BATA Operating Budget

AS of April 2011

	1	2	3	4	5	6
	FY 2010-11 Budget	Actual YTD	Current Budget Balance	% of Budget (col 2/1)	% of Budget year Expired	Encumbrances
REVENUE:						
1. RM 1 Toll Revenues	484,223,290	400,416,900	83,806,391	82.7%	83.3%	-
2. RM 2 Toll Reverues	112,000,000	94,271,938	17,728,062	84.2%	83.3%	-
3. Caltrans Revenue	153,000,000	153,000,000	-	100.0%	83.3%	-
4. Other revenue	10,000,000	12,732,443	(2,732,443)	127.3%	83.3%	-
5. Interest Income	29,011,200	10,428,137	18,583,063	35.9%	83.3%	-
6. GGB&HTD, SFO ETC Reimbursement	2,904,000	2,765,013	138,987	95.2%	83.3%	-
7. Alameda CMA Reimbursement	312,000	665,692	(353,692)	213.4%	83.3%	-
9. Rebate for Build America Bonds	106,590,400	53,497,833	53,092,567	50.2%	83.3%	-
Total Revenue	898,040,890	727,777,956	170,262,934	81.0%	83.3%	-
EXPENSE:						
Caltrans Operations and Maintenance:						
1.Toll Collection & Operations Services	21,600,000	16,497,316	5,102,684	76.4%	83.3%	-
2.Toll & Bridge Facility Maint	7,900,000	4,025,415	3,874,585	51.0%	83.3%	-
3.Transbay Transit Terminal Maint	816,837	501,166	315,671	61.4%	83.3%	-
4. Caltrans Coordination	500,000	203,561	296,439	40.7%	83.3%	-
5. Caltrans ETC Operations	10,000	7,611	2,389	76.1%	83.3%	-
Caltrans O & M Subtotal	30,826,837	21,235,069	9,591,768	68.9%	83.3%	-
ETC Operations and Maintenance:						
6. ETC - CSC Operations	16,000,000	11,181,422	4,818,578	69.9%	83.3%	4,448,432
7. ETC - ATCAS Maintenance, IT equip	2,923,723	2,098,280	825,443	71.8%	83.3%	526,450
8. Banking Costs	10,200,000	6,810,058	3,389,942	66.8%	83.3%	3,453,009
9. ETC - Collection Exp./DMV Exp.	1,340,000	921,979	418,021	68.8%	83.3%	24,240
BATA O & M Subtotal	30,463,723	21,011,740	9,451,983	69.0%	83.3%	8,452,131
BATA Toll Bridge Administration:						
10. Staff Costs - including salaries	7,766,087	6,167,803	1,598,284	79.4%	83.3%	385,016
11. RM 1/RM2 Audit/Accounting/Other	1,264,446	487,683	776,763	38.6%	83.3%	30,410
12. Misc. Toll Admin Operating Expenses	900,000	505,540	394,460	56.2%	83.3%	106,341
13. Professional Fees	3,580,000	1,394,374	2,185,626	38.9%	83.3%	1,562,848
14. Other	1,000,000	39,296	960,704	3.9%	83.3%	170,703
Toll Bridge Admin Subtotal	14,510,533	8,594,695	5,915,838	59.2%	83.3%	2,255,318
Other/Transfers:						
15. Transfers to BAIFA	153,000,000	153,000,000	-	100.0%	83.3%	-
16. Transfers to MTC	511,924,643	513,048,770	(1,124,127)	100.2%	83.3%	-
17. Transfers from Reserve for Legal	1,528,851	1,070,918	457,933	70.0%	83.3%	457,933
18.Transbay Transit Terminal Maint by TJP	3,000,000	538,706	2,461,294	18.0%	83.3%	2,323,922
19. Depriciation and Amortization	2,000,000	1,448,169	551,831	72.4%	83.3%	-
20. RM2/Clipper Marketing	2,285,000	970,390	1,314,610	42.5%	83.3%	70,252
21. RM2 Operating	42,560,000	26,252,502	16,307,498	61.7%	83.3%	15,236,347
Transfers	716,298,494	696,329,455	19,969,039	97.2%	83.3%	18,088,455
Debt Service:						
22. Interest and principal payments	560,846,558	286,223,619	274,622,939	51.0%	83.3%	-
23. Financing Costs	67,800,000	14,093,117	53,706,883	20.8%	83.3%	9,635,947
24. Loss on Swap/Hedge Termination		10,877,864	(10,877,864)	100.0%	83.3%	-
Total Debt Service	628,646,558	311,194,600	317,451,958	49.5%	83.3%	9,635,947
Transfer to Capital Fund In (Out):						
25. Transfer to Capital Fund	(522,755,255)	(330,621,282)	(192,133,973)	63.2%	83.3%	-
26. Furniture/Equip./Vehicle	50,000	33,680	16,320	67.4%	83.3%	-
Total Capital Reserve In (Out)	(522,705,255)	(330,587,602)	(192,117,653)	63.2%	83.3%	-
Total Expense & Transfers	898,040,890	727,777,956	170,262,934			38,431,851
Net	-	-	0			

