



METROPOLITAN  
TRANSPORTATION  
COMMISSION

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*Memorandum*

TO: Administration Committee

DATE: June 7, 2012

FR: Executive Director

W. I. 1152

RE: MTC Resolution No. 4077: MTC FY 2012-13 Agency Budget

This item requests Committee approval of MTC Resolution No. 4077, the MTC FY 2012-13 agency budget, and asks that the Committee forward the resolution to the Commission for its approval. The recommended budget is balanced and currently shows an operating surplus of approximately \$40,000 (Attachment A).

The FY 2012-13 operating budget proposes to change prior year practice by excluding all previously budgeted non-planning federal grants from the general MTC operating budget. These prior year federal grants will henceforth be budgeted and accounted for on a life-to-date basis. Past practice was to amend the operating budget to include prior year grants as soon as the balances were confirmed in the annual audit. This had the impact of adding millions to the current operating budget, a cycle that was repeated over years until the grant was completed. With the proposed FY 2012-13 budget, staff proposes to move these multi-year grants into a separate budget category document (Attachment B). The detail in Attachment B will now clearly show the status of all of the non-planning federal grants, including the original budget and life-to-date expenditures for prior year grants as well as any amendments or new grants proposed for FY 2012-13.

The impact of the proposed budget change can be seen in Table 1, on the following page. The budgeted revenue for fiscal years 2010 and 2011 was \$72.2 and \$74.5 million respectively because of the inclusion of \$33.0 and \$39.8 million in non-planning federal grants. By moving the grants to a separate budget category, the MTC general operating federal budget for FY 2012-2013 drops to \$39.4 million. We believe the change gives a more accurate picture of the annual operating budget as well as a more transparent management of the agency's significant federal grant program.

The Clipper® smart card program is now shown in a separate schedule (Attachment C) as an enterprise fund for FY 2012-13. MTC assumed responsibility for this program on July 1, 2010. The separation of the Clipper Program also should contribute to a clearer picture of year-to-year trends in MTC's core operating budget.

Revenue

The recommended budget for FY 2012-13 includes overall operating revenue of \$39 million which is approximately \$500,000 less than the total estimated revenue for FY 2011-12. While Transportation Development Act (TDA) revenue is still projected to be below the 2007/2008 level, we estimate that FY 2012-13 Transportation Development Act (TDA) sales tax revenue will be approximately \$500,000 higher than the estimated current year revenue of \$10 million. A positive sign for the budget and region is that we are projecting the fourth consecutive year of TDA growth.

Other items of interest in the revenue picture are as follows:

- The planning grants line decreased in the FY 2011-12 year from the prior year by \$250,000.
- The other grants line decreased by \$2.2 million from FY 2011-12 to FY 2012-13 due principally to the Air District TFCA grant carryover balances being included in the estimated FY 2011-12 budget.
- The much higher FY 2009-10 and FY 2010-11 actuals include the non-planning federal grants that have been moved to Attachment B for the FY 2012-13 budget.

**Table 1**

	<b>FY 2009-10 <u>Actual</u></b>	<b>FY 2010-11 <u>Actual</u></b>	<b>FY 2011-12 <u>Estimate</u></b>	<b>FY 2012-13 <u>Budget</u></b>
TDA	\$ 8,585,151	\$ 9,644,034	\$ 10,000,000	\$ 10,500,000
Interest/Other	427,542	428,131	345,603	410,000
BATA Admin Fee	<u>4,887,538</u>	<u>6,253,341</u>	<u>6,577,043</u>	<u>6,500,000</u>
Total Discretionary	13,900,231	16,325,506	16,922,646	17,410,000
Planning grants	10,224,074	11,025,915	11,258,279	11,012,603
Other grants	<u>33,022,303</u>	<u>39,770,580</u>	<u>4,935,381</u>	<u>2,736,000</u>
Total Non-Discretionary	43,246,377	50,796,495	16,193,660	13,748,603
Transfers/other	<u>15,081,887</u>	<u>7,427,118</u>	<u>6,797,266</u>	<u>8,250,213</u>
Total Operating Revenue	<u>\$72,228,495</u>	<u>\$ 74,549,119</u>	<u>\$39,913,572</u>	<u>\$ 39,408,816</u>

### Operating Expenses

The recommended operating budget is \$39.4 million, which is \$500,000 lower than the estimated actual for FY 2011-12. However, the operating subtotal prior to adding contractual expense (Table 2) shows a slight increase in total operating expenses of \$22.1 million compared to an estimate of \$21.1 million for FY 2011-12. The operating changes are based on the following assumptions.

#### A. Staff Salaries and Benefits and OPEB Contribution

Total salaries (including paid leave) and benefits will increase by approximately \$1.2 million. Of this amount, \$300,000 is offset by the reduction in the temporary staff line item. The growth in salaries and benefits also reflects:

- 2% salary increase per the current staff MOU of \$200,000,
- an overall increase in benefits of \$300,000,
- an anticipated use of general funds of \$200,000 until the next cycle FTA New Freedom grant funds is approved, and
- a redistribution of work assignments from BATA to MTC of \$200,000.

We currently have 12 MTC positions that for several years have been designated as “project-based” temporary staff positions assigned to work that at one time was subject to possible transfer to other agencies (e.g., Clipper®) or for short-term support for workload that has not declined over time (e.g., contracts/procurement support). Given the fact this workload is in fact permanent, staff recommends that these positions be reclassified and designated regular full-

time MTC staff positions. This change would increase the total number of regular staff positions from 172 to 184.

Of the 12 reclassified positions, two are funded by MTC's general fund and are reflected in the FY 2012-13 salaries and benefits line item. Of the remaining ten positions,

- five are funded by the Clipper® program,
- one by the FTA Job Access and Reverse Commute (JARC) grant program
- four by BATA and SAFE.

