

Metropolitan Transportation Commission Programming and Allocations Committee

November 9, 2011

Item Number 4c

MTC Resolution Nos. 3714, Revised, 3854, Revised, and 3916, Revised

Subject: Revisions to the Federal Transit Administration (FTA) Formula Program for FY 2011-12 to reflect revised estimates of federal appropriations, and to reprogram FTA funds previously programmed to Benicia and Vallejo to Solano County Transit. Item 2c on today's Committee agenda adds the FY2011-12 FTA program to the Transportation Improvement Program (TIP).

Background: MTC is the designated recipient of the region's FTA Urbanized Area Formula (Section 5307) and Fixed Guideway Modernization (Section 5309 FG) funds, which MTC programs to eligible transit operators to support transit capital replacement and rehabilitation projects, preventive maintenance and operating costs. Under the Commission's "fix it first" policy in Transportation 2035, replacement of buses, railcars and other revenue vehicles is the highest priority for funding, followed by fixed guideway infrastructure, such as rehabilitation of track, bridges and train control systems.

The regional FTA program is generally added to the TIP prior to adoption of the final federal fiscal year budget so operators may begin work on projects prior to FTA grant awards, and to help expedite grant processing once final federal appropriations are known. The preliminary FY2011-12 FTA program was adopted in 2009 but has not yet been amended into the TIP. The preliminary program assumed that FTA revenues would continue to grow under a new federal transportation authorization based on historical trends. However, Congress has not enacted reauthorization legislation or FY2011-12 appropriations, and FTA formula programs were level-funded in FY2009-10 and FY2010-11. FTA and other federal agencies are currently operating under a short-term Continuing Resolution which reduces funding for all discretionary programs 1.5% below FY2010-11 levels. While final FY2011-12 funding levels remain highly uncertain, staff has revised its FTA revenue projection to assume the 1.5% reduction will remain in place for the remainder of the fiscal year, resulting in a projected shortfall of nearly \$38 million before corrective revisions are adopted. Staff recommends the following reductions and other recommended revisions to address the projected shortfall:

Flexible Set-Aside Programming. The region's Transit Capital Priorities (TCP) policy provides that 10% of the annual apportionment in participating urbanized areas is distributed to eligible operators by formula to be used for any eligible project, regardless of TCP priority. This "Flexible Set-Aside" totals about \$23 million per year. In anticipation of potential revenue shortfalls, the Flexible Set-Asides for FY2010-11 and FY2011-12 were held in reserve rather than programmed to specific projects. The reserve for FY2010-11 was eliminated to help address a revenue shortfall in that year. Likewise, staff recommends eliminating the reserve for FY2011-12. Staff has advised the Partnership's Transit Finance Working Group of this recommendation. Staff will propose to restore Flexible Set-Aside funding if sufficient revenues are available.

Other Program Deferrals and Reductions. In addition to eliminating the Flexible Set-Aside, the projected FY2011-12 shortfall was addressed through several program revisions. In particular, Caltrain, SFMTA, SamTrans, WETA and WestCat voluntarily deferred vehicle purchases or fixed guideway rehabilitation to future years, thereby reducing the need for FY2011-12 funds. In addition, staff worked with operators in the Antioch, Santa Rosa, Fairfield and Napa urbanized areas to make modest reductions to programming in order to keep programming equal to projected revenues. In addition, the proposed revisions include an adjustment to VTA's preventive maintenance programming to correct for under-programming of San Jose urbanized area funds in FY2010-11, and a \$24 million increase (to \$70 million) for BART's railcar replacement project as part of the Commission's policy commitment of \$780 million in regional funding for Phase 1 of the project.

AC Transit Preventive Maintenance. The proposed FY2011-12 program includes \$19.1 million in preventive maintenance funding for AC Transit in exchange for bus replacements that will be funded with other sources. Staff recommends that \$10 million of the total be held in reserve, i.e., not programmed in the TIP, until the AC Transit Board adopts a policy that responds to the recommendations adopted by the Commission in June 2011:

1. Spend federal capital funds on capital improvements and bus purchases.
2. Avoid or limit service reductions.
3. Continue to reduce operating costs.
4. Seek additional revenues.

The June Commission action anticipated that AC Transit would respond to this funding condition by January 2012.

Caltrain Car Replacement & Preventive Maintenance. When the Commission adopted the transfer of \$5 million from Caltrain's Railcar Replacement project to preventive maintenance as part of the revisions to the FY2010-11 program in June, it was anticipated that another \$5 million would likely be required to help address Caltrain operating budget shortfalls in FY2012-13. Accordingly, the proposed revisions to the FY2011-12 program include the transfer of an additional \$5 million from the railcar project to preventive maintenance and a corresponding \$5 million decrease in future regional contribution to the railcar project. Staff recommends that the remaining \$27.4 million in the program for the railcar replacement project be held in reserve, i.e., not programmed in the TIP, until the project schedule and actual FTA revenues are reassessed as part of the final revisions to the FY2011-12 program anticipated in spring of 2012.

Solano County Transit. Solano County Transit (SolTrans), formed by the consolidation of the Vallejo and Benicia transit operations, was designated an eligible grantee by FTA in September 2011. Accordingly, this item reprograms FTA funds programmed to Benicia and Vallejo in FY2007-08, FY2008-09, FY2010-11 and FY2011-12 to SolTrans.

Issues: 1) Transit Sustainability. The proposed FTA program includes cases where capital funds are being redirected to support transit operations. Continuing to transfer funds from vehicle procurement projects to preventive maintenance will increase the challenge of funding the vehicle projects in the future. The Transit Sustainability Project is the principal effort the Commission has undertaken to find longer term solutions for all Bay Area transit systems.

2) Potential Further Reductions. It is quite possible that final FY2011-12 FTA funding levels will be reduced more than 1.5% below FY2010-11 levels, which would require additional reductions to the TCP program. The second round of reductions, if needed, would be made in the annual Program of Projects TIP amendment after final FY2011-12 apportionments are released by FTA (most likely in spring of 2012).

Recommendation: Refer MTC Resolution Nos. 3714, Revised, 3854, Revised, and 3916, Revised, to the Commission for approval.

Attachments: MTC Resolution Nos. 3714, Revised, 3854, Revised, and 3916, Revised.

Date: July 27, 2005
W.I.: 1512
Referred By: PAC
Revised: 02/22/06-C 04/25/07-C
04/25/07-C 09/26/07-C
03/26/08-C 06/22/11-C
11/16/11-C

ABSTRACT

Resolution No. 3714, Revised

This resolution approves the FY 2005-06 through FY 2007-08 FTA Section 5307 and FTA Section 5309 Fixed Guideway (FG) funds for inclusion in the 2005 Transportation Improvement Program (TIP).

