BATA Operating Budget

AS of June (unaudited) 2011

	1	2	3	4	5
	FY 2010-11 Budget	Actual YTD	Over (Under) Budget	% of Budget (col 2/1)	% of Budget year Expired
REVENUE:					
1. RM 1 Toll Revenues	484,223,290	483,549,474	(673,816)	99.9%	100.0%
2. RM 2 Toll Revenues	112,000,000	113,812,473	1,812,473	101.6%	100.0%
3. Caltrans Revenue	153,000,000	153,000,000	-	100.0%	100.0%
4. Other revenue	10,000,000	17,714,332	7,714,332	177.1%	100.0%
5. Interest Income	29,011,200	12,059,206	(16,951,994)	41.6%	100.0%
6. GGB&HTD, SFO ETC Reimbursement	2,904,000	3,690,214	786,214	127.1%	100.0%
7. Alameda CMA Reimbursement	312,000	692,815	380,815	222.1%	100.0%
9. Rebate for Build America Bonds	106,590,400	72,638,218	(33,952,182)	68.1%	100.0%
Total Revenue	898,040,890	857,156,732	(40,884,158)	95.4%	100.0%
EXPENSE:					
Caltrans Operations and Maintenance:					
1.Toll Collection & Operations Services	21,600,000	20,864,693	(735,307)	96.6%	100.0%
2.Toll & Bridge Facility Maint	7,900,000	5,326,964	(2,573,036)	67.4%	100.0%
3.Transbay Transit Terminal Maint	508,685	508,685	(0)	100.0%	100.0%
4. Caltrans Coordination	500,000	263,384	(236,616)	52.7%	100.0%
5. Caltrans ETC Operations	10,000	1,704	(8,296)	17.0%	100.0%
Caltrans O & M Subtotal	30,518,685	26,965,430	(3,553,255)	88.4%	100.0%
ETC Operations and Maintenance:					
6. ETC - CSC Operations	16,000,000	16,776,268	776,268	104.9%	100.0%
7. ETC - ATCAS Maintenance, IT equip	2,923,723	2,916,956	(6,767)	99.8%	100.0%
8. Banking Costs	10,200,000	9,130,692	(1,069,308)	89.5%	100.0%
9. ETC - Collection Exp./DMV Exp.	1,340,000	1,264,078	(75,922)	94.3%	100.0%
BATA O & M Subtotal	30,463,723	30,087,994	(375,729)	98.8%	100.0%
Transbay Transit Terminal Maint by TJP	3,308,152	3,001,398	(306,754)	90.7%	100.0%
BATA Toll Bridge Administration:					
10. Staff Costs - including salaries	7,766,087	7,620,578	(145,509)	98.1%	100.0%
11. RM 1/RM2 Audit/Accounting/Other	1,264,446	714,073	(550,373)	56.5%	100.0%
12. Misc. Toll Admin Operating Expenses	900,000	548,084	(351,916)	60.9%	100.0%
13. Professional Fees	3,580,000	2,220,525	(1,359,475)	62.0%	100.0%
14. Other	1,000,000	183,051	(816,949)	18.3%	100.0%
Toll Bridge Admin Subtotal	14,510,533	11,286,311	(3,224,222)	77.8%	100.0%
Other/Transfers:					
15. Transfers to BAIFA	153,000,000	153,000,000	-	100.0%	100.0%
16. Transfers to MTC	511,924,643	513,239,878	1,315,235	100.3%	100.0%
17. Transfers from Reserve for Legal	1,528,851	8,858,660	7,329,809	579.4%	100.0%
18. Depriciation and Amortization	2,000,000	1,976,410	(23,590)	98.8%	100.0%
19. RM2/Clipper Marketing	2,285,000	2,146,459	(138,541)	93.9%	100.0%
20. RM2 Operating	42,560,000	40,010,242	(2,549,758)	94.0%	100.0%
Transfers	713,298,494	719,231,648	5,933,154	100.8%	100.0%
Debt Service:					
21. Interest and principal payments	560,846,558	433,014,336	(127,832,222)	77.2%	100.0%
22. Financing Costs	67,800,000	20,882,753	(46,917,247)	30.8%	100.0%
23. Gain on Swap/Hedge Termination		(5,703,359)	(5,703,359)	100.0%	100.0%
Total Debt Service	628,646,558	448,193,729	(180,452,829)	71.3%	100.0%
Transfer to Capital Fund In (Out):					
24. Transfer to Capital Fund	(519,447,103)	(378,642,060)	140,805,043	72.9%	100.0%
25. Furniture/Equip./Vehicle	50,000	33,680	(16,320)	67.4%	100.0%
Total Capital Reserve In (Out)	(519,397,103)	(378,608,380)	140,788,723	72.9%	100.0%
Total Expense & Transfers	898,040,890	857,156,732	(40,884,158)		
Net	-	-	(0)		

PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR

$2500-$100,000

	June' 11 (Unaudited)
Color Folio	9,200
Photos of Shanghai Bridge Work	
BATA	10,000
Fastrak Promotional Retailer Discounts & Free Tolls	
B&H Photo Video	9,767
Camera used for Dumbarton Falcon Photos	
Nematode Holdings LLC.	2,542
Advertisements for Clipper & Fastrak	
Printeam	5,620
Toll Violation Notices	
Valmont Industries, Inc.	6,356
Fastrak Sign Improvements	
CA UNIDATA	5,123
Network Interface Card	

Regional Measure 2 Operating Budget

As of June 2011 (Unaudited) ($000)

Project	Project Title	Total Budget	Allocation	Actual
1	Richmond Bridge Express Bus	$2,196	2,196	1,830
2	Napa Vine Service	390	390	390
3	Express Bus North - serving SFOBB, Dumbarton, San Mateo bridges	3,427	3,427	3,377
4	Express Bus South - serving Carquinez and Benicia Bridges	6,281	6,220	6,199
6	Vallejo Ferry Intermodal Station	12,453	12,453	11,877
7	Owl Service - BART Corridor	1,827	1,827	1,827
8	MUNI Metro 3rd St	2,500	2,500	2,500
9	AC Enhanced Bus Service	3,000	3,000	3,000
10	Clipper Operations	6,926	6,926	6,261
11	Water Emergency Transportation Authority	3,000	3,000	2,749
	Subtotal for Operating Assistance Program	42,000	41,939	40,010
N/A	Clipper Marketing	1,735	-	1,631
N/A	RM2 Marketing	550	-	516
	Total for Clipper and RM2 Marketing	2,285	-	2,147
	Total	$44,285	$41,939	$42,157

