

**Metropolitan Transportation Commission  
Programming and Allocations Committee**

September 14, 2011

Item Number 2f

**Resolution Nos. 4004, 4025, Revised, 4026, Revised and 4032**

**Subject:** Allocation of \$160.3 million in Transportation Development Act (TDA), State Transit Assistance (STA), Assembly Bill 1107 (1107) and Regional Measure 2 (RM2) funds to support transit operations.

**Background:** The Committee's actions continue the annual allocation process. Entities requesting TDA, STA, AB1107 and RM2 allocations this month that exceed the \$1 million delegated authority limit include AC Transit, BART, SamTrans and SFMTA. Allocation requests less than \$1.0 million are approved separately through the Executive Director's Delegated Authority process. The allocation requests are consistent with the adopted MTC Fund Estimate (Resolution 3990, Revised, for TDA, STA and AB1107 funds) and the RM2 Operating Program (MTC Resolution 3998). Total allocation requests, greater than \$1.0 million, are summarized below:

Transit Operator/Claimant	Resolution No. 4025 (TDA)	Resolution No. 4026 (STA)	Resolution No. 4004 (1107)	Resolution No. 4032 (RM2)	Total
AC Transit		3,895,363			\$3,895,363
SamTrans	28,051,196	7,207,022			\$35,258,218
SFMTA	31,324,248	31,044,664	29,164,284	2,500,000	\$94,033,196
WETA				6,011,200	\$6,011,200
<b>Total</b>	<b>\$59,375,444</b>	<b>\$42,147,049</b>	<b>\$29,164,284</b>	<b>\$8,511,200</b>	<b>\$139,197,977</b>

Attachment A details the change in operator budgets from FY2010-11 to FY2011-12 as well as expected changes in service levels. Most transit operator's service levels will remain unchanged in FY2011-12.

AC Transit is requesting allocation of an additional \$3.9 million in STA Revenue-based funds made available since their original claim was submitted.

SamTrans is requesting allocation of \$35.3 million in TDA and STA revenue for FY 2011-12. On July 1, 2011 SamTrans raised paratransit fares 7%, from \$3.50 to \$3.75. No further fare increases are planned. Included in SamTrans' claim is \$4.2 million for Caltrain operations from the Caltrain STA Revenue-based apportionment.

SFMTA is requesting allocation of \$94.0 million in a combination of TDA, STA, RM2 and AB 1107 funds to support Muni's FY 2011-12 operating budget of \$657.4 million. SFMTA raised prices on their four Fast Pass's on July 1, 2011 between 2.9% and 5.0%. No further fare increases are anticipated this fiscal year.

WETA is requesting allocation of \$6.0 million in RM2 funds for Vallejo Ferry operation for the second, third and fourth quarters of FY2011-12. Vallejo Transit claimed funds for the first quarter of Vallejo Ferry operations. WETA will reimburse Vallejo if Vallejo operates the ferry beyond the anticipated October 1, 2011 transition date. The WETA claim for funds is consistent with MTC's adopted FY2011-12 RM2 Operating Program, MTC Resolution No. 3998.

**Issues:** None

**Recommendation:** Refer MTC Resolution Nos. 4004, 4025, Revised, 4026, Revised and 4032 to the Commission for approval.

**Attachments:** Table A – Transit Operator Budget Summary.  
MTC Resolution Nos. 4004, 4025, Revised, 4026, Revised and 4032

**Table A - Transit Operator Budget Summary**

Operator	FY2010-11 Operating Budget	FY2011-12 Operating Budget	% Increase	Revenue Vehicle Hours % Increase	FY2011-12 Operating Request	Allocation Request as a % of Operating Budget	Highlight of FY2011-12 Budgets
SamTrans	99,070,997	99,484,897	0%	0%	36,728,140	37%	<ul style="list-style-type: none"> <li>• SamTrans' FY2011-12 budget is essentially the same as the FY2010-11 budget.</li> <li>• Paratransit fares were increased by 25 cents on July 1, 2011, raising paratransit fares to \$3.75. This increase was the 2nd phase of a 2-phase increase. This phase-in was intended to ease the financial burden on those who could not afford a 50 cent increase in one year.</li> <li>• No additional fare increases are anticipated</li> <li>• Ridership is estimated to increase by 2% during FY2011-12.</li> <li>• SamTrans is claiming \$4.2 million in STA Revenue-based funds on behalf of Caltrain to support Caltrain operations.</li> </ul>