Regional Measure 2 Operating Budget

As of April 2011 ($000)

Program 30914(d) Project	Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
1	Richmond Bridge Express Bus	$2,196	2,196	1,830	366	-
2	Napa Vine Service	390	390	339	51	-
3	Express Bus North - serving SFOBB, Dumbarton, San Mateo bridges	3,427	3,427	2,089	1,338	-
4	Express Bus South - serving Carquinez and Benicia Bridges	6,281	6,220	4,837	1,383	61
6	Vallejo Ferry Intermodal Station	12,453	12,004	7,797	4,207	449
7	Owl Service - BART Corridor	1,827	1,827	1,452	375	-
8	MUNI Metro 3rd St	2,500	2,500	2,500	-	-
9	AC Enhanced Bus Service	3,000	3,000	2,500	500	-
10	Clipper Operations	6,926	6,926	921	6,005	-
11	Water Emergency Transportation Authority	3,000	3,000	1,988	1,012	-
	Subtotal for Operating Assistance Program	42,000	41,490	26,253	15,237	510
N/A	Clipper Marketing	1,206	-	-	-	1,206
	Bay Area Rapid Transit District	27	-	22	5	-
	Chinese Chamber of Commerce	1	-	1	-	-
	Cubic	5	-	-	5	-
	Golden Gate Fortune Cookie Factory	3	-	3	-	-
	Kimley Horn	3	-	3	-	-
	Lysa Hale	151	-	151	-	-
	Madison Street	3	-	3	-	-
	Swirl	333	-	333	-	-
	Synapse	3	-	3	-	-
	Subtotal for Clipper Marketing	1,735	-	519	10	1,206
N/A	RM2 Marketing	38	-	-	-	38
	Nemetode Holdings LLC	437	-	377	60	0
	Swirl	75		75	-	0
	Subtotal for RM2 Marketing	550	-	452	60	38
	Total for Clipper and RM2 Marketing	2,285	-	971	70	1,244
	Total	$44,285	$41,490	$27,224	$15,307	$1,754

