

**Metropolitan Transportation Commission
Programming and Allocations Committee**

June 8, 2011

Item Number 4b

**Nos. MTC Resolution Nos. 3714, Revised,
3742, Revised, 3908, Revised, 3916, Revised, 4013 and 4014**

Subject: Revisions to the Federal Transit Administration formula programs, approval of AB 664 program and allocations, and associated policy revisions, to reconcile the programs to available funding.

Background: MTC is the designated recipient of the region's Federal Transit Administration (FTA) Urbanized Area Formula (Section 5307) and Fixed Guideway Modernization (Section 5309 FG) funds, which MTC programs to eligible transit operators to support transit capital replacement and rehabilitation projects, preventive maintenance and operating costs. Under the Commission's "fix it first" policy in Transportation 2035, replacement of buses, railcars and other revenue vehicles is the highest priority for funding, followed by fixed guideway infrastructure, such as rehabilitation of track, bridges and train control systems.

The FY2009-10 through FY2011-12 program was originally approved in 2009 with the understanding that it would be revised after FTA issued final apportionments each year. Since FTA issued final apportionments in May, this item finalizes the \$352.3 million FY2010-11 program, makes revisions to the FY2007-08 and FY2011-12 programs, and makes associated revisions to the Transit Capital Priorities (TCP) Process and Criteria, the region's policy for programming these FTA funds. In addition, the item adopts the FY2010-11 AB 664 program and makes minor revisions to the FY2006-07 and FY2007-08 programs. AB 664 Net Bridge Toll Revenues are used to match federally funded transit capital projects that relieve congestion on the San Francisco-Oakland Bay, San Mateo-Hayward, and Dumbarton bridges.

It is important to note that the FTA formula programs were level-funded by Congress in both FY2009-10 and FY2010-11, leading to apportionment shortfalls totaling \$23.7 million in FY 2010-11 before corrective revisions to the program. The preliminary FTA program had assumed revenue growth of 2% in FY2009-10 and 4% in FY2010-11 based on historical trends. Looking ahead, the preliminary FTA program for FY2011-12 had assumed another 4% revenue growth, but the funding environment next year is extremely uncertain given the discussions in Washington DC. If the formula programs are level-funded again in FY2011-12, the region would face program shortfalls totaling roughly \$60 million.

Given this backdrop of flat revenues in FY2010-11 and uncertain revenues for next year, the major elements of the proposed policy and program revisions are:

Flexible Set-Aside Programming. The TCP policy provides that 10% of the annual apportionment in participating urbanized areas is distributed to eligible operators by formula to be used for any eligible project, regardless of TCP priority. This "Flexible Set-Aside" totals about \$23 million per year. In anticipation of potential revenue shortfalls, the Flexible Set-Asides for FY2010-11 and FY2011-12 were held in reserve rather than programmed to specific projects. Due to shortfalls in the current year and potentially larger shortfalls next year, the

proposed FY2010-11 program does not include Flexible Set-Aside funds. Staff has advised the Partnership's Transit Finance Working Group of this recommendation. Staff will propose to restore Flexible Set-Aside funding in FY2011-12 if sufficient revenues are available.

Other Program Deferrals and Reductions. In addition to eliminating the Flexible Set-Aside, the FY2010-11 shortfalls were addressed through several program revisions. In particular, SFMTA and WestCat both voluntarily deferred vehicle purchases to future years, thereby reducing the need for FY2010-11 funds. In addition, staff worked with operators in the Fairfield, Gilroy-Morgan Hill, Santa Rosa, and Vallejo urbanized areas to make modest reductions to programming in order to keep needs equal to revenues.

Solano County Transit. This item proposes to revise the TCP policy to make Solano County Transit (SolTrans) eligible for future FTA programming, subject to FTA's designation of SolTrans as an eligible grantee. Future FTA programming to SolTrans would be in lieu of programming to the Vallejo and Benicia transit agencies, which are being consolidated under SolTrans. Staff has been advised that SolTrans, Vallejo and Benicia staff are working with FTA on the consolidated grantee status change.

AC Transit and Caltrain. The revisions to the FY2010-11 and FY2011-12 FTA programs reflect the revisions to the programs for AC Transit and Caltrain proposed in Item 4.a. on the Programming and Allocations Committee agenda. These revisions redirect expected capital replacement funding from vehicles to preventive maintenance to address near term operating budget challenges. Further, for AC Transit, an exchange between three operators – AC Transit, BART, and SFMTA – and involving three fund sources is proposed, with the objective of providing \$17.5 million in preventive maintenance funding to AC Transit to address near term operating budget challenges.

