



METROPOLITAN
TRANSPORTATION
COMMISSION

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Memorandum

TO: Administration Committee

DATE: December 7, 2011

FR: Executive Director

W. I. 1152

RE: MTC Resolution No. 4011, Revised - FY 2011-12 MTC Agency Budget

Attached for your review and referral to the Commission for approval is Resolution No. 4011, Revised, increasing the MTC operating budget for FY 2011-12. The net impact of all recommended changes will decrease the projected FY 2011-12 ending balance from \$300,521 to \$291,020.

The proposed budget amendment reflects an agency reorganization to take effect over the next several months to respond to significant challenges for the agency. These include recent (Rod McMillan) and forthcoming (Albert Yee) directorial retirements, state authorization to proceed with implementation of an express lane network, and the acquisition of 390 Main Street for a regional agency headquarters. This reorganization includes (1) the realignment of three sections into two under Andrew Fremier's supervision in order to increase the functional coordination of electronic payment programs, and bridge and highway operations, (2) transfer to the express lane network, and (3) the establishment of a core team, under the direction of Teri Green, to oversee the relocation of our agency offices and the coordination of this move with the Bay Area Air Quality management District and Bay Conservation and Development Commission. In addition, I am recommending one additional staff position to oversee the management of the agency's information technology strategy.

The proposed budget amendment contains an increase of five regular staff positions and one project-based position related to this reorganization. The respective assignments are as follows:

Regular Staff Positions

Funding Source

- | | |
|--|--|
| 1. Convert Clipper Program Principal position from contract to regular staff | Clipper Project Budget |
| 2. Toll Operations Principal | Bay Area Toll Authority |
| 3. Building Relocation – Director | Bay Area Headquarters Authority (BAHA) |
| 4. Building Relocation – Construction Oversight | BAHA |
| 5. Information Technology Program | MTC General Fund |

Project-based Position

- | | |
|---|------|
| 1. Building Relocation - IT Migration Coordinator | BAHA |
|---|------|

which will be covered through increased federal planning funds. The staff expenses related to BATA and BAHA are subject to separate budget amendments scheduled for action by their respective boards this month.

As summarized in the table below, the balance of the budget amendment consists of two parts, carryover funding approved in prior years and new FY 2011-12 budget amendments. Carryover funding of \$5,197,853 consists of federal funds approved in prior years. The new FY 2011-12 budget amendments of \$5,332,793 consist mainly of new grants awarded to MTC after approval of the FY 2011-12 budget in June. The largest of the new grants is the \$5 million award from the U.S. Department of Housing and Urban Development for MTC, ABAG, and a host of partners to develop a Regional Prosperity Plan related to economic development and workforce housing.

Operating Revenue	Carryover	New Budget	Total
General Planning Revenue (FHWA & FTA §5303)	\$ 2,585,561	\$ 97,653	\$ 2,683,214
Regional Planning Revenue (Pass-Through)	2,612,292		<u>2,612,292</u>
Sub-Total	\$ 5,197,853	\$ 97,653	\$ 5,295,506
Less Pass Through to ABAG, BCDC & CMAs Planning Funds (Net)			<u>(2,762,237)</u> \$ 2,533,269
<u>New Project Grants</u>			
HUD Grant		\$ 4,991,336	\$ 4,991,336
STP Exchange		225,000	225,000
Cities		10,140	10,140
Grant Reductions			<u>(25,112)</u>
Total Project (Net)			\$ 7,734,633
Transfers Reductions			(42,398)
Net Budget Adjustment			<u>\$ 7,692,235</u>
Net Revenue			\$ 7,692,235
Expense Increase			<u>7,701,736</u>
Net Budget Change/(Reduction)			<u>\$ (9,501)</u>
Budget Summary	<u>\$ 5,197,853</u>	<u>\$ 5,324,129</u>	

Prior Year Revenues

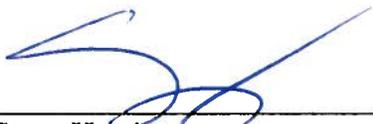
Unused portions of prior year federal funding are carried over into the new year budget on an annual basis. The total carryover amount is \$5.2 million with general planning carryover going mainly toward the Transit Sustainability Project, while the Regional Planning revenue will be used by our regional partner agencies for ongoing programs.

In addition to the recommended budget increases, there are also approximately \$40 million in carryover encumbrances (Attachment A) primarily for contracts executed in FY 2010-11, with work remaining in FY 2011-12.

The projected FY 2011-12 ending balance will decrease slightly with the recommended budget changes:

FY 2011-12 Estimate	\$ 300,521
Net Change	<u>(9,501)</u>
FY 2010-11 Revised Estimate	<u>\$ 291,020</u>

The complete budget amendment is detailed in the attached resolution. Staff recommends that this Committee forward MTC Resolution No. 4011, Revised, to the Commission for approval.



Steve Heminger

SH:BM/cj

Prior Year Carryover

Climate Initiative	\$7,260,769
Regional Traveler & Transit Information	5,870,837
Transportation & Land Use Connection	5,150,921
Federal Programming, Monitoring & TIP Development	5,101,650
Freeway Performance Initiative	3,128,895
Implement Lifeline Program	2,900,442
Various Programs	1,828,897
Pavement Management System	1,549,289
Regional Rideshare Programs	1,221,518
Transit Sustainability	<u>947,763</u>
Total	<u>\$ 34,960,981</u>

Date: June 22, 2011
W.I.: 1152
Referred By: Administration
Revised: 12/21/11-C

ABSTRACT

Resolution No. 4011, Revised

This resolution approves the Agency Budget for FY 2011-12.