Operator	FY2010-11 Operating Budget	FY2011-12 Operating Budget	% Increase	Revenue Vehicle Hours % Increase	FY2011-12 Operating Request	Allocation Request as a % of Operating Budget	Highlight of FY2011-12 Budgets
SFMTA	680,431,349	657,433,605	-3%	0%	95,134,568	14%	<ul style="list-style-type: none"> <li>• SFMTA increased Fast Pass fares between 2.9% and 5% on July 1, 2011.</li> <li>• No additional fare increases are anticipated.</li> <li>• Ridership is projected to remain flat during FY2011-12.</li> <li>• Revenue vehicle hours will remain flat during FY2011-12.</li> </ul>

Date: September 28, 2011  
Referred by: PAC

ABSTRACT

Resolution No. 4004

This resolution approves the allocation of fiscal year 2011-12 AB 1107 half-cent sales tax funds to SFMTA.

Discussion of the allocations made under this resolution is contained in the Summary Sheets and/or Executive Director Memoranda presented to the Programming and Allocations Committee on September 14, 2011.

Date: September 28, 2011  
Referred by: PAC

Re: Allocation of Fiscal Year 2011-12 "AB 1107" Half-Cent Sales Tax Funds

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4004

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission ("MTC") is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, Public Utilities Code Section 29142.2(b) provides that, after deductions for certain administrative expenses, twenty-five percent (25%) of the proceeds from the one-half cent transactions and use tax collected within the San Francisco Bay Area Rapid Transit District (hereinafter referred as "AB 1107" funds), shall, on the basis of regional priorities established by MTC, be allocated by MTC to the City and County of San Francisco for the Municipal Railway ("Muni") and to the Alameda-Contra Costa Transit District ("AC Transit"), for transit services; and

WHEREAS, Muni and/or AC Transit has submitted a request for the allocation of fiscal year 2011-12 AB 1107 funds for transit service projects and purposes in accordance with the regional priorities established by MTC; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2011-12 allocations requested by Muni and/or AC Transit, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists findings pertaining to the allocations made under this resolution to Muni and/or AC Transit, as the case may be; and

WHEREAS, Muni and/or AC Transit has certified that its projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental

Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC finds that the projects and purposes as listed and recorded in Attachment A are in conformance with MTC's Regional Transportation Plan; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2011-12 funds under this resolution to Muni and/or AC Transit, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A.

RESOLVED, that all AB1107 allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION

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Adrienne J. Tissier, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on September 28, 2011.

Date: September 28, 2011  
Referred by: PAC

Attachment A  
MTC Resolution No. 4004  
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ALLOCATION OF AB 1107 FUNDS  
DURING FISCAL YEAR 2011-12

All AB 1107 allocations are subject to continued compliance with MTC Resolution 3866,  
the Transit Coordination Implementation Plan.

<b>Claimant</b>	<b>Project Description</b>	<b>Fare Ratio Percentage</b>		<b>Allocation Amount</b>	<b>Alloc. Code</b>	<b>Approval Date</b>
		<b>FY 10-11</b>	<b>FY 11-12</b>			
SFMTA	Transit Operations	68.9%	74.7%	50% of deposits to MTC's AB 1107 account.	01	09/28/11

Date: September 28, 2011  
Referred by: PAC

Attachment B  
Resolution No. 4004  
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ALLOCATION OF FISCAL YEAR 2011-12  
AB 1107 FUNDS

**FINDINGS**

The following findings pertain to the allocation of funds under this resolution to the San Francisco Municipal Transportation Agency (SFMTA) and/or AC Transit, as the case may be.

	<i>SFMTA</i>
1. In accordance with Public Utilities Code §29142.4(a), the operator is a participating member of the Bay Area Partnership, Partnership Transit Coordinating Committee, established by MTC and which serves the function of a regional transit coordinating council.	<i>YES</i>
2. In accordance with Public Utilities Code §29142(c), the operator has complied with the transit system standards established by MTC pursuant to Government Code §66517.5.	<i>YES</i>
3. In accordance with Public Utilities Code § 29142.5, MTC may consider local support revenues in excess of the operator's base amount as fare revenues, as long as by doing so it will enable the operator to maintain or improve vital transit service within a coordinated fare structure. The audited financials submitted by the claimant for FY 2009-10 and included in the proposed FY 2011-12 budget demonstrate a fare ratio of greater than 33 percent when considering other local excess revenue.	<i>YES</i>

Date: July 27, 2011  
Referred by: PAC  
Revised: 09/28/11-C

## ABSTRACT

### Resolution No. 4025, Revised

This resolution approves the allocation of fiscal year 2011-12 Transportation Development Act Article 4, Article 4.5 and Article 8 funds to claimants in the MTC region.