Regional Measure 2 Project Budget

As of June 2011 (Unaudited) ($000) - Life to Date

Program	Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
1	BART/MUNI Direct Connection at Embarcadero & Civic Center	$3,000	-	-	-	$3,000
2	SF MUNI Metro 3rd Street LRT Extension	30,000	30,000	30,000	-	-
3	MUNI Historic Streetcar Expansion (F-Line)	10,000	10,000	7,901	2,099	-
4	Dumbarton Commuter Rail Service *	44,000	9,157	6,860	2,297	34,843
5	Vallejo Ferry Intermodal Station	28,000	17,360	6,865	10,495	10,640
6	Solano County Express Bus Intermodal Facilities	20,000	8,523	3,837	4,686	11,477
7	Solano County Corridor Improvements near I-80 / I-680	100,000	97,622	63,722	33,900	2,378
8	I-80 EB HOV Lane Extension from Route 4 to Carquinez Bridge	50,000	36,735	31,523	5,212	13,265
9	Richmond Parkway Park & Ride	16,000	850	631	219	15,150
10	SMART Extension to Larkspur	35,000	13,406	13,251	155	21,594
11	Greenbrae Interchange	65,000	15,850	14,348	1,502	49,150
12	Direct HOV lane connector from I-680 to the Pleasant Hill BART	15,000	5,800	682	5,118	9,200
13	Rail Extension to East Contra Costa/E-BART	96,000	96,000	32,372	63,628	-
14	Capitol Corridor Improvements in Interstate-80/Interstate 680	25,000	3,365	3,365	-	21,635
15	Central Contra Costa Bay Area Rapid Transit (BART) Crossover	25,000	25,000	22,924	2,076	-
16	Benicia-Martinez Bridge: New Span	50,000	50,000	50,000	-	-
17	Remaining Regional Express Bus North - Competitive Program	20,000	5,232	1,406	3,826	14,768
18	Clipper	22,000	20,739	15,449	5,290	1,261
19	Real-time transit information	20,000	17,644	11,411	6,233	2,356
20	Safe Routes to Transit	22,500	12,759	6,725	6,034	9,741
21	BART Tube Seismic Retrofit	33,801	33,801	33,674	127	-
22	Transbay Terminal/Downtown	150,000	150,000	141,022	8,978	-
23	Oakland Airport Connector	115,199	115,199	42,258	72,941	-
24	AC Transit Enhanced Bus - Phase 1 (International Blvd/Telegraph Ave.	65,000	23,157	19,385	3,772	41,843
25	Commute Ferry Service for Alameda/Oakland/Harbor Bay	12,000	-	-	-	12,000
26	Commute Ferry Service for Berkeley/Albany	12,000	-	-	-	12,000
27	Commute Ferry Service for South San Francisco	12,000	12,000	11,998	2	-
28	Water Transit Facility Imps., Spare Vessels and Environmental Review	48,000	27,755	24,669	3,086	20,245
29	Regional Express Bus South - Remaining Projects	22,000	21,989	19,533	2,456	11
30	I-880 North Safety Improvements	10,000	8,660	4,538	4,122	1,340
31	BART Warm Springs Extension *	186,000	186,000	76,421	109,579	-
32	I-580 (Tri Valley) Rapid Transit Corridor Improvements	65,000	45,877	40,566	5,311	19,123
33	Regional Rail Master Plan	6,500	6,443	5,958	485	57
34	Integrated Fare Structure Program	1,500	1,500	670	830	-
35	Transit Commute Benefits Promotion	5,000	3,220	1,819	1,401	1,780
36	Caldecott Tunnel Improvements	50,500	42,202	15,708	26,494	8,298
37	BART's Fixed Guideway Rehab	24,000	24,000	8,568	15,432	-
	Total	$1,515,000	$1,177,845	$770,059	$407,786	$337,155

* Allocated $91 million from the Dumbarton Commuter Rail Service to the BART to Warm Springs Extension Project, per Resolution #3801 dated 1/28/09.

Shaded projects are completed

RM1 Project Budget

As of June 2011 (Unaudited) ($000) - Life to Date

Program	Total Budget	Allocated Budget	Total Expenses	Encumbrance	Balance Remaining
New Bridge - Benicia:					
BATA Budget	1,224,800	1,200,949	1,170,237	30,712	23,851
Non BATA Budget*	47,700	47,700	38,299	9,401	-
Subtotal for New Bridge - Benicia	1,272,500	1,248,649	1,208,536	40,113	23,851
Main Span - Carquinez	517,656	515,497	513,220	2,277	2,159
Richmond Parkway	5,897	5,897	5,226	671	-
Richmond Trestle Fender Replacement: **					
BATA Budget	37,593	34,800	50,193 **	(15,393)	2,793
Non BATA Budget*	59,660	59,660	61,642	(1,982)	-
Subtotal for Richmond Trestle Fender Rep.	97,253	94,460	111,835	(17,375)	2,793
Richmond Deck Rehab.	20,000	19,653	19,653	-	347
Widen Trestle	209,956	211,202	209,753	1,449	(1,246)
I-880/SR-92 Interchange Recnst.					
BATA Budget	235,400	226,414	209,105	17,309	8,986
Non BATA Budget*	9,600	9,600	-	9,600	-
Subtotal for I-880/SR-92 Interchange Recnst	245,000	236,014	209,105	26,909	8,986
SR-92 Replacement Planting	395	22	-	22	373
Bayfront Expressway Widening	33,968	33,447	33,395	52	521
University/101 Interchange	3,800	3,800	3,700	100	-
TOTAL FOR RM1 PROGRAM	2,406,426	2,368,641	2,314,423	54,218	37,784