Further discussion of the agency budget is contained in the Executive Director's Memorandum dated June 1, 2011.

This resolution was revised on December 21, 2011 for budget changes. The changes include FY 2011-12 budget amendments as well as carryover funding approval in prior years. Further discussion of the agency budget is contained in the Executive Director Memorandum dated December 7, 2011. An updated budget is attached as Attachment A and B.

Date: June 22, 2011
W.I.: 1152
Referred By: Administration

Re: Metropolitan Transportation Commission's Agency Budget for FY 2011-12

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4011

WHEREAS, the Metropolitan Transportation Commission (MTC or the Commission) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, on May 25, 2011 the Commission approved MTC's Overall Work Program (OWP) for Fiscal Year 2011-12 with the adoption of MTC Resolution No. 4010; and

WHEREAS, the OWP identifies MTC's unified work program for FY 2011-12; and

WHEREAS, the final draft MTC Agency Budget for FY 2011-12 as reviewed and recommended by the Administration Committee is consistent with the OWP as adopted pursuant to MTC Resolution No. 4010; now, therefore, be it

RESOLVED, that MTC's Agency Budget for FY 2011-12, prepared in accordance to generally accepted accounting principles and modified accrual, attached hereto as Attachment A, and incorporated herein as though set forth at length, is approved; and, be it further

RESOLVED, that MTC delegates to its Administration or Operations Committees the authority to approve all contracts and expenditures in MTC's Agency Budget for FY 2011-12, providing that there shall be no increase in the overall budget without prior approval of the Commission; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall submit written requests to the Administration or Operations Committees for approval of consultants, professional services, and expenditures authorized in the MTC Agency Budget for FY2011-12; and, be it further

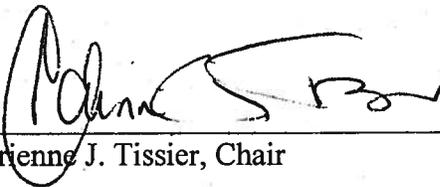
RESOLVED, that MTC's Executive Director, and the Chief Financial Officer are authorized to carry over and re-budget all grants and funds properly budgeted in the prior year for

which expenditures were budgeted and encumbered and which will take place in FY 2010-11; and be it further

RESOLVED, that the Commission authorizes the designation of certain reserves for FY 2011-12 as follows: Benefits, Liability, Compensated leave, Encumbrances, Building, and Fixed Asset Replacement. The Chief Financial Officer is authorized to set aside a \$500,000 liability reserve and establish a \$400,000 contract budget against the liability reserve. The Chief Financial Officer is authorized to utilize the funds in the Benefits Reserve to meet any obligations resulting from changes in the employee labor agreements. No additional expenditures shall be authorized from any designated reserves authorized by MTC's Agency Budget for FY 2011-12 without prior authorization of the Administration Committee; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall furnish the Administration Committee with a monthly financial report to reflect estimated and actual income, expenditures, obligations for professional and consultant services, cash flow projections and such other information and data as may be requested by the Administration Committee.

METROPOLITAN TRANSPORTATION COMMISSION



Adrienne J. Tissier, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California on June 22, 2011.

Date: June 22, 2011
W.I.: 1152
Referred by: Administration
Revised: 12/21/11-C

Attachment A
Resolution No. 4011, Revised

METROPOLITAN TRANSPORTATION COMMISSION

AGENCY BUDGET

FY 2011-12

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METROPOLITAN TRANSPORTATION COMMISSION

BUDGET FY 2011-12

Attachment A

SUMMARY

PART 1: OPERATING REVENUE-EXPENSE SUMMARY

	ORIGINAL BUDGET FY 2011-12	AMENDED BUDGET FY 2011-12	Change % Inc/(Dec)	Change \$ Inc/(Dec)
General Planning Revenue	\$21,247,436	\$23,830,650	13%	2,683,214
Regional Planning Revenue	\$10,198,000	\$12,808,282	26%	2,612,282
LESS: Passthrough Expense	(\$12,084,480)	(\$14,856,717)	23%	(2,762,237)
Net MTC Annual Planning Revenue	\$19,348,956	\$21,882,225	13%	2,533,269
Other MTC Revenue	\$1,055,200	\$1,055,200	0%	0
Transfers from other Funds	\$12,018,012	\$11,875,615	0%	(42,398)
Project Revenue	\$45,791,466	\$50,992,830	11%	5,201,364
Total Operating Revenue - Current Year	\$78,213,634	\$86,905,869	10%	7,692,235
Total Operating Revenue - Prior Year	\$0	\$34,600,981	0%	34,600,981
Total Operating Revenue	\$78,213,634	\$120,506,850	54%	42,293,216
Total Operating Expense - Current Year	\$77,753,113	\$85,254,850	10%	7,501,736
Total Operating Expense - Prior Year	\$160,000	\$34,800,981	0%	34,800,981
Total Operating Expense - Current Year	\$77,913,113	\$120,216,831	54%	42,302,718
Operating Surplus (Shortfall)	\$300,521	\$291,020	-3%	(9,501)

PART2: CAPITAL PROJECTS REVENUE-EXPENSE SUMMARY

Total Capital Revenue	\$512,000	\$512,000	0%	0
Total Capital Expense	\$512,000	\$512,000	0%	0
Capital Surplus(Shortfall)	\$0	\$0	0%	0
TOTAL FISCAL YEAR SURPLUS (SHORTFALL)	\$300,521	\$291,020	-3%	(9,501)