Regional Measure 2 Project Budget

As of April 2011 ($000) - Life to Date

Program	Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
1	BART/MUNI Direct Connection at Embarcadero & Civic Center	$3,000	-	-	-	$3,000
2	SF MUNI Metro 3rd Street LRT Extension	30,000	30,000	30,000	-	-
3	MUNI Historic Streetcar Expansion (E-Line)	10,000	10,000	7,879	2,121	-
4	Dumbarton Commuter Rail Service	44,000	9,157	6,721	2,436	34,843
5	Vallejo Ferry Intermodal Station	28,000	14,900	5,925	8,975	13,100
6	Solano County Express Bus Intermodal Facilities	20,000	8,523	3,641	4,882	11,477
7	Solano County Corridor Improvements near I-80 / I-680	100,000	97,622	59,934	37,688	2,378
8	I-80 EB HOV Lane Extension from Route 4 to Carquinez Bridge	50,000	36,735	8,993	27,742	13,265
9	Richmond Parkway Park & Ride	16,000	800	631	169	15,200
10	SMART Extension to Larkspur	35,000	13,406	12,179	1,227	21,594
11	Greenbrae Interchange	65,000	15,850	13,438	2,412	49,150
12	Direct HOV lane connector from I-680 to the Pleasant Hill BART	15,000	5,800	682	5,118	9,200
13	Rail Extension to East Contra Costa/E-BART	96,000	96,000	32,400	63,600	-
14	Capitol Corridor Improvements in Interstate-80/Interstate 680	25,000	3,365	3,365	-	21,635
15	Central Contra Costa Bay Area Rapid Transit (BART) Crossover	25,000	25,000	22,924	2,076	-
16	Benicia-Martinez Bridge: New Span	50,000	50,000	50,000	-	-
17	Remaining Regional Express Bus North - Competitive Program	20,000	5,232	1,188	4,044	14,768
18	Translink®	22,000	20,739	14,375	6,364	1,261
19	Real-time transit information	20,000	17,644	11,227	6,417	2,356
20	Safe Routes to Transit	22,500	12,064	6,338	5,726	10,436
21	BART Tube Seismic Retrofit	33,801	33,801	33,673	128	-
22	Transbay Terminal/Downtown	150,000	150,000	139,728	10,272	-
23	Oakland Airport Connector	115,199	115,199	-	115,199	
24	AC Transit Enhanced Bus - Phase 1 (International Blvd/Telegraph Ave.	65,000	23,157	19,137	4,020	41,843
25	Commute Ferry Service for Alameda/Oakland/Harbor Bay	12,000	-	-	-	12,000
26	Commute Ferry Service for Berkeley/Albany	12,000	-	-	-	12,000
27	Commute Ferry Service for South San Francisco	12,000	12,000	11,637	363	-
28	Water Transit Facility Imps., Spare Vessels and Environmental Review	48,000	27,755	24,098	3,657	20,245
29	Regional Express Bus South - Remaining Projects	22,000	21,989	19,494	2,495	11
30	I-880 North Safety Improvements	10,000	8,660	4,466	4,194	1,340
31	BART Warm Springs Extension	186,000	186,000	66,229	119,771	-
32	I-580 (Tri Valley) Rapid Transit Corridor Improvements	65,000	45,877	39,046	6,831	19,123
33	Regional Rail Master Plan	6,500	6,465	5,942	523	35
34	Integrated Fare Structure Program	1,500	1,500	595	905	-
35	Transit Commute Benefits Promotion	5,000	3,220	1,703	1,517	1,780
36	Caldecott Tunnel Improvements	50,500	42,202	15,708	26,494	8,298
37	BART's Fixed Guideway Rehab	24,000	24,000	8,568	15,432	
	Total	$1,515,000	$1,174,662	$681,864	$492,798	$340,338

Shaded projects are completed

AB 1171 Project Budget

As of April 2011 ($000) - Life to Date

Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
Doyle Drive Replacement	80,000	80,000	680	79,320	-
BART to Warm Spring Extension	5,000	5,000	-	5,000	-
Fairfield/Vacaville Train Station	9,000	-	-	-	9,000
I80/680 Interchange	100,000	7,000	-	7,000	93,000
Regional Express Lane Network	11,000	2,800	571	2,229	8,200
Transbay Terminal/Downtown Extension:Phase 1	150,000	150,000	24,134	125,866	-
Tri-Valley Transit Access Improve. To BART	95,000	1,500	1,201	299	93,500
East Contra Costa BART Extension	120,000	78,490	-	78,490	41,510
Total	$570,000	$324,790	$26,586	$298,204	$245,210

AB 1171 Program Budget:	$570,000
Approved Projects:	$324,790
AB 1171 Program Balance:	$245,210

PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR

$2500-$100,000

	April' 11
Hewlett Packard Company *Computer Maintenance Support*	11,487
Berkeley Monthly Inc. *Advertisement*	3,000
Regional Government Services *Temporary Employee*	17,280
Finger Design Associates *Advertisement*	15,000

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
$2500-100,000

Consultant	Purpose	April'11
ACS State and Local Solutions	*ETC CSC Operations*	$ 41,905
Hinder De Llamas & Associates	*Sales Tax Forecasts & Analysis*	16,800
Cushman & Wakefield	*Real Estate Consulting Services*	100,000
Orrick Herrington and Sutcliff	*Real Estate Services*	75,000