For the balance of the temporary positions in the agency budget, we are instituting the discipline of assigning a date when we expect the temporary work to be completed. These assignments are associated with several agency projects that have specific end-dates, such as delivery of the new toll bridge accounting system and relocation to 390 Main Street.

Finally, the increase in the Other Post-Employee Benefits (OPEB) required contribution is due to the increase in regular staff positions as well as changes in actuarial values.

#### B. Contractual Services

Overall, MTC contractual services are reduced by \$1.9 million when compared to FY 2011-12 estimates. Most of the decrease is due to the completion of the Bay Area Travel Survey and the Transit Sustainability Project.

**Table 2**

	<b>2010 Actual</b>	<b>2011 Actual</b>	<b>2012 Estimate</b>	<b>2013 Budget</b>
Salaries/ Benefits	\$ 15,290,939	\$ 14,576,898	\$ 13,979,748	\$ 15,208,380
OPEB	710,015	1,851,713	1,298,665	1,602,134
Agency Temporaries	1,888,445	2,066,274	1,586,182	1,296,988
Other Expenses	<u>2,756,812</u>	<u>1,645,155</u>	<u>4,217,732</u>	<u>4,061,486</u>
Ops Subtotal	20,646,211	20,140,040	21,082,327	22,168,988
Contractual	48,745,405	49,418,510	18,581,245	16,649,092
Transfer Out	<u>3,095,631</u>	<u>895,555</u>	0	<u>551,160</u>
Total Expense	\$ 72,487,247	\$ 70,454,105	\$ 39,663,572	\$ 39,369,240

#### C. ABAG/MTC Funding Agreement

The proposed budget accounts for funding for ABAG at levels consistent with the current pass-through formula, as shown on the following page. When combined with funding ABAG will receive from the HUD and Proposition 84 Planning grants, the level of pass through funding fully covers the anticipated expenses as submitted by ABAG staff for FY 2012-13. ABAG and MTC staff have been discussing the framework for a future multi-year funding agreement beginning in FY 2013-14 and will forward a proposal for Commission consideration in July.

TDA Funding	\$900,000
FTA 5303	\$228,630
FHWA Planning Funds	\$1,194,630
STP Planning	\$638,000
PDA Planning	\$250,000
	\$3,211,260

Multi-year Federal Grants

The life to date budget for the multi-year federal grants is included in Attachment B. The life to date budget through FY 2011-12 for these grants is \$184 million. These grants were either received in this current year or in prior years and have not been completed. The amount spent and encumbered on these grants is \$128 million, leaving a balance of \$56 million that has not been encumbered.

New grants in FY 2012-13 will increase the life to date budget by \$88 million. Completed grants will be dropped from this schedule after the fiscal year. This schedule does not include the federal planning grants from FHWA and FTA 5303, as these are ongoing annual grants and the remaining balances in these grants need to be approved by the funding sources before being included in the budget the following year.

Capital Projects

The proposed budget for agency capital expenditures includes mainly computer hardware and software purchases. The Hub Signage project expenditures will decline as the project implementation winds down. Its life-to- date budget is \$10.2 million.

	<b>2012</b>	<b>2013</b>
	<b><u>Budget</u></b>	<b><u>Budget</u></b>
Capital Expenditures	\$500,000	\$500,000
Hub Signage	<u>12,000</u>	<u>0</u>
	<b><u>\$512,000</u></b>	<b><u>\$ 500,000</u></b>

Clipper Enterprise

The Clipper program budget for FY 2012-13 is shown in Attachment C. The Clipper operating expenses for FY 2012-13 are projected to be nearly \$29 million. Of this amount, \$25 million is for Clipper contractual expenses, \$1 million is for staff costs and \$3 million is for promotion/outreach incentives. The Clipper capital program budget will add nearly \$27 million in new revenues for FY 2012-13. MTC anticipates spending the funds over a multi-year period for capital program costs. This will bring the life-to-date capital expenditure budget to nearly \$192 million. Under MTC’s agreement with the participating Clipper transit operators, MTC covers most capital costs and we share operating costs with the transit agencies.

Looking Ahead

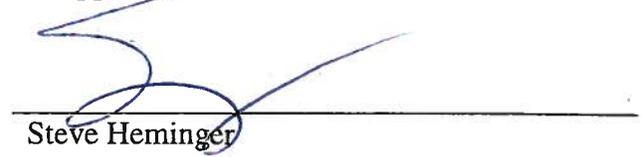
The unrestricted reserve is projected to be at \$14.2 million at the end of FY 2012-13. This reserve is critical because all of MTC’s grants and the Clipper program operate on a reimbursement basis with a delay of weeks to months as the reimbursements are processed. The projected level of

unrestricted reserve represents about 36% of the MTC operating budget for the coming fiscal year. This reserve level has improved substantially from the bottom of the last recession.

Our current and projected reserve position is shown in the table below.

<b>Description</b>	<b>Actual FY2011</b>	<b>Estimated FY 2012</b>	<b>Projected FY 2013</b>
Benefits Reserve	\$ 1,063,761	\$ 1,000,000	\$1,000,000
Liability Reserve	559,930	500,000	400,000
Compensated Leave	3,368,338	3,300,000	3,300,000
Encumbrances	4,103,305	4,500,000	4,500,000
Fixed Asset Replacement	-0-	500,000	500,000
Unrestricted	<u>14,466,062</u>	<u>14,011,396</u>	<u>14,150,972</u>
<b>Total Reserves</b>	<b>\$ 23,561,396</b>	<b>\$ 23,811,396</b>	<b>\$ 23,850,972</b>

MTC staff recommends that this Committee forward Resolution No. 4077, MTC's FY2012-13 operating and capital budgets, to the Commission for approval.