PART3: CHANGES IN RESERVES

Transfer To Designated Reserve	\$0	\$0		
Net MTC Reserves - In(out)	\$300,521	\$291,020	-3%	(9,501)
CURRENT YEAR ENDING BALANCE	\$0	0		

REVENUE DETAIL
Planning Revenue and Pass-through Expense

	ORIGINAL BUDGET FY 2011-12	AMENDED BUDGET FY 2011-12	Change % Inc/(Dec)	Change \$ Inc/(Dec)
General Planning Revenue				
FTA Section 5303	\$3,055,770	\$3,055,770	0%	0
FTA 5303 - Final allocation adjustment for FY10	\$0	\$0	0%	0
FTA 5303 - Final allocation adjustment for FY11	(\$6,442)	(\$6,442)	0%	0
FTA 5303 carryover FY'11	\$0	\$1,414,028	100%	1,414,028
FTA 5304	\$0	\$0	0%	0
FTA 5307	\$84,795	\$84,795	0%	0
Prop 84	\$1,000,000	\$1,000,000	0%	0
Prop 84 carryover FY'11	\$0	\$80,657	100%	80,657
FHWA 1/2 % PL	\$6,965,999	\$6,965,999	0%	0
FHWA - Final allocation adjustment for FY10	\$0	\$0	-100%	0
FHWA - Final allocation adjustment for FY11	\$1,147,314	\$1,244,987	9%	97,653
FHWA PL carryover FY'11	\$0	\$1,090,876	100%	1,090,876
TDA (Planning/Administrative)	\$9,000,000	\$9,000,000	0%	0
Subtotal: Regional Revenue	\$21,247,436	\$23,930,650	13%	2,683,214
Regional Planning Revenue				
Regional STP: CMA planning/Transportation Land use funds	\$7,899,000	\$7,899,000	0%	0
STP: CMA 3% planning funds & Land Use Funds carryover	\$0	\$2,397,792	100%	2,397,792
Regional STP: MTC Planning	\$1,369,000	\$1,369,000	0%	0
Regional STP: MTC Planning c/o FY'10	\$0	\$0	0%	0
Regional STP: ABAG Planning	\$619,000	\$619,000	0%	0
Regional STP: BCDC Planning	\$309,000	\$309,000	0%	0
Regional STP: BCDC Planning C/O	\$0	\$214,500	100%	\$214,500
Subtotal: Regional Revenue	\$10,196,000	\$12,808,292	26%	2,612,292
Passthrough Expense				
Transit Operators SRTP (funded by FTA 5303)	\$0	(\$90,000)	-100%	(\$90,000)
ABAG Planning	(\$3,886,480)	(\$3,946,425)	2%	(\$59,945)
Regional STP: CMA planning/Transportation Land use funds	(\$7,899,000)	(\$10,296,792)	30%	(2,397,792)
Regional STP: BCDC Planning	(\$309,000)	(\$523,500)	0%	(214,500)
Subtotal: Passthrough Expense	(\$12,094,480)	(\$14,856,717)	23%	(2,762,237)
Net MTC Annual Planning Revenue	\$19,348,956	\$21,882,225	13%	2,533,269
Other MTC Revenue				
STIP-PPM	\$655,200	655,200	0%	0
HOV lane fines	\$350,000	\$350,000	0%	0
Interest	\$50,000	\$50,000	0%	0
Subtotal: MTC Other Revenue	\$1,055,200	\$1,055,200	0%	0
Operating Transfers from Other Funds				
BATA 1%	\$6,062,233	\$6,062,233	0%	0
Transfer BATA RM2	\$348,750	\$223,750	-36%	(125,000)
BATA Reimbursements (Audit/misc. contracts)	\$328,500	\$328,500	0%	0
RAFC Management Services	\$300,000	\$300,000	0%	0
Service Authority Freeways Expressways (SAFE)	\$2,265,944	\$2,248,317	-1%	(17,628)
BAIFA	\$60,000	\$60,000	0%	0
STA Transfer	\$1,348,433	\$1,348,433	0%	0
OPEB	\$0	\$0	0%	0
2% Transit Transfers	\$445,000	\$445,000	0%	0
AB 1171 Bridge Tolls	\$0	\$0	0%	0
Capital Programs	\$861,152	\$961,382	0%	100,230
Subtotal: Transfers from other funds	\$12,018,012	\$11,975,615	0%	(42,398)
MTC Total Planning Revenue	\$32,422,168	\$34,913,039	8%	2,490,871