RM1 Project Budget

As of April 2011 ($000) - Life to Date

Program	Total Budget	Allocated Budget	Total Expenses	Encumbrance	Balance Remaining
New Bridge - Benicia:					
BATA Budget	1,224,800	1,200,949	1,170,136	30,813	23,851
Non BATA Budget*	47,700	47,700	38,299	9,401	-
Subtotal for New Bridge - Benicia	1,272,500	1,248,649	1,208,435	40,214	23,851
Main Span - Carquinez	517,656	515,497	513,119	2,378	2,159
Richmond Parkway	5,897	5,897	5,226	671	-
Richmond Trestle Fender Replacement: **					
BATA Budget	37,593	34,800	50,193 **	(15,393)	2,793
Non BATA Budget*	59,660	59,660	61,642	(1,982)	-
Subtotal for Richmond Trestle Fender Rep.	97,253	94,460	111,835	(17,375)	2,793
Richmond Deck Rehab.	20,000	19,653	19,653	-	347
Widen Trestle	211,202	211,202	209,753	1,449	0
I-880/SR-92 Interchange Recnst.					
BATA Budget	235,400	226,414	200,132	26,282	8,986
Non BATA Budget*	9,600	9,600	-	9,600	-
Subtotal for I-880/SR-92 Interchange Recnst	245,000	236,014	200,132	35,882	8,986
SR-92 Replacement Planting	395	22	-	22	373
Bayfront Expressway Widening	33,968	33,447	33,391	56	521
University/101 Interchange	3,800	3,800	3,700	100	-
TOTAL FOR RM1 PROGRAM	2,407,672	2,368,641	2,305,244	63,397	39,030

* Non BATA Funded Costs

** EA 043BUY has $16.9 million in expenses that is part of the Richmond Bridge Retrofit Program in the Seismic Budget

Seismic Capital Project Budget

As of April 2011 ($000) - Life to Date

Program	Base Budget	Current Budget	Total Expenses*		Encumbrance		Remaining Balance
San Francisco-Oakland Bay Bridge East Span Repl	$ 5,486,600	$ 6,184,180	$ 4,817,590		$1,366,590	$	-
San Francisco-Oakland Bay Bridge West Span	307,900	307,900	305,316		2,584	$	-
San Francisco-Oakland Bay Bridge West Approach	429,000	467,700	447,878		19,822	$	-
Antioch Bridge Retrofit	-	101,000	38,703		62,297	$	-
Dumbarton Bridge Retrofit	-	149,000	36,242		112,758	$	-
Richmond-San Rafael Bridge Retrofit ***	825,000	816,500	794,862	***	21,638	$	-
Benicia-Martinez Bridge Retrofit	177,800	177,830	177,817		13	$	-
Carquinez Bridge Retrofit	114,200	114,130	114,206		-	$	(76)
San Mateo-Hayward Bridge Retrofit	163,500	163,510	163,412		98	$	-
Subtotal for Bay Area Bridges	7,504,000	8,481,750	6,896,026		1,585,800		(76)
Misc Program Costs	30,000	30,000	26,024		3,976	$	-
Program Contingency**	989,000	408,220	-		408,220	$	
Vincent Thomas Bridge Retrofit *(non-BATA, for information only)*	58,500	58,510	58,411		99	$	-
San Diego-Coronado Bridge Retrofit *(non BATA, for information only)*	103,500	103,520	103,235		285	$	
Subtotal for Other Bridges	162,000	162,030	161,646		384		-
Total for Toll Bridge Seismic Retrofit Program	$ 8,685,000	$ 9,082,000	$ 7,083,696		$1,998,380	$	(76)

^Includes preAB144 LTD expenses from Caltrans to April 2006	3,709,068
Bata expenses from May 2006 to current	3,374,628
	7,083,696