Preventive Maintenance Policy. The TCP policy allows flexibility to redirect capital funding to meet operating expenses in limited circumstances. The specific provisions attempt to minimize disruption to other operators' capital replacements by requiring the requesting operator to take an asset out of the program for its useful life. Further, operators requesting FTA formula funds to meet operating shortfalls are limited to two years preventive maintenance funding within a 12-year period. The Caltrain request above is consistent with this policy, but AC Transit has exceeded its two year allowance for preventive maintenance. SamTrans is also seeking preventive maintenance funding under this policy in FY2010-11.

Issues:

1) Transit Operating Sustainability: The FTA program includes several cases where capital is being redirected to support transit operations. This is a continuing and troubling trend, and the Transit Sustainability Project is one effort the

Commission has undertaken to find longer term solutions for all Bay Area transit systems.

2) Meeting Regional Transit Capital Replacement Need: The 10-year projections of high-priority transit capital needs, including the significant upcoming vehicle replacements, indicate that needs far outstrip annual revenues when vehicle replacement project expenditures peak between 2015 and 2018. Accordingly, the Commission created a \$150 million vehicle procurement reserve to set aside FY2010-11 and FY2011-12 funds to help meet the peak demand later in the decade. Transferring funds from vehicle procurement projects to preventive maintenance will increase the challenge of funding these vehicle projects in the future.

Recommendation: Refer MTC Resolution Nos. 3714, Revised, 3742, Revised, 3908, Revised, 3916, Revised, 4013 and 4014, to the Commission for approval.

Attachments: MTC Resolution Nos. 3714, Revised, 3742, Revised, 3908, Revised, 3916, Revised, 4013 and 4014.

Date: July 27, 2005
W.I.: 1512
Referred By: PAC
Revised: 02/22/06-C 04/25/07-C
04/25/07-C 09/26/07-C
03/26/08-C 06/22/11-C

ABSTRACT

Resolution No. 3714, Revised

This resolution approves the FY 2005-06 through FY 2007-08 FTA Section 5307 and FTA Section 5309 Fixed Guideway (FG) funds for inclusion in the 2005 Transportation Improvement Program (TIP).

This resolution was amended on February 22, 2006 to program \$210 million of FY 2006-07 and FY 2007-08 FTA formula funds, the balance of funds estimated to be available after programming all eligible high-scoring capital projects.

This resolution was amended on April 25, 2007 to reconcile the FTA formula funds program for FY 2006-07 with the FY 2006-07 FTA apportionments.

This resolution was amended on September 26, 2007 to incorporate additional programming to Vallejo Transit and Benicia Transit.

This resolution was amended on March 26, 2008 to make annual revisions to the previously programmed FY 2007-08 FTA programs, constraining them to actual appropriations, adding FTA funded earmarks for FY 2007-08, and making other adjustments to FTA funding for previously programmed projects.

This resolution was amended on June 22, 2011 to record 1) Golden Gate Transit's exchange of \$8.3 million originally programmed for a bus replacement project and reprogrammed to facility rehabilitation; 2) a transfer of programming for a van replacement project from Benicia to Vallejo; and 3) other minor corrections to the program.

Further discussion of the regional TCP is contained in the Executive Director's Memorandum dated July 13, 2005 and February 8, 2006, and the Programming and Allocations Committee memos dated April 11, 2007, September 12, 2007, March 5, 2008 and June 8, 2011.