REVENUE DETAIL
Project Revenue - Current Year

	ORIGINAL BUDGET FY 2011-12	AMENDED BUDGET FY 2011-12	Change % Inc/(Dec)	Change \$ Inc/(Dec)
MTC Current Year Project Revenue				
Federal/State Revenue Grants				
STP	\$12,982,854	\$12,957,742	0%	(25,112)
CMAQ	\$21,702,359	\$21,702,359	0%	0
Regional Partnership Blueprint C/O	\$0	\$0	0%	0
FEMA - Homeland Security Grant	\$508,250	\$508,250	0%	0
HUD Regional Planning Grant	\$0	\$4,991,336	0%	4,991,336
Surface Transp. Research, Dev. (STRDD)	\$0	\$0	0%	0
TCRP	\$0	\$0	0%	0
FTA New Freedom	\$5,225,106	\$5,225,106	0%	0
JARC	\$3,614,151	\$3,614,151	0%	0
Subtotal:	\$44,030,720	\$48,996,944	11%	4,966,224
Local Revenue Grants				
Misc Revenue (PMP Sales)	\$500,000	\$500,000	0%	0
Exchange	\$0	\$225,000	0%	225,000
TFCA (Regional Rideshare), Spare the Air, Zero Emissions Prog	\$1,062,500	\$1,062,500	0%	0
Transit Agencies	\$0	\$0	0%	0
Cities (Match for P-TAP projects)	\$187,248	\$197,386	5%	10,140
AB664	\$11,000	\$11,000	0%	0
Subtotal:	\$1,760,748	\$1,995,886	13%	235,140
Total Project Revenue	\$45,791,468	\$50,992,830	11%	5,201,364
Total Current Year Revenue	78,213,634	85,965,869	10%	7,692,235
MTC Prior Year Project Revenue				
Prior Year Project Revenue - Federal/State				
FTA 5303		839,054		
FTA		7,350,438		
FHWA		19,726		
FAA				
Congestion Mitigation and Air Quality (CMAQ)		7,119,074		
STRDD		278,810		
STP		10,832,810		
Prop. 84		199,785		
High Priority Project - Local (HPPL)/VPP		622,235		
TCRP		2		
State Transit Assistance (STA)		1,552,898		
Subtotal:		28,814,632		
Prior Year Project Revenue - Local				
General Fund		1,284,417		
Transportation Funds for Clean Air (TFCA)		1,939,115		
Service Authority for Freeways/Expressways (SAFE)		1,008,827		
AB 664		14,519		
AB 1171		933,597		
2% Transit		108,709		
BATA RM2		307,677		
Other (PTAP LM,PPM)		189,490		
Subtotal:		5,786,349		
Total Prior Year Project Revenue		34,600,981		

REVENUE DETAIL
Capital Project Revenue

	ORIGINAL BUDGET FY 2011-1	AMENDED BUDGET FY 2011-12	Change % Inc/(Dec)	Change \$ Inc/(Dec)
Capital Project Revenue				
Transfers				
Transfer from Reserve to Capital	\$500,000	\$500,000	0%	0
Transfer SAFE advance from 511 to UPP	\$0	\$0	0%	0
Hub Signage Program				
RM2 Capital Real Time Signs Project # 19.7	\$12,000	\$12,000	0%	0
Total Capital Project Revenue	\$512,000	\$512,000	0%	0

REVENUE SUMMARY

MTC Planning Revenue	\$32,422,168	\$34,913,039	8%	2,490,871
Current Year Project Revenue	\$45,791,466	\$50,992,830	11%	5,201,364
Prior Year Project Revenue	\$0	\$34,800,981	100%	34,800,981
Capital Project Revenue	\$512,000	\$512,000	0%	0
TOTAL MTC REVENUE	\$78,725,634	\$121,018,850	54%	42,293,216
MTC Capital Reserve - (In)out	\$0	\$0	0%	\$0
MTC DESIGNATED RESERVE - (In)	\$0	\$0	0%	\$0
Subtotal: Net Reserve (In)out	\$0	\$0	0%	\$0
TOTAL FUNDS APPLIED TO BUDGET YEAR	\$78,725,634	\$121,018,850	54%	42,293,216

**EXPENSE SUMMARY
BUDGET FY 2011-12**

	ORIGINAL BUDGET FY 2011-12	AMENDED BUDGET FY 2011-12	Change % Inc/(Dec)	Change \$ Inc/(Dec)
Operating Expense				
I. Salaries and Benefits	\$20,480,133	\$20,544,843	0%	64,709
MTC Staff - Regular	\$17,595,271	\$17,789,980	1%	204,709
Temporary Staff	\$344,273	\$344,273	0%	0
Project Based Staff & LGS	\$2,355,590	\$2,203,590	-6%	(152,000)
Interns	\$185,000	\$197,000	6%	12,000
II. Travel and Training	\$308,125	\$308,125	0%	0
III. Printing, Repro. & Graphics	\$344,500	\$344,500	0%	0
IV. Computer Services	\$759,000	\$759,000	0%	0
V. Commissioner Expense	\$121,500	\$121,500	0%	0
VI. Advisory Committees	\$40,000	\$40,000	0%	0
VII. General Operations	\$2,144,607	\$2,144,607	0%	0
Subtotal Staff Cost	\$24,187,865	\$24,262,575	0%	64,709
IX. Contractual Services	\$53,555,248	\$60,992,275	14%	7,437,027
Total Operating Expense - Current Year	\$77,763,113	\$85,254,850	10%	7,501,736
IX. Contractual Services - Prior Year	\$160,000	\$34,960,981	0%	34,800,981
Total Operating Expense	\$77,913,113	\$120,215,831	54%	42,302,718
Capital Expense				
Capital Contracts	\$12,000	\$12,000	0%	0
Annual Capital Expense	\$500,000	\$500,000	0%	0
Total Capital Expense	\$512,000	\$512,000	0%	0
TOTAL MTC EXPENSE	\$78,425,113	\$120,727,831	54%	42,302,718
Transfer to Liability Reserve	\$0	\$0	0%	0
TOTAL SURPLUS(DEFICIT)	\$300,521	\$291,019	-3%	(9,502)
Reserve Programs	\$0	\$0	0%	0