* Non BATA Funded Costs

** EA 0438UY has $16.9 million in expenses that is part of the Richmond Bridge Retrofit Program in the Seismic Budget

Seismic Capital Project Budget

As of June 2011 (Unaudited) ($000) - Life to Date

Program	Base Budget	Current Budget	Total Expenses*	Encumbrance	Remaining Balance
San Francisco-Oakland Bay Bridge East Span Repl	$ 5,486,600	$ 6,290,380	$ 4,887,188	$ 1,403,192	$ -
San Francisco-Oakland Bay Bridge West Span	307,900	307,900	305,316	2,584	$ -
San Francisco-Oakland Bay Bridge West Approach	429,000	467,700	446,855	20,845	$ -
Antioch Bridge Retrofit	-	101,000	45,547	55,453	$ -
Dumbarton Bridge Retrofit	-	149,000	41,398	107,602	$ -
Richmond-San Rafael Bridge Retrofit ***	825,000	816,500	794,861 ***	21,639	$ -
Benicia-Martinez Bridge Retrofit	177,800	177,830	177,817	13	$ -
Carquinez Bridge Retrofit	114,200	114,130	114,206	-	$ (76)
San Mateo-Hayward Bridge Retrofit	163,500	163,510	163,412	98	$ -
Subtotal for Bay Area Bridges	7,504,000	8,587,950	6,976,600	1,611,426	(76)
Misc Program Costs	30,000	30,000	26,024	3,976	$ -
Program Contingency**	989,000	302,020	-	302,020	$ -
Vincent Thomas Bridge Retrofit *(non-BATA, for information only)*	58,500	58,510	58,411	99	$ -
San Diego-Coronado Bridge Retrofit *(non BATA, for information only)*	103,500	103,520	103,235	285	$ -
Subtotal for Other Bridges	162,000	162,030	161,646	384	-
Total for Toll Bridge Seismic Retrofit Program	$ 8,685,000	$ 9,082,000	$ 7,164,270	$ 1,917,806	$ (76)

*Includes preAB144 LTD expenses from Caltrans to April 2006	3,709,068
Bata expenses from May 2006 to current	3,455,202
	7,164,270

** Contingency Allocation

Contingency per Budget	989,000
Allocation to SFO BB East Span Repl 7/07	(179,220)
Allocation to Benicia-Martinez 7/07	(30)
Allocation to San Mateo-Hayward 7/07	(10)
Allocation to Vincent Thomas 7/07	(10)
Allocation to San Diago-Coronado 7/07	(20)
Unallocate from Carquinez 7/07	70
Allocation to SFO BB West Approach 3/26/08	(24,700)
Allocation to SFO BB East Span Repl 7/08	(36,290)
Unallocate from Richmond SR 7/08	8,500
Allocations to SFOBB West Approach 12/17/08	(17,000)
Allocation to SFOBB East Span Replacement 12/09	(50,600)
Allocation to Antioch Contingency 1/10	72,000
Allocation to Dumbarton Contingency 1/10	118,000
Allocation to SFOBB East Span Replacement 7/10	(138,390)
Unallocate from SFOBB West Approach 7/10	3,000
Unallocate from Antioch Retrofit 7/10	94,000
Unallocate from Antioch Contingency 7/10	(94,000)
Unallocate from Antioch Contingency 7/10	(43,000)
Unallocate from Dumbarton Retrofit 9/10	216,000
Unallocate from Dumbarton Contingency 9/10	(216,000)
Allocate to SFOBB East Span 9/10	(293,080)
Allocate to SFOBB East Span 3/23/11	(106,200)
Remaining Balance	302,020

*** EA 0438UY has $16.9 million in expenses from the RM 1 Richmond Trestle Fender Replacement Program that belongs to the Seismic Richmond Bridge Retrofit Budget

