129,378,614 |



METROPOLITAN  
TRANSPORTATION  
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## *Memorandum*

TO: Partnership Technical Advisory Committee

DATE: September 15, 2003

FR: Kenneth Folan

RE: STP, CMAQ & TEA Project Delivery Status Report

### **Background**

AB 1012 (Chapter 783, Statutes of 1999 - Torlakson) established strict timely use of funds and project delivery requirements for transportation projects. Under AB 1012, Surface Transportation Program (STP) and Congestion Mitigation Air Quality (CMAQ) funds must be obligated within three years of the apportionment. The California Transportation Commission has further applied the AB1012 obligation requirement to TEA funds. The obligation requirement applies to the aggregate programmed amounts of STP and CMAQ amounts for a given fiscal year. Funds not obligated by the deadline are lost to the region.

In addition to the state requirements above, MTC has adopted a policy requiring the obligation of STP and CMAQ funds on a project-by-project basis for established regional deadlines that are earlier than those required by AB1012, to ensure that no funds are lost to the region. Funds not obligated by the regional deadlines are returned to MTC for reprogramming within the region.

MTC staff has been continuously monitoring the delivery of STP, CMAQ and TEA projects, and has been informing members of the Bay Area Partnership on a monthly basis of the project delivery requirements and pending deadlines.

### **FY 2002-03 Obligations**

MTC has met the AB1012 state obligation requirement mentioned above. Staff currently estimates that \$87 million of Obligation Authority (OA) is necessary in federal fiscal years 2002-03 and 2003-04 to obligate the remainder of our STP/CMAQ and TEA programming commitments under TEA-21. This amount includes the \$8 million awaiting obligation at Caltrans; the \$50 million authorized for Advanced Construction; \$11 million in STP/CMAQ/TEA funds with an obligation due date of September 30, 2003 and \$18 million in funding for the HIP and TLC programs with obligation deadlines of September 30, 2004. The following chart reflects the Obligation Authority needed to obligate the remainder of the region's STP/CMAQ/TEA programming commitments for TEA-21. In addition to the \$87 million of OA necessary to obligate programming commitments under TEA-21, there is an additional \$48 million in ISTEAA carryover requiring OA, bringing the total OA need to \$135 million.

| <b>TEA-21<br/>       Remaining STP/CMAQ/TEA Obligations<br/>       As of June 24, 2003</b> |                     |
|--|---------------------|
| Awaiting Obligations at Caltrans   | \$8 Million         |
| Authorized for Advance Construction  | \$50 Million        |
| Remaining Projects with 9/30/2003 Deadline   | \$11 Million        |
| Remaining Projects (TLC/HIP) with 9/30/2004 Deadline                                       | \$18 Million        |
| <b>TOTAL</b>   | <b>\$87 Million</b> |

\* In addition to the \$87 million of OA necessary to obligate programming commitments under TEA -21, there is an additional \$48 million in ISTEA carryover requiring OA, bringing the total OA need to \$135 million.

**Currently Programmed STP, CMAQ and TEA Projects**

Current unobligated STP, CMAQ and TEA programming information is reflected in the chart below. The attachments provide project level detail for projects programmed under TEA-21. Attachment A lists unobligated programmed funds with a due date of 9/30/02, many of these projects have been authorized for Advanced Construction or are awaiting additional Obligation Authority at Caltrans. Attachment B lists projects with a 9/30/03 deadline, including status from Caltrans – Local Assistance as of June 24, 2003. Attachment C lists projects with a 9/30/04 deadline.

| Remaining Programming | At Caltrans Awaiting Obligation | Advanced Construction | 9/30/2003 Deadline  | 9/30/2004 Deadline  |
|-----------------------|---------------------------------|-----------------------|---------------------|---------------------|
| STP                   | \$7 million                     | \$22 million          | \$4 million         | \$9 million         |
| CMAQ                  | \$1 million                     | \$20 million          | \$1 million         | \$9 million         |
| TEA                   | \$0 million                     | \$8 million           | \$6 million         | \$0                 |
| <b>TOTAL</b>          | <b>\$8 million</b>              | <b>\$50 million</b>   | <b>\$11 million</b> | <b>\$18 million</b> |

We will continue to apprise PTAC of our Obligation Authority status as we close out federal fiscal year 2002-03.

- Attachment:** Attachment A – STP/CMAQ/TEA projects with a 9/30/02 Deadline  
 Attachment B – STP/CMAQ/TEA projects with a 9/30/03 Deadline  
 Attachment C – STP/CMAQ/TEA projects with a 9/30/04 Deadline

Attachment A  
Metropolitan Transportation Commission  
STP/CMAQ/TEA Projects with 9/30/02 Deadline  
Remaining Unobligated Projects

| TIP ID    | Sponsor              | Project Name                                      | Program | Programmed | Obligated | Balance   | Status                          |
|-----------|----------------------|---|---------|------------|-----------|-----------|---------------------------------|
| ALA991035 | Alameda County       | Pavement Rehab Lump Sum - Various Locations       | STP     | 1,995,000  | 233,134   | 1,761,866 | Advanced Construction           |
| ALA991027 | City of Berkeley     | Spruce Street Reconstruction                      | STP     | 1,651,000  | 53,118    | 1,597,882 | Advanced Construction           |
| ALA991036 | City of Hayward      | Arteial Pavement Rehab - Phase III TEA-21         | STP     | 1,533,100  | 88,530    | 1,444,570 | Advanced Construction           |
| ALA010021 | City of Oakland      | City of Oakland Street Resurfacing                | STP     | 1,242,000  | 0         | 1,242,000 | Advanced Construction           |
| ALA990058 | City of Oakland      | Citywide sidewalk repair project.                 | STP     | 2,000,000  | 0         | 2,000,000 | Advanced Construction           |
| ALA991031 | City of Oakland      | Oakland Street Resurfacing -MacArthur             | STP     | 769,000    | 72,594    | 696,406   | Advanced Construction           |
| ALA991032 | City of Oakland      | Oakland Street Resurfacing-MLK Jr Way             | STP     | 1,281,000  | 121,286   | 1,159,714 | Advanced Construction           |
| ALA991054 | Port of Oakland      | MV Encinal Ferry Vessel Refurbish                 | STP     | 375,000    | 77,021    | 297,979   | Advanced Construction           |
| CC-991048 | City of El Cerrito   | Potrero Avenue Rehabilitation                     | STP     | 374,900    | 36,000    | 338,900   | Advanced Construction           |
| CC-991089 | City of Lafayette    | Reliez Valley Rd Walkway - Reg. Trail Gap closure | CMAQ    | 420,000    | 0         | 420,000   | Advanced Construction           |
| CC-010012 | City of Oakley       | O'Hara Avenue Overlay                             | STP     | 217,000    | 0         | 217,000   | Awaiting Obligation at Caltrans |
| CC-991053 | City of Oakley       | East Cypress Road Overlay                         | STP     | 258,000    | 44,265    | 213,735   | Awaiting Obligation at Caltrans |
| CC-991064 | City of Pittsburg    | Buchanan Road Pavement Overlay                    | STP     | 493,000    | 0         | 493,000   | Awaiting Obligation at Caltrans |
| CC-010010 | City of Richmond     | Valley View Road Improvements                     | STP     | 600,000    | 88,530    | 511,470   | Advanced Construction           |
| MRN010003 | City of Corte Madera | Fifer Avenue/Lucky Drive Rehabilitation           | STP     | 99,000     | 10,000    | 89,000    | Advanced Construction           |
| MRN991046 | City of Corte Madera | Paradise Drive/San Clemente Dr Rehabilit          | STP     | 217,000    | 26,000    | 191,000   | Advanced Construction           |
| MRN991048 | City of Fairfax      | Center Boulevard Rehabilitation                   | STP     | 72,000     | 0         | 72,000    | Awaiting Obligation at Caltrans |
| MRN991016 | City of Larkspur     | Doherty Drive Rehabilitation - Phase 1            | STP     | 289,000    | 28,329    | 260,671   | Advanced Construction           |
| MRN991022 | City of Mill Valley  | Buena Vista Ave Resurfacing                       | STP     | 183,000    | 24,000    | 159,000   | Advanced Construction           |
| MRN991013 | City of Novato       | Redwood Blvd between Lamont Ave & Olive Ave       | STP     | 426,000    | 0         | 426,000   | Awaiting Obligation at Caltrans |
| MRN991025 | City of Ross         | Sir Francis Drake Blvd. Street Rehab              | STP     | 75,000     | 7,082     | 67,918    | Advanced Construction           |
| MRN991023 | City of San Anselmo  | Center Boulevard Rehabilitation                   | STP     | 184,000    | 0         | 184,000   | Advanced Construction           |
| MRN010002 | City of San Rafael   | Second Street Resurfacing                         | STP     | 238,000    | 0         | 238,000   | Advanced Construction           |
| MRN991015 | City of San Rafael   | Fourth Street Resurfacing (Miracle Mile)          | STP     | 200,000    | 0         | 200,000   | Advanced Construction           |
| MRN010005 | City of Sausalito    | Bridgeway Rehabilitation Project                  | STP     | 91,000     | 7,967     | 83,033    | Advanced Construction           |
| MRN991027 | City of Sausalito    | Bridgeway North-South Bikeway Phase I             | CMAQ    | 196,000    | 25,673    | 170,327   | Advanced Construction           |
| MRN991014 | Marin County         | North San Pedro Road, MP 0.54 to 1.76             | STP     | 548,000    | 62,000    | 486,000   | Advanced Construction           |
| MRN991020 | Marin County         | Sir Francis Drake Blvd., MP 3.08 to 3.63          | STP     | 548,000    | 62,000    | 486,000   | Advanced Construction           |
| MRN991021 | Marin County         | Sir Francis Drake Blvd. East Overlay              | STP     | 337,000    | 40,500    | 296,500   | Advanced Construction           |
| NAP950004 | Caltrans             | SR 29 / Trancas Street Interchange                | CMAQ    | 262,000    | 0         | 262,000   | Advanced Construction           |
| NAP010006 | City of Napa         | Dry Creek Rd, Linda Vista, & Solano Rehab         | STP     | 182,000    | 52,666    | 129,334   | Advanced Construction           |
| NAP991014 | City of Napa         | Terrace Drive Overlay                             | STP     | 314,000    | 0         | 314,000   | Advanced Construction           |
| NAP010005 | Napa County          | Silverado Trail Resurfacing                       | STP     | 182,000    | 26,559    | 155,441   | Advanced Construction           |
| NAP991016 | Napa County          | Maintenance Overlay - Howell Mountain Ro          | STP     | 503,000    | 22,132    | 480,868   | Advanced Construction           |
| NAP991022 | Napa County          | Cuttings Wharf Road Bicycle Lane                  | CMAQ    | 240,000    | 40,000    | 200,000   | Awaiting Obligation at Caltrans |
| SF-010036 | SF MUNI              | Treasure Island Permanent Ferry Terminal          | CMAQ    | 445,152    | 0         | 445,152   | Not obligated                   |
| SF-991010 | SF Public Works      | Stockton Street sidewalk widening                 | CMAQ    | 433,000    | 36,297    | 396,703   | Awaiting Obligation at Caltrans |