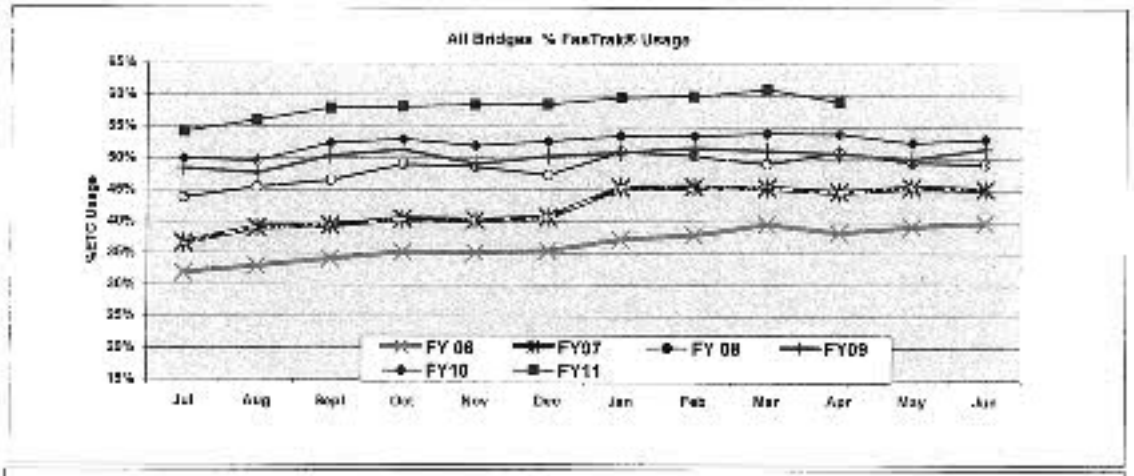
** Contingency Allocation	
Contingency per Budget	989,000
Allocation to SFO BB East Span Repl 7/07	(179,220)
Allocation to Benicia-Martinez 7/07	(30)
Allocation to San Mateo-Hayward 7/07	(10)
Allocation to Vincent Thomas 7/07	(10)
Allocation to San Diego-Coronado 7/07	(20)
Unallocate to Carquinez 7/07	70
Allocation to SFO BB West Approach 3/26/08	(24,700)
Allocation to SFO BB East Span Repl 7/08	(36,290)
Unallocate to Richmond SR 7/08	8,500
Allocations to SFOBB West Approach 12/17/08	(17,000)
Allocation to SFOBB East Span Replacement 12/09	(50,600)
Allocation to Antioch Contingency 1/10	72,000
Allocation to Dumbarton Contingency 1/10	118,000
Allocation to SFOBB East Span Replacement 7/10	(138,390)
Unallocate to SFOBB West Approach 7/10	3,000
Unallocate to Antioch Retrofit 7/10	94,000
Unallocate to Antioch Contingency 7/10	(94,000)
Unallocate to Antioch Contingency 7/10	(43,000)
Unallocate to Dumbarton Retrofit 9/10	216,000
Unallocate to Dumbarton Contingency 9/10	(216,000)
Allocate to SFOBB East Span 9/10	(293,080)
Remaining Balance	408,220

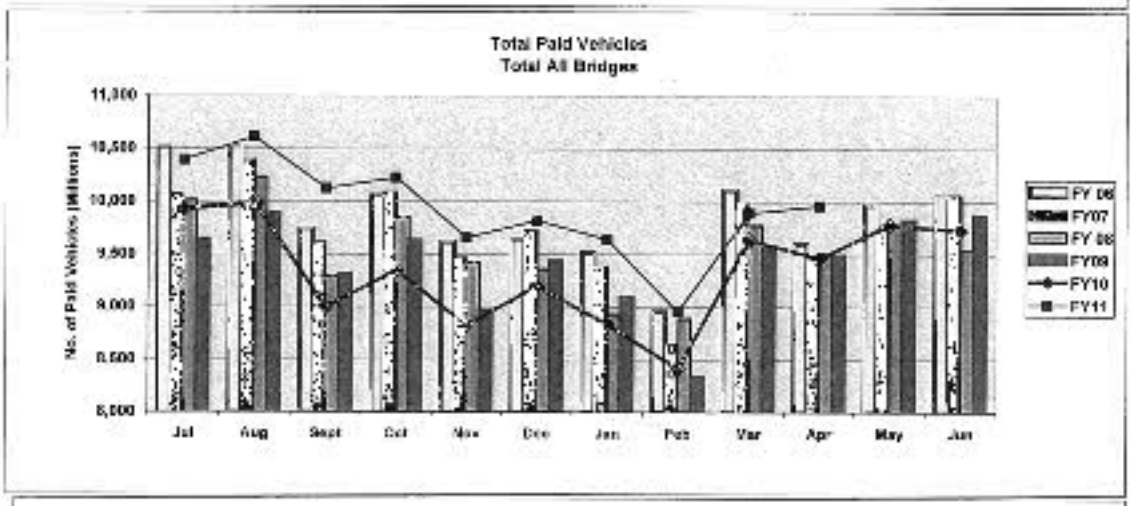
*** EA 0438UY has $16.9 million in expenses from the RM 1 Richmond Trestle Fender Replacement Program that belongs to the Seismic Richmond Bridge Retrofit Budget