Rehab Project Budget

As of June 2011 (unaudited) ($000) - Life to Date

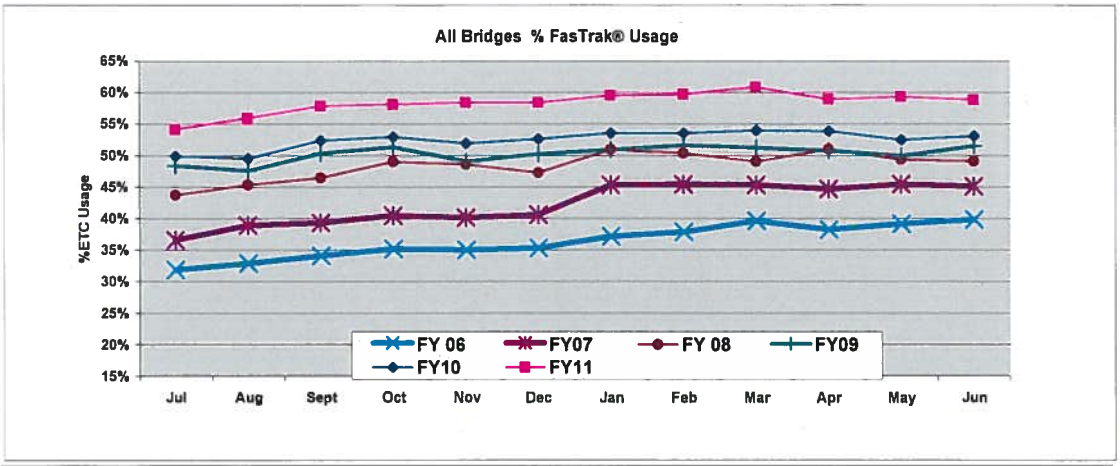
Program	Program	Total Budget	Allocated Budget	Total Expenses	Encumbrance	Balance Remaining
6811	Antioch Bridge Rehab	300	300	35	265	-
6812	Benicia-Martinez Bridge Rehab	4,291	4,291	4,262	29	-
6813	Carquinez Bridge Rehab	25,695	25,695	8,537	17,158	-
6814	Richmond-San Rafel Bridge Rehab	10,159	10,159	7,982	2,177	-
6825	San Francisco-Oakland Bay Bridge Rehab	85,649	85,649	66,910	18,739	-
6826	San Mateo-Hayward Bridge Rehab	14,355	14,355	9,830	4,525	-
6827	Dumbarton Bridge Rehab	9,682	9,682	6,385	3,297	-
6828	All Bridges Rehab	32,079	32,079	27,830	4,249	-
8030	Completed/Defunded/Transferred Projects	38,731	38,731	30,960	7,771	-
8629	Minor Bridge Rehab Projects	7,984	7,984	1,525	6,459	-
8032	Transbay Transit Terminal Projects	1,441	1,441	423	1,018	-
8033	Minor Toll Plaza Projects	4,016	4,016	2,716	1,300	-
	TOTAL CALTRANS REHAB BUDGET	**234,382**	**234,382**	**167,395**	**66,722**	-
8905	Misc. Bridge Improvements	5,400	5,400	2,581	613	2,206
8900	ETC Regional CSC Development	7,858	7,858	4,781	1,267	1,810
8902	Future CSC Upgrades/Replacement	2,250	2,250	519	1,731	-
8903	ATCAS Lane Host Upgrades	31,300	31,300	8,388	20,935	1,977
8904	Fastrak Sign & Sign Structure Improvements	34,570	34,570	28,377	1,101	5,092
8531	Benicia New Toll Plaza ORT	4,485	4,485	4,153	1	331
8901	ETC Transponder Procurement	39,853	39,853	32,641	2,100	5,112
8602	Hybrid/ETC Lane Modifications	900	900	874	0	26
8631	Procure New Callboxes	1,644	1,644	1,187	-	457
8907	Toll Plaza Capital Improvements	4,800	4,800	2,189	209	2,402
8908	Enterprise Computing HW/SW	2,000	2,000	750	6	1,244
8909	Gateway Park Planning	1,000	1,000	950	-	50
8910	Minor Emergency Reserve	1,761	1,761	-	-	1,761
8000-11	SRA/RM1 Program Monitoring	36,545	36,545	32,044	656	3,845
8000-05	Capital Program Audit	6,000	6,000	4,672	422	906
8912	ETC Transponder Tag Swap	2,433	2,433	623	1,520	290
8913	SFOBB Administration Building	38,700	38,700	12,343	9,201	17,156
8914	Violation Enforcement System Upgrade	8,300	8,300	7,538	732	30
8539	SFOBB Eyebar Repair Review	2,950	2,950	2,344	571	35
8594	SFOBB West Span Pathway PSR	1,250	1,250	516	734	-
8916	Bay Crossing Study	2,000	2,000	300	99	1,601
8917	IT Security Procedures & Policies	250	250	-	-	250
8918	Maintenance Complex	3,046	3,046	59	271	2,716
8919	Congestion Pricing Study	400	400	-	400	-
	Total BATA REHAB BUDGET	**239,695**	**239,695**	**147,829**	**42,569**	**49,297**
	TOTAL REHAB BUDGET	**474,077**	**474,077**	**315,224**	**109,291**	**49,561**