This resolution was amended on February 22, 2006 to program \$210 million of FY 2006-07 and FY 2007-08 FTA formula funds, the balance of funds estimated to be available after programming all eligible high-scoring capital projects.

This resolution was amended on April 25, 2007 to reconcile the FTA formula funds program for FY 2006-07 with the FY 2006-07 FTA apportionments.

This resolution was amended on September 26, 2007 to incorporate additional programming to Vallejo Transit and Benicia Transit.

This resolution was amended on March 26, 2008 to make annual revisions to the previously programmed FY 2007-08 FTA programs, constraining them to actual appropriations, adding FTA funded earmarks for FY 2007-08, and making other adjustments to FTA funding for previously programmed projects.

This resolution was amended on June 22, 2011 to record 1) Golden Gate Transit's exchange of \$8.3 million originally programmed for a bus replacement project and reprogrammed to facility rehabilitation; 2) a transfer of programming for a van replacement project from Benicia to Vallejo; and 3) other minor corrections to the program.

This resolution was amended on November 16, 2011 to reprogram funds from Benicia to Solano County Transit (SolTrans) to reflect the merger of Benicia and Vallejo transit services under SolTrans.

ABSTRACT

MTC Resolution No. 3714, Revised

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Further discussion of the regional TCP is contained in the Executive Director's Memorandum dated July 13, 2005 and February 8, 2006, and the Programming and Allocations Committee memos dated April 11, 2007, September 12, 2007, March 5, 2008, June 8, 2011 and November 9, 2011.

Date: July 27, 2005

W.I.: 1512

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Revised: 02/22/06-C

04/25/07-C

09/26/07-C

03/26/08-C

06/22/11-C

11/16/11-C

Attachment A

Resolution No. 3714

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FY 2007-08 FTA Section 5307 and 5309 Fixed Guideway				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
<i>Actual Apportionment</i>			208,930,479	124,409,526
<i>Previous Year Carryover</i>			5,095,357	1,319,840
<i>Funds Available for Programming</i>			214,025,836	125,729,366
<i>Enhancement Requirement</i>			1,957,721	
<u>Prior Year Commitments</u>				
SM-030014	Caltrain	Rapid Rail Related Projects Pt. II		2,112,995
<u>ADA Set-Aside</u>				
ALA990076	AC Transit	ADA Set-aside	6,582,831	
ALA050042	ACE	Signal Upgrade	517,857	
BRT99T001	BART	ADA Capital	3,055,143	
SM-050040	Caltrain	ADA Set-aside	161,463	
SM-070032	Caltrain	VMS-PA Systems Integration	880,000	
CC-99T001	CCCTA	ADA Set-aside	676,581	
CC-030035	ECCTA	ADA Set-aside	471,124	
MRN99T001	GGBHTD	ADA Set-aside	1,171,041	
ALA990077	LAVTA	ADA Set-aside	286,579	
NAP030004	Napa VINE	ADA Set-aside	22,481	
SF-990022	SFMTA	ADA Set-aside	3,921,868	
SM-990026	SamTrans	ADA Set-aside	201,698	
SM-030027	SamTrans	Maintenance & Op. Equipment Rehab & Replacement	504,000	
SM-050049	SamTrans	Service Support Vehicles	120,000	
SM-070035	SamTrans	Admin. & Maint./Operating Facilities Improvements	217,050	
SOL990040	Vallejo Transit	ADA Set-aside	584,502	
SCL050046	VTA	ADA Set-aside	3,499,495	
CC-990045	WestCat	ADA Set-aside	113,375	
<u>10% Flexible Set-Aside</u>				
ALA010034	AC Transit	Maintenance Facility & Equipment Upgrades	1,593,896	
ALA990052	AC Transit	Paratransit Vehicle Lease	500,000	
SCL050043	ACE	Santa Clara Platform and Pedestrian Improvements	238,982	
REG050006	BART	Strategic Maintenance	5,298,260	
SM-03006B	Caltrain	Systemwide Track Rehab & Related Civil Structures	828,888	
CC-030034	CCCTA	Preventive Maintenance	345,682	
CC-030037	ECCTA	Preventive Maintenance	315,728	
MRN050025	GGBHTD	Facilities Rehabilitation	400,000	
MRN010034	GGBHTD	Preventive Maintenance	1,761,781	
ALA030030	LAVTA	Preventive Maintenance	233,285	
NAP97AM58	Napa VINE	Preventive Maintenance	154,875	
SF-050025	SFMTA	Flynn Facility Ventilation	1,470,431	
SF-050026	SFMTA	Escalator Rehabilitation	2,000,000	
SF-050027	SFMTA	Trolley Coach Rebuild 60 Articulated New Flyers	1,000,000	
SF-991001	SFMTA	Woods Maintenance Facility Rehab	1,000,000	
SM-030023	SamTrans	Preventive Maintenance	640,885	
ALA050044	Union City	Preventive Maintenance	24,165	
SOL030019	Vallejo	Preventive Maintenance	261,395	
SOL991055	Vallejo	Bus Maintenance Facility Rehab	279,421	
SCL990046	VTA	Preventive Maintenance	3,713,227	
CC-030025	WestCat	Preventive Maintenance	61,927	
Total Program Set-asides and Commitments			45,109,915	2,112,995
Funds Available for Programming			168,915,921	123,616,371