This resolution was revised on September 28, 2011 to allocate operating fund to claimants in the MTC region.

Discussion of the allocations made under this resolution is contained in the Summary Sheets and/or Executive Director Memoranda presented to the Programming and Allocations Committee on July 13, 2011 and September 14, 2011.

See Resolution No. 4000 for additional FY 2011-12 TDA Allocations.

Date: July 27, 2011  
 Referred by: PAC  
 Revised: 09/28/11-C

Attachment A  
 MTC Resolution No. 4025  
 Page 1 of 1

ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT ARTICLE 4, 4.5 and 8 FUNDS  
 DURING FISCAL YEAR 2011-12

All TDA allocations are subject to continued compliance with MTC Resolution 3866,  
 the Transit Coordination Implementation Plan.

See Resolution 4000 for additional FY2011-12 TDA allocations.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area/Footnotes	Note
<b>5802 - 99260A Transit - Operations</b>						
NCTPA	Transit Operating	2,863,100	02	7/27/2011	NCTPA	
VTA	Transit Operating	67,413,859	03	7/27/2011	VTA	
VTA	Transit Operating	4,039,585	04	7/27/2011	VTA	
SamTrans	Transit Operating	1,402,560	06	9/28/2011	SamTrans	1
SamTrans	Transit Operating	26,648,636	07	9/28/2011	SamTrans	
SFMTA	Transit Operating	1,566,263	08	9/28/2011	SFMTA	1
SFMTA	Transit Operating	29,757,985	09	9/28/2011	SFMTA	
	<b>Subtotal</b>	<b>133,691,988</b>				
<b>5803 - 99260A Transit - Capital</b>						
NCTPA	Transit Capital	3,495,600	05	7/27/2011	NCTPA	
	<b>Subtotal</b>	<b>3,495,600</b>				
	<b>TOTAL</b>	<b>137,187,588</b>				

**Note:**

(1) MTC finds that these Article 4.5 funds can be used to better advantage for Article 4 purposes.

Date: July 27, 2011  
Referred by: PAC  
Revised: 09/28/11-C

ABSTRACT

Resolution No. 4026, Revised

This resolution approves the allocation of State Transit Assistance (STA) funds for fiscal year 2011-12.

Discussion of the allocations made under this resolution is contained in the MTC Operator Summaries and/or Executive Director Memoranda presented to the Programming and Allocations Committee on July 13, 2011 and September 14, 2011.

See Resolution No. 4001 for additional FY 2011-12 STA Allocations.

Date: July 27, 2011  
 Referred by: PAC  
 Revised: 09/28/11-C

Attachment A  
 MTC Resolution No. 4026, Revised  
 Page 1 of 1

ALLOCATION OF STATE TRANSIT ASSISTANCE FUNDS  
 DURING FISCAL YEAR 2011-12

All STA allocations are subject to continued compliance with MTC Resolution 3866,  
 the Transit Coordination Implementation Plan.

See Resolution 4001 for additional FY2011-12 STA allocations.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area
<b>5820 - 6730A Operating Costs - Population-based Regional Paratransit</b>					
VTA	Transit Operating	1,049,860	01	07/27/11	Santa Clara County
	<b>Subtotal</b>	<b>1,049,860</b>			
<b>5820 - 6730A Operating Costs - Revenue-based</b>					
VTA	Transit Operating	11,983,822	02	07/27/11	VTA
AC Transit	Transit Operating	3,895,363	03	09/28/11	AC Transit
SamTrans	Transit Operating	2,984,572	05	09/28/11	SamTrans
SamTrans	Transit Operating	4,222,450	06	09/28/11	PCJPB
SFMTA	Transit Operating	31,044,664	07	09/28/11	SFMTA
	<b>Subtotal</b>	<b>54,130,871</b>			
<b>5820 - 6730A Operating Costs - Population-based MTC Regional Coordination</b>					
MTC	Clipper	5,000,000	09	07/27/11	MTC
	<b>Subtotal</b>	<b>5,000,000</b>			
	<b>TOTAL</b>	<b>60,180,731</b>			

Date: September 28, 2011  
W.I.: 1255  
Referred by: PAC

ABSTRACT

Resolution No. 4032

This resolution approves the allocation of the Regional Measure 2 operating and planning funds for FY 2011-12.