Attachment A  
 Metropolitan Transportation Commission  
 STP/CMAQ/TEA Projects with 9/30/02 Deadline  
 Remaining Unobligated Projects

| TIP ID    | Sponsor            | Project Name                                       | Program | Programmed | Obligated | Balance   | Status                          |
|-----------|--------------------|--|---------|------------|-----------|-----------|---------------------------------|
| SF-991016 | SF Public Works    | Lake Merced Pav. Renovation - Phase II             | STP     | 3,982,000  | 0         | 3,982,000 | Awaiting Obligation at Caltrans |
| SM-991058 | City of San Carlos | San Carlos Avenue Rehabilitation                   | STP     | 225,000    | 0         | 225,000   | Advanced Construction           |
| SM-991097 | City of San Mateo  | San Mateo Main St. Ped & Tr Center Links           | TEA     | 1,832,902  | 0         | 1,832,902 | Advanced Construction           |
| SM-991048 | San Mateo County   | Sand Hill Road Resurfacing                         | STP     | 161,000    | 18,591    | 142,409   | Advanced Construction           |
| SCL991016 | City of Palo Alto  | Palo Alto Medical Found./Bike/Ped Xing             | CMAQ    | 2,035,000  | 283,010   | 1,751,990 | Advanced Construction           |
| SCL991067 | City of San Jose   | Guadalupe River Park Trail Project.                | TEA     | 500,000    | 70,824    | 429,176   | Advanced Construction           |
| SCL991068 | City of San Jose   | Los Gatos Creek Bike/Ped Trail                     | TEA     | 750,000    | 350,000   | 400,000   | Advanced Construction = 301K    |
| SOL010015 | City of Benicia    | East H Street Overlay                              | STP     | 105,000    | 0         | 105,000   | Awaiting Obligation at Caltrans |
| SOL991035 | City of Benicia    | East Fifth Street Overlay                          | STP     | 115,000    | 12,394    | 102,606   | Awaiting Obligation at Caltrans |
| SOL991056 | City of Benicia    | Mills Elementary School Route Imps.                | CMAQ    | 50,000     | 5,311     | 44,689    | Awaiting Obligation at Caltrans |
| SOL991067 | City of Benicia    | Park Lane Bike Lane                                | CMAQ    | 160,000    | 30,985    | 129,015   | Not obligated                   |
| SOL991084 | City of Benicia    | East Second Street Overlay                         | STP     | 90,000     | 0         | 90,000    | Awaiting Obligation at Caltrans |
| SOL991040 | City of Rio Vista  | Front Street Overlay Project                       | STP     | 83,000     | 0         | 83,000    | Advanced Construction           |
| SOL991088 | City of Rio Vista  | Drouin Drive Overlay                               | STP     | 40,000     | 2,655     | 37,345    | Awaiting Obligation at Caltrans |
| SOL010010 | City of Vacaville  | Nut Tree Rd. Resurfacing.                          | STP     | 462,000    | 0         | 462,000   | Awaiting Obligation at Caltrans |
| SOL010016 | City of Vallejo    | Tennessee Street Overlay: Monterey to Halliday     | STP     | 243,000    | 0         | 243,000   | Advanced Construction           |
| SOL991047 | City of Vallejo    | Broadway Overlay: Highway 37 to Mini Drive         | STP     | 339,000    | 0         | 339,000   | Advanced Construction           |
| SOL991048 | City of Vallejo    | Rollingwood Dr. Overlay                            | STP     | 198,000    | 0         | 198,000   | Advanced Construction           |
| SOL991049 | City of Vallejo    | Solano Av. Overlay: Georgia to Mariposa            | STP     | 368,000    | 0         | 368,000   | Advanced Construction           |
| SOL991050 | City of Vallejo    | Santa Clara St. overlay: Carolina St. to Maine St. | STP     | 118,000    | 0         | 118,000   | Advanced Construction           |
| SOL991054 | City of Vallejo    | Mare Island Service - Operations (2 yr)            | CMAQ    | 70,000     | 0         | 70,000    | Awaiting Obligation at Caltrans |
| SOL991059 | City of Vallejo    | I-80 EB Redwood St. on & off ramp mod.             | CMAQ    | 70,000     | 0         | 70,000    | Awaiting Obligation at Caltrans |
| SOL991090 | City of Vallejo    | Oakwood St. overlay: Teakwood to Springs Road      | STP     | 95,000     | 0         | 95,000    | Advanced Construction           |
| SOL991051 | Solano County      | Pleasants Valley Road Rehabilitation               | STP     | 1,131,000  | 88,530    | 1,042,470 | AC for ROW; CON e76 at Caltrans |
| SON991020 | City of Healdsburg | Healdsburg Avenue Overlay                          | STP     | 266,000    | 41,000    | 225,000   | Advanced Construction           |
| SON991023 | Sonoma County      | Stony Point Road - Stage 5A                        | STP     | 1,650,000  | 0         | 1,650,000 | Advanced Construction           |
| SON991035 | Sonoma County      | West County Bike Trail: Phase 7.                   | CMAQ    | 450,000    | 0         | 450,000   | Advanced Construction           |

|            |           |            |
|------------|-----------|------------|
| 35,062,054 | 2,188,983 | 32,873,071 |
|------------|-----------|------------|