Steve Heminger

SH/ES

Date: June 27, 2012  
W.I.: 1152  
Referred By: Administration

ABSTRACT

Resolution No. 4077

This resolution approves the Agency Budget for FY 2012-13.

Further discussion of the agency budget is contained in the Executive Director's Memorandum dated June 6, 2012.

Date: June 27, 2012  
W.I.: 1152  
Referred By: Administration

Re: Metropolitan Transportation Commission's Agency Budget for FY 2012-13

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4077

WHEREAS, the Metropolitan Transportation Commission (MTC or the Commission) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, on May 23, 2012 the Commission approved MTC's Overall Work Program (OWP) for Fiscal Year 2012-13 with the adoption of MTC Resolution No. 4057; and

WHEREAS, the OWP identifies MTC's unified work program for FY 2012-13; and

WHEREAS, the final draft MTC Agency Budget for FY 2012-13 as reviewed and recommended by the Administration Committee is consistent with the OWP as adopted pursuant to MTC Resolution No. 4057; now, therefore, be it

RESOLVED, that MTC's Agency Budget for FY 2012-13, prepared in accordance to generally accepted accounting principles and modified accrual, attached hereto as Attachment A, and incorporated herein as though set forth at length, is approved; and, be it further

RESOLVED, that MTC delegates to its Administration or Operations Committees the authority to approve all contracts and expenditures in MTC's Agency Budget for FY 2012-13, providing that there shall be no increase in the overall budget without prior approval of the Commission; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall submit written requests to the Administration or Operations Committees for approval of consultants, professional services, and expenditures authorized in the MTC Agency Budget for FY2012-13; and, be it further

RESOLVED, that MTC's Executive Director, and the Chief Financial Officer are authorized to carry over and re-budget all grants and funds properly budgeted in the prior year for which expenditures were budgeted and encumbered and which will take place in FY 2011-12; and be it further

RESOLVED, that the Commission authorizes that MTC expenditures may require use of funds from the general fund as an advance until the expenditures have been reimbursed

RESOLVED, that the Commission authorizes the designation of certain reserves for FY 2012-13 as follows: Benefits, Liability, Compensated leave, Encumbrances, Building, and Fixed Asset Replacement. The Chief Financial Officer is authorized to set aside a \$500,000 liability reserve and establish a \$400,000 contract budget against the liability reserve. The Chief Financial Officer is authorized to utilize the funds in the Benefits Reserve to meet any obligations resulting from changes in the employee labor agreements. No additional expenditures shall be authorized from any designated reserves authorized by MTC's Agency Budget for FY 2012-13 without prior authorization of the Administration Committee; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall furnish the Administration Committee with a monthly financial report to reflect estimated and actual income, expenditures, obligations for professional and consultant services, cash flow projections and such other information and data as may be requested by the Administration Committee.

METROPOLITAN TRANSPORTATION COMMISSION

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Adrienne J. Tissier, Chair

The above resolution was entered into by  
the Metropolitan Transportation Commission  
at a regular meeting of the Commission  
held in Oakland, California on June 27, 2012.

Date: June 27, 2012  
W.I.: 1152  
Referred by: Administration

Attachment A  
Resolution No. 4077

## **METROPOLITAN TRANSPORTATION COMMISSION**

### **AGENCY BUDGET**

**FY 2012-13**

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**METROPOLITAN TRANSPORTATION COMMISSION**

**BUDGET FY 2012-13**

Attachment A

**SUMMARY**

**PART 1: OPERATING REVENUE-EXPENSE SUMMARY**

	<b>AMENDED BUDGET FY 2011-12</b>	<b>Original FY 2012-13</b>	<b>Change % Inc/(Dec)</b>	<b>Change \$ Inc/(Dec)</b>
General Planning Revenue	\$23,845,855	\$22,262,603	-7%	(\$1,583,252)
Other MTC Revenue	1,055,200	960,000	-9%	(95,200)
Transfers from other Funds	12,211,614	14,055,872	15%	1,844,258
Local Revenue Grants	1,759,886	2,130,341	21%	370,455
<b>Total Operating Revenue Current Year</b>	<b>\$38,872,555</b>	<b>\$39,408,816</b>	1%	\$536,261
Total Operating Revenue - Prior Year	\$8,397,816	\$0	0%	(\$8,397,816)
<b>Total Operating Revenue</b>	<b>\$47,270,371</b>	<b>\$39,408,816</b>	-17%	(\$7,861,555)
Total Operating Expense Current Year	\$38,221,534	\$39,369,240	3%	\$1,147,706
Total Operating Expense - Prior Year	\$8,757,817	\$0	0%	(\$8,757,817)
<b>Total Operating Expense</b>	<b>\$46,979,351</b>	<b>\$39,369,240</b>	-16%	(\$7,610,111)
<b>Operating Surplus (Shortfall)</b>	<b>\$291,020</b>	<b>\$39,576</b>	-86%	(\$251,444)

**PART2: CAPITAL PROJECTS REVENUE-EXPENSE SUMMARY**

Total Capital Revenue	\$512,000	\$500,000	-2%	(\$12,000)
Total Capital Expense	\$512,000	\$500,000	-2%	(\$12,000)
Capital Surplus(Shortfall)	\$0	\$0	0%	\$0
<b>TOTAL FISCAL YEAR SURPLUS (SHORTFALL)</b>	<b>\$291,020</b>	<b>\$39,576</b>	-86%	(\$251,444)

**PART3: CHANGES IN RESERVES**

Transfer To Designated Reserve	\$0	\$0		
Net MTC Reserves - in(out)	\$291,020	\$39,576	-86%	(\$251,444)
Current Year Ending Balance	\$0	\$0		