CONTRACTUAL SERVICES DETAIL
New Contractual and Professional Services

Work Element Description/Purpose	ORIGINAL BUDGET FY 2011-12	AMENDED BUDGET FY 2011-12	Change \$ Inc/(Dec)
1111 Support Commission Standing Committees			
Planning Programs - Other	\$225,000	\$225,000	0
TOTAL	\$225,000	\$225,000	\$0
1112 Implement Public Information Program			
LWV Monitor	\$25,000	\$25,000	0
Photography services for MTC	\$15,000	\$15,000	0
Design & Production Services for MTC Web sites and Publications	\$200,000	\$200,000	0
On-call Facilitation and Outreach	\$100,000	\$100,000	0
RM2 Marketing/ Embarcadero Kiosk	\$0	\$0	0
Video services for MTC projects (SCS, Operational Projects, etc)	\$40,000	\$40,000	0
Event Registration Tool	\$10,000	\$10,000	0
MTC/BATA Website Redesign	\$150,000	\$150,000	0
Climate Protection Campaign: Outreach and Marketing			0
Climate Protection Campaign: School and Youth Grants			0
Retrofit to Embarcadero Kiosk			0
Electronic Voting System for Public Meetings			0
SCS Public Involvement/RTP			0
SCS Required Visualization Tool			0
TOTAL	\$540,000	\$540,000	\$0
1121 Regional Transportation Plan/Sustainability Communities Strategy			
Environment Impact Report (includes scoping/outreach)	\$200,000	\$200,000	0
EIR Supplemental Costs	\$0	\$200,000	200,000
Performance Assessment	\$70,000	\$70,000	0
Public Involvement (including printing/production costs)	\$400,000	\$432,079	32,079
Regional Forum	\$130,000	\$130,000	0
Envision Bay Area Outreach Partnership -2nd Round	\$75,000	\$75,000	0
2nd Telephone Poll	\$125,000	\$125,000	0
Focus Groups	\$50,000	\$50,000	0
Video	\$60,000	\$60,000	0
Coordinated Human Services Plan Update	\$80,000	\$80,000	0
RTP Project review	\$0	\$15,970	15,970
RTP Environment Impact Report			0
RTP Database & Web			0
SCS Public Involvement			0
SCS Visualization			0
SCS/RTP Development Performance Assessment Support			0
SCS/RTP Public Opinion Poll			0
SCS/RTP Focus Group			0
SCS/RTP Facilitation at County Leadership Meetings			0
SCS/RTP Outreach with Envision Bay Area			0
Better Market Street Transit Planning			0
Sustainable Communities Operational Analysis			0
Marin County Transit District Internships in Transit Planning			0
Tiburon Transit needs			0
TOTAL	\$1,190,000	\$1,438,049	\$248,049
1122 Analyze Regional Data using GIS and Travel Models			
Travel Zone Refinement	\$300,000	\$335,360	35,360
Activity-based Model Enhancements - SB 375			0
Technical Support for Web based Projects	\$50,000	\$38,000	(12,000)
Transit Data Collection			0
Model Validation			0
Bay Area Travel Survey 2011(Phase II)	\$1,565,000	\$1,669,490	104,490
Bay Area Travel Survey 2011(Phase III)	\$0	\$405,547	405,547
TOTAL	\$1,915,000	\$2,448,397	\$533,397
1124 Integrate MTS & nat'l/intern'l transp. systems			
Value Pricing Project Match	\$0	\$60,000	60,000
Partnership Planning	\$0	\$0	0
S.F.International Airport Surface Transportation Planning	\$0	\$0	0
TOTAL	\$0	\$60,000	\$60,000
1125 Non-Motorized Transportation			
Non-motorized Regional Counts	\$0	\$0	0
Non-motorized Technical Training Workshops	\$10,000	\$10,000	0
Modeling Bicycle Access to Transit	\$0	\$0	0
Daly City Bay Area Rapid Transit District (BART) Access Improvement Plan	\$0	\$0	0
Caltrans Planning -Bicycle sharing program	\$0	\$0	0
TOTAL	\$10,000	\$10,000	\$0
1132 Advocacy Coalitions			
Legislative advocates - Sacramento	\$126,000	\$126,000	0
Legislative advocates - Washington D.C.	\$236,110	\$236,110	0
TOTAL	\$362,110	\$362,110	\$0