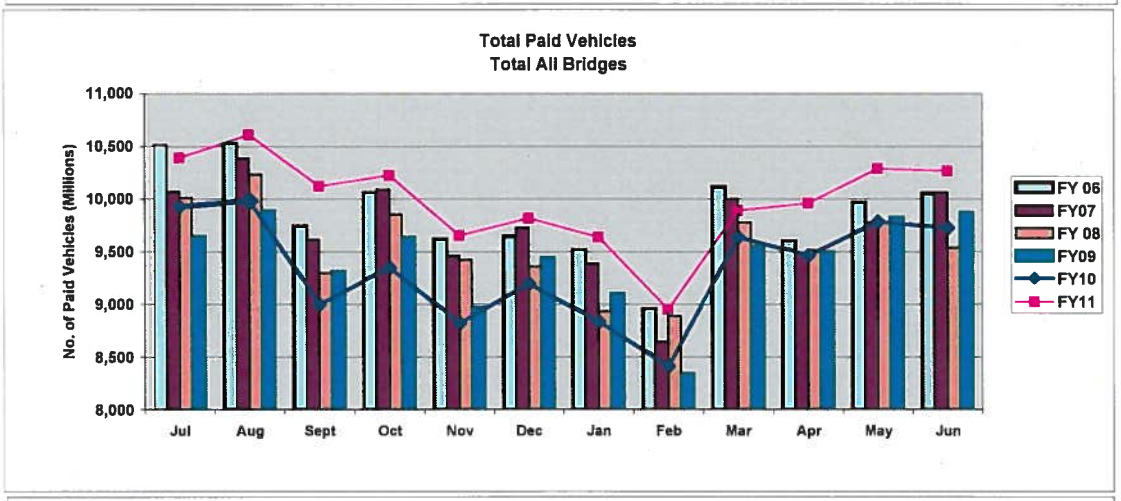
AB 1171 Project Budget

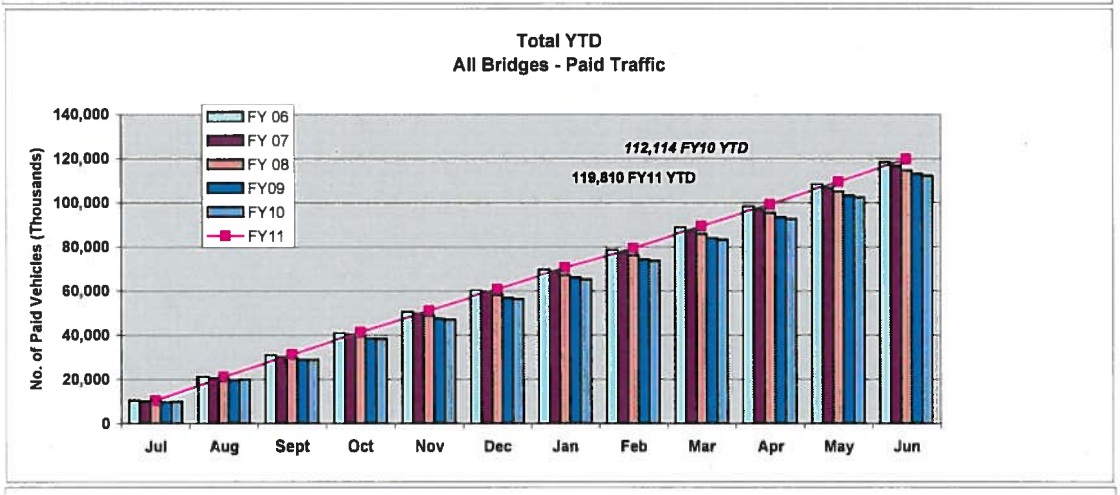
As of June 2011 (Unaudited) ($000) - Life to Date