## REVENUE DETAIL

	AMENDED BUDGET FY 2011-12	Original FY 2012-13	Change % Inc/(Dec)	Change \$ Inc/(Dec)
<b>General Planning Revenue</b>				
FTA Section 5303	\$3,055,770	\$3,048,403	0%	(\$7,367)
FTA 5303 - Final allocation adjustment for FY11	(6,442)	0	0%	6,442
FTA 5303 carryover FY'11	1,414,028	0	-100%	(1,414,028)
Prop 84	1,000,000	750,000	-25%	(250,000)
Prop 84 carryover FY'11	80,657	0	-100%	(80,657)
FHWA 1/2 % PL	6,965,999	7,964,200	14%	998,201
FHWA - Final allocation adjustment for FY11	1,244,967	0	-100%	(1,244,967)
FHWA PL carryover FY'11	1,090,876	0	-100%	(1,090,876)
TDA (Planning/Administrative)	9,000,000	10,500,000	17%	1,500,000
<b>Subtotal: General Planning Revenue</b>	<b>\$23,845,855</b>	<b>\$22,262,603</b>	<b>-7%</b>	<b>(\$1,583,252)</b>
<b>Other MTC Revenue</b>				
STIP-PPM	\$655,200	\$550,000	-16%	(\$105,200)
HOV lane fines	350,000	400,000	14%	50,000
Interest	50,000	10,000	-80%	(40,000)
<b>Subtotal: MTC Other Revenue</b>	<b>\$1,055,200</b>	<b>\$960,000</b>	<b>-9%</b>	<b>(\$95,200)</b>
<b>Operating Transfers</b>				
BATA 1%	\$6,062,233	\$6,500,000	7%	\$437,767
Transfer BATA RM2	223,750	613,750	174%	390,000
BATA Reimbursements (Audit/misc. contracts)	326,500	2,182,900	569%	1,856,400
RAFC Management Services	300,000	300,000	0%	0
Service Authority Freeways Expressways (SAFE)	2,248,316	2,284,508	2%	36,192
BAIFA	60,000	60,000	0%	0
STA Transfer	1,348,433	707,105	-48%	(641,328)
2% Transit Transfers	445,000	0	-100%	(445,000)
Exchange	225,000	0	0%	(225,000)
AB664	11,000	79,000	618%	68,000
Capital Programs	961,382	1,328,609	38%	367,227
<b>Subtotal: Transfers from other funds</b>	<b>\$12,211,614</b>	<b>\$14,055,872</b>	<b>15%</b>	<b>\$1,844,258</b>
<b>MTC Total Planning Revenue</b>	<b>\$37,112,669</b>	<b>\$37,278,475</b>	<b>0%</b>	<b>\$165,806</b>
<b>Local Revenue Grants</b>				
Misc Revenue (PMP Sales)	\$500,000	\$500,000	0%	\$0
TFCA (Regional Rideshare), Spare the Air, Cities (Match for P-TAP projects)	1,062,500	1,436,000	35%	373,500
	197,386	194,341	-2%	(3,045)
<b>Subtotal: Local Revenue Grants</b>	<b>\$1,759,886</b>	<b>\$2,130,341</b>	<b>21%</b>	<b>\$370,455</b>
<b>Total Current Year Revenue</b>	<b>\$38,872,555</b>	<b>\$39,408,816</b>	<b>1%</b>	<b>\$536,261</b>
<b>MTC Prior Year Project Revenue</b>				
<b>Prior Year Project Revenue - Federal/State</b>				
FTA 5303	\$839,054			
FHWA	19,726			
Prop. 84	199,785			
TCRP	2			
State Transit Assistance (STA)	1,552,898			
<b>Subtotal:</b>	<b>\$2,611,465</b>			
<b>Prior Year Project Revenue - Local</b>				
General Fund	\$1,284,417			
Transportation Funds for Clean Air (TFCA)	1,939,115			
Service Authority for Freeways/Expressways (SAFE)	1,008,827			
AB 664	14,519			
AB 1171	933,597			
2% Transit	108,709			
BATA RM2	307,677			
Other (PTAP LM,PPM)	189,490			
<b>Subtotal:</b>	<b>\$5,786,351</b>			
<b>Total Prior Year Project Revenue</b>	<b>\$8,397,816</b>			

**EXPENSE SUMMARY  
BUDGET FY 2012-13**

	<b>AMENDED BUDGET FY 2011-12</b>	<b>Original FY 2012-13</b>	<b>Change % Inc/(Dec)</b>	<b>Change \$ Inc/(Dec)</b>
<b>Operating Expense</b>				
<b>I. Salaries and Benefits</b>	\$16,864,595	\$18,107,502	7%	\$1,242,907
MTC Staff - Regular	\$13,979,748	\$15,208,380	9%	\$1,228,633
OPEB	1,298,665	1,602,134	23%	303,470
Temporary Staff	344,273	259,056	-25%	(85,217)
Project Based Staff & LGS	1,044,909	807,682	-23%	(237,227)
Interns	197,000	230,250	17%	33,250
<b>II. Travel and Training</b>	\$308,125	\$347,000	13%	\$38,875
<b>III. Printing, Repro. &amp; Graphics</b>	\$344,500	\$344,500	0%	\$0
<b>IV. Computer Services</b>	\$759,000	\$779,000	3%	\$20,000
<b>V. Commissioner Expense</b>	\$121,500	\$121,500	0%	\$0
<b>VI. Advisory Committees</b>	\$40,000	\$40,000	0%	\$0
<b>VII. General Operations</b>	\$2,144,607	\$2,429,486	13%	\$284,879
<b>Subtotal Staff Cost</b>	\$20,582,327	\$22,168,988	8%	\$1,586,661
<b>IX. Contractual Services</b>	\$17,639,208	\$16,649,092	-6%	(\$990,116)
<b>Total Operating Expense Current Year</b>	\$38,221,535	\$38,818,080	2%	\$596,545
<b>IX. Contractual Services - Prior Year</b>	\$8,757,817	\$0	-100%	(\$8,757,817)
Transfer out to BAHA	\$0	\$551,160	-100%	\$551,160
<b>Total Operating Expense</b>	\$46,979,352	\$39,369,240	-16%	(\$7,610,112)