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03/26/08-C

06/22/11-C

Attachment A

Resolution No. 3714

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FY 2007-08 FTA Section 5307 and 5309 Fixed Guideway				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
<i>Actual Apportionment</i>			208,930,479	124,409,526
<i>Previous Year Carryover</i>			5,095,357	1,319,840
<i>Funds Available for Programming</i>			214,025,836	125,729,366
<i>Enhancement Requirement</i>			1,957,721	
<u>Prior Year Commitments</u>				
SM-030014	Caltrain	Rapid Rail Related Projects Pt. II		2,112,995
<u>ADA Set-Aside</u>				
ALA990076	AC Transit	ADA Set-aside	6,582,831	
ALA050042	ACE	Signal Upgrade	517,857	
BRT99T001	BART	ADA Capital	3,055,143	
SM-050040	Caltrain	ADA Set-aside	161,463	
SM-070032	Caltrain	VMS-PA Systems Integration	880,000	
CC-99T001	CCCTA	ADA Set-aside	676,581	
CC-030035	ECCTA	ADA Set-aside	471,124	
MRN99T001	GGBHTD	ADA Set-aside	1,171,041	
ALA990077	LAVTA	ADA Set-aside	286,579	
NAP030004	Napa VINE	ADA Set-aside	22,481	
SF-990022	SFMTA	ADA Set-aside	3,921,868	
SM-990026	SamTrans	ADA Set-aside	201,698	
SM-030027	SamTrans	Maintenance & Op. Equipment Rehab & Replacement	504,000	
SM-050049	SamTrans	Service Support Vehicles	120,000	
SM-070035	SamTrans	Admin. & Maint./Operating Facilities Improvements	217,050	
SOL990040	Vallejo Transit	ADA Set-aside	584,502	
SCL050046	VTA	ADA Set-aside	3,499,495	
CC-990045	WestCat	ADA Set-aside	113,375	
<u>10% Flexible Set-Aside</u>				
ALA010034	AC Transit	Maintenance Facility & Equipment Upgrades	1,593,896	
ALA990052	AC Transit	Paratransit Vehicle Lease	500,000	
SCL050043	ACE	Santa Clara Platform and Pedestrian Improvements	238,982	
REG050006	BART	Strategic Maintenance	5,298,260	
SM-03006B	Caltrain	Systemwide Track Rehab & Related Civil Structures	828,888	
CC-030034	CCCTA	Preventive Maintenance	345,682	
CC-030037	ECCTA	Preventive Maintenance	315,728	
MRN050025	GGBHTD	Facilities Rehabilitation	400,000	
MRN010034	GGBHTD	Preventive Maintenance	1,761,781	
ALA030030	LAVTA	Preventive Maintenance	233,285	
NAP97AM58	Napa VINE	Preventive Maintenance	154,875	
SF-050025	SFMTA	Flynn Facility Ventilation	1,470,431	
SF-050026	SFMTA	Escalator Rehabilitation	2,000,000	
SF-050027	SFMTA	Trolley Coach Rebuild 60 Articulated New Flyers	1,000,000	
SF-991001	SFMTA	Woods Maintenance Facility Rehab	1,000,000	
SM-030023	SamTrans	Preventive Maintenance	640,885	
ALA050044	Union City	Preventive Maintenance	24,165	
SOL030019	Vallejo	Preventive Maintenance	261,395	
SOL991055	Vallejo	Bus Maintenance Facility Rehab	279,421	
SCL990046	VTA	Preventive Maintenance	3,713,227	
CC-030025	WestCat	Preventive Maintenance	61,927	
Total Program Set-asides and Commitments			45,109,915	2,112,995
Funds Available for Programming			168,915,921	123,616,371