Attachment B  
Metropolitan Transportation Commission  
STP/CMAQ/TEA Projects with 9/30/03 Deadline  
Remaining Unobligated Projects

| TIP ID    | Sponsor                | Project Name                                      | Source | Programmed | Obligated  | Balance    | Status   |
|-----------|------------------------|---|--------|------------|------------|------------|--|
| ALA991058 | AC Transit             | Link-Bay Fair BART/Hillsdale Caltrain St          | CMAQ   | 451,000    | 0          | 451,000    | FTA transfer request submitted to Local Assistance |
| ALA991074 | Alameda County         | Niles Railroad Corridor Rehabilitation            | TEA    | 125,000    | 124,827    | 173        | Obligated  |
| ALA991074 | Alameda County         | Niles Railroad Corridor Rehabilitation            | TEA    | 560,000    | 0          | 560,000    | Advanced Construction                              |
| ALA991077 | Alameda County         | Ashland Transit Access Impr. Project              | TEA    | 925,000    | 925,000    | 0          | Obligated  |
| ALA990090 | Caltrans               | Bay Trail: Fremont-Newark Segment.                | TEA    | 177,279    | 0          | 177,279    | Should make deadline per Local Assistance          |
| ALA990093 | Caltrans               | Bay Trail (Baumberg Track Trail seg)              | CMAQ   | 18,300     | 0          | 18,300     | Advanced Construction                              |
| ALA990090 | Caltrans               | Bay Trail: Fremont-Newark Segment.                | STP    | 350,721    | 0          | 350,721    | Should make deadline per Local Assistance          |
| ALA990093 | Caltrans               | Bay Trail (Baumberg Track Trail seg)              | STP    | 231,700    | 0          | 231,700    | Advanced Construction                              |
| ALA010026 | City of Alameda        | Webster Renaissance Project.                      | TEA    | 881,219    | 146,711    | 734,508    | Should make deadline per Local Assistance          |
| ALA990048 | City of Berkeley       | Bike/Ped Overcrossing I-80 at University          | TEA    | 927,000    | 927,000    | 0          | Obligated  |
| ALA990050 | City of Berkeley       | Berkeley Rail stop & Transit Plaza                | TEA    | 641,000    | 0          | 641,000    | Should make deadline per Local Assistance          |
| BRT010001 | City of Dublin         | Dublin Transit Center Plaza                       | TEA    | 750,000    | 0          | 750,000    | Potential swap                                     |
| ALA010024 | City of Oakland        | Laurel Streetscape Improvement Project            | TEA    | 938,780    | 0          | 938,780    | Advanced Construction                              |
| ALA990049 | City of Oakland        | 14th & Bwy Transit Center Streetscape             | TEA    | 1,607,000  | 1,607,000  | 0          | Obligated  |
| ALA991080 | City of Oakland        | Fruitvale Streetscape & Multi-Modal Trans Project | TEA    | 1,800,000  | 0          | 1,800,000  | Advanced Construction                              |
| ALA99LC01 | City of Oakland        | Acorn-Prescott Neighb'd Improv. Ph 1 & 2          | TEA    | 239,000    | 239,000    | 0          | Obligated  |
| CC-991112 | Bay Area Rapid Transit | Lafayette BART Ped. Access Improvements           | TEA    | 300,000    | 300,000    | 0          | Obligated  |
| CC-990022 | CCTA                   | Rt. 4 East Landscaping                            | TEA    | 1,870,000  | 1,870,000  | 0          | Obligated  |
| CC-010018 | City of Antioch        | West Rivertown District Improvements.             | TEA    | 179,379    | 179,379    | 0          | Obligated  |
| CC-991111 | City of Antioch        | Mokelumne Trail Modification                      | TEA    | 168,000    | 168,000    | 0          | Obligated  |
| CC-990047 | City of Concord        | Iron Horse Trail Gap Closure                      | TEA    | 227,000    | 227,000    | 0          | Obligated  |
| CC-010019 | City of Richmond       | Richmond Greenway and Bikeway                     | TEA    | 1,204,223  | 0          | 1,204,223  | In jeopardy per Local Assistance                   |
| CC-010043 | City of Richmond       | North Richmond Main Street Project                | TEA    | 294,412    | 294,412    | 0          | Obligated  |
| CC-010043 | City of Richmond       | North Richmond Main Street Project                | CMAQ   | 1,431,000  | 0          | 1,431,000  | Should make deadline per Local Assistance          |
| CC-010019 | City of Richmond       | Richmond Greenway and Bikeway                     | STP    | 275,777    | 0          | 275,777    | In jeopardy per Local Assistance                   |
| CC-991110 | Contra Costa County    | Countywide Sidewalk Gap Closure Program           | TEA    | 275,000    | 275,000    | 0          | Obligated  |
| CC-991109 | EBRPD                  | Iron Horse Trail Diamond Blvd Undercrossing       | TEA    | 289,000    | 289,000    | 0          | Obligated  |
| MRN010011 | City of Fairfax        | Sir Francis Drake Path.                           | TEA    | 146,000    | 0          | 146,000    | Should make deadline per Local Assistance          |
| MRN010009 | City of Larkspur       | Larkspur East - West Pathway.                     | TEA    | 268,300    | 268,300    | 0          | Obligated  |
| MRN991052 | City of Novato         | Novato Revitalization & Street Improvemnt         | TEA    | 340,000    | 340,000    | 0          | Obligated  |
| MRN010010 | Marin County           | Olema - Bolinas Path                              | TEA    | 246,700    | 0          | 246,700    | Potential swap                                     |
| MRN991049 | Marin County           | Inkwell's Bridge Adjoining Sir Francis Dr         | TEA    | 375,000    | 0          | 375,000    | Should make deadline per Local Assistance          |
| MTC990002 | MTC                    | TransLink® Fare Collection System                 | CMAQ   | 17,427,000 | 7,095,000  | 10,332,000 | Advanced Construction                              |
| MTC990006 | MTC                    | TravInfo®   | CMAQ   | 17,920,000 | 12,510,000 | 5,410,000  | Advanced Construction                              |
| MTC990013 | MTC                    | Regional Transportation Marketing                 | CMAQ   | 1,200,000  | 1,200,000  | 0          | Obligated  |
| MTC990014 | MTC                    | Regional Transit Information System (RTIS)        | CMAQ   | 1,116,000  | 1,116,000  | 0          | Obligated  |
| MTC990016 | MTC                    | Freeway Service Patrol (FSP)                      | CMAQ   | 2,338,000  | 2,338,000  | 0          | Obligated  |

Attachment B  
Metropolitan Transportation Commission  
STP/CMAQ/TEA Projects with 9/30/03 Deadline  
Remaining Unobligated Projects