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W.I.: 1512

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Revised: 02/22/06-C

04/25/07-C

09/26/07-C

03/26/08-C

06/22/11-C

11/16/11-C

Attachment A

Resolution No. 3714

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FY 2007-08 FTA Section 5307 and 5309 Fixed Guideway				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
Capital Projects				
ALA990052	AC Transit	Paratransit Vehicle Lease	777,147	
ALA070046	AC Transit	Zero Emission Bus Advanced Demonstration	1,000,000	
ALA010056	ACE (SJRC)	Track Improvements	8,419	880,441
BRT971001	BART	Rail, Way and Structures Program	239,426	12,760,574
BRT030004	BART	Train Control Renovation		13,000,000
BRT030005	BART	Traction Power		13,000,000
SM-03006B	Caltrain	Systemwide Track Rehab & Related Civil Structures	5,132,476	2,367,523
SM-050041	Caltrain	Signal/Communication Rehabilitation	3,024,000	
SM-070033	Caltrain	Signal Replacement and Upgrade Program		1,477,561
SM-070034	Caltrain	Caltrain Systemwide Station Improvements		1,200,000
SF-070038	Caltrain	North Terminal Operational Improvements Phase II		1,200,000
SCL050065	Caltrain	Installation of Fixed Fuel Facility	960,000	
CC-050038	CCCTA	Replace 38 LINK vans and 12 flexvans	3,340,622	
SOL010006	Fairfield	Operating assistance	2,530,711	
MRN050025	GGBHTD	Facilities Rehabilitation	8,293,951	
MRN030005	GGBHTD	Transit Safety and Security Improvements	5,000,000	
MRN030011	GGBHTD	Ferry Major Components	40,159	199,841
MRN030010	GGBHTD	Fixed Guideway Connectors		2,000,000
MRN990017	GGBHTD	Ferry channel & berth dredging.	1,262,227	2,000,000
ALA050051	LAVTA	Replace (3) 2000 24' Paratransit Vehicles	397,189	
NAP970010	Napa	Operating assistance	1,859,080	
SF-970073	SFMTA	Cable car vehicle renovation program	355,542	483,114
SF-99T002	SFMTA	Cable Car Traction Power & Guideway Rehab		7,500,000
SF-050024	SFMTA	Wayside Train Control		3,200,000
SF-950037	SFMTA	SF Muni Rail Replacement Program 1998-20		13,000,000
SF-970170	SFMTA	Trolley Overhead Reconstruction		13,000,000
SM-050036	SamTrans	Repl. (76) 1993 Gillig 40' buses with hybrid buses	20,000,000	
SM-050039	SamTrans	Replace (10) 2001 El Dorado paratransit vehicles	1,323,963	
SON050026	SantaRosa Bus	Preventive Maintenance	1,200,000	
SON070011	SantaRosa Bus	6 Hybrid Electric Bus Purchase	1,395,481	
SON070012	SantaRosa Bus	Downtown Transit Mall Connectivity Improvements	436,681	
SON030012	SantaRosa Bus	Bus Stop Enhancements	29,347	
SOL050035	SolTrans	Replace (2) 2000 Chevy Mini Vans with cut-aways	133,625	
SON050016	Son Co Transit	CNG Bus Purchase	1,756,379	
SON050021	Son Co Transit	Bus Stop Improvement Project	9,782	
SOL050038	Vallejo	Replace 5 1983 40' RTS buses	1,705,095	
SOL050039	Vallejo	Replace 10 Paratransit Vans	483,214	
SOL030022	Vallejo	Ferry Major Components Rehabilitation	835,000	
SOL990039	Vacaville	Preventive Maintenance	1,528,025	
SCL990046	VTA	Preventive Maintenance	8,855,278	
SCL030005	VTA	Guadalupe Corridor LRT Platform Rehab & Retrofit	8,455,660	13,668,548
SCL050044	VTA	Replace Bus Fareboxes	2,377,973	
SCL050045	VTA	ADA Bus Stop Improvements	542,913	
SCL050047	VTA	De Anza Transit Center - Transit Enhancements	398,787	
SCL050048	VTA	CCTV / Video on Demand SecuritySystem	412,730	
SCL050002	VTA	LRT Rail Rehabilitation	1,086,400	
SCL050049	VTA	Rail Substation Rehabilitation / Replacement	522,282	
SCL050050	VTA	LRT Crossovers & Switches	0	
SCL070031	VTA	Chaboya Division Bus wash	796,294	
SCL070032	VTA	Upgrade Light Rail Station Public Address System	1,204,854	
CC-050039	Westcat	Replace 10 2002 Paratransit Vehicles	668,124	

FY 2007-08 FTA Section 5307 and 5309 Fixed Guideway				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
<u>Surplus Funds - Increase Two Caltrain Project Caps to \$13 Million</u>				
SM-03006B	Caltrain	Systemwide Track Rehab & Related Civil Structures		5,522,959
<u>Surplus Funds - 80% using 10% flexible set-aside formula</u>				
ALA991070	AC Transit	Preventive Maintenance	9,959,337	
ALA050066	ACE (SJRC)	Signal Upgrade Project (Stockton to Lathrop)		1,466,082
REG05010	BART	General Mainline Renovation	19,144,513	10,954,652
CC-050046	CCCTA	Complete APC and AVA with Security Upgrades	320,000	
CC-050052	CCCTA	On-Board Security Cameras	160,000	
CC-050053	CCCTA	Operations Facility Security Camera System	80,000	
CC-050056	CCCTA	ADA Bus Stops at County Connection Offices	160,000	
CC-030034	CCCTA	Preventive Maintenance	1,804,951	
CC-050057	CCCTA	Scheduling Software Components for Planning	40,000	
CC-050048	ECCTA	Luminator Demonstration Project	488,096	
CC-050029	ECCTA	Park and Ride Lots	2,220,471	
MRN010035	GGBHTD	Radio/AVL System Replacement	663,088	
MRN970017	GGBHTD	Ferry Dredging - Fixed Guideway Replace./Rehab.		737,773
ALA030030	LAVTA	Preventive Maintenance	1,402,730	
SF-050027	SFMTA	Articulated Trolley Coach Rehab	1,798,000	
SF-050034	SFMTA	Light Rail Vehicle Safety Mods	12,002,697	3,997,303
SF-970105	SFMTA	Third St. IOS/MME	2,400,000	
SF-950037	SFMTA	SF Muni Rail Replacement Program 1998-20	800,000	
SF-970170	SFMTA	SF Muni Trolley Overhead Recon. Program	500,000	
SF-99T002	SFMTA	Cable Car Infrastructure	500,000	
SF-030013	SFMTA	Wayside fare Collection	700,000	
SF-990003	SFMTA	Radio Replacement	4,017,066	
SF-050035	SFMTA	Replace Misc. Equipment	300,000	
SF-050037	SFMTA	Subway Fire Alarm & Detection Systems Replacement	1,500,000	
SF-050038	SFMTA	Potrero/Presidio - Trolley Coach Lifts	2,500,000	
SF-990004	SFMTA	Islais Creek Motor Coach Facility	1,000,000	
SF-030004	SFMTA	Security Improvements & Graffiti Prevention	404,134	
SM-050047	SamTrans	Replacement of SamTrans Fare Collection Equipment	3,798,052	
ALA050062	Union City	Revenue Vehicle Replacement	143,210	
SOL030019	Vallejo	Preventive Maintenance (bus & ferry systems)	1,549,094	
CC-030025	Westcat	Preventive Maintenance	366,993	
		Total Program	161,101,269	123,616,372
		Fund Balance	7,814,651	0

Notes:

The 2/22/06 revision includes revised revenue projections and the programming of \$210,910,298 of additional projects following the Transit Capital Priorities policy contained on pages 25 and 26 of MTC Resolution 3688, Revised.