**CAPITAL PROJECTS**

<b>Capital Project Revenue</b>	<b>AMENDED BUDGET FY 2011-12</b>
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Original FY 2012-13	Change % Inc/(Dec)	Change \$ Inc/(Dec)
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**Transfers**

Transfer from Reserve to Capital	\$500,000
Transfer SAFE advance from 511 to UPP	0

\$500,000	0%	\$0.00
0	0%	0

**Hub Signage Program**

RM2 Capital Real Time Signs Project # 19.7	12,000
MTC/ABAG Parking Repavement	0

0	-100%	(12,000)
0	0%	0

<b>Total Capital Project Revenue</b>	<b>\$512,000</b>
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<b>\$500,000</b>	<b>-2%</b>	<b>(\$12,000)</b>
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**Capital Expense**

Capital Contracts	\$12,000
Annual Capital Expense	500,000

\$0	-100%	(\$12,000)
500,000	0%	0

<b>Total Capital Expense</b>	<b>\$512,000</b>
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<b>\$500,000</b>	<b>-2%</b>	<b>(\$12,000)</b>
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**CONTRACTUAL SERVICES DETAIL**  
**New Contractual and Professional Services**

Work Element	AMENDED BUDGET FY 2011-12	Original FY 2012-13	Change \$ Inc/(Dec)
<b>11</b>	<b>Support Commission Standing Committees</b>		
Planning Programs - Other	\$225,000	\$250,000	\$25,000
TOTAL	\$225,000	\$250,000	\$25,000
<b>1112</b>	<b>Implement Public Information Program</b>		
LWV Monitor	\$25,000	\$25,000	\$0
Photography services for MTC	15,000	50,000	35,000
Design & Production Services	200,000	125,000	(75,000)
On-call Facilitation and Outreach	100,000	50,000	(50,000)
Video services for MTC projects	40,000	60,000	20,000
Event Registration Tool	10,000	0	(10,000)
Language Assistance Study	0	80,000	80,000
Climate Protection Campaign	150,000	0	(150,000)
TOTAL	\$540,000	\$390,000	(\$150,000)
<b>1121</b>	<b>Regional Transportation Plan/Sustainability Comm Strategy</b>		
Environment Impact Report (includes scoping/outreach)	\$200,000	\$0	(\$200,000)
EIR Supplemental Costs	200,000	200,000	0
Performance Assessment	70,000	0	(70,000)
Public Involvement (including printing/production costs)	432,079	400,000	(32,079)
Regional Forum	130,000	0	(130,000)
Envision Bay Area Outreach Partnership -2nd Round	75,000	0	(75,000)
2nd & 3rd Telephone Poll	125,000	120,000	(5,000)
CBO Focus Groups - Round 2	50,000	100,000	50,000
Video	60,000	20,000	(40,000)
Coordinated Human Services Plan Update	95,970	0	(95,970)
Public Hearings (5)	0	100,000	100,000
Constituent Correspondence Tracking System	0	10,000	10,000
Draft & Final Plan Design	0	50,000	50,000
Priority Conservation Area Planning	0	100,000	100,000
GIS Mapping for EIR	0	39,515	39,515
TOTAL	\$1,438,049	\$1,139,515	(\$298,534)
<b>1122</b>	<b>Analyze Regional Data using GIS and Travel Models</b>		
Travel Modeling Assistance	\$335,360	\$25,000	(\$310,360)
Technical Support for Web based Projects	38,000	50,000	12,000
Regional Transit on Board Travel Survey	919,490	500,000	(419,490)
Bay Area Travel Survey 2011(Phase III)	405,547	0	(405,547)
TOTAL	\$1,698,397	\$575,000	(\$1,123,397)
<b>1124</b>	<b>Integrate MTS with National/International Transportation Sys.</b>		
Value Pricing Project Match	\$60,000	\$0	(\$60,000)
TOTAL	\$60,000	\$0	(\$60,000)
<b>1125</b>	<b>Non-Motorized Transportation</b>		
Non-motorized Technical Training Workshops	\$10,000	\$50,000	\$40,000
TOTAL	\$10,000	\$50,000	\$40,000
<b>1132</b>	<b>Advocacy Coalitions</b>		
Legislative advocates - Sacramento	\$126,000	\$110,600	(\$15,400)
Legislative advocates - Washington D.C.	236,110	263,100	26,990
TOTAL	\$362,110	\$373,700	\$11,590