| TIP ID    | Sponsor                 | Project Name                                    | Source | Programmed | Obligated | Balance   | Status                                    |
|-----------|-------------------------|---|--------|------------|-----------|-----------|---|
| MTC990018 | MTC                     | Traffic Engineering Technical Assistance        | CMAQ   | 663,000    | 663,000   | 0         | Obligated                                 |
| MTC990017 | MTC                     | Pavement Management Technical Assistance        | STP    | 1,700,000  | 1,700,000 | 0         | Obligated                                 |
| MTC991001 | MTC                     | Performance Monitoring                          | STP    | 636,000    | 636,000   | 0         | Obligated                                 |
| NAP991024 | City of American Canyon | American Canyon Rd/SR29 Landscaping             | TEA    | 78,000     | 10,623    | 67,377    | Should make deadline per Local Assistance |
| NAP991025 | City of Calistoga       | Grant St Class III Bike Rt - Oak/Mora           | TEA    | 69,000     | 69,000    | 0         | Obligated                                 |
| NAP991026 | City of Calistoga       | Class I Bike Path (old RR ROW)                  | TEA    | 122,000    | 0         | 122,000   | \$42K - AC; \$80K in Jeopardy             |
| NAP991029 | City of Napa            | Maxwell Bridge Enhancements                     | TEA    | 171,000    | 171,000   | 0         | Obligated                                 |
| NAP991028 | Napa County             | Yountville Crossroad Class 2 Bike Path          | TEA    | 150,000    | 15,050    | 134,950   | Should make deadline per Local Assistance |
| SF-991027 | Caltrain                | Caltrain Bikestation (4th/King)                 | TEA    | 354,120    | 354,120   | 0         | Obligated                                 |
| SF-991024 | Port of San Francisco   | Embarcadero Promenade Pedestrian Imps123        | STP    | 226,000    | 0         | 226,000   | Advanced Construction                     |
| SF-991025 | Port of San Francisco   | Renovation of Pier 43 Ferry Arch.               | STP    | 255,000    | 0         | 255,000   | Advanced Construction                     |
| SF-991022 | SF Public Works         | Neighborhood Traffic Calming & Beauty           | TEA    | 548,880    | 80,000    | 468,880   | Should make deadline per Local Assistance |
| SF-991032 | SF Dept of Public Works | SF Broadway Streetscape Improvements            | TEA    | 919,969    | 919,969   | 0         | Obligated                                 |
| SF-991023 | SF MUNI                 | Stabilization of Geneva Office Building         | TEA    | 50,000     | 50,000    | 0         | Obligated                                 |
| SF-991031 | SF MUNI                 | Bayview Connections Project.                    | TEA    | 1,622,000  | 1,622,000 | 0         | Obligated                                 |
| SF-99T005 | SF MUNI                 | 19 Historic Rail Car rehabilitation.            | TEA    | 975,000    | 975,000   | 0         | Obligated                                 |
| SF-991021 | SF Parking and Traffic  | Share the Road Bike Safety & Parking            | TEA    | 297,000    | 297,000   | 0         | Obligated                                 |
| SM-991114 | Caltrans                | Install 14 Steel Bicycle Lockers                | TEA    | 4,500      | 4,500     | 0         | Obligated                                 |
| SM-991110 | City of Belmont         | US 101 Ped/Bike Path                            | TEA    | 300,000    | 0         | 300,000   | Swap w/ SM PPM                            |
| SM-991113 | City of Portola Valley  | Portola Rd Lighted Crosswalk                    | TEA    | 40,000     | 40,000    | 0         | Obligated                                 |
| SM-991109 | City of Redwood City    | Redwood Shores Ped/Bike Path                    | TEA    | 194,750    | 194,750   | 0         | Obligated                                 |
| SM-979019 | City of San Carlos      | Industrial Road Sidewalk Project.               | TEA    | 711,000    | 711,000   | 0         | Obligated                                 |
| SM-991097 | City of San Mateo       | San Mateo Main St. Ped & Tr Center Links        | TEA    | 813,610    | 0         | 813,610   | Advanced Construction                     |
| SM-950007 | San Mateo County        | Colma BART station bike/ped access imp          | TEA    | 425,000    | 425,000   | 0         | Obligated                                 |
| SM-991108 | San Mateo County        | Mirada Rd. Ped/Bike Bridge                      | TEA    | 147,750    | 147,750   | 0         | Obligated                                 |
| SCL010034 | City of Gilroy          | Monterey Streetscape Imps. - 6th to 7th Streets | TEA    | 663,891    | 174,000   | 489,891   | Advanced Construction                     |
| SCL010034 | City of Gilroy          | Monterey Streetscape Imps. - 6th to 7th Streets | CMAQ   | 700,000    | 0         | 700,000   | Advanced Construction                     |
| SCL991056 | City of Milpitas        | Berryessa Creek Bike/Ped Trail                  | TEA    | 375,000    | 0         | 375,000   | Advanced Construction                     |
| SCL010030 | City of Morgan Hill     | Bufferfield Blvd Linear Park                    | TEA    | 460,000    | 0         | 460,000   | Advanced Construction                     |
| SCL991054 | City of Mountain View   | Downtown Transit Plaza                          | TEA    | 325,000    | 325,000   | 0         | Obligated                                 |
| SCL991016 | City of Palo Alto       | Palo Alto Medical Found./Bike/Ped Xing          | TEA    | 2,000      | 0         | 2,000     | Advanced Construction                     |
| SCL991016 | City of Palo Alto       | Palo Alto Medical Found./Bike/Ped Xing          | STP    | 500,000    | 0         | 500,000   | Advanced Construction                     |
| SCL010017 | City of Santa Clara     | Riverwood Grove Pedestrian Way.                 | TEA    | 230,000    | 230,000   | 0         | Obligated                                 |
| SCL010024 | City of Santa Clara     | San Tomas Aquino/Saratoga Creek Trail           | TEA    | 1,700,000  | 0         | 1,700,000 | Should make deadline per Local Assistance |
| SCL991059 | City of Sunnyvale       | Calabazas Creek Trail - TEA project             | TEA    | 381,000    | 70,824    | 310,176   | No env clearance - In jeopardy            |
| SCL010025 | City of Sunnyvale       | Evelyn Ave Class II bike Lane.                  | STP    | 150,000    | 0         | 150,000   | Advanced Construction                     |
| SCL010028 | City of Sunnyvale       | Sunnyvale North-South Bikeways.                 | STP    | 150,000    | 0         | 150,000   | Advanced Construction                     |

Attachment B  
Metropolitan Transportation Commission  
STP/CMAQ/TEA Projects with 9/30/03 Deadline  
Remaining Unobligated Projects

| TIP ID    | Sponsor             | Project Name                                      | Source | Programmed | Obligated | Balance | Status                                    |
|-----------|---------------------|---|--------|------------|-----------|---------|---|
| SCL010026 | Santa Clara County  | Bascom Ave. Median & Landscaping                  | TEA    | 639,000    | 0         | 639,000 | Advanced Construction                     |
| SCL010027 | Santa Clara County  | Elliot Avenue Sidewalk Project                    | TEA    | 205,000    | 0         | 205,000 | Advanced Construction                     |
| SCL010029 | Santa Clara County  | Fleming & Mahoney St. Bike/Ped Improvements       | TEA    | 354,000    | 354,000   | 0       | Obligated                                 |
| SCL991055 | Santa Clara County  | Miguelita Creek Bike/Ped Bridge                   | TEA    | 288,000    | 288,000   | 0       | Obligated                                 |
| SCL991058 | Santa Clara County  | Burbank Forest Avenue Sidewalks                   | TEA    | 131,000    | 131,000   | 0       | Obligated                                 |
| SCL010016 | VTA                 | Fruitdale Station Area Streetscape.               | TEA    | 645,000    | 645,000   | 0       | Obligated                                 |
| SOL010008 | City of Dixon       | Downtown Dixon Streetscape.                       | TEA    | 48,086     | 0         | 48,086  | Should make deadline per Local Assistance |
| SOL010008 | City of Dixon       | Downtown Dixon Streetscape.                       | STP    | 188,914    | 0         | 188,914 | Should make deadline per Local Assistance |
| SOL991076 | City of Fairfield   | Bike/Ped Path on W. Texas Street                  | STP    | 95,000     | 0         | 95,000  | Swap w/ SOL991068                         |
| SOL991095 | City of Rio Vista   | Riverfront Gateway                                | TEA    | 75,000     | 75,000    | 0       | Obligated                                 |
| SOL010009 | City of Suisun City | Jepson Parkway Bikeway & Transit Connection Proj. | TEA    | 575,000    | 575,000   | 0       | Obligated                                 |
| SOL990052 | City of Suisun City | Pedestrian/Bike Path Improvements.                | TEA    | 65,000     | 65,000    | 0       | Obligated                                 |
| SOL991078 | City of Vacaville   | Alamo Creek Bike Path (Alamo Dr. to Marshall Rd.) | TEA    | 95,000     | 95,000    | 0       | Obligated                                 |
| SOL010018 | City of Vallejo     | Georgia St Extension Streetscape                  | TEA    | 800,000    | 800,000   | 0       | Obligated                                 |
| SOL991079 | City of Vallejo     | Solano Bikeway                                    | TEA    | 820,000    | 820,000   | 0       | Obligated                                 |
| SOL991054 | City of Vallejo     | Mare Island Service - Operations (2 yr)           | CMAQ   | 70,000     | 0         | 70,000  | Advanced Construction                     |
| SOL991082 | MTC                 | Sidewalk Improvements and Bike Path               | TEA    | 44,000     | 44,000    | 0       | Obligated                                 |
| SON991039 | City of Cloverdale  | Cloverdale Blvd "Main St." Improvements           | TEA    | 250,000    | 250,000   | 0       | Obligated                                 |
| SON991040 | City of Cotati      | Marsh Way Bike/Ped Bridge                         | TEA    | 130,000    | 130,000   | 0       | Obligated                                 |
| SON991041 | City of Petaluma    | Lynch Creek Bike/Ped Trail and Bridge             | TEA    | 250,000    | 250,000   | 0       | Obligated                                 |
| SON990040 | City of Santa Rosa  | Santa Rosa Creek Multi-Use path                   | TEA    | 201,000    | 201,000   | 0       | Obligated                                 |
| SON991042 | City of Santa Rosa  | Ludwig Ave. Pedestrian Path                       | TEA    | 500,000    | 500,000   | 0       | Obligated                                 |
| SON010015 | City of Sebastopol  | Street Smart Sebastopol                           | TEA    | 500,000    | 85,000    | 415,000 | Should make deadline per Local Assistance |
| SON010026 | Sonoma County       | West County Bike Trail: Phase 10                  | TEA    | 240,000    | 0         | 240,000 | Should make deadline per Local Assistance |
| SON010020 | Sonoma County       | Old Redwood Highway Class II Bike Lanes           | STP    | 250,000    | 26,559    | 223,441 | Advanced Construction                     |

|            |            |            |
|------------|------------|------------|
| 83,083,260 | 47,659,774 | 35,423,486 |
|------------|------------|------------|