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03/26/08-C

06/22/11-C

Attachment A

Resolution No. 3714

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FY 2007-08 FTA Section 5307 and 5309 Fixed Guideway				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
<u>Capital Projects</u>				
ALA990052	AC Transit	Paratransit Vehicle Lease	777,147	
ALA070046	AC Transit	Zero Emission Bus Advanced Demonstration	1,000,000	
ALA010056	ACE (SJRC)	Track Improvements	8,419	880,441
BRT971001	BART	Rail, Way and Structures Program	239,426	12,760,574
BRT030004	BART	Train Control Renovation		13,000,000
BRT030005	BART	Traction Power		13,000,000
SM-03006B	Caltrain	Systemwide Track Rehab & Related Civil Structures	5,132,476	2,367,523
SM-050041	Caltrain	Signal/Communication Rehabilitation	3,024,000	
SM-070033	Caltrain	Signal Replacement and Upgrade Program		1,477,561
SM-070034	Caltrain	Caltrain Systemwide Station Improvements		1,200,000
SF-070038	Caltrain	North Terminal Operational Improvements Phase II		1,200,000
SCL050065	Caltrain	Installation of Fixed Fuel Facility	960,000	
CC-050038	CCCTA	Replace 38 LINK vans and 12 flexvans	3,340,622	
SOL010006	Fairfield	Operating assistance	2,530,711	
<u>MRN050025</u>	<u>GGBHTD</u>	<u>Facilities Rehabilitation</u>	<u>8,293,951</u>	
MRN030005	GGBHTD	Transit Safety and Security Improvements	5,000,000	
MRN030011	GGBHTD	Ferry Major Components	40,159	199,841
MRN030010	GGBHTD	Fixed Guideway Connectors		2,000,000
MRN990017	GGBHTD	Ferry channel & berth dredging.	1,262,227	2,000,000
ALA050051	LAVTA	Replace (3) 2000 24' Paratransit Vehicles	397,189	
NAP970010	Napa	Operating assistance	1,859,080	
SF-970073	SFMTA	Cable car vehicle renovation program	355,542	483,114
SF-99T002	SFMTA	Cable Car Traction Power & Guideway Rehab		7,500,000
SF-050024	SFMTA	Wayside Train Control		3,200,000
SF-950037	SFMTA	SF Muni Rail Replacement Program 1998-20		13,000,000
SF-970170	SFMTA	Trolley Overhead Reconstruction		13,000,000
SM-050036	SamTrans	Repl. (76) 1993 Gillig 40' buses with hybrid buses	20,000,000	
SM-050039	SamTrans	Replace (10) 2001 El Dorado paratransit vehicles	1,323,963	
SON050026	SantaRosa Bus	Preventive Maintenance	1,200,000	
SON070011	SantaRosa Bus	6 Hybrid Electric Bus Purchase	1,395,481	
SON070012	SantaRosa Bus	Downtown Transit Mall Connectivity Improvements	436,681	
SON030012	SantaRosa Bus	Bus Stop Enhancements	29,347	
SON050016	Son Co Transit	CNG Bus Purchase	1,756,379	
SON050021	Son Co Transit	Bus Stop Improvement Project	9,782	
SOL050038	Vallejo	Replace 5 1983 40' RTS buses	1,705,095	
SOL050039	Vallejo	Replace 10 Paratransit Vans	483,214	
SOL030022	Vallejo	Ferry Major Components Rehabilitation	835,000	
<u>SOL050035</u>	<u>Vallejo</u>	<u>Replace (2) 2000 Chevy Mini Vans with cut-aways</u>	<u>133,625</u>	
SOL990039	Vacaville	Preventive Maintenance	1,528,025	
SCL990046	VTA	Preventive Maintenance	8,855,278	
SCL030005	VTA	Guadalupe Corridor LRT Platform Rehab & Retrofit	8,455,660	13,668,548
SCL050044	VTA	Replace Bus Fareboxes	2,377,973	
SCL050045	VTA	ADA Bus Stop Improvements	542,913	
SCL050047	VTA	De Anza Transit Center - Transit Enhancements	398,787	
SCL050048	VTA	CCTV / Video on Demand SecuritySystem	412,730	
SCL050002	VTA	LRT Rail Rehabilitation	1,086,400	
SCL050049	VTA	Rail Substation Rehabilitation / Replacement	522,282	
SCL050050	VTA	LRT Crossovers & Switches	0	
SCL070031	VTA	Chaboya Division Bus wash	796,294	
SCL070032	VTA	Upgrade Light Rail Station Public Address System	1,204,854	
CC-050039	Westcat	Replace 10 2002 Paratransit Vehicles	668,124	