The 3/26/08 Revision includes the following:

- 1) Sonoma County Transit is funding their replacement of 10 1996 CNG buses with alternative fund sources and will swap out the funds for preventive maintenance.
- 2) Operators in the Santa Rosa, Fairfield, and Vacaville Urbanized Areas, did not wish to participate in the ADA or 10% flexible set-aside programming elements.
- 3) ACE has also elected to not claim funds in the Livermore and San Jose UAs. This limits the amount of funding that ACE can receive from the remaining eligible UAs (San Francisco-Oakland and Concord) to \$1,057,000 per eligible project category.
- 4) Golden Gate Transit deferred replacement of 34 1991 40' TMC buses to FY20 in exchange for \$8,293,951 for Facilities Rehabilitation.
- 5) \$133,625 programmed to Benicia for van replacement was reprogrammed to Vallejo and then to SolTrans as part of the consolidation of the operators under SolTrans.

Date: March 26, 2008
W.I.: 1512
Referred By: PAC
Revised: 04/23/08-C
05/27/09-C
11/16/11-C

ABSTRACT

Resolution No. 3854, Revised

This resolution approves the FY 2008-09 FTA Section 5307 and FTA Section 5309 Fixed Guideway (FG) funds for inclusion in the 2009 Transportation Improvement Program (TIP).

This resolution was amended on April 23, 2008 to program an additional \$25.6 million to BART's Railcar Replacement Project.

This resolution was amended on May 27, 2009 to reconcile the program with the final FY 2008-09 FTA apportionments.

This resolution was amended on November 16, 2011 to reprogram funds from Benicia to Solano County Transit (SolTrans) to reflect the merger of Benicia and Vallejo transit services under SolTrans.

Further discussion of the FTA program of projects is contained in the Programming and Allocations Committee summary sheets dated March 5, 2008, April 9, 2008, May 13, 2009 and November 9, 2011.

FY 2008-09 FTA Section 5307 and 5309 Fixed Guideway					
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG	
			Actual Apportionment	218,801,549	132,240,508
			Previous Year Carryover	7,814,650	0
			Funds Available for Programming	226,616,199	132,240,508
			Enhancement Requirement	2,134,643	
PY Commitments - deferred from FY08					
REG050010	BART	General Mainline Renovation	1,941,561	2,530,437	
MRN050026	GGBHTD	Replace MS Sonoma Ferry Vessel	3,190,824	7,573,176	
ADA Set-Aside					
ALA990076	AC Transit	ADA Set-aside	6,754,499		
SCL991060	Caltrain	Caltrain/ACE Santa Clara Train Station	509,183		
BRT99T001B	BART	ADA Capital - Enhancements	1,638,209		
BRT99T001B	BART	ADA Capital	1,369,086		
SM-050040	Caltrain	ADA Set-aside	1,040,581		
CC-99T001	CCCTA	ADA Set-aside	666,327		
CC-030035	ECCTA	ADA Set-aside	483,916		
MRN99T001	GGBHTD	ADA Set-aside	1,148,960		
ALA990077	LAVTA	ADA Set-aside	291,986		
NAP030004	Napa VINE	ADA Set-aside	29,068		
SF-990022	SFMTA	ADA Set-aside	3,847,919		
SM-990026	SamTrans	ADA Set-aside	510,411		
SM-070049	SamTrans	Facility/Equipment Rehabilitation/Replacement	38,302		
SM-090018	SamTrans	Schedule & Database Software Enhancements	282,374		
SM-030023	SamTrans	Preventive Maintenance	192,000		
SOL990040	Vallejo Transit	ADA Set-aside	667,254		
SCL050046	VTA	ADA Set-aside	3,685,565		
CC-990045	WestCat	ADA Set-aside	111,237		

<u>10% Flexible Set-Aside</u>				
ALA990035	AC Transit	Satcom Expansion	250,000	
ALA990034	AC Transit	Maintenance Facilities Upgrades	1,804,414	
ALA050066	ACE	ACE signal upgrade project	234,599	
REG050006	BART	Strategic Maintenance Program	5,217,655	
SM070047	Caltrain	Caltrain Bridge Rehabilitation Program	435,836	
CC-030034	CCCTA	Preventive Maintenance	340,443	
CC-030037	ECCTA	Preventive Maintenance	324,300	
MRN991039	GGBHTD	Management Information System	752,358	
ALA030030	LAVTA	Preventive Maintenance	239,499	
NAP97AM58	Napa VINE	Preventive Maintenance	221,750	
SM030023	SamTrans	Preventive Maintenance	628,801	
SF070045	SFMTA	Trolley Coach Replacement	400,000	
SF-991001	SFMTA	Woods Lifts	150,000	
SF-070007	SFMTA	C3-Central Control & Communications	2,367,284	
SF-050026	SFMTA	Escalator Rehabilitation	2,050,000	
SF070047	SFMTA	C3-Subway PA and Passenger Display Systems Replacement	400,000	
ALA070062	Union City	Purchase Six (6) CNG Buses	23,710	
SOL070044	Vallejo	Revenue Vehicle Rehabilitation	112,000	
SOL070044	Vallejo	Revenue Vehicle Rehabilitation	64,000	
SOL070043	Vallejo	Maintenance/Operating Facilities	126,180	
SOL070042	Vallejo	Fixed/Heavy Equipment	57,600	
SOL070043	Vallejo	Maintenance/Operating Facilities	20,000	
SOL070042	Vallejo	Fixed/Heavy Equipment	8,000	
SOL070042	Vallejo	Fixed/Heavy Equipment	8,000	
SOL070041	Vallejo	Service Vehicles	48,000	
SOL070040	Vallejo	Office Equipment	134,400	
SOL030019	Vallejo	Preventive Maintenance	39,582	
SCL990046	VTA	Preventive Maintenance	3,766,520	
CC-070095	WestCat	Site security upgrade	60,759	
<u>Zero Emission Bus Advanced Demonstration Set-Aside</u>				
ALA991070	AC Transit	Preventive Maintenance	7,115,273	
SM030023	SamTrans	Zero Emission Bus Advanced Demonstration	1,091,227	
SCL990046	VTA	Zero Emission Bus Advanced Demonstration	2,735,500	
		Total Program Set-asides and Commitments	59,626,953	10,103,613
		Funds Available for Programming	166,989,245	122,136,895