Attachment C  
Metropolitan Transportation Commission  
STP/CMAQ/TEA Projects with 9/30/04 Deadline  
Remaining Unobligated Projects

| TIP ID        | Sponsor                | Project Name                                       | Program | Programmed        | Obligated | Balance           | Status                |
|---------------|------------------------|--|---------|-------------------|-----------|-------------------|-----------------------|
| ALAO30008     | City of Alameda        | Park St. Streetscape & Santa Clara Ave Transit Hub | CMAQ    | 921,000           | 0         | 921,000           |                       |
| ALAO10027     | City of Berkeley       | Santa Fe ROW Bike/Ped Path (HIP Project)           | STP     | 618,000           | 0         | 618,000           |                       |
| ALAO30007     | City of Oakland        | Coliseum Transit Hub Streetscape Improvements      | CMAQ    | 440,000           | 0         | 440,000           |                       |
| ALAO30007     | City of Oakland        | Coliseum Transit Hub Streetscape Improvements      | TEA     | 560,000           | 0         | 560,000           |                       |
| ALAO10030     | City of Oakland        | Fruitvale 7th/8th St. Streetscape Improvements     | STP     | 1,000,000         | 0         | 1,000,000         |                       |
| ALAO30006     | City of San Leandro    | W. Estudillo St. Streetscape & BART Connections    | CMAQ    | 1,000,000         | 0         | 1,000,000         |                       |
| ALAO10031     | City of Union City     | Senior Village Pedestrian Improvements.            | STP     | 284,000           | 0         | 284,000           |                       |
| ALAO10028     | MTC                    | Dublin Housing Incentive Program                   | STP     | 1,306,125         | 0         | 1,306,125         |                       |
| ALAO10029     | MTC                    | Emeryville Housing Incentive Program Reserve       | STP     | 151,500           | 0         | 151,500           |                       |
| CC-030007     | City of El Cerrito     | Fairmont St. Pedestrian & Streetscape Improvements | CMAQ    | 500,000           | 0         | 500,000           |                       |
| CC-010020     | City of El Cerrito     | Manila/Fairmont Ave. Bike/Ped Improvements         | STP     | 384,000           | 0         | 384,000           |                       |
| CC-010019     | City of Richmond       | Richmond Greenway and Bikeway                      | CMAQ    | 420,000           | 0         | 420,000           |                       |
| CC-010021     | City of Richmond       | Richmond Transit Village Transit & Ped Improvement | STP     | 865,500           | 0         | 865,500           |                       |
| MRN030002     | City of San Rafael     | Medway/Canal Enhancements                          | CMAQ    | 900,000           | 0         | 900,000           |                       |
| MTC991007     | MTC                    | TLC Projects - Lump Sum Regional Share             | STP     | 52,500            | 0         | 52,500            |                       |
| SF-030003     | Bay Area Rapid Transit | 16th St. BART Station Plaza Redesign               | CMAQ    | 1,298,000         | 0         | 1,298,000         |                       |
| SF-010016     | MTC                    | San Francisco HIP Reserve                          | STP     | 1,144,875         | 0         | 1,144,875         |                       |
| SM-030005     | City of East Palo Alto | Bay Road Streetscape & Calming Improvements        | CMAQ    | 236,000           | 0         | 236,000           |                       |
| SM-010042     | City of East Palo Alto | Nugent Square & Bay Road Pedestrian Improvements   | STP     | 224,000           | 0         | 224,000           |                       |
| SM-030005     | City of East Palo Alto | Bay Road Streetscape & Calming Improvements        | STP     | 464,000           | 0         | 464,000           |                       |
| SM-010043     | City of San Bruno      | El Camino Real Pedestrian Improvements             | STP     | 936,500           | 0         | 936,500           |                       |
| SM-010045     | City of San Mateo      | Third/Fourth Avenues Pedestrian Improvements       | STP     | 682,500           | 0         | 682,500           |                       |
| SCL030004     | City of Palo Alto      | Caltrain/Homer St. Bike/Ped Undercrossing          | CMAQ    | 464,000           | 0         | 464,000           | Advanced Construction |
| SCL030002     | VTA                    | River Oaks Bike/Pedestrian Bridge                  | CMAQ    | 1,000,000         | 0         | 1,000,000         |                       |
| SCL030003     | VTA                    | San Fernanco Light-Rail Station Plaza              | CMAQ    | 885,000           | 0         | 885,000           |                       |
| SOLO30004     | City of Suisun City    | Driftwood Drive Pedestrian Way                     | CMAQ    | 350,000           | 0         | 350,000           |                       |
| SOLO30005     | City of Vacaville      | Davis St. Ped & Gateway Improvements               | CMAQ    | 482,000           | 0         | 482,000           | Advanced Construction |
| SOLO10019     | City of Vallejo        | Sereno Transit Center Improvements                 | STP     | 382,500           | 0         | 382,500           |                       |
| SON010016     | City of Petaluma       | Downtown River Apart. Improv.                      | STP     | 258,000           | 0         | 258,000           |                       |
| <b>TOTALS</b> |                        |  |         | <b>18,210,000</b> | <b>0</b>  | <b>18,210,000</b> |                       |



METROPOLITAN  
TRANSPORTATION  
COMMISSION

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## ***Memorandum***

TO: Partnership Technical Advisory Committee

DATE: September 15, 2003

FR: Kenneth Folan

RE: STIP Project Delivery Status for Projects Programmed in FY 2003-04

### **Background**

Senate Bill 45 (Chapter 622, Statutes 1997) established strict timely use of funds and project delivery requirements for transportation projects. Projects programmed in the State Transportation Improvement Program (STIP) must receive an allocation from the California Transportation Commission (CTC) or Caltrans by the end of the fiscal year in which the funds are programmed. Funds not allocated or extended by the CTC within this deadline are deleted from the STIP with the funds returned to the county in the next county share period.

MTC staff monitors the delivery of STIP projects, and has been informing members of the Bay Area Partnership on a monthly basis of the project delivery requirements and pending deadlines.

### **FY 2002-03 Projects on CTC Pending Allocation List**

Due to the State's financial situation and its affect on available funds for STIP allocations, the CTC has implemented an Allocation Plan and established a pending allocation list. Sixteen MTC FY 2002-03 projects totaling \$4.4 million were submitted to Caltrans for allocation and placed on the pending list. These projects, listed in Attachment A, may be considered for allocation at a later date.

### **FY 2003-04 Projects**

After a late flurry of amendments approved by the CTC in May and June 2003, there is currently \$244 million programmed in the STIP for FY 2003-04 in the MTC region. These projects are listed in Attachment B. Of the \$232 million programmed in FY 2003-04, \$32 million has been allocated by the CTC and an additional \$56 million has been submitted to the CTC for allocation and placed on the pending allocation list. Allocations are not expected in the near future. Although there is much speculation and doubt about CTC allocations over the next fiscal year, sponsors are required to submit projects for allocations in accordance with SB 45 guidelines. MTC staff will continue to work closely with the CTC and CTC staff to explore all allocation possibilities.

Please feel free to contact Kenneth Folan at (510) 464-7804 or [kfolan@mtc.ca.gov](mailto:kfolan@mtc.ca.gov) with questions or comments.

### **Attachments**

Attachment A – FY 2002-03 STIP Projects on the CTC Pending Allocation List

Attachment B – STIP Funds Programmed in FY 2003-04

**Metropolitan Transportation Commission  
FY 2002-03 STIP Projects on the CTC Pending Allocation List**

**RTIP**

| TIP ID                         | Agency     | Project  | Allocation Date | Phase         | Programmed Amount | Completed Allocations | Balance   | Comments  |
|--------------------------------|------------|--|-----------------|---------------|-------------------|-----------------------|-----------|---|
| ALA990020                      | AC Transit | Engine transmission rehab                          |                 | CON           | 628,000           | 0                     | 628,000   | *On CTC pending allocation list   |
| CC-010024                      | Antioch    | Rt 4 Hillcrest Av EB off ramp, widen               |                 | ENV           | 50,000            | 0                     | 50,000    | *On CTC pending allocation list; below current allocation plan line - allocation not anticipated in near future                             |
| CC-991089                      | Lafayette  | Reliez Valley Rd walkway (SO)                      |                 | CON           | 109,000           | 0                     | 109,000   | *On CTC pending allocation list   |
| CC-010033                      | Danville   | Oak Hill Park frontage improvements (SO)           |                 | CON           | 62,000            | 0                     | 62,000    | *On CTC pending allocation list   |
| CC-010035                      | CC County  | Stone Valley Rd W sidewalk to Ironhorse Trail (SO) | 7/18/2002       | ENV & PSE     | 14,000            | 4,000                 | 10,000    | ENV 4,000; PSE 10,000 On CTC pending allocation list  |
| CC-010036                      | San Pablo  | San Pablo Dam Rd ped path (SO)                     |                 | CON           | 115,000           | 0                     | 115,000   | *On CTC pending allocation list   |
| CC-010038                      | CC County  | I-80 Bikeway storm drain grates (SO)               | 9/1/2002        | ENV & PSE     | 5,000             | 3,000                 | 2,000     | ENV 3,000; PSE 2,000 On CTC pending allocation list   |
| CC010040                       | Martinez   | Bay Trail, phase 2 segments (SO)                   |                 | ROW & CON     | 300,000           | 0                     | 300,000   | ROW 200,000; CON 100,000 On CTC pending allocation list   |
| CC-010027                      | BART       | Pittsburg-Bay Point terminal zone, tumbuck         |                 | PSE           | 350,000           | 0                     | 350,000   | Allocation request submitted for CON funds and moved above current allocation plan line; these PSE funds are on CTC pending allocation list |
| CC-010030                      | BART       | Add parking level @ Richmond BART station          |                 | PSE           | 200,000           | 0                     | 200,000   | *On CTC pending allocation list   |
| MTC990004                      | MTC        | CMAQ match reserve (SO) Marin                      |                 | CON           | 23,000            | 0                     | 23,000    | *On CTC pending allocation list; Remaining \$92,000 lapsed - returned to Marin County Share   |
| MRN010027                      | Sausalito  | Bridgeway, Princess-Johnson, rehab (SO)            | 4/3/2003        | PSE & CON     | 131,000           | 0                     | 131,000   | CON 121,000; PSE 10,000 *On CTC pending allocation list   |
| SF-010029                      | BART       | Embarcadero, Montgomery seismic retro (SO)         |                 | ENV           | 442,000           | 0                     | 442,000   | *On CTC pending allocation list - Partial allocation - \$442K of \$500K   |
| SM-010005                      | BART       | SFO Airport Bicycle Trail (SO)                     |                 | PSE ROW & CON | 1,500,000         | 157,000               | 1,343,000 | *On CTC pending allocation list PSE 157,000; 655,000 ROW; 688,000 CON   |
| MTC990004                      | MTC        | CMAQ match reserve (SO) Santa Clara                |                 | CON           | 523,000           | 0                     | 523,000   | *Two projects (292K and 231K) on CTC pending allocation list \$274,000 lapsed and returned to county share                                  |
| TOTAL MTC RTIP on Pending List |            |  |                 |               | 4,452,000         | 164,000               | 4,288,000 |   |