CONTRACTUAL SERVICES DETAIL
New Contractual and Professional Services

Description/Purpose	ORIGINAL BUDGET	AMENDED BUDGET	Change \$
	FY 2011-12	FY 2011-12	Inc/(Dec)
1152 Agency Financial Management			
MTC/SAFE/BATA financial audit	\$510,000	\$510,000	0
Project audits	\$300,000	\$206,000	(94,000)
TOTAL	\$810,000	\$716,000	(\$94,000)
1153 Administrative Services			
Employee assistance program	\$0	\$0	0
Ergonomics	\$30,000	\$30,000	0
TOTAL	\$30,000	\$30,000	\$0
1161 Information Technology Services			
Network/Security Support	\$50,000	\$50,000	0
Web/DB Application Development/Integration	\$50,000	\$50,000	0
Information Management	\$50,000	\$50,000	0
Business continuity Plan From KPMG Report	\$25,000	\$25,000	0
TOTAL	\$175,000	\$175,000	\$0
1212 Develop MTS Performance Measures			
SCS/RTP Development Performance Assessment Support	\$0	\$0	0
Performance Monitoring Data Collection - Freeway Congestion	\$0	\$0	0
TOTAL	\$0	\$0	\$0
1222 Regional Rideshare Program			
511 Ridesharing Program Operations	\$3,864,000	\$3,864,000	0
Rideshare: Employer Services (CMAs)	\$450,000	\$450,000	0
TOTAL	\$4,314,000	\$4,314,000	\$0
1223 Operational Support for Regional Programs			
ITS/511 Program Technical Advisor	\$181,000	\$181,000	0
Regional Operations program marketing,web & communication services			0
511 ESRI License	\$62,000	\$62,000	0
511 Web Services	\$258,000	\$258,000	0
511 Device Anywhere Software License	\$5,000	\$5,000	0
Project Management Database	\$0	\$0	0
ITS Regional Architecture Update/Maint	\$25,000	\$25,000	0
Project Audits	\$72,000	\$72,000	0
TOTAL	\$603,000	\$603,000	\$0
1224 Regional Traveler Information			
511 Traffic Real Time Transit	\$6,629,000	\$6,629,000	0
TOTAL	\$6,629,000	\$6,629,000	\$0
1225 Regional Transit Information			
511 Transit	\$3,791,000	\$3,791,000	0
Ferry Building Real - Time Sign			0
Data Collection and Telephone Operations	\$0	\$0	0
TOTAL	\$3,791,000	\$3,791,000	\$0
1226 Regional Bicycle Information			
Bike-to-Work Day Promotion	\$155,000	\$155,000	0
Bike Mapper	\$169,000	\$169,000	0
TOTAL	\$324,000	\$324,000	\$0
1227 Regional Transit Coordination Projects			
Transit Coordination Connectivity	\$0	\$0	0
Way Finding Sign Design/Implementation Transit Info Display Case O&M	\$0	\$0	0
TOTAL	\$0	\$0	\$0
1228 Regional Transportation Emergency Operation			
Satellite Telephone-Annual Operations	\$35,000	\$35,000	0
TOTAL	\$35,000	\$35,000	\$0
1229 Regional Transportation Emergency Planning			
Ongoing Emergency Exercise Support	\$0	\$211,906	211,906
Regional Transportation Asset Inventory	\$100,000	\$100,000	0
EOC Training & Support	\$200,000	\$200,000	0
CESRS Equipment Replacement	\$80,000	\$80,000	0
WETA Satellite Equipment	\$0	\$0	0
TOTAL	\$380,000	\$591,906	\$211,906

CONTRACTUAL SERVICES DETAIL
New Contractual and Professional Services

Work Element Description/Purpose	ORIGINAL BUDGET FY 2011-12	AMENDED BUDGET FY 2011-12	Change \$ Inc/(Dec)
1233 Pavement Management System			
Software Development and Maintenance	\$655,000	\$605,000	(50,000)
Software Training Support	\$220,000	\$270,000	50,000
P-TAP Projects	\$1,632,487	\$1,720,887	88,400
Statewide Needs Assessment	\$50,000	\$50,000	0
TOTAL	\$2,557,487	\$2,645,887	\$88,400
1234 Arterial Operations Coordination			
Program for Arterial System Synchronization	\$1,750,000	\$1,750,000	0
TOTAL	\$1,750,000	\$1,750,000	\$0
1236 Freeway Management			
Financial Analysis of Express Lanes	\$0	\$30,000	30,000
TOTAL	\$0	\$30,000	\$30,000
1310 Implement Lifeline Program			
Lifeline Cycle 2 Projects	\$1,047,643	\$1,047,643	0
Lifeline Cycle 3 Call for Projects	\$3,628,835	\$3,628,835	0
TOTAL	\$4,676,478	\$4,676,478	\$0
1413 Climate Initiative			
Zero Emission Bus Pilot			0
Climate Initiative Outreach and Marketing program	\$5,000,000	\$5,000,000	0
Climate Initiative School and Youth program	\$2,000,000	\$2,000,000	0
Climate Initiative : Climate Action Program Evaluation	\$0	\$0	0
Climate Change Coordinator	\$125,000	\$125,000	0
Visualization	\$933,432	\$933,432	0
Program Evaluation	\$2,000,000	\$2,000,000	0
Climate Change assessments of Transportation and infrastructure	\$0	\$0	0
TOTAL	\$10,058,432	\$10,058,432	\$0
1512 Federal TIP Development			
City Car Share HPP	\$0	\$0	0
Transit Capital Inventory	\$105,646	\$105,646	0
TOTAL	\$105,646	\$105,646	0
1513 New Freedom			
New Freedom Projects	\$5,028,095	\$5,028,095	0
TOTAL	\$5,028,095	\$5,028,095	\$0
1514 Regional Assistance Programs			
Performance audits - TDA audit & RM2 Oversight	\$333,000	\$333,000	0
TOTAL	\$333,000	\$333,000	\$0
1515 State Programming, Monitoring & STIP Development			
Asset Management Research	\$0	\$0	0
Fund Monitoring	\$0	\$0	0
Equipment, Software, Training	\$0	\$0	0
TOTAL	\$0	\$0	\$0
1517 Transit Sustainability			
Transit Sustainability	\$1,185,000	\$2,327,939	1,142,939
TOTAL	\$1,185,000	\$2,327,939	\$1,142,939
1611 Transportation for Livable Communities			
Creating Regional affordable housing plan	\$0	\$4,991,336	4,991,336
On going Parking Tech Support	\$80,000	\$80,000	0
Supplemental TOD Policy Evaluation of new corridors	\$25,000	\$25,000	0
Station Area Planning Grants			0
Station Area Planning Program Mgmt/TOD Policy Implementation			0
Technical assistance program	\$203,000	\$203,000	0
Technical assistance program - ABAG	\$0	\$0	0
Station Area Planning	\$5,400,000	\$5,625,000	225,000
TOTAL	\$5,708,000	\$10,924,336	\$5,216,336
1612 Prop 84 PL			
Community-Based Organization Outreach	\$200,000	\$200,000	0
Visualization & Web-Based Applications	\$150,000	\$150,000	0
MTC ABAG Planning Coordinator	\$70,000	\$70,000	0
TOTAL	\$420,000	\$420,000	\$0
106 Legal Services			
	\$400,000	\$400,000	\$0
			\$0
Total consultant contracts:	\$53,555,248	\$60,992,275	\$7,437,027