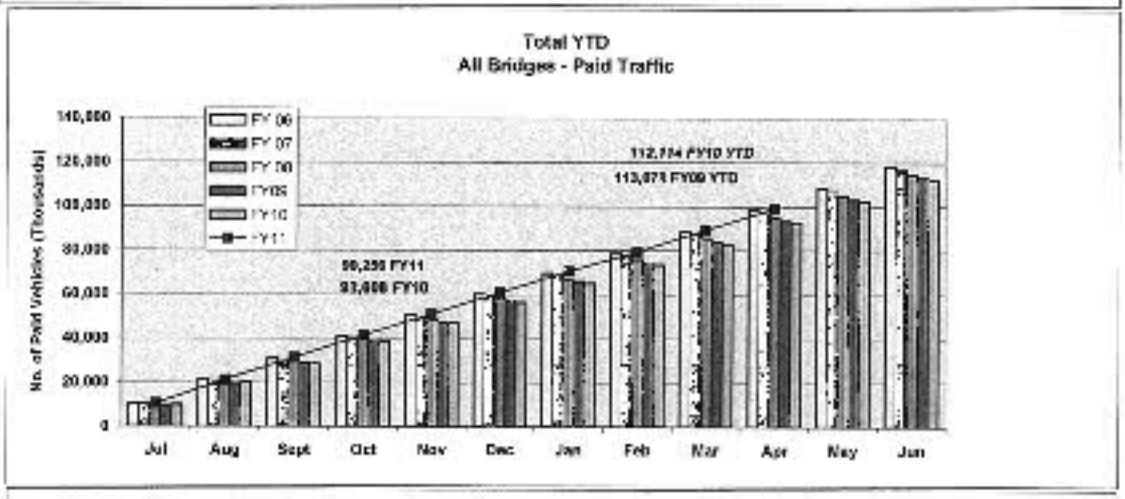
Rehab Project Budget

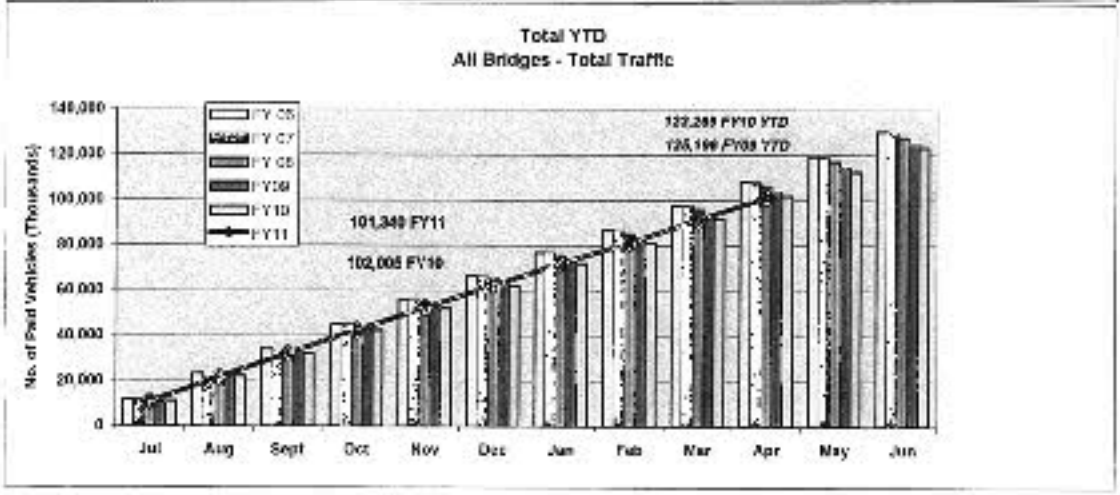
As of April 2011 ($000) - Life to Date

Program	Program	Total Budget	Allocated Budget	Total Expenses	Encumbrance	Balance Remaining
6812	Benicia-Martinez Bridge Rehab	4,274	4,274	4,262	12	-
6813	Carquinez Bridge Rehab	22,736	22,736	8,573	14,163	-
6814	Richmond-San Rafel Bridge Rehab	12,930	12,930	7,874	5,056	-
6825	San Francisco-Oakland Bay Bridge Rehab	85,140	85,140	65,353	19,787	-
6826	San Mateo-Hayward Bridge Rehab	15,052	15,052	8,478	6,574	-
6827	Dumbarton Bridge Rehab	9,382	9,382	6,345	3,037	-
6828	All Bridges Rehab	30,648	30,648	27,348	3,300	-
6830	Completed/Defunded/Transferred Projects	38,731	38,731	30,962	7,769	-
8629	Minor Bridge Rehab Projects	9,183	9,183	1,524	7,659	-
8032	Transbay Transit Terminal Projects	1,441	1,441	423	1,018	-
8033	Minor Toll Plaza Projects	4,016	4,016	2,671	1,345	-
	TOTAL CALTRANS REHAB BUDGET	**233,533**	**233,533**	**163,813**	**69,720**	
8905	Misc. Bridge Improvements	5,400	5,400	2,460	216	2,724
8900	ETC Regional CSC Development	7,858	7,858	4,737	1,310	1,811
8902	Future CSC Upgrades/Replacement	2,250	2,250	182	1,563	505
8903	ATCAS Lane Host Upgrades	31,300	31,300	7,671	20,682	2,947
8904	Fastrak Sign & Sign Structure Improvements	36,170	36,170	28,255	1,069	6,846
8531	Benicia New Toll Plaza ORT	4,485	4,485	4,153	1	331
8901	ETC Transponder Procurement	39,853	39,853	32,010	2,738	5,106
8602	Hybrid/ETC Lane Modifications	900	900	874	0	26
8631	Procure New Callboxes	1,644	1,644	1,187	-	457
8907	Toll Plaza Capital Improvements	4,800	4,800	2,084	339	2,377
8908	Enterprise Computing HW/SW	2,000	2,000	689	62	1,249
8909	Gateway Park Planning	1,000	1,000	835	115	50