**CONTRACTUAL SERVICES DETAIL  
New Contractual and Professional Services**

Description/Purpose	AMENDED BUDGET FY 2011-12	Original FY 2012-13	Change \$ Inc/(Dec)
<b>1152 Agency Financial Management</b>			
Financial Audit	\$510,000	\$510,000	\$0
Project Audits	177,065	350,000	172,935
OPEB Actuary		15,000	15,000
Financial System Upgrade		80,000	80,000
<b>TOTAL</b>	<b>\$687,065</b>	<b>\$955,000</b>	<b>\$267,935</b>
<b>1153 Administrative Services</b>			
Ergonomics	\$30,000	\$40,000	\$10,000
<b>TOTAL</b>	<b>\$30,000</b>	<b>\$40,000</b>	<b>\$10,000</b>
<b>1161 Information Technology Services</b>			
Network/Security Support	\$4,500	\$75,000	\$70,500
Web/DB Application Development/Integration	50,000	50,000	0
390 Main St Document Management	10,000	350,000	340,000
Business continuity Plan From KPMG Report	25,000	0	(25,000)
<b>TOTAL</b>	<b>\$89,500</b>	<b>\$475,000</b>	<b>\$385,500</b>
<b>1222 Regional Rideshare Program</b>			
511 Ridesharing Program Operations	\$1,047,506	\$1,600,000	\$552,494
BTWD Promotion		150,000	150,000
<b>TOTAL</b>	<b>\$1,047,506</b>	<b>\$1,750,000</b>	<b>\$702,494</b>
<b>1223 Operational Support for Regional Programs</b>			
ITS/511 Program Technical Advisor	\$20,761	\$4,588	(\$16,173)
Operations Department Strategic Plan	0	120,000	120,000
511 Web Services	36,705	0	(36,705)
511 Device Anywhere Software License	574	0	(574)
ITS Regional Architecture Update/Maint	25,000	0	(25,000)
Project Audits	8,260	2,294	(5,966)
<b>TOTAL</b>	<b>\$91,300</b>	<b>\$126,882</b>	<b>\$35,582</b>
<b>1224 Regional Traveler Information</b>			
511 Traffic Real Time Transit	\$760,347	\$923,681	\$163,334
<b>TOTAL</b>	<b>\$760,347</b>	<b>\$923,681</b>	<b>\$163,334</b>
<b>1225 Regional Transit Information</b>			
511 Transit	\$526,156	\$568,434	\$42,278
<b>TOTAL</b>	<b>\$526,156</b>	<b>\$568,434</b>	<b>\$42,278</b>
<b>1226 Regional Bicycle Information</b>			
Bike-to-Work Day Promotion	\$155,000	\$0	(\$155,000)
Bike Mapper	19,385	\$0	(19,385)
<b>TOTAL</b>	<b>\$174,385</b>	<b>\$0</b>	<b>(\$174,385)</b>
<b>1228 Regional Transportation Emergency Operation</b>			
Satellite Telephone-Annual Operations	\$35,000	\$40,000	\$5,000
<b>TOTAL</b>	<b>\$35,000</b>	<b>\$40,000</b>	<b>\$5,000</b>
<b>1229 Regional Transportation Emergency Planning</b>			
Ongoing Emergency Exercise Support	\$211,906	\$100,000	(\$111,906)
Regional Transportation Asset Inventory	100,000	0	(100,000)
EOC Training & Support	200,000	30,000	(170,000)
CESRS Equipment Replacement	80,000	40,000	(40,000)
<b>TOTAL</b>	<b>\$591,906</b>	<b>\$170,000</b>	<b>(\$421,906)</b>

**CONTRACTUAL SERVICES DETAIL**  
**New Contractual and Professional Services**

Work Element	AMENDED BUDGET FY 2011-12	Original FY 2012-13	Change \$ Inc/(Dec)
<b>1233 Arterial Operations</b>			
Freeway & Arterial Performance	0	60,945	60,945
	\$0	\$60,945	\$60,945
<b>1233 Pavement Management System</b>			
Software Development and Maintenance	\$605,000	\$600,000	(\$5,000)
Software Training Support	75,234	75,234	0
P-TAP Projects	197,386	194,341	(3,045)
Statewide Needs Assessment	50,000	50,000	0
<b>TOTAL</b>	<b>\$927,620</b>	<b>\$919,575</b>	<b>(\$8,045)</b>
<b>1236 Freeway Management</b>			
California Transportation Financing Authority	\$30,000	\$350,000	\$320,000
Caltrans	0	1,500,000	1,500,000
<b>TOTAL</b>	<b>\$30,000</b>	<b>\$1,850,000</b>	<b>\$1,820,000</b>
<b>1310 Implement Lifeline Program</b>			
Lifeline Cycle 3 Call for Projects	\$1,190,652	\$0	(\$1,190,652)
<b>TOTAL</b>	<b>\$1,190,652</b>	<b>\$0</b>	<b>(\$1,190,652)</b>
<b>1412 Transportation Conformity and Air Quality Planning</b>			
Adaption Planning Alameda County Phase 2	\$0	\$100,000	\$100,000
<b>TOTAL</b>	<b>\$0</b>	<b>\$100,000</b>	<b>\$100,000</b>
<b>1413 Climate Initiative</b>			
Climate Change Coordinator	\$125,000	\$137,000	\$12,000
<b>TOTAL</b>	<b>\$125,000</b>	<b>\$137,000</b>	<b>\$12,000</b>
<b>1512 Federal TIP Development</b>			
Transit Capital Inventory	\$20,851	\$81,100	\$60,249
<b>TOTAL</b>	<b>\$20,851</b>	<b>\$81,100</b>	<b>\$60,249</b>
<b>1517 Regional Assistance Programs</b>			
Performance audits - TDA audit & RM2 Oversight	\$333,000	\$650,000	\$317,000
<b>TOTAL</b>	<b>\$333,000</b>	<b>\$650,000</b>	<b>\$317,000</b>
<b>1611 Transit Sustainability</b>			
Transit Sustainability	\$2,327,939	\$890,000	(\$1,437,939)
<b>TOTAL</b>	<b>\$2,327,939</b>	<b>\$890,000</b>	<b>(\$1,437,939)</b>
<b>1611 Transportation for Livable Communities</b>			
ABAG - FHWA/FTA5303/TDA/Prop.84	\$3,077,425	\$2,873,260	(\$204,165)
On going Parking Tech Support	80,000	80,000	0
Supplemental TOD Policy Evaluation of new corridors	25,000	0	(25,000)
SRTP	90,000	0	(90,000)
Station Area Planning	225,000	0	(225,000)
<b>TOTAL</b>	<b>\$3,497,425</b>	<b>\$2,953,260</b>	<b>(\$544,165)</b>
<b>1612 Prop 84 PL</b>			
Community-Based Organization Outreach	\$200,000	\$0	(\$200,000)
Visualization & Web-Based Applications	150,000	0	(150,000)
MTC ABAG Planning Coordinator	70,000	135,000	65,000
<b>TOTAL</b>	<b>\$420,000</b>	<b>\$135,000</b>	<b>(\$285,000)</b>
<b>106 Legal Services</b>			
Transfer from Reserve	\$400,000	\$400,000	\$0
		175,000	175,000
<b>101 Encumbrances Contracts</b>			
	\$0	\$470,000	\$470,000
<b>Total consultant contracts:</b>	<b>\$17,639,208</b>	<b>\$16,649,092</b>	<b>(\$990,116)</b>

