

# Metropolitan Transportation Commission Programming and Allocations Committee

January 11, 2012

Item Number 2d

## MTC Resolution No. 4048

---

**Subject:** FY2011-12 and FY2012-13 FTA Section 5311 Program of Projects

**Background:** The Federal Transit Administration (FTA) Nonurbanized Area Formula Program (Section 5311) makes funding available to each state for public transportation projects in nonurbanized areas.

MTC annually develops a regional Program of Projects for submittal to Caltrans. Caltrans then submits a statewide program to FTA for approval. MTC's objective is to program capital and operating funds to maintain needed transit services in the rural areas of the MTC region.

### **Funding availability**

Caltrans has instructed MTC to prepare the FY2011-12 regional program of projects by January 31, 2012 under the assumption that the amount of funds available in FY2011-12 will be equal to the amount of funds available in FY2010-11. Furthermore, in order to prepare a two-year program, MTC assumes that funding will remain level in FY2012-13. The estimated amount of Nonurbanized Area funds available for the MTC region is approximately \$1.2 million in each fiscal year, or \$2.4 million over the two-year period.

These estimates are for planning purposes only. Should the actual regional apportionments be lower or higher than the amount estimated to be available, project sponsors will be notified and adjustments may be made in order to financially constrain the program.

### **Programming Process**

MTC developed the FY2011-12 and FY2012-13 program of projects in accordance with MTC Resolution No. 4036, the FTA Section 5311 Nonurbanized Area Formula Program Funding Objectives and Criteria, which was adopted in November 2011. The policy states that the region's Section 5311 funds will be distributed to transit operators using a formula system based on nonurbanized area population and nonurbanized area route miles.

Consistent with the Funding Objectives and Criteria, MTC requires recipients to give the highest priority to the replacement of capital equipment. If recipients request funds for operations, they are required to submit documentation explaining why the funds are not needed for capital replacement. Furthermore, if an operator does not want to participate in the Section 5311 program (e.g., if the operator's 5311 share is so small that the administrative effort required to apply for and report on the funds outweighs the benefits to the operator), then they are able to opt-out of the program.

Per the request of the Solano Transportation Authority (STA), MTC provides a target programming amount for all of Solano County, and STA

works with the Solano County transit operators to determine individual shares.

**Recommended Program of Projects**

MTC conducted a call for projects in November and December 2011 using the recently approved formula approach. Some eligible operators did elect to opt-out. Most operators who applied for funds are requesting operating rather than capital support. Note that in Solano County, the Solano Transportation Authority will determine their FY2012-13 projects at a later date and is only programming FY2011-12 at this time.

The following table lists the amounts recommended for Commission adoption for the two-year program of projects:

Sponsoring Agency	Project Title	5311 Amount		
		FY12	FY13	Two-Year Total
LAVTA	Routes 2, 11, 12, 20	\$ 40,520	\$ 42,727	\$83,247
Marin Transit	West Marin Stagecoach	\$ 213,007	\$ 160,834	\$373,841
NCTPA	Northern Napa County	\$ 175,001	\$ 184,074	\$359,075
SamTrans	Coastside Demand Response and Rt. 17	\$ 114,822	\$ 121,939	\$236,761
Solano County				
Solano Transportation Authority	Projects TBD*	\$ -	\$ 295,392	\$295,392
City of Dixon	Readi-Ride	\$ 32,038	\$ -	\$32,038
Fairfield and Suisun Transit	Route 30	\$ 78,765	\$ -	\$78,765
Rio Vista	Delta Breeze	\$ 61,344	\$ -	\$61,344
SolTrans	Route 85	\$ 107,300	\$ -	\$107,300
Sonoma County Transit	Replacement Vehicles and Video Equipment	\$ 301,818	\$ 320,054	\$621,872
VTA	Route 68	\$ 109,854	\$ 109,449	\$219,303
<b>Total</b>		<b>\$ 1,234,469</b>	<b>\$ 1,234,469</b>	<b>\$ 2,468,938</b>

\* Project selection for FY13 pending completion of Solano County Coordinated Short Range Transit Plan

**Issues:** None.

**Recommendation:** Refer MTC Resolution No. 4048 to the Commission for approval.

**Attachments:** MTC Resolution No. 4048  
 Attachment A

Date: January 25, 2012  
W.I.: 1512  
Referred By: PAC

ABSTRACT

Resolution No. 4048

This resolution adopts the FY2011-12 and FY2012-13 Federal Transit Administration (FTA) Nonurbanized Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area.

The resolution includes the following attachment:

Attachment A - FTA Section 5311 Nonurbanized Area Formula Program FY2011-12  
and FY2012-13

Further discussion of this action is contained in the MTC Programming and Allocations Committee Summary sheet dated January 11, 2012.