8910	Minor Emergency Reserve	1,761	1,761	-		1,761
8000-11	SRA/RM1 Program Monitoring	36,545	36,545	29,866	843	5,836
8000-05	Capital Program Audit	6,000	6,000	4,518	1,358	124
8911	Fender Study	500	500	-	-	500
8912	ETC Transponder Tag Swap	2,433	2,433	111	2,032	290
8913	SFOBB Administration Building	38,700	38,700	8,427	12,533	17,740
8914	Violation Enforcement System Upgrade	8,300	8,300	7,502	767	-
8538	SFOBB Eyebar Repair Review	2,950	2,950	2,344	571	35
8594	SFOBB West Span Pathway PSR	1,250	1,250	434	816	-
8916	Bay Crossing Study	2,000	2,000	84	316	1,600
8917	IT Security Procedures & Policies	250	250	-	-	250
8918	Maintenance Complex	3,046	3,046	-	190	2,856
8919	Congestion Pricing Study	400	400	-	400	-
	Total BATA REHAB BUDGET	**241,795**	**241,795**	**138,423**	**47,331**	**56,041**
	TOTAL REHAB BUDGET	**475,328**	**475,328**	**302,236**	**117,051**	**56,040**


All Bridges % FasTrak® Usage
%ETC Usage
FY 06
FY07
FY 08
FY09
FY10
FY11
Jul
Aug
Sept
Oct
Nov
Dec
Jan
Feb
Mar
Apr
May
Jun


Total Paid Vehicles
Total All Bridges
No. of Paid Vehicles (Millions)
11,000
10,500
10,000
9,500
9,000
8,500
8,000
FY 06
FY07
FY 08
FY09
FY10
FY11
Jul
Aug
Sept
Oct
Nov
Dec
Jan
Feb
Mar
Apr
May
Jun


Total YTD
All Bridges - Paid Traffic
No. of Paid Vehicles (Thousands)
140,000
120,000
100,000
80,000
60,000
40,000
20,000
0
FY 06
FY 07
FY 08
FY09
FY10
FY11
112,774 FY10 YTD
113,078 FY09 YTD
99,256 FY11
93,608 FY10
Jul
Aug
Sept
Oct
Nov
Dec
Jan
Feb
Mar
Apr
May
Jun


Total YTD
All Bridges - Total Traffic
No. of Paid Vehicles (Thousands)
140,000
120,000
100,000
80,000
60,000
40,000
20,000
0
FY 06
FY 07
FY 08
FY09
FY10
FY11
122,269 FY10 YTD
125,199 FY09 YTD
101,340 FY11
102,005 FY10
Jul
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