CONTRACTUAL SERVICES DETAIL
 Prior Year Contractual and Professional Services

AMENDED BUDGET
 FY 2011-12

Work Element

Description/Purpose

1112 **Implement Public Information Program**
 LAVTA
 Nematode

\$1,930
 \$58,200
 \$60,130

1121 **Regional Transportation Plan/Sustainability Communities Strategy**

BART
 Rio Vista
 SF County Transp Auth.
 Dyett & Bhatia
 SFMTA
 Cambridge Systematics
 MIG, Inc.
 Marin County Transit District
 West Contra Costa Transp.
 PMC
 Davis & Associates
 Corey, Canapary & Galanis

\$300,000
 \$22,380
 \$249,650
 \$300,000
 \$6,101
 \$74,509
 \$60,595
 \$48,628
 \$124,422
 \$8,962
 \$6,271
 \$20,000
 \$1,221,518

1122 **Analyze Regional Data using GIS and Travel Models**

PB Americas
 PB Consult
 SAIC

\$117,471
 \$217,404
 \$11,196
 \$346,070

1124 **Integrate MTS & nat'l/intern'l transp. systems**

SF Airport Commission

\$44,000
 \$44,000

1125 **Non-Motorized Transportation**

BART
 BART

\$250,000
 \$227,522
 \$477,522

1152 **Agency Financial Management**

Sungard BI Tech
 Price Waterhouse

\$60,000
 \$152,836
 \$212,836

1222 **Regional Rideshare Program**

Parsons Brinkerhoff
 Solano Transportation Authority
 Contra Costa Transp. Auth.

\$328,602
 \$267
 \$7
 \$328,876

1223 **Operational Support for Regional Programs**

Kimley Horn
 Swirl, Inc.

\$719,580
 \$192,405
 \$911,986

1224 **Regional Traveler Information**

Telvent Farradyne
 SAIC

\$730,488
 \$2,800,560
 \$3,531,049

1225 **Regional Transit Information**

Bd Systems/SAIC
 Swirl, Inc.

\$1,098,915
 \$10
 \$1,098,926

1226 **Regional Bicycle Information**

Bay Area Bicycle Coalition

\$10,000
 \$10,000

1229 **Regional Transportation Emergency Planning**

URS Corp.
 Lionbridge

\$129,654
 \$33,600
 \$163,254

1233 **Pavement Management System**

DevMecca.com
 AMS Consulting
 Adhara Systems
 Nichols
 Pavement Engineering
 Associated Engineering
 Capitol Asset & Pavement
 Nichols Consulting
 Harris & Associates

\$180,293
 \$132,720
 \$167,499
 \$199,485
 \$38,529
 \$229,450
 \$332,160
 \$269,154
 \$1,549,289

1234 **Arterial Operations Coordination**

URS
 Kimley Horn
 Kimley Horn
 TJKM
 Santa Clara County

\$99,432
 \$11,147
 \$163,631
 \$14,376
 \$48,080
 \$336,666

CONTRACTUAL SERVICES DETAIL
 Prior Year Contractual and Professional Services

AMENDED BUDGET
 FY 2011-12

Work Element	Description/Purpose	
1236	Freeway Performance Initiative	
	Caltrans	\$214,023
	KPMG	\$100,000
	VTA	\$1,030,100
	Cambridge Systematics	\$253,445
	LightRiver	\$11,665
	Alameda County CMA	\$598,500
	Telvent Farradyne	\$301,588
	Halcrow, Inc.	\$111,201
	Jeffrey A Parker & Associates	\$186,933
	Parsons Brinkerhoff	\$321,440
		\$3,128,895
1252	BATA Electronic Toll Collection(ETC)	
	ACS State & Local Solutions	\$2
		\$2
1311	Implement Lifeline Program	
	Nelson Nygaard	\$30,475
	Contra Costa County	\$18,494
	Bayview Hunters Point	\$121,119
	San Mateo Human Service Agency	\$20,144
	San Mateo Human Service Agency	\$15,065
	Solano Transp Authority	\$60,000
	City of San Jose	\$66,147
	Center for Neighborhood Tech	\$18,364
	San Francisco CTA	\$20,000
	Marin County	\$50
	City of San Leandro	\$286,719
	Cycles of Change	\$50,476
	Marin County	\$277,369
	San Mateo Assoc of Govts	\$41,000
	Shelter Network	\$40,558
	Outreach & Escort	\$1,682,354
	City of East Palo Alto	\$55,350
	ECCTA	\$96,759
		\$2,900,442
1412	Air Quality Conformity	
	Fehr & Peers	\$38,000
	Jones & Stokes/ICF Consulting	\$1,737,340
	AC Transit	\$1,917,241
	AECOM	\$278,810
	Riezebos Holbaur Group	\$13,408
	UC Regents	\$460,000
	Alta Planning + Design	\$2,816,170
		\$7,260,769
1511	Conduct Financial Analysis & Planning	
	Booz Allen	\$75,775
		\$75,775
1512	Federal TIP Development	
	Outreach	\$54,631
	ACTIA	\$60,000
	Benicia	\$15,000
	AC Transit	\$144,000
	LAVTA	\$7,563
	Outreach	\$688,868
	County Connection	\$62,500
	Samtrans	\$88,136
	SFMTA	\$225,059
	Santa Rosa	\$80,089
	AC Transit	\$960,000
	Santa Rosa	\$67,431
	Outreach	\$240,000
	Samtrans	\$200,000
	Santa Clara VTA	\$65,613
	CCCTA	\$80,000
	SFMTA	\$700,624
	City CareShare	\$622,235
	CCCTA	\$35,000
	Marin Transit	\$28,778
	Lighthouse for the Blind	\$140,862
	Marin County Transit Dist	\$91,875
	Santa Rosa	\$59,405
	Rehab Services of N. Calif.	\$60,204
	Lighthouse for the Blind	\$165,256
	Center for Independent Living	\$57,009
	Peninsula Jewish Community Center	\$101,511
		\$5,101,650
1515	State Programming, Monitoring & STIP Development	
	DevMecca	\$20,000
		\$20,000
1517	Transit Sustainability	
	PB America	\$16
	MIG, Inc	\$44,388
	Booz Allen	\$100,000
	Cambridge Systematics	\$216,071
	Nelson Nygaard	\$99,233
	Arup North America	\$157,314
	Redhill Group	\$32,522
	Transportation Mgmt & Design	\$298,218
		\$947,763