LTD Federal Grants Budget

Fund Source	Project Description	LTD Grant	LTD Actual & Enc	Balance
		thru FY 2012	thru FY 2012	thru FY 2012
1583	CMA Planning	\$27,231,000	\$20,477,215	\$6,753,785
1587	511 Traffic and 511 Transit	26,700,000	17,881,737	8,818,263
1573	Travel Information	18,000,000	17,343,559	656,441
1580	Station Area Planning	17,598,000	9,492,457	8,105,543
1586	Pavement Management	4,500,000	3,661,774	838,226
1529	TETAP Signal Timing	3,500,000	3,500,000	0
1575	Pavement Management	2,400,000	1,600,000	800,000
1585	Regional Streets and Roads	1,500,000	632,292	867,708
1534	Station Area Planning	1,212,000	810,400	401,600
1590	Performance Monitoring	750,000	146,857	603,143
1578	TLC HIP Planning	440,000	438,921	1,079
1579	Performance Monitoring	400,000	395,552	4,448
NEW	CMA Planning	0	0	0
NEW	Pavement Management	0	0	0
NEW	Regional Streets and Roads	0	0	0
NEW	511 Grant	0	0	0
		<b>\$104,231,000</b>	<b>\$76,380,764</b>	<b>\$27,850,236</b>

New Grant	staff	Consultant	Balance
FY 2012-13	FY 2012-13	FY 2012-13	thru FY 2013
0	0	0	\$6,753,785
0	0	7,768,263	105000
0	0	656,441	0
0	424,257	4,281,565	3,399,721
0	0	0	838,226
0	0	0	0
0	0	0	800,000
0	0	0	867,708
0	0	0	401,600
0	0	540,000	63,143
0	0	0	1,079
0	0	0	4,448
33,965,000	638,000	7,470,000	25,857,000
6,000,000	0	1,500,000	4,500,000
1,200,000	105,234	194,766	900,000
24,600,000	1,166,756	546,855	22,886,389
<b>\$65,765,000</b>	<b>\$2,334,247</b>	<b>\$22,957,890</b>	<b>\$68,323,099</b>

CMAQ Grants

1591	Climate Initiatives Program Public Outrea	\$11,093,432	\$3,831,919	\$7,261,513
1584	Incident Management	9,233,000	3,867,169	5,365,831
1588	Rideshare	7,800,000	5,851,022	1,948,978
1592	Climate Initiatives Evaluation	4,000,000	3,097,404	902,596
1589	Arterial Operations	3,750,000	2,353,315	1,396,685
1568	Freeway Management Program	2,284,000	2,284,000	0
1576	Regional Signal Timing	2,250,000	2,247,582	2,418
1582	Regional Marketing	2,100,000	1,890,418	209,582
1577	Freeway Operations/TOS Planning	1,816,000	1,815,750	250
1581	Ramp Meter Installation	266,000	209,391	56,609
NEW	PASS Program	0	0	0
NEW	511 Grant	0	0	0
		<b>\$44,592,432</b>	<b>\$27,447,970</b>	<b>\$17,144,462</b>

0	\$272,005	\$2,800,000	\$4,189,508
0	0	0	5,365,831
0	0	1,948,978	0
0	0	0	902,596
0	0	0	1,396,685
0	0	0	0
0	0	0	2,418
0	0	209,582	0
0	0	0	250
0	0	0	56,609
5,000,000	306,748	1,150,000	3,543,252
12,200,000	354,000	2,425,633	9,420,367
<b>\$17,200,000</b>	<b>\$932,753</b>	<b>\$8,534,193</b>	<b>\$24,877,516</b>

FTA GRANTS

1605	FTA 5304 Planning	\$5,297,243	\$4,092,237	\$1,205,006
1614	JARC	5,357,119	4,716,951	640,168
1621	JARC	3,000,000	2,999,387	613
1625	JARC	2,654,120	2,452,148	201,972
1627	JARC	1,004,559	0	1,004,559
1622	JARC	990,671	901,681	88,990
1613	JARC	714,716	709,521	5,195
1620	JARC	316,948	287,696	29,252
1626	New Freedom	3,748,859	3,652,859	96,000
1628	New Freedom	2,793,517	0	2,793,517
1624	New Freedom	1,612,117	1,612,117	0
1623	New Freedom	1,545,232	1,545,232	0
NEW	New Freedom	0	0	0
NEW	JARC	0	0	0
		<b>\$29,035,101</b>	<b>\$22,969,829</b>	<b>\$6,065,272</b>

0	0	0	\$1,205,006
0	0	0	640,168
0	0	0	613
0	0	0	201,972
0	0	0	1,004,559
0	0	0	88,990
0	0	5,195	0
0	0	0	29,252
0	0	96,000	0
0	0	2,596,000	197,517
0	0	0	0
0	0	0	0
4,331,260	0	4,114,697	216,563
805,190	239,696	0	565,494
<b>\$5,136,450</b>	<b>\$239,696</b>	<b>\$6,811,892</b>	<b>\$4,150,134</b>

HPP/VPP GRANTS

1736	Expansion of City Car Share Services	\$595,529	\$595,529	0
1735	VPPL Value PRICING	436,000	436,000	0
		<b>\$1,031,529</b>	<b>\$1,031,529</b>	<b>\$0</b>