FY 2007-08 FTA Section 5307 and 5309 Fixed Guideway				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
Surplus Funds - Increase Two Caltrain Project Caps to \$13 Million				
SM-03006B	Caltrain	Systemwide Track Rehab & Related Civil Structures		5,522,959
Surplus Funds - 80% using 10% flexible set-aside formula				
ALA991070	AC Transit	Preventive Maintenance	9,959,337	
ALA050066	ACE (SJRC)	Signal Upgrade Project (Stockton to Lathrop)		1,466,082
REG05010	BART	General Mainline Renovation	19,144,513	10,954,652
CC-050046	CCCTA	Complete APC and AVA with Security Upgrades	320,000	
CC-050052	CCCTA	On-Board Security Cameras	160,000	
CC-050053	CCCTA	Operations Facility Security Camera System	80,000	
CC-050056	CCCTA	ADA Bus Stops at County Connection Offices	160,000	
CC-030034	CCCTA	Preventive Maintenance	1,804,951	
CC-050057	CCCTA	Scheduling Software Components for Planning	40,000	
CC-050048	ECCTA	Luminator Demonstration Project	488,096	
CC-050029	ECCTA	Park and Ride Lots	2,220,471	
MRN010035	GGBHTD	Radio/AVL System Replacement	663,088	
MRN970017	GGBHTD	Ferry Dredging - Fixed Guideway Replace./Rehab.		737,773
ALA030030	LAVTA	Preventive Maintenance	1,402,730	
SF-050027	SFMTA	Articulated Trolley Coach Rehab	1,798,000	
SF-050034	SFMTA	Light Rail Vehicle Safety Mods	12,002,697	3,997,303
SF-970105	SFMTA	Third St. IOS/MME	2,400,000	
SF-950037	SFMTA	SF Muni Rail Replacement Program 1998-20	800,000	
SF-970170	SFMTA	SF Muni Trolley Overhead Recon. Program	500,000	
SF-99T002	SFMTA	Cable Car Infrastructure	500,000	
SF-030013	SFMTA	Wayside fare Collection	700,000	
SF-990003	SFMTA	Radio Replacement	4,017,066	
SF-050035	SFMTA	Replace Misc. Equipment	300,000	
SF-050037	SFMTA	Subway Fire Alarm & Detection Systems Replacement	1,500,000	
SF-050038	SFMTA	Potrero/Presidio - Trolley Coach Lifts	2,500,000	
SF-990004	SFMTA	Islais Creek Motor Coach Facility	1,000,000	
SF-030004	SFMTA	Security Improvements & Graffiti Prevention	404,134	
SM-050047	SamTrans	Replacement of SamTrans Fare Collection Equipment	3,798,052	
ALA050062	Union City	Revenue Vehicle Replacement	143,210	
SOL030019	Vallejo	Preventive Maintenance (bus & ferry systems)	1,549,094	
CC-030025	Westcat	Preventive Maintenance	366,993	
		Total Program	161,101,269	123,616,372
		Fund Balance	7,814,651	0

Notes:

The 2/22/06 revision includes revised revenue projections and the programming of \$210,910,298 of additional projects following the Transit Capital Priorities policy contained on pages 25 and 26 of MTC Resolution 3688, Revised.

The 3/26/08 Revision includes the following:

- 1) Sonoma County Transit is funding their replacement of 10 1996 CNG buses with alternative fund sources and will swap out the funds for preventive maintenance.
- 2) Operators in the Santa Rosa, Fairfield, and Vacaville Urbanized Areas, did not wish to participate in the ADA or 10% flexible set-aside programming elements.
- 3) ACE has also elected to not claim funds in the Livermore and San Jose UAs. This limits the amount of funding that ACE can receive from the remaining eligible UAs (San Francisco-Oakland and Concord) to \$1,057,000 per eligible project category.
- 4) Golden Gate Transit deferred replacement of 34 1991 40' TMC buses to FY20 in exchange for \$8,293,951 for Facilities Rehabilitation
- 5) \$133,625 programmed to Benicia for van replacement was reprogrammed to Vallejo as part of the consolidation of the operators under SolTrans

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W.I.: 1514
Referred by: PAC
Revised: 06/28/06-C 07/26/06-C
03/26/08-C 05/28/08-C
12/17/08-C 06/22/11-C

ABSTRACT

Resolution No. 3742, Revised

This resolution approves the AB 664 Net Bridge Toll Revenues program of projects for FY 2005-06 through FY 2007-08.

This resolution was revised on June 28, 2006 to program \$16.1 million of AB 664 Net Bridge Toll Revenues to BART.

This resolution was revised on July 26, 2006, to program an additional \$1,383,221 in FY 2006-07 AB 664 Net Bridge Toll Revenues to the San Francisco Municipal Transportation Agency.

This resolution was revised on March 26, 2008 to revise the FY 2007-08 AB 664 program to conform to the FY 2007-08 FTA program.

This resolution was revised on May 28, 2008 to revise the FY 2007-08 AB 664 program to add an AC Transit bus procurement project to the list of eligible projects.

This resolution was revised on December 17, 2008 to revise the FY 2007-08 AB 664 program to add a CCCTA bus procurement project to the list of eligible projects.

This resolution was revised on June 22, 2011 to revise the FY 2006-07 AB 664 program to add a CCCTA bus procurement and to revise the FY 2007-08 program to add a LAVTA bus procurement to the list of eligible projects.