CONTRACTUAL SERVICES DETAIL
Prior Year Contractual and Professional Services

Work Element

1611	Transportation for Livable Communities
	Design, Community & Environment
	San Francisco CTA
	San Francisco Muni
	East Palo Alto
	City of Petaluma
	San Francisco CTA
	City of Santa Rosa
	Eisen Letunic
	City of San Jose
	City of Oakland
	City of Newark
	City of Pittsburg
	City of San Pablo
	Union City
	City of Lafayette
	San Mateo County
	City of Oakland
	City of San Leandro
	City of Dublin
	City of Berkeley
	Town of Windsor
	City of San Rafael
	AECOM
	Strategic Economics

106	Legal Services
	Remy Thomas Moose
	Meyers Nave
	O'Melveny & Myers
	Hanson Bridgett
	Thompson Coburn
	Hanson, Bridgett
	Hanson, Bridgett

101	Contract Encumbrances
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Total Prior Year Contractual and Professional Services

AMENDED BUDGET
FY 2011-12

	\$159,979
	\$133,800
	\$427,753
	\$237,522
	\$216,790
	\$110,564
	\$344,000
	\$68,496
	\$200,000
	\$400,000
	\$544,000
	\$175,000
	\$33,333
	\$68,750
	\$5,000
	\$250,000
	\$720,000
	\$124,375
	\$10,000
	\$185,600
	\$232,500
	\$331,200
	\$100,900
	\$71,358
	\$5,150,921

	\$14,276
	\$18,824
	\$40,000
	\$1,633
	\$1,828
	\$2,827
	\$3,255
	\$82,644

\$160,000

\$160,000

\$34,980,981

Clipper Enterprise Budget

Attachment B

Clipper Operating:

Revenue:

	ORIGINAL BUDGET FY 2011-12	AMENDED BUDGET FY 2011-12	Change % Inc/(Dec)	Change \$ Inc/(Dec)
CMAQ	\$9,251,457	\$9,251,457	0%	0
RM2	4,381,224	4,390,317	0%	9,093
STA	45,346	46,071	2%	725
Transit Operators	14,115,082	14,115,082	0%	0
	<u>\$27,793,109</u>	<u>\$27,802,927</u>	0%	<u>9,818</u>

Expenses:

Salaries and Benefits	\$931,567	\$1,240,728	33%	309,161
Temporary Agency	128,000	128,000	0%	0
Travel	13,500	13,500	0%	0
Promotion/Outreach/Fare Incentives	3,497,346	3,497,346	0%	0
Clipper Operations	23,222,696	22,923,353	-1%	(299,343)
	<u>\$27,793,109</u>	<u>\$27,802,927</u>	0%	<u>9,818</u>

LTD Budget
Thru FY2011-12

AMENDED BUDGET
FY 2011-12

Clipper Capital:

Revenue:

CMAQ	\$55,146,723	\$55,146,723
ARRA	11,000,000	11,000,000
FTA	22,770,368	22,770,368
STP	21,310,368	21,310,368
STA	9,256,695	9,256,695
Prop 1B	1,000,000	1,000,000
SFMTA	1,553,603	1,553,603
GGGHTD	2,975,000	2,975,000
BART	725,000	725,000
MTC Exchange Fund	8,269,158	8,269,158
BATA	30,256,631	30,256,631
WETA	500,000	500,000
Sales Tax	99,311	99,311
	<u>\$164,862,857</u>	<u>\$164,862,857</u>

Expense:

Staff Costs	\$3,194,586	\$3,278,086
Travel	3,208	3,208
Pilot Equipment Maintenance	3,093,834	3,093,834
Transit Agency Funded Projects	1,700,000	1,700,000
Design	53,940,574	53,940,574
Site Preparation	3,899,437	3,899,437
Construction	19,867,682	19,867,682
Consultants	13,246,266	13,246,266
Engineering	7,953,061	7,953,061
Communications	83,000	83,000
Marketing	212,029	212,029
Financial Services	391,600	391,600
Equipment	24,316,965	24,316,965
Clipper Cards	7,068,828	7,068,828
Other	25,891,787	25,808,287
	<u>\$164,862,857</u>	<u>\$164,862,857</u>