FY 2008-09 FTA Section 5307 and 5309 Fixed Guideway				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
<u>Capital Projects</u>				
ALA991070	AC Transit	Preventive Maintenance	17,881,875	
ALA990052	AC Transit	Paratransit Van Leasing	1,640,000	
ALA050066	ACE (SJRC)	ACE signal upgrade project	326,289	730,711
New	BART	Car Replacement Program		12,565,777
BRT030004	BART	Train Control Renovation		13,000,000
BRT030005	BART	Traction Power		13,000,000
BRT97100B	BART	Rail, Way and Structures Program		13,000,000
SOL070035	Benicia	Shop Truck Replacement	24,000	
SM-050041	Caltrain	Signal/Communication Rehabilitation	4,664,329	2,835,671
SM-030006	Caltrain	Systemwide Track Rehab & Related Civil Structures		7,500,000
SM070047	Caltrain	Caltrain Bridge Rehabilitation Program		389,479
CC070094	CCCTA	Replace 40 diesel 40' buses	15,189,199	
CC070093	CCCTA	Replace 11 gasoline paratransit vans	646,470	
CC070092	ECCTA	1997 Transit Bus Replacement	4,622,058	
SOL010006	Fairfield	Operating assistance	2,424,006	
MRN030011	GGBHTD	Ferry Major Components	1,852,158	147,842
MRN990017	GGBHTD	Ferry channel & berth dredging	2,391,371	2,000,000
MRN030010	GGBHTD	Fixed Guideway Connectors		2,000,000
ALA070061	LAVTA	Bus Replacement (12) 30' Alt Fuel Vehicles	5,829,268	
NAP970010	Napa	Operating assistance	1,486,778	
SON070023	Petaluma	Bus-30'-Diesel	717,834	
SON070022	Petaluma	Cutaway - 24'	70,016	
SF-970073	SFMTA	Cable Car Vehicle Renovation	500,000	
SF-99T005	SFMTA	Historic Vehicle Rehabilitation	5,000,000	
SF-99T002	SFMTA	Cable Car Traction Power & Guideway Rehab	3,669,425	3,830,575
SF-030013	SFMTA	Wayside Fare Collection Equip	7,500,000	
SF070046	SFMTA	Rehab 170 Neoplans	5,031,982	
SF-950037	SFMTA	Rail Replacement 1998-2009		13,000,000
SF-970170	SFMTA	Overhead Rehab 1998-2009		13,000,000
SF-050024	SFMTA	Wayside/Central Train Control		7,500,000
SM-050036	SamTrans	Bus Repl: (126) 40" Vehicles	20,560,728	
SON050026	Santa Rosa CityBus	Preventive Maintenance	397,048	
SON030011	Santa Rosa CityBus	Operating assistance	1,318,000	
SON070020	Santa Rosa CityBus	Hybrid Electric Bus Purchase (Replacement)	1,277,490	
New	Santa Rosa CityBus	Automated Vehicle Location System	288,138	
SON030012	Santa Rosa CityBus	Bus Stop Enhancements	35,357	
SOL070039	SolTrans	Radio Equipment	32,000	
SOL070031	SolTrans	Replacement of two minivans	80,104	
SOL070030	SolTrans	Replacement of one cutaway vehicle	58,770	
SOL070038	SolTrans	GFI Genfare Fare Collection System	52,000	
SOL070037	SolTrans	Security Cameras on Buses	72,000	
SOL070036	SolTrans	Benicia Breeze Maintenance Facility	440,000	
SOL070022	SolTrans	Bus Shelter Replacement - 7	33,600	
SOL070022	SolTrans	Bus Shelter Purchase - 5	24,000	
SOL070035	SolTrans	Shop Truck Replacement	8,000	
SOL070034	SolTrans	Administrative Car	21,695	
SOL070033	SolTrans	Shop Equipment	80,000	
SOL070032	SolTrans	Preventative Maintenance	21,001	
SON030005	Sonoma County Transit	Preventive Maintenance	1,279,826	
SON050021	Sonoma County Transit	Bus Stop Improvement Project	11,786	

ALA070062	Union City	Purchase Six (6) CNG Buses	2,458,010	
SOL010007	Vacaville	Operating assistance	933,460	
SOL020022	Vallejo	Ferry Major Components Rehabilitation	380,000	
SOL070044	Vallejo	Revenue Vehicle Rehabilitation	152,000	
SOL070043	Vallejo	Maintenance/Operating Facilities	400,000	
SOL070042	Vallejo	Fixed/Heavy Equipment	240,000	
SOL030019	Vallejo	Preventive Maintenance	1,223,625	
SCL050002	VTA	Rail Replacement and Rehabilitation		1,607,250
SCL070045	VTA	Abatement of Light Rail Left-hand Turn and Intrusion		1,208,141
SCL030005	VTA	Guadalupe Corridor Platform Retrofit		10,019,076
New	VTA	Guadalupe OCS Rehabilitation & Replacement Prog		693,750
SCL050001	VTA	Procure Small Capacity Replacement Buses	3,000,000	
SCL050049	VTA	Rail Substation Rehab/Replacement		2,025,000
SCL070048	VTA	Bus Surveillance Equipment Replacement	421,942	
SCL050045	VTA	Bus Stop Pavement, Duckouts, & Accessibility	449,292	
SXL070046	VTA	Paratransit Vehicles	596,970	
SCL990046	VTA	Preventive Maintenance	27,955,238	
CC070091	Westcat	Purchase of fully validating fareboxes	417,600	
New	WETA	Fixed Guideway Connectors	509,344	1,140,656
Total Program			146,696,078	121,193,930
Fund Balance			20,293,167	942,966

Notes:

- 1) Operators in the Santa Rosa , Fairfield, and Vacaville Urbanized Areas did not wish to participate in the ADA or 10% flexible set-aside programming elements, and operators in the Napa and Petaluma UAs do not participate in the ADA set-aside.
- 2) Funds are programmed to WETA for an Alameda-Oakland Ferry project contingent on the adoption of a transition plan for WETA's assumption of responsibility for maintaining AOF's capital assets.
- 3) AC Transit is exchanging funds programmed for its Zero Emission Bus project and the replacement of 19 60-foot Articulated Buses, (11) 45-foot Suburban Buses, and (6) 45-foot Suburban Buses for \$24,602,980 in preventive maintenance.
- 4) VTA is exchanging funds programmed for its Zero Emission Bus project \$2,735,500 in preventive maintenance.
- 5) Unobligated funds programmed to Benicia were reprogrammed to SolTrans as part of the consolidation of Benicia and Vallejo transit services under SolTrans.

Date: July 22, 2009
W.I.: 1512
Referred By: PAC
Revised: 04/28/10-C
05/26/10-C
06/22/11-C
11/16/11-C

ABSTRACT

Resolution No. 3916, Revised

This resolution approves the FY 2009-10 through FY 2011-12 FTA Section 5307 and FTA Section 5309 Fixed Guideway (FG) programs for inclusion in the 2009 Transportation Improvement Program (TIP).

This resolution was revised on April 28, 2010 to reprogram \$17.5 million in Section 5307 funds from SFMTA to AC Transit as part of funding exchange with CMAQ funds.

This resolution was revised on May 26, 2010 to reconcile the FY 2009-10 program with the final FY 2009-10 FTA apportionments, and to program the FY 2010-11 and FY 2011-12 Vehicle Procurement Reserve to BART (\$80 million) and Caltrain (\$70 million) for their rail car replacement projects.