Discussion of the allocations made under this resolution is contained in the MTC Operator Summaries and/or Executive Director Memoranda presented to the Programming and Allocations Committee on September 14, 2011.

See Resolution No. 3999 for additional FY 2011-12 RM2 Allocations.

Date: September 28, 2011  
W.I.: 1255  
Referred by: PAC

Re: Allocation of Regional Measure 2 funds for transit operations and planning for FY 2011-12

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION No. 4032

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, Streets and Highways Code Sections 30950 *et seq.* created the Bay Area Toll Authority (“BATA”) which is a public instrumentality governed by the same board as that governing MTC; and

WHEREAS, on March 2, 2004, voters approved Regional Measure 2, increasing the toll for all vehicles on the seven state-owned toll bridges in the San Francisco Bay Area by \$1.00, with this extra dollar funding various transportation projects within the region that have been determined to reduce congestion or to make improvements to travel in the toll bridge corridors, as identified in SB 916 (Chapter 715, Statutes of 2004), commonly referred as Regional Measure 2 (“RM2”); and

WHEREAS, RM2 establishes the Regional Traffic Relief Plan and programs eligible for RM2 funding for transit operating and planning assistance as identified in Streets and Highways Code Section 30914(d).

WHEREAS, RM2 assigns administrative duties and responsibilities for the implementation of the Regional Traffic Relief Plan to MTC; and

WHEREAS, BATA shall fund the projects of the Regional Traffic Relief Plan by transferring RM2 authorized funds to MTC; and

WHEREAS, MTC adopted policies and procedures for the implementation of the Regional Measure 2 Regional Traffic Relief Plan on June 23, 2004, specifying the allocation criteria and project compliance requirements for RM 2 funding (MTC Resolution No. 3636, Revised); and

WHEREAS, MTC has reviewed the allocation requests submitted for RM2 transit operations and planning funds from the project sponsor(s) listed in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length funds; and

WHEREAS, project sponsors seeking RM2 funds are required to submit an Operating Assistance Proposal (OAP), pursuant to Streets and Highway Code Section 30914(e) to MTC for review and approval, which demonstrates a fully funded operating plan and consistency with the performance measures, as applicable; and

WHEREAS, Attachment A lists the projects requested by project sponsors for RM2 funding, project specific conditions, and amounts recommended for RM2 allocation by MTC staff; and

RESOLVED, that MTC approves staff's review of the OAP for the projects listed in Attachment A; and be it further

RESOLVED, that MTC approves the allocation of RM2 funds in accordance with Attachment A; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds as set forth in Attachment A are conditioned upon the project sponsor complying with the provisions of the Regional Measure 2 Regional Traffic Relief Plan Policy and Procedures as set for in length in MTC Resolution 3636; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds are further conditioned upon the project specific conditions as set forth in Attachment A; and, be it further

RESOLVED, that a certified copy of this resolution, shall be forwarded to the project sponsor.

RESOLVED, that all RM2 allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION

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Adrienne J. Tissier, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at the regular meeting of the Commission held in Oakland, California, on September 28, 2011.

Date: September 28, 2011  
W.I.: 1255  
Referred by: PAC

Attachment A  
MTC Resolution No. 4032  
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FY 2011-12 ALLOCATION OF REGIONAL MEASURE 2 FUNDS  
FOR TRANSIT OPERATIONS AND PLANNING

See Resolution No. 3999 for additional FY 2011-12 RM2 Allocations.

<b>Claimant</b>	<b>Project Description</b>	<b>Allocation Amount</b>	<b>Allocation Code</b>	<b>Approval Date</b>	<b>Project Number</b>	<b>Farebox Requirement</b>
SFMTA	MUNI Metro 3rd Street	2,500,000	11	09/28/11	8	n.a.
WETA	Ferry Operations	6,011,200	12	09/28/11	6	30%
	<b>Total</b>	<b>8,511,200</b>				