**ITIP**

| TIP ID                         | Agency       | Project                     | Allocation Date | Phase | Programmed Amount | Completed Allocations | Balance | Comments  |
|--------------------------------|--------------|-----------------------------|-----------------|-------|-------------------|-----------------------|---------|---|
| SOLO10030                      | Capitol Corr | Bahia Viaduct Track Upgrade |                 | PSE   | 190,000           | 0                     | 190,000 | *On CTC pending allocation list ENV 40,000; PSE 150,000 |
| TOTAL MTC ITIP on Pending List |              |                             |                 |       | 190,000           | 0                     | 190,000 |   |

**Metropolitan Transportation Commission  
STIP Funds Programmed in FY 2003-04 in the MTC Region**

|               | RTIP                  | ITIP                 | TOTAL STIP<br>PROGRAMMED | TOTAL STIP<br>ALLOCATED | BALANCE TO BE<br>ALLOCATED |
|---------------|-----------------------|----------------------|--------------------------|-------------------------|----------------------------|
| Alameda       | \$ 53,501,000         | \$ 1,000,000         | \$ 54,501,000            | \$ 25,037,000           | \$ 29,464,000              |
| Contra Costa  | \$ 10,154,000         | \$ 40,300,000        | \$ 50,454,000            | \$ -                    | \$ 50,454,000              |
| Marin         | \$ 37,711,000         | \$ -                 | \$ 37,711,000            | \$ -                    | \$ 37,711,000              |
| Napa          | \$ 709,000            | \$ -                 | \$ 709,000               | \$ -                    | \$ 709,000                 |
| San Francisco | \$ 23,858,000         | \$ -                 | \$ 23,858,000            | \$ -                    | \$ 23,858,000              |
| San Mateo     | \$ 9,430,000          | \$ 750,000           | \$ 10,180,000            | \$ 4,500,000            | \$ 5,680,000               |
| Santa Clara   | \$ 22,296,000         | \$ 23,000            | \$ 22,319,000            | \$ -                    | \$ 22,319,000              |
| Solano        | \$ 8,467,000          | \$ 3,956,000         | \$ 12,423,000            | \$ 2,896,000            | \$ 9,527,000               |
| Sonoma        | \$ 16,155,000         | \$ 4,000,000         | \$ 20,155,000            | \$ -                    | \$ 20,155,000              |
| <b>Totals</b> | <b>\$ 182,281,000</b> | <b>\$ 50,029,000</b> | <b>\$ 232,310,000</b>    | <b>\$ 32,433,000</b>    | <b>\$ 199,877,000</b>      |

STIP ALLOCATION STATUS REPORT  
 ALLOCATION DEADLINE 6/30/2004  
 ALAMEDA COUNTY

**ALAMEDA COUNTY  
 RTIP**

| TIP ID                           | Agency     | Project                               | Allocation Date | Phase            | Programmed Amount | Completed Allocations | Balance    | Comments  |
|----------------------------------|------------|---------------------------------------|-----------------|------------------|-------------------|-----------------------|------------|---|
| ALA010038                        | AC Transit | Bus component rehabilitation          |                 | CON              | 8,500,000         | 0                     | 8,500,000  | *On CTC pending allocation list                                 |
| BRT990002                        | BART       | Oakland Airport connector guideway    | 6/26/2003       | CON & ROW        | 22,200,000        | 15,000,000            | 7,200,000  | CON 12,200,000; ROW 10,000,000                                  |
| ALA010005                        | Caltrans   | HOV on ramp at Newark BI              |                 | ROW & ROW<br>SUP | 280,000           | 0                     | 280,000    | ROW 250,000; ROW SUP 30,000                                     |
| ALA010006                        | Caltrans   | Extend Dumbarton HOV Ins to Rt 880    |                 | ROW & ROW<br>SUP | 280,000           | 0                     | 280,000    | ROW 250,000; ROW SUP 30,000                                     |
| ALA010009                        | Caltrans   | Soundwall, Livermore, Vasco Rd-1st St |                 | CON & CON<br>SUP | 941,000           | 0                     | 941,000    | *On CTC pending allocation list<br>CON 737,000; CON SUP 204,000 |
| ALA010032                        | Caltrans   | Rt 580 noise barrier                  |                 | ROW & ROW<br>SUP | 130,000           | 0                     | 130,000    | ROW 100,000; ROW SUP 130,000                                    |
| ALA030011                        | Caltrans   | Sunol Grade Soundwalls                |                 | CON & CON<br>SUP | 10,037,000        | 10,037,000            | 0          | <b>Allocated</b>  |
| ALA990014                        | Caltrans   | 880 HOV Alvarado to SCL County Line   |                 | ROW              | 11,047,000        | 0                     | 11,047,000 | *On CTC pending allocation list                                 |
| MTC990005                        | MTC        | PPM (SO)                              |                 | CON              | 86,000            | 0                     | 86,000     | *On CTC pending allocation list                                 |
| TOTALS FOR ALAMEDA COUNTY - RTIP |            |                                       |                 |                  | 53,501,000        | 25,037,000            | 28,464,000 |   |

**ITIP**

| TIP ID                           | Agency | Project                | Allocation Date | Phase | Programmed Amount | Completed Allocations | Balance   | Comments |
|----------------------------------|--------|------------------------|-----------------|-------|-------------------|-----------------------|-----------|----------|
| ALA010015                        | SJRC   | ACE Track Improvements |                 | CON   | 1,000,000         | 0                     | 1,000,000 |          |
| TOTALS FOR ALAMEDA COUNTY - ITIP |        |                        |                 |       | 1,000,000         | 0                     | 1,000,000 |          |

STIP ALLOCATION STATUS REPORT  
 ALLOCATION DEADLINE 6/30/2004  
 CONTRA COSTA COUNTY

**CONTRA COSTA  
 RTIP**

| TIP ID                                | Agency    | Project  | Allocation Date | Phase | Programmed Amount | Completed Allocations | Balance    | Comments                        |
|---------------------------------------|-----------|--|-----------------|-------|-------------------|-----------------------|------------|---------------------------------|
| CC-010027                             | BART      | Pittsburg-Bay Point terminal zone, turnback        |                 | CON   | 1,150,000         | 0                     | 1,150,000  |                                 |
| CC-010030                             | BART      | Add parking level @ Richmond BART station          |                 | CON   | 1,800,000         | 0                     | 1,800,000  |                                 |
| CC-010003                             | Caltrans  | Westbound HOV lanes, Rt 4-Carquinez Br             |                 | CON   | 5,000,000         | 0                     | 5,000,000  |                                 |
| CC-010035                             | CC County | Stone Valley Rd W sidewalk to Ironhorse Trail (SO) |                 | CON   | 21,000            | 0                     | 21,000     |                                 |
| CC-010038                             | CC County | I-80 Bikeway storm drain grates (SO)               |                 | CON   | 30,000            | 0                     | 30,000     |                                 |
| MTC990005                             | MTC       | Planning, programming, and monitoring (SO)         |                 | CON   | 53,000            | 0                     | 53,000     | *On CTC pending allocation list |
| CC-010041                             | WCCTAC    | Richmond BART/Amtrak station, phase 3              |                 | CON   | 2,100,000         | 0                     | 2,100,000  | *On CTC pending allocation list |
| TOTALS FOR CONTRA COSTA COUNTY - RTIP |           |  |                 |       | 10,154,000        | 0                     | 10,154,000 |                                 |