This resolution was revised on June 22, 2011 to reconcile the FY 2010-11 program with the final FY 2011 FTA apportionments, implement an exchange of \$17.5 million in CMAQ funds programmed to AC Transit's Bus Rapid Transit project for FTA preventive maintenance funding, and transfer \$5 million from Caltrain's Railcar Replacement project to preventive maintenance.

This resolution was amended on November 16, 2011 to reconcile the FY 2011-12 program with revised estimates of FY 2012 FTA apportionments prior to amending the program into the TIP. The revisions address a potential \$38 million revenue shortfall by withholding Flexible Set-Aside funds, deferring projects and making other program reductions; and also reprogram funds previously programmed to Vallejo in FY 2011 and FY 2012 to Solano County Transit (SolTrans) to reflect the merger of Benicia and Vallejo transit services under SolTrans.

ABSTRACT

MTC Resolution No. 3916, Revised

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Further discussion of the FTA program of projects is contained in the Programming and Allocations Committee summary sheets dated July 8, 2009, April 14, 2010, May 12, 2010, June 8, 2011 and November 9, 2011.

FY 2010-11 FTA Section 5307 and 5309 Fixed Guideway Program				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
		Actual Apportionment	216,504,664	132,223,176
		Previous Year Carryover	9,819,979	8,901,518
		Funds Available for Programming	226,324,643	141,124,694
ADA Set-Aside				
ALA990076	AC Transit	ADA Operating Assistance	4,339,305	
ALA010056	ACE	ACE Track Improvements	553,354	
BRT99T01B	BART	ADA Capital - Enhancements	3,251,332	
REG090051	Caltrain	Revenue Vehicle Rehab Program	1,129,418	
CC-99T001	CCCTA	ADA Operating Assistance	732,526	
CC-030035	ECCTA	ADA Operating Assistance	537,405	
MRN090033	GGBHTD	ADA Operating Assistance	1,229,437	
ALA990077	LAVTA	ADA Operating Assistance	311,817	
NAP030004	Napa Vine	ADA Operating Assistance	24,436	
SF-990022	SFMTA	ADA Operating Assistance	4,117,438	
SM-990026	SamTrans	ADA Operating Assistance	1,094,747	
SOL990040	Vallejo Transit	ADA Operating Assistance	624,814	
SCL050046	VTA	ADA Operating Assistance	3,884,698	
CC-990045	WestCat	ADA Operating Assistance	119,028	
Economic Reserve				
SM-050005	BART	Preventive Maintenance	12,599,452	
CC-030034	CCCTA	Preventative Maintenance	827,797	
CC-030037	ECCTA	Preventive Maintenance	263,844	
REG090050	WETA	Ferry Major Component	64,411	
Vehicle Procurement Reserve				
REG050020	BART	BART Car Replacement Exchange Preventive Maintenance	25,940,067	
REG090037	BART	Railcar Replacement	7,284,799	
		Total Program Set-asides and Commitments	68,930,125	0
		Funds Available for Programming	157,394,518	141,124,694
Capital Projects				
ALA990052	AC Transit	Paratransit Van Leasing	1,706,256	
ALA991070	AC Transit	Preventive Maintenance	34,500,000	
ALA010056	ACE	ACE Track Improvements	1,460,000	
BRT030004	BART	Train Control		13,000,000
BRT030005	BART	Traction Power		13,000,000
BRT97100B	BART	Track Replacement Rehabilitation	2,496,035	10,503,965
ALA090065	BART	Replacement of Fixed Guideway Elements and Fare Collection Equipment	2,520,000	
SM-03006B	Caltrain	Systemwide Track Rehabilitation & Related Structures		12,940,248
SM-050041	Caltrain	Signal/ Communication Rehabilitation & Upgrades		329,752
REG090053	Caltrain	Preventive Maintenance	5,000,000	
CC-030034	CCCTA	Preventive Maintenance	5,466,170	
CC-070092	ECCTA	Transit Bus Replacements	5,263,853	
SOL010006	Fairfield	Operating Assistance	2,497,847	
MRN090034	GGBHTD	Replace 30 - 1997 45' Over-the-Road Buses	15,264,600	
MRN090035	GGBHTD	Replace 7 paratransit vans	445,669	
MRN990017	GGBHTD	Ferry Dredging	2,000,000	
MRN090025	GGBHTD	Ferry Propulsion	3,660,000	
NAP970010	Napa	Operating Assistance	1,438,183	
SON090030	Petaluma	Electronic Fareboxes	120,000	
SON090029	Petaluma	2 Van Replacement	180,940	
SON090010	Petaluma	Preventive maintenance	193,502	

FY 2010-11 FTA Section 5307 and 5309 Fixed Guideway Program				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
SF-99T005	SFMTA	Historic Vehicle Rehabilitation		10,000,000
SF-970073	SFMTA	Cable Car Vehicle Renovation		1,102,500
SF-090035	SFMTA	Paratransit Van Replacement	1,945,341	
SF-070046	SFMTA	Rehab 170 Neoplan Motor Coaches	4,800,000	
SF-070045	SFMTA	Trolley Car Replacement		20,000,000
SF-95037B	SFMTA	Rail Replacement	4,026,555	14,040,000
SF-970170	SFMTA	Overhead Rehabilitation		14,040,000
SF-050024	SFMTA	Wayside Train Control Equipment Rehab and Replacement		7,500,000
SF-030013	SFMTA	Wayside Fare Collection Equipment Rehab and Replacement		700,000
SM-030023	SamTrans	Preventive Maintenance	5,092,763	
SM-090042	SamTrans	Replacement of 10 2007 Minivans	403,930	
SON030011	Santa Rosa	Operating Assistance	1,318,170	
SON090024	Santa Rosa	Preventive Maintenance	1,634,486	
SON030012	Santa Rosa	Bus Stop Enhancements	34,694	
SON070020	Santa Rosa	Hybrid Electric Bus Purchase (Replacement)	482,559	
SON050021	Sonoma County	Bus Stop Improvement Project	11,565	
SON030005	Sonoma County	Preventive Maintenance	1,145,068	
ALA090061	Union City	Replacement of Four (4) Transit Buses	1,658,276	
ALA090064	Union City	Replacement of Two (2) Transit Buses	854,758	
SOL010007	Vacaville	Operating Funds	973,000	
SOL97AM70	Vacaville	Bus Shelters	400,000	
SOL090028	SolTrans	Communication Upgrades (AVL, GPS, and other)	1,728,000	
SOL090029	SolTrans	Bus Radio(s) replacement	94,000	
SOL090030	SolTrans	Vault Receiver	88,000	
SOL090031	SolTrans	Bill Counters	8,000	
SOL090032	SolTrans	Public Address System	28,000	
SOL090033	SolTrans	Bus Maintenance Facility Renovation	800,000	
SCL050002	VTA	Rail Rehabilitation & Replacement		1,683,000
SCL090044	VTA	TP OCS Rehab & Replacement		6,098,250
SCL050049	VTA	TP Substation Replacement		4,767,000
SCL050045	VTA	ADA Bus Stop Improvements	442,846	
SOL010006	VTA	Preventive Maintenance	36,432,424	
CC-090060	WestCAT	Revenue Vehicle Replacement	1,015,640	
New	WestCAT	Bus Wash	150,000	
New	WestCAT	Vehicle Rehab	180,585	
REG090054	WETA	Harbor Bay Dredging	200,000	
REG090057	WETA	Ferry Major Component	336,000	
REG090055	WETA	Ferry Propulsion Systems	1,600,000	
New	WETA	Facilities Rehabilitation	200,000	
REG090067	WETA	Fixed Guideway Connectors	1,344,000	
Total Capital Projects			153,641,715	129,704,715
Total Program			222,571,840	129,704,715
Fund Balance			3,752,803	11,419,979