Date: January 25, 2012  
W.I.: 1512  
Referred By: PAC

Re: Program of Projects in the San Francisco Bay Area for the FY2011-12 and FY2012-13  
Federal Transit Administration (FTA) Nonurbanized Area Formula (Section 5311) Funds

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4048

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code sections 66500 et. seq.; and

WHEREAS, MTC is the designated metropolitan planning organization (MPO) for the nine-county San Francisco Bay Area; and

WHEREAS, the U.S. Department of Transportation (DOT) has adopted rules and regulations (23 CFR 450 and CFR 613) which require that the MPO, in cooperation with the state and publicly-owned operators of mass transportation services, carry on a continuing, cooperative and comprehensive transportation planning process that results in plans and programs consistent with the comprehensively planned development of the urbanized area, as a condition to the receipt of federal capital or operating assistance; and

WHEREAS, Section 5311 Title 49 of the United States Code (formerly Section 18 of the Federal Transit Act (FTA) provides a formula grant program for public transportation projects in areas other than urbanized areas (49 U.S.C. Section 5311); and

WHEREAS, MTC has adopted Resolution No. 4036, which sets forth MTC's FTA Section 5311 Nonurbanized Area Formula Program Funding Objectives and Criteria for the San Francisco Bay Area; and

WHEREAS, MTC has developed, in consultation with interested transportation providers and in accordance with the MTC's Section 5311 Funding Objectives and Criteria, a FY2011-12 and FY2012-13 FTA Nonurbanized Area Formula Program of Projects for the San Francisco

Bay Area, attached hereto as Attachment A, and incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY2011-12 and FY2012-13 FTA Nonurbanized Area Formula Program of Projects as listed on Attachment A; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to modify the FY2011-12 and FY2012-13 Program of Projects as listed on Attachment A to match the actual FTA Nonurbanized Area Formula fund appropriation; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to Caltrans, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

---

Adrienne J. Tissier, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on January 25, 2012.

**Federal Transit Administration**  
**Section 5311 Nonurbanized Area Formula Program**  
**FY2011-12 and FY2012-13**

**FY2011-12 Funding Available:**

<b>Apportionments (Est.)</b>	\$	<b>1,234,469</b>
<b>Prior Year Carryover:</b>		<b>0</b>
<b>Total Funding Avail.</b>	\$	<b>1,234,469</b>

**FY 2011-12 Programming:**

<u>Applicant</u>	<u>Project Description</u>	<u>Sect. 5311 Program</u>	<u>Local Match</u>	<u>Total Project Cost</u>
Dixon	Operating Assistance (Readi-Ride)	\$ 32,038	\$ 492,262	\$ 524,300
Fairfield and Suisun Transit	Operating Assistance (Rt. 30)	\$ 78,765	\$ 332,508	\$ 411,273
LAVTA	Operating Assistance (Rts. 2, 11, 12, 20)	\$ 40,520	\$ 213,767	\$ 254,287
Marin County Transit	Operating Assistance (West Marin Stagecoach)	\$ 213,007	\$ 692,350	\$ 905,357
Napa County Transit	Operating Assistance (Northern Napa Co.)	\$ 175,001	\$ 141,285	\$ 316,286
Rio Vista	Operating Assistance (Delta Breeze)	\$ 61,344	\$ 260,619	\$ 321,963
SamTrans	Operating Assistance (Coastside, Rt. 17)	\$ 114,822	\$ 730,710	\$ 845,532
SolTrans	Operating Assistance (Rt. 85)	\$ 107,300	\$ 791,715	\$ 899,015
Sonoma County Transit	Vehicle Replacements	\$ 265,115	\$ 34,349	\$ 299,464
Sonoma County Transit	Replacement Video Equipment for Fixed Route Fleet	\$ 36,703	\$ 4,755	\$ 41,458
<u>VTA</u>	<u>Operating Assistance (Rt. 68)</u>	<u>\$ 109,854</u>	<u>\$ 88,689</u>	<u>\$ 198,543</u>
Total Programming		\$ 1,234,469	\$ 3,783,009	\$ 5,017,478
Total Available		\$ 1,234,469		
Available for Carryover		\$ -		

**FY2012-13 Funding Available:**

<b>Apportionments (Est.)</b>	\$	<b>1,234,469</b>
<b>Prior Year Carryover:</b>		<b>0</b>
<b>Total Funding Avail.</b>	\$	<b>1,234,469</b>

**FY 2012-13 Programming:**

<u>Applicant</u>	<u>Project Description</u>	<u>Sect. 5311 Program</u>	<u>Local Match</u>	<u>Total Project Cost</u>
LAVTA	Operating Assistance (Rts. 2, 11, 12, 20)	\$ 42,727	\$ 211,560	\$ 254,287
Marin County Transit	Operating Assistance (West Marin Stagecoach)	\$ 160,834	\$ 683,572	\$ 844,406
Napa County Transit	Operating Assistance (Northern Napa Co.)	\$ 184,074	\$ 92,448	\$ 276,522
SamTrans	Operating Assistance (Demand Response, Rt. 17)	\$ 121,939	\$ 777,575	\$ 899,514
Solano Transportation Authority	Projects TBD*	\$ 295,392	TBD*	TBD*
Sonoma County Transit	Vehicle Replacements	\$ 320,054	\$ 41,466	\$ 361,520
<u>VTA</u>	<u>Operating Assistance (Rt. 68)</u>	<u>\$ 109,449</u>	<u>\$ 88,362</u>	<u>\$ 197,811</u>
Total Programming		\$ 1,234,469	\$ 1,894,984	\$ 2,834,061
Total Available		\$ 1,234,469		
Available for Carryover		\$ -		

\* Project selection pending completion of Solano County Coordinated Short Range Transit Plan