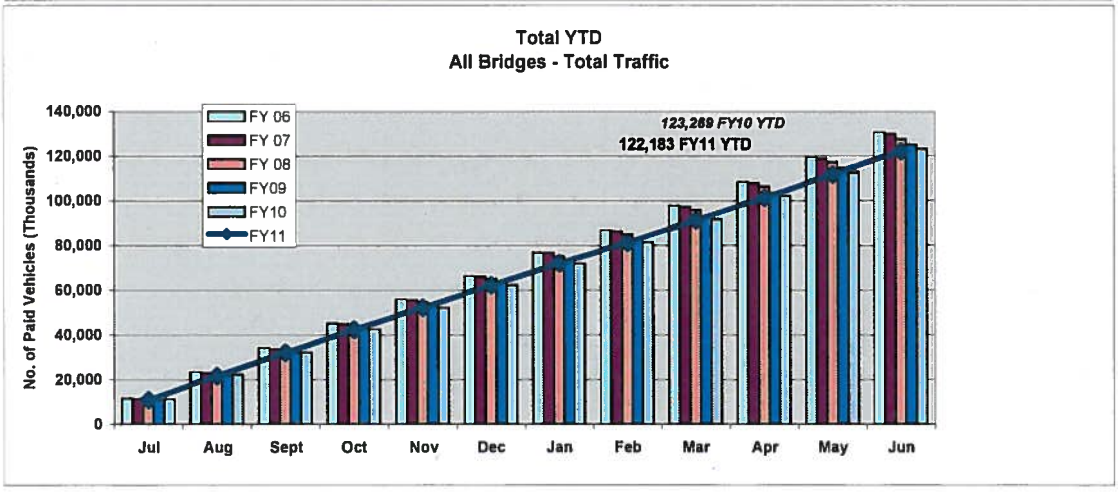
Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
Doyle Drive Replacement	80,000	80,000	15,092	64,908	-
BART to Warm Spring Extension	5,000	5,000	-	5,000	-
Fairfield/Vacaville Train Station	9,000	-	-	-	9,000
I80/680 Interchange	100,000	33,400	763	32,637	66,600
Regional Express Lane Network	11,000	2,800	1,566	1,234	8,200
Transbay Terminal/Downtown Extension:Phase 1	150,000	73,976	29,880	44,096	76,024
Tri-Valley Transit Access Improve. To BART	95,000	1,500	1,201	299	93,500
East Contra Costa BART Extension	120,000	78,490	-	78,490	41,510
Total	$570,000	$275,166	$48,502	$226,664	$294,834

AB 1171 Program Budget:	$570,000
Approved Projects:	$275,166
AB 1171 Program Balance:	$294,834


All Bridges % FasTrak® Usage
%ETC Usage
65%
60%
55%
50%
45%
40%
35%
30%
25%
20%
15%
Jul
Aug
Sept
Oct
Nov
Dec
Jan
Feb
Mar
Apr
May
Jun
FY 06
FY07
FY 08
FY09
FY10
FY11


Total Paid Vehicles
Total All Bridges
No. of Paid Vehicles (Millions)
11,000
10,500
10,000
9,500
9,000
8,500
8,000
Jul
Aug
Sept
Oct
Nov
Dec
Jan
Feb
Mar
Apr
May
Jun
FY 06
FY07
FY 08
FY09
FY10
FY11


Total YTD
All Bridges - Paid Traffic
No. of Paid Vehicles (Thousands)
140,000
120,000
100,000
80,000
60,000
40,000
20,000
0
FY 06
FY 07
FY 08
FY09
FY10
FY11
112,114 FY10 YTD
119,810 FY11 YTD
Jul
Aug
Sept
Oct
Nov
Dec
Jan
Feb
Mar
Apr
May
Jun


Total YTD
All Bridges - Total Traffic
No. of Paid Vehicles (Thousands)
140,000
120,000
100,000
80,000
60,000
40,000
20,000
0
FY 06
FY 07
FY 08
FY09
FY10
FY11
123,269 FY10 YTD
122,183 FY11 YTD
Jul
Aug
Sept
Oct
Nov
Dec
Jan
Feb
Mar
Apr
May
Jun