Further discussion of the programming is contained in the Programming and Allocation Committee Summary Sheets dated April 12, 2006, June 14, 2006, July 12, 2006, March 5, 2008, May 14, 2008, December 10, 2008, and June 8, 2011.

**PROGRAM OF AB 664 NET BRIDGE TOLL REVENUE PROJECTS
FISCAL YEARS 2005-06 THROUGH 2007-08**

FY 2005-06 Program				
Sponsor	Eligible Capital Projects	Fund Source	East Bay	West Bay
	Revenue Projections		9,146,147	4,579,245
	Previous Year Carry-Over (if any)			
	Expirations and Rescissions			
	Total Funds Available		\$9,146,147	\$4,579,245
Previous Year Committed Programming				
LAVTA	1986 RTS 2 motorbus repl (w/farebox, etc.) with 40' hybrid buses	\$ 5307	127,066	
Vallejo	Retrofit of 27 bus engines	\$ 5307	162,000	
Current Year Programming				
AC Transit	Information Systems Upgrade	\$ 5307		
AC Transit	Maintenance Facility & Equipment Upgrades	\$ 5307		
AC Transit	Paratransit Vehicle Lease	\$ 5307		
AC Transit	Bus Purchase	Other Local		
	Total Programmed to AC Transit		2,171,261	
BART	Rail, Way and Structures Program	\$ 5307/\$ 5309 FG		
BART	System Wide Safety Project	\$ 5309 FG		
BART	Traction Power	\$ 5307		
BART	Train Control Renovation	\$ 5309 FG		
BART	Strategic Maintenance Program	\$ 5307		
	Total Amount Programmed to BART		5,290,476	
Caltrain	Systemwide Track Rehab & Related Civil Structures	\$ 5309 FG		
Caltrain	Replacement of 1950's Budd Trailer Cars	\$ 5307		
Caltrain	Signal/Communication Rehabilitation	\$ 5309 FG		
Caltrain	Track Rehab	\$ 5307		
Caltrain	Caltrain Construction Support Equipment	\$ 5307		
Caltrain	N/S Terminal Track Upgrades	\$ 5307		
	Total Amount Programmed to Caltrain			829,836
CCCTA	Preventive Maintenance (Capital Element Only)	\$ 5307		
CCCTA	Bus PM Retrofits	\$ 5307		
	Total Amount Programmed CCCTA		102,668	
ECCTA	Bus Security Monitoring Systems	\$ 5307		
ECCTA	Replace Old Building HVAC System	\$ 5307		
ECCTA	Security Camera, Equipment & Farebox Replacement	\$ 5307		
ECCTA	Preventive Maintenance (Capital Element Only)	\$ 5307		
	Total Amount Programmed to ECCTA			83,799
LAVTA	LAVTA Facilities Security Program	\$ 5307		
LAVTA	Repl. 12 1983 40' RTS buses with hybrid buses	\$ 5307		
LAVTA	Preventive Maintenance (Capital Element Only)	\$ 5307		
	Total Amount Programmed to LAVTA		660,635	
SFMTA	Motor coach repl 455 - 51 40' new flyers	\$ 5307		
SFMTA	Wayside fare collection equip	\$ 5309 FG		
SFMTA	Cable Car Traction Power & Guideway Rehab	\$ 5309 FG		
SFMTA	Cable car vehicle renovation program	\$ 5309		
SFMTA	Escalator Rehabilitation	\$ 5309 FG		
SFMTA	Preventive Maintenance (Capital Element Only)	\$ 5307		
SFMTA	Repl 35 -30' 1990 Onions buses with 30 30' & 5 40' hybrid buses	\$ 5307		
SFMTA	Repl.10 1998 and 24 2001 mini vans with cut-aways	\$ 5307		
SFMTA	SF Muni Rail Replacement Program 1998-20	\$ 5307		
SFMTA	Trolley Coach Rebuild 60 Articulated New Flyers	\$ 5307		
SFMTA	Trolley Overhead Reconstruction	\$ 5309 FG		
SFMTA	Wayside Train Control	\$ 5309 FG		
	Total Amount Programmed to Muni			3,670,340
SamTrans	Preventive Maintenance (Capital Element Only)	\$ 5307		
	Total Amount Programmed to SamTrans			79,069
Union City	Preventive Maintenance (Capital Element Only)	\$ 5307		
Union City	Replace (1) 1991 30' Bus with 35' Bus	\$ 5307		
Union City	Replace (2) 1998 and (2) 1999 paratransit vans	\$ 5307		
Union City	Replace One 1996 Dodge Intrepid with Paratransit Van	\$ 5307		
	Total Amount Programmed to Union City		82,299	
Vallejo	Ferry Major Components Rehabilitation	\$ 5307		
Vallejo	Bus Maintenance Facility Rehab	\$ 5307		
Vallejo	Preventive Maintenance (Capital Element Only)	\$ 5307		
	Total Amount Programmed to Vallejo		349,630	
Westcat	Preventive Maintenance (Capital Element Only)	\$ 5307		
Westcat	Replace (3) 1996 Thomas 35' buses.	\$ 5307		
	Total Amount Programmed to Westcat		116,313	
	Total Programmed		\$9,146,147	\$4,579,245
	Fund Balance		0	0