**ITIP**

| TIP ID                                | Agency      | Project                                       | Allocation Date | Phase         | Programmed Amount | Completed Allocations | Balance    | Comments                          |
|---------------------------------------|-------------|---|-----------------|---------------|-------------------|-----------------------|------------|-----------------------------------|
| CC-010002                             | Caltrans    | Caldecott Tunnel 4th Bore                     |                 | ENV & PSE     | 12,000,000        | 0                     | 12,000,000 | ENV 2,000,000; PSE 10,000,000     |
| CC-010003                             | Caltrans    | I-80 WB HOV Gap Closure                       |                 | CON & CON SUP | 23,300,000        | 0                     | 23,300,000 | 5,300,000 CON 18,000,000; CON SUP |
| CC-010009                             | CCTA        | SR 4 East widening - Loveridge to Somersville |                 | CON           | 3,000,000         | 0                     | 3,000,000  |                                   |
| CC-010041                             | West CC TAC | Richmond Intermodal Station-Phase III         |                 | CON           | 2,000,000         | 0                     | 2,000,000  | *On CTC pending allocation list   |
| TOTALS FOR CONTRA COSTA COUNTY - ITIP |             |   |                 |               | 40,300,000        | 0                     | 40,300,000 |                                   |

STIP ALLOCATION STATUS REPORT  
 ALLOCATION DEADLINE 6/30/2004  
 MARIN COUNTY

**MARIN COUNTY  
 RTIP**

| TIP ID                         | Agency   | Project                         | Allocation Date | Phase | Programmed Amount | Completed Allocations | Balance    | Comments                        |
|--------------------------------|----------|---------------------------------|-----------------|-------|-------------------|-----------------------|------------|---------------------------------|
| MRN990001                      | Caltrans | Reversible HOV, segs 2,3,4      |                 | CON   | 37,474,000        | 0                     | 37,474,000 |                                 |
| MTC990004                      | MTC      | CMAQ match reserve (SO)         |                 | CON   | 115,000           | 0                     | 115,000    |                                 |
| MTC990003                      | MTC      | Regional rideshare program (SO) |                 | CON   | 104,000           | 0                     | 104,000    | *On CTC pending allocation list |
| MTC990005                      | MTC      | PPM (SO)                        |                 | CON   | 18,000            | 0                     | 18,000     | *On CTC pending allocation list |
| TOTALS FOR MARIN COUNTY - RTIP |          |                                 |                 |       | 37,711,000        | 0                     | 37,711,000 |                                 |

STIP ALLOCATION STATUS REPORT  
 ALLOCATION DEADLINE 6/30/2004  
 NAPA COUNTY

**NAPA COUNTY  
 RTIP**

| TIP ID                        | Agency       | Project                | Allocation Date | Phase | Programmed Amount | Completed Allocations | Balance | Comments                        |
|-------------------------------|--------------|------------------------|-----------------|-------|-------------------|-----------------------|---------|---------------------------------|
| NAP030001                     | Caltrans     | Trancas St interchange |                 | CON   | 639,000           | 0                     | 639,000 |                                 |
| MTC990005                     | MTC          | PPM (SO)               |                 | CON   | 10,000            | 0                     | 10,000  | *On CTC pending allocation list |
| NAP010009                     | MTC/Napa TPA | PPM (SO)               |                 | CON   | 60,000            | 0                     | 60,000  | *On CTC pending allocation list |
| TOTALS FOR NAPA COUNTY - RTIP |              |                        |                 |       | 709,000           | 0                     | 709,000 |                                 |

STIP ALLOCATION STATUS REPORT  
 ALLOCATION DEADLINE 6/30/2004  
 SAN FRANCISCO COUNTY

**SAN FRANCISCO COUNTY  
 RTIP**

| TIP ID                                      | Agency        | Project                                       | Allocation Date | Phase | Programmed Amount | Completed Allocations | Balance           | Comments                        |
|---|---------------|---|-----------------|-------|-------------------|-----------------------|-------------------|---------------------------------|
| SF-010031                                   | BART          | 16th St Mission plaza improvements (SO)       |                 | ENV   | 7,000             | 0                     | 7,000             |                                 |
| SF-010032                                   | BART          | Talking signs, downtown SF stations           |                 | PSE   | 63,000            | 0                     | 63,000            |                                 |
| SF-010033                                   | BART          | Replace ADA tiles at platform edges           |                 | PSE   | 50,000            | 0                     | 50,000            |                                 |
| MTC990003                                   | MTC           | Regional rideshare program (SO)               |                 | CON   | 337,000           | 0                     | 337,000           | *On CTC pending allocation list |
| MTC990005                                   | MTC           | Planning, programming, and monitoring (SO)    |                 | CON   | 46,000            | 0                     | 46,000            | *On CTC pending allocation list |
| SF-010008                                   | MTC/SFCTA     | Planning, programming, and monitoring (SO)    |                 | CON   | 59,000            | 0                     | 59,000            |                                 |
| SF-010021                                   | San Francisco | Audible ped signals, 50 intersections (SO)    |                 | PSE   | 20,000            | 0                     | 20,000            |                                 |
| SF-010022                                   | San Francisco | Retrofit median refuges, 4 intersections (SO) |                 | PSE   | 6,000             | 0                     | 6,000             |                                 |
| SF-991029                                   | San Francisco | 3rd St Integrated TMS                         |                 | CON   | 700,000           | 0                     | 700,000           |                                 |
| SF-970105                                   | SF Muni       | 3rd St light rail, maintenance facility       |                 | CON   | 22,570,000        | 0                     | 22,570,000        | *On CTC pending allocation list |
| <b>TOTALS FOR SAN FRANCISCO COUNTY RTIP</b> |               |   |                 |       | <b>23,858,000</b> | <b>0</b>              | <b>23,858,000</b> |                                 |

STIP ALLOCATION STATUS REPORT  
 ALLOCATION DEADLINE 6/30/2004  
 SAN MATEO COUNTY

**SAN MATEO COUNTY  
 RTIP**

| TIP ID                                    | Agency   | Project                              | Allocation Date | Phase            | Programmed Amount | Completed Allocations | Balance          | Comments                        |
|---|----------|--------------------------------------|-----------------|------------------|-------------------|-----------------------|------------------|---------------------------------|
| SM-010005                                 | BART     | SFO Airport Bicycle Trail (SO)       |                 | CON              | 777,000           | 0                     | 777,000          | *On CTC pending allocation list |
| SM-010046                                 | Caltrans | Aux lanes-SCL Co. line to Marsh Rd   |                 | ROW &<br>ROW SUP | 1,151,000         | 0                     | 1,151,000        | ROW 1,046,000; ROW SUP 105,000  |
| SM-010047                                 | Caltrans | Willow Rd interchange reconstruction |                 | ROW &<br>ROW SUP | 1,940,000         | 0                     | 1,940,000        | ROW 1,764,000; ROW SUP 176,000  |
| SM-979013                                 | Caltrans | Devil's Slide tunnel                 |                 | CON              | 750,000           | 0                     | 750,000          |                                 |
| SM-990003                                 | Caltrans | Slow vehicle lane improves           | Jun-03          | CON              | 4,500,000         | 4,500,000             | 0                |                                 |
| MTC990003                                 | MTC      | Regional rideshare program (SO)      |                 | CON              | 265,000           | 0                     | 265,000          | *On CTC pending allocation list |
| MTC990005                                 | MTC      | PPM (SO)                             |                 | CON              | 47,000            | 0                     | 47,000           | *On CTC pending allocation list |
| <b>TOTALS FOR SAN MATEO COUNTY - RTIP</b> |          |                                      |                 |                  | <b>9,430,000</b>  | <b>4,500,000</b>      | <b>4,930,000</b> |                                 |

**ITIP**

| TIP ID                                    | Agency   | Project              | Allocation Date | Phase | Programmed Amount | Completed Allocations | Balance        | Comments |
|---|----------|----------------------|-----------------|-------|-------------------|-----------------------|----------------|----------|
| SM-979013                                 | Caltrans | Devil's Slide Bypass |                 | CON   | 750,000           | 0                     | 750,000        |          |
| <b>TOTALS FOR SAN MATEO COUNTY - ITIP</b> |          |                      |                 |       | <b>750,000</b>    | <b>0</b>              | <b>750,000</b> |          |