Notes:

- Operators in the Napa and Petaluma UAs do not participate in the ADA set-aside.
- The 10% Flexible Set-Aside was not programmed in FY11 due to apportionment shortfalls in FY11 and projected shortfalls in FY12.
- AC Transit exchanged \$20,000,000 for replacement of 68 low-floor 40' buses for preventive maintenance. The buses will be procured with I-bond funds. \$3,000,000 of the preventive maintenance funding was deferred to FY12.
- AC Transit exchanged \$17,500,000 in CMAQ programmed to its BRT project for \$17,500,000 in 5307 for preventive maintenance. CMAQ funds were reprogrammed to SFMTA's Central Subway; \$17.5M I-Bond funds were transferred from Central Subway to BART's Fixed Guideway projects, which will be reduced by \$17.5M in TCP funds in FY12.
- Caltrain exchanged \$5,000,000 in FY12 funding for Railcar Replacement for preventive maintenance in FY11. The Railcar funding will be replaced by Caltrain using non-TCP funds. The region will not replace the \$5 million, meaning that the share of regional participation in car replacement will decrease by \$5 million.
- CCCTA deferred replacement of 10 40' buses from FY11 to FY23 in exchange for \$5,466,170 in preventive maintenance.
- Petaluma deferred replacement of 8 cutaways in exchange for \$238,447 in preventive maintenance in FY10. Due to insufficient funds in Petaluma UA, \$105,522 from Bus Replacement and \$87,980 in Van Replacement transferred to PM in FY10. Funds were restored in FY11 as preventive maintenance; the vehicles were purchased with local funds.
- SFMTA deferred \$20,000,000 programmed in FY11 and \$4,159,333 programmed in FY12 for replacement of 45 40' NABI buses to FY13 in exchange for \$4,026,555 for Rail Replacement.
- SamTrans deferred replacement of 62 1998 Gillig buses to FY12 and 10 to FY23 in exchange for \$5,092,763 in preventive maintenance.
- Sonoma County Transit exchanged \$400,000 for replacement of one 40' CNG bus in exchange for preventive maintenance. The bus will be procured with ARRA funds.
- WestCAT deferred \$3,326,130 for replacement of 9 out of 11 40' buses from FY11 to FY13 in exchange for \$276,500 to upgrade the two remaining buses to 45' OTR coaches, \$150,000 for a bus wash, and \$180,585 for vehicle rehabs.
- Unobligated funds programmed to Vallejo were reprogrammed to SolTrans as part of the consolidation of Benicia and Vallejo transit services under SolTrans.

FY 2011-12 FTA Section 5307 and 5309 Fixed Guideway Program				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
		<i>Projected Apportionment</i>	213,257,094	130,239,828
		<i>Previous Year Carryover</i>	3,752,803	11,419,979
		Funds Available for Programming	217,009,897	141,659,807
Flexible Set-Aside Reserve			0	
ADA Set-Aside				
ALA990076	AC Transit	ADA Operating Assistance	3,961,150	
ALA050042	ACE	ADA Operating Assistance	506,887	
BRT99T01B	BART	ADA Paratransit Capital Accessibility Improve	2,972,888	
REG090051	Caltrain	Revenue Vehicle Rehab Program	1,045,789	
CC-99T001	CCCTA	ADA Operating Assistance	672,718	
CC-030035	ECCTA	ADA Operating Assistance	487,639	
MRN99T001	GGBHTD	ADA Operating Assistance	1,122,296	
ALA990077	LAVTA	ADA Operating Assistance	295,715	
NAP030004	Napa Vine	ADA Operating Assistance	24,070	
SF-990022	SFMTA	ADA Operating Assistance	3,758,618	
SM-990026	SamTrans	ADA Operating Assistance	999,343	
SOL990040	SoiTrans	ADA Operating Assistance	593,943	
SCL050046	VTA	ADA Operating Assistance	3,638,697	
CC-990045	WestCat	ADA Operating Assistance	108,655	
Economic Reserve				
CC-030037	ECCTA	Preventative Maintenance	278,564	
CC-030025	WestCat	Preventative Maintenance	146,362	
REG110020	WETA	Facilities Rehabilitation	64,411	
Vehicle Procurement Reserve				
REG090037	BART	Railcar Replacement	36,775,134	10,000,000
REG050020	BART	BART Car Exchange Preventive Maintenance	22,979,594	1,000,000
New	Caltrain	Railcar Replacement - RESERVED	18,289,069	9,144,200
Total Program Set-asides and Commitments			98,721,542	20,144,200
Funds Available for Programming			118,288,355	121,515,607
Capital Projects				
ALA990052	AC Transit	Paratransit Van Leasing	1,740,381	
ALA991070	AC Transit	Preventive Maintenance	12,191,982	
ALA991070	AC Transit	Preventive Maintenance - Reserved	10,000,000	
ALA010056	ACE	Santa Clara Track Improvements	1,460,000	
BRT030004	BART	Train Control		8,000,000
BRT030005	BART	Traction Power	4,590,774	3,409,226
BRT97100B	BART	Track Replacement Rehabilitation	566,055	7,433,945
BRT030005	BART	Replacement of Fixed Guideway Elements and Fare Collection Equipment		20,000
SM-03006B	Caltrain	Systemwide Track Rehabilitation & Related Structures		13,270,000
REG090053	Caltrain	Preventive Maintenance	3,333,333	1,666,667
New	CCCTA	Replace (10) 40' buses - Hybrid	5,627,420	
New	CCCTA	Replace (4) LINK Vans	371,840	
New	CCCTA	Replace (4) Minivans	173,556	
New	ECCTA	1995 Transit Bus Replacement	2,774,881	
CC-090039	ECCTA	Clipper Fareboxes	102,299	
CC-050029	ECCTA	Park and Ride Facility Land Purchase - Security Project	0	
SOL010006	Fairfield	Operating Assistance	2,460,379	
New	GGBHTD	Replace 2 - 1998 45' Over-the-Road Buses	1,048,234	
New	GGBHTD	Replace 3 - 2005 paratransit vans	195,897	
MRN030010	GGBHTD	Fixed Guideway Connectors	1,000,000	
MRN030011	GGBHTD	Ferry Major Component Replacement	400,000	
MRN090025	GGBHTD	Ferry Propulsion	4,260,000	