0	0	0	0
0	0	0	0
<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

HUD Grant

1737	HUD Grant	\$4,991,336	\$0	\$4,991,336
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\$0	\$137,000	\$0	\$4,854,336
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FEMA Grant

NEW	FEMA Grant	\$0	\$0	\$0
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\$446,250	\$0	\$446,250	\$0
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Total Federal Grants Budget

<b>\$183,881,398</b>	<b>\$127,830,092</b>	<b>\$56,051,306</b>
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<b>\$88,547,700</b>	<b>\$3,643,696</b>	<b>\$38,750,225</b>	<b>\$102,205,085</b>
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**CONTRACTUAL SERVICES DETAIL Federal Grants  
New Contractual and Professional Services**

		Original FY 2012-13
<b>1222</b>	<b>Regional Rideshare Program</b>	
	511 Ridesharing Program Operations	\$2,584,000
	Rideshare: Employer Services (CMAs)	450,000
	TOTAL	\$3,034,000
<b>1223</b>	<b>Operational Support for Regional Programs</b>	
	ITS/511 Program Technical Advisor	\$35,412
	UPP Evaluation Report	\$100,000
	Project Audits	17,706
	TOTAL	\$153,118
<b>1224</b>	<b>Regional Traveler Information</b>	
	511 Traffic Real Time Transit	\$6,899,319
	TOTAL	\$6,899,319
<b>1225</b>	<b>Regional Transit Information</b>	
	511 Transit	\$3,915,566
	TOTAL	\$3,915,566
<b>1233</b>	<b>Pavement Management System</b>	
	Software Training Support	\$194,766
	P-TAP Projects	1,500,000
	TOTAL	\$1,694,766
<b>1234</b>	<b>Arterial Operations Coordination</b>	
	Program for Arterial System	\$1,150,000
	Freeway & Arterial Performance	\$540,000
	TOTAL	\$1,690,000
<b>1413</b>	<b>Climate Initiative</b>	
	Climate Initiative Outreach and Marketing program	\$2,800,000
	TOTAL	\$2,800,000
<b>1512</b>	<b>Federal TIP Development</b>	
	Transit Capital Inventory	\$5,195
	TOTAL	\$5,195
<b>1518</b>	<b>New Freedom</b>	
	New Freedom Projects	\$6,806,697
	TOTAL	\$6,806,697
<b>1611</b>	<b>Transportation for Livable Communities</b>	
	ABAG - STP	\$638,000
	BCDC STP	320,000
	CMAs - STP	6,512,000
	Technical assistance program	0
	PDA Planning Grant	4,281,565
	TOTAL	11,751,565
	<b>Total Federal funded Consultants</b>	<b>\$38,750,225</b>

## Clipper Enterprise Budget

	AMENDED BUDGET FY 2011-12	Original FY 2012-13	Change % Inc/(Dec)	Change \$ Inc/(Dec)
<b>Clipper Operating:</b>				
Revenue:				
CMAQ	\$9,251,457	\$8,789,470	-5%	(\$461,987)
RM2	4,390,317	2,565,000	-42%	(1,825,317)
STA	50,560	576,281	1040%	525,721
Transit Operators	14,115,082	17,120,000	21%	3,004,918
	<u>\$27,807,416</u>	<u>\$29,050,751</u>	4%	<u>\$1,243,335</u>
Expenses:				
Salaries and Benefits	\$1,245,217	\$774,751	-38%	(\$470,466)
Temporary Agency	128,000	135,000	5%	7,000
Travel	13,500	30,000	122%	16,500
Promotion/Outreach/Fare Incentives	3,497,346	2,822,000	-19%	(675,346)
Clipper Operations	22,923,353	25,289,000	10%	2,365,647
	<u>\$27,807,416</u>	<u>\$29,050,751</u>	4%	<u>\$1,243,335</u>

LTD Budget  
Thru FY2011-12Original  
FY 2012-13LTD Budget  
Thru FY2012-13

## Clipper Capital:

## Revenue:

CMAQ	\$55,146,723	\$306,000	\$55,452,723
ARRA	11,000,000	0	\$11,000,000
FTA	22,770,368	1,537,221	\$24,307,589
STP	21,310,368	12,300,000	\$33,610,368
STA	9,256,695	11,950,902	\$21,207,597
Prop 1B	1,000,000	0	\$1,000,000
SFMTA	3,905,421	0	\$3,905,421
GGGHTD	2,975,000	0	\$2,975,000
BART	725,000	0	\$725,000
MTC Exchange Fund	8,269,158	0	\$8,269,158
BATA	27,904,813	0	\$27,904,813
Transit Operators	0	863,000	\$863,000
WETA	500,000	0	\$500,000
Sales Tax	99,311	0	\$99,311
	<u>\$164,862,857</u>	<u>\$26,957,123</u>	<u>\$191,819,980</u>

## Expense:

Staff Costs	\$3,278,086	\$2,026,705	\$5,304,791
Travel	3,208	0	\$3,208
Pilot Equipment Maintenance	3,093,834	0	\$3,093,834
Transit Agency Funded Projects	1,700,000	863,000	\$2,563,000
Design	53,940,574	0	\$53,940,574
Site Preparation	3,899,437	0	\$3,899,437
Construction	19,867,682	0	\$19,867,682
Consultants	13,246,266	4,000,000	\$17,246,266
Engineering	7,953,061	0	\$7,953,061
Communications	83,000	1,500,000	\$1,583,000
Marketing	212,029	2,000,000	\$2,212,029
Financial Services	391,600	0	\$391,600
Equipment	24,316,965	8,000,000	\$32,316,965
Clipper Cards	7,068,828	1,500,000	\$8,568,828
Other	25,808,287	7,067,418	\$32,875,705
	<u>\$164,862,857</u>	<u>\$26,957,123</u>	<u>\$191,819,980</u>