STIP ALLOCATION STATUS REPORT  
 ALLOCATION DEADLINE 6/30/2004  
 SANTA CLARA COUNTY

**SANTA CLARA COUNTY  
 RTIP**

| TIP ID                                      | Agency   | Project                                     | Allocation Date | Phase     | Programmed Amount | Completed Allocations | Balance           | Comments                        |
|---|----------|---|-----------------|-----------|-------------------|-----------------------|-------------------|---------------------------------|
| SCL010035                                   | Caltrans | Soundwalls, Bird Av-Los Gatos Crk           |                 | ENV       | 54,000            | 0                     | 54,000            |                                 |
| SCL010036                                   | Caltrans | Soundwalls, Mabury-Upper Penetencia Crk, NB |                 | ENV       | 11,000            | 0                     | 11,000            |                                 |
| SCL030008                                   | Caltrans | Guadalupe landscaping                       |                 | CON       | 1,000,000         | 0                     | 1,000,000         |                                 |
| SCL970002                                   | Caltrans | Rt. 152 Passing and truck climbing lanes    |                 | CON       | 4,158,000         | 0                     | 4,158,000         |                                 |
| SCL990041                                   | Caltrans | Guadalupe Pkwy HOV                          |                 | CON       | 1,000,000         | 0                     | 1,000,000         |                                 |
|   |          |   |                 | CON & CON |                   |                       |                   | CON 9,562,000;                  |
| SCL991077                                   | Caltrans | Sunol Grade SB HOV, Ph 3                    |                 | SUP       | 11,107,000        | 0                     | 11,107,000        | CON SUP 1,545,000               |
| MTC990003                                   | MTC      | Regional rideshare program (SO)             |                 | CON       | 718,000           | 0                     | 718,000           | *On CTC pending allocation list |
| MTC990005                                   | MTC      | Planning, programming, and monitoring (SO)  |                 | CON       | 346,000           | 0                     | 346,000           | *On CTC pending allocation list |
| SCL010004                                   | SCVTA    | Planning, programming, and monitoring (SO)  |                 | CON       | 861,000           | 0                     | 861,000           | *On CTC pending allocation list |
| SCL030012                                   | SCVTA    | GARVEE - Coleman                            |                 | CON       | 1,300,000         | 0                     | 1,300,000         |                                 |
| SCL030012                                   | SCVTA    | GARVEE - 87 North                           |                 | CON       | 720,000           | 0                     | 720,000           |                                 |
| SCL030012                                   | SCVTA    | GARVEE - 87 South                           |                 | CON       | 828,000           | 0                     | 828,000           |                                 |
| SCL030001                                   | Caltrans | Rt. 87 Trimble aux land landscaping         |                 | PSE       | 193,000           | 0                     | 193,000           |                                 |
| <b>TOTALS FOR SANTA CLARA COUNTY - RTIP</b> |          |   |                 |           | <b>22,296,000</b> | <b>0</b>              | <b>22,296,000</b> |                                 |

**ITIP**

|   |          |                                     |  |     |               |          |               |  |
|---|----------|-------------------------------------|--|-----|---------------|----------|---------------|--|
| SCL030001                                   | Caltrans | Rt. 87 Trimble aux land landscaping |  | ROW | 23,000        | 0        | 23,000        |  |
| <b>TOTALS FOR SANTA CLARA COUNTY - ITIP</b> |          |                                     |  |     | <b>23,000</b> | <b>0</b> | <b>23,000</b> |  |

STIP ALLOCATION STATUS REPORT  
 ALLOCATION DEADLINE 6/30/2004  
 SOLANO COUNTY

**SOLANO COUNTY  
 RTIP**

| TIP ID                                 | Agency     | Project                                  | Allocation Date | Phase        | Programmed Amount | Completed Allocations | Balance          | Comments  |
|--|------------|--|-----------------|--------------|-------------------|-----------------------|------------------|---|
| SOL010031                              | Benicia    | Intermodal transit station, Benicia      |                 | ENV          | 225,000           | 0                     | 225,000          |   |
| SOL030002                              | CapCorrJP/ | Bahia viaduct track & bridge upgrade     |                 | CON          | 1,000,000         | 0                     | 1,000,000        |   |
| SOL010029                              | Dixon      | Transit operations building (SO)         |                 | CON          | 400,000           | 0                     | 400,000          |   |
| SOL010032                              | Fairfield  | Capitol Corridor rail station, Fairfield |                 | PSE          | 125,000           | 0                     | 125,000          |   |
| MTC990003                              | MTC        | Regional rideshare program (SO)          |                 | CON          | 163,000           | 0                     | 163,000          | *On CTC pending allocation list                               |
| MTC990004                              | MTC        | CMAQ match reserve (SO)                  |                 | CON          | 178,000           | 0                     | 178,000          |   |
| MTC990005                              | MTC        | PPM (SO)                                 |                 | CON          | 26,000            | 0                     | 26,000           | *On CTC pending allocation list                               |
| SOL010002                              | MTC/STA    | PPM (SO)                                 |                 | CON          | 75,000            | 0                     | 75,000           | *On CTC pending allocation list                               |
| SOL990004                              | Solano TA  | Jepson Parkway (I-80 reliever)           |                 | PSE &<br>CON | 4,650,000         | 0                     | 4,650,000        | *On CTC pending allocation list<br>CON 4,400,000; PSE 250,000 |
| SOL950035                              | Vallejo    | Vallejo ferry terminal parking           |                 | PSE          | 1,200,000         | 0                     | 1,200,000        |   |
| SOL991032                              | Vallejo    | Baylink ferry maintenance facility       |                 | PSE          | 425,000           | 0                     | 425,000          |   |
| <b>TOTALS FOR SOLANO COUNTY - RTIP</b> |            |  |                 |              | <b>8,467,000</b>  | <b>0</b>              | <b>8,467,000</b> |   |

**ITIP**

| TIP ID                                 | Agency   | Project                           | Allocation Date | Phase | Programmed Amount | Completed Allocations | Balance          | Comments |
|--|----------|-----------------------------------|-----------------|-------|-------------------|-----------------------|------------------|----------|
| SOL010030                              | CCJPA    | Bahia Viaduct Track Upgrade       |                 | CON   | 1,060,000         | 0                     | 1,060,000        |          |
| SOL990001                              | Caltrans | Recon connectors, Rt 680, mitigat | May-03          |       | 2,896,000         | 2,896,000             |                  |          |
| <b>TOTALS FOR SOLANO COUNTY - ITIP</b> |          |                                   |                 |       | <b>3,956,000</b>  | <b>2,896,000</b>      | <b>1,060,000</b> |          |

STIP ALLOCATION STATUS REPORT  
 ALLOCATION DEADLINE 6/30/2004  
 SONOMA COUNTY

**SONOMA COUNTY  
 RTIP**

| TIP ID                                 | Agency   | Project                              | Allocation Date | Phase | Programmed Amount | Completed Allocations | Balance           | Comments                        |
|--|----------|--------------------------------------|-----------------|-------|-------------------|-----------------------|-------------------|---------------------------------|
| SON010002                              | Caltrans | Petaluma operational improvements    |                 | CON   | 2,000,000         | 0                     | 2,000,000         |                                 |
| SON010001                              | Caltrans | Steele Lane Interchange Improvements |                 | CON   | 13,358,000        | 0                     | 13,358,000        |                                 |
| MTC990003                              | MTC      | Regional rideshare program (SO)      |                 | CON   | 185,000           | 0                     | 185,000           | *On CTC pending allocation list |
| MTC990004                              | MTC      | CMAQ match reserve (SO)              |                 | CON   | 127,000           | 0                     | 127,000           |                                 |
| MTC990005                              | MTC      | PPM (SO)                             |                 | CON   | 31,000            | 0                     | 31,000            | *On CTC pending allocation list |
| SON010017                              | MTC/SCTA | PPM (SO)                             |                 | CON   | 454,000           | 0                     | 454,000           | *On CTC pending allocation list |
| <b>TOTALS FOR SONOMA COUNTY - RTIP</b> |          |                                      |                 |       | <b>16,155,000</b> | <b>0</b>              | <b>16,155,000</b> |                                 |

**ITIP**

| TIP ID                                 | Agency   | Project                                | Allocation Date | Phase            | Programmed Amount | Completed Allocations | Balance          | Comments                          |
|--|----------|--|-----------------|------------------|-------------------|-----------------------|------------------|-----------------------------------|
| SON010002                              | Caltrans | 101 - Aux lane SB - Rt 116 to E. Wash. |                 | CON & CON<br>SUP | 4,000,000         | 0                     | 4,000,000        | CON 3,200,000;<br>CON SUP 800,000 |
| <b>TOTALS FOR SONOMA COUNTY - ITIP</b> |          |  |                 |                  | <b>4,000,000</b>  | <b>0</b>              | <b>4,000,000</b> |                                   |