FY 2011-12 FTA Section 5307 and 5309 Fixed Guideway Program				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
ALA030030	LAVTA	Preventative Maintenance	116,780	
NAP970010	Napa	Operating Assistance	1,416,610	
New	Petaluma	Communication Equipment	46,371	
SF-99T005	SFMTA	Historic Vehicle Rehabilitation		13,146,553
SF-970073	SFMTA	Cable Car Vehicle Renovation		1,157,625
New	SFMTA	Paratransit Van Replacement	206,824	
SF-070046	SFMTA	Rehab 170 Neoplan Motor Coaches	4,800,000	
SF-070045	SFMTA	Trolley Car Replacement	1,174,792	18,825,208
SF-950037B	SFMTA	Rail Replacement		10,290,000
SF-99T002	SFMTA	Cable Car System Rehabilitation		7,500,000
SF-970170	SFMTA	Overhead Rehabilitation		10,290,000
SF-050024	SFMTA	Wayside Train Control Equipment Rehab and Replacement		7,500,000
SF-030013	SFMTA	Wayside Fare Collection Equipment Rehab and Replacement		700,000
New	SamTrans	Advanced Communication System (ACS) Upgrades	2,115,216	
New	SamTrans	Replacement of 10 2005 El Dorado 22' Cutaways	1,231,388	
SON030011	Santa Rosa CityBus	Operating Assistance	1,318,170	
SON090024	Santa Rosa CityBus	Preventive Maintenance	1,491,261	
SON030012	Santa Rosa CityBus	Bus Stop Enhancements	34,173	
SON070020	Santa Rosa CityBus	Hybrid Electric Bus Purchase (Replacement)	573,713	
New	SoTrans	Fare Collection Equipment	7,200	
SOL050012	SoTrans	Stations/Curtola/Lemon Bus Transit Center	2,500,000	
SON070024	Sonoma County Transit	Bus Replacement	1,565,233	
SON030005	Sonoma County	Preventive Maintenance	135,000	
SON050021	Sonoma County Transit	Bus Stop Improvement Project	11,391	
SOL010007	Vacaville	Operating Funds	983,000	
SCL050045	VTA	ADA Bus Stop Improvements	460,559	
SCL990046	VTA	Preventive Maintenance	38,286,489	
SCL050002	VTA	Rail Replacement Program		2,586,048
SCL090044	VTA	TP OCS Rehab & Replacement		2,209,701
SCL050049	VTA	Rail Substation Rehab/Replacement		978,000
New	VTA	Light Rail Bridge and Structure - SG Repair		1,360,000
New	VTA	Kinkisharyo LRV Overhaul Program		1,029,600
New	VTA	LRV Body Shop Dust Separation Wall		436,000
New	VTA	LRV Maintenance Shop Hoist		2,749,856
New	VTA	LR Signal Assessment / SCADA System Replacement		2,800,000
New	VTA	Light Rail Track Crossovers and Switches		579,578
New	VTA	Update Santa Teresa Interlock Signal House		688,000
New	VTA	Diridon Tunnel Radio Replacement		209,600
New	WestCat	Revenue Vehicle Replacement	1,857,205	
New	WestCAT	Service Vehicle Replacement	31,721	
REG090057	WETA	Ferry Major Component Rehab/Replacement		1,655,000
REG090054	WETA	Ferry Channel & Berth Dredging		200,000
REG090067	WETA	Fixed Guideway Connectors		825,000
		Total Capital Projects	112,660,129	121,515,607
		Total Program	211,381,670	141,659,807
		Fund Balance	5,628,227	0

Notes:

- Operators in the Santa Rosa, Fairfield, and Vacaville Urbanized Areas did not wish to participate in the ADA or 10% flexible set-aside programming elements, and operators in the Napa and Petaluma UAs do not participate in the ADA set-aside.
- AC Transit deferred \$3,000,000 for preventive maintenance from FY11 to FY12 and exchanged \$19,191,982 for bus replacements for PM in FY12. \$10,000,000 of PM to be held in reserve until AC Transit meets conditions specified in MTC Resolutions 3831, 3880 and 3916 revised June 2011.
- Caltrain exchanged \$10,000,000 in FY12 funding for Railcar Replacement for \$5,000,000 preventive maintenance in FY11 and 5,000,000 preventive maintenance in FY12. The region will not replace the \$10M for the Caltrain rail cars, meaning that the share of regional participation in Car Replacement will be reduced by \$10M.
- SFMTA deferred \$20,000,000 programmed in FY11 and \$4,159,333 programmed in FY12 for replacement of 45 40' NABI buses to FY13 in exchange for \$4,026,555 for Rail Replacement in FY11.
- SamTrans deferred \$24,745,874 for replacement of 62 1998 Gillig Buses from FY12 to FY13 in exchange for \$2,115,216 for Advanced Communication System (ACS) Upgrades.
- Sonoma County Transit exchanged \$135,000 in partial funding for bus replacement for an equal amount in Preventive Maintenance. The bus procurement will be completed with Prop. 1B, TDA/STA and air district funds.
- WestCAT deferred \$380,657 for replacement of one 40' bus to FY13 in exchange for \$31,721 for replacement of one service vehicle.
- AC Transit exchanged \$17,500,000 in CMAQ programmed to its BRT project for \$17,500,000 in 5307 for preventive maintenance in FY11. CMAQ funds were reprogrammed to SFMTA's Central Subway; \$17.5M I-Bond funds were transferred from Central Subway to BART's Fixed Guideway projects, which were reduced by \$17.5M in TCP funds in FY12.
- WETA deferred \$1,000,000 of fixed guideway cap funding to FY13.
- Unobligated funds programmed to Vallejo were reprogrammed to SoTrans as part of the consolidation of Benicia and Vallejo transit services under SoTrans.
- VTA used its FY12 fixed guideway project cap of \$9,450,000 and \$6,176,383 of its FY13 fixed guideway project cap for fixed guideway projects in FY12. VTA's fixed guideway project cap in the FY13 program will be reduced by \$6,176,383.