Notes:

- 1) Project eligible for program in FY 2004-05 but inadvertently excluded.
- 2) Reprogramming of expired AB 664 funds.

PROGRAM OF AB 664 NET BRIDGE TOLL REVENUE PROJECTS
FISCAL YEARS 2005-06 THROUGH 2007-08

FY 2006-07 Program				
Sponsor	Eligible Capital Projects	Fund Source	East Bay	West Bay
	Net Toll Revenue Projections		8,369,974	4,970,353
	Previous Year Carry-Over (restricted)			
	Total Funds Available		\$8,369,974	\$4,970,353
Current Year Programming				
AC Transit	Information Systems Upgrade	\$ 5307		
AC Transit	Maintenance Facility & Equipment Upgrades	\$ 5307		
AC Transit	Paratransit Vehicle Lease	\$ 5307		
AC Transit	Bus Purchase	\$ 5307		
AC Transit	Preventive Maintenance (Capital Element Only)	\$ 5307		
AC Transit	Security Needs	\$ 5307		
	Total Programmed to AC Transit		2,264,575	
BART	Rail, Way and Structures Program	\$ 5309 FG		
BART	Traction Power	\$ 5309 FG		
BART	Train Control Renovation	\$ 5307/\$ 5309 FG		
BART	Strategic Maintenance Program	\$ 5307		
BART	General Mainline Renovation	\$ 5307/\$ 5309 FG		
BART	Security Needs	\$ 5307		
	Total Amount Programmed to BART		4,957,737	
Caltrain	Systemwide Track Rehab & Related Civil Structures	\$ 5309 FG		
Caltrain	Security Needs	\$ 5307		
Caltrain	Signal/Communication Rehabilitation	\$ 5307/\$ 5309 FG		
Caltrain	Systemwide Track Rehab & Related Civil Structures	\$ 5309 FG		
Caltrain	Track Rehab	\$ 5307		
	Total Amount Programmed to Caltrain			686,653
CCCTA	Complete APC and AVA with Security Upgrades	\$ 5307		
CCCTA	Emergency Communications Equipment	\$ 5307		
CCCTA	Improved Locks and Alarms at Operations Facilities	\$ 5307		
CCCTA	On-Board Security Cameras	\$ 5307		
CCCTA	Operations Facility Security Camera System	\$ 5307		
CCCTA	Preventive Maintenance (Capital Element Only)	\$ 5307		
CCCTA	Replace 40 Diesel 40' Buses	\$ 5307		
	Total Amount Programmed CCCTA		125,915	
ECCTA	Bus Catalyst Devices	\$ 5307		
ECCTA	Luminator Demonstration Project	\$ 5307		
ECCTA	Replace 6 1995 40' Gillig buses	\$ 5307		
ECCTA	Preventive Maintenance (Capital Element Only)	\$ 5307		
	Total Amount Programmed to ECCTA		195,160	
LAVTA	Bus Catalyst Devices	\$ 5307		
LAVTA	Preventive Maintenance (Capital Element Only)	\$ 5307		
LAVTA	Replace 3 1999 24' paratransit	\$ 5307		
	Total Amount Programmed to LAVTA		97,305	
Muni	Cable Car Traction Power & Guideway Rehab	\$ 5309 FG		
Muni	Cable car vehicle renovation program	\$ 5309 FG		
Muni	Escalator Rehabilitation	\$ 5307		
Muni	Facility Safety Improvements	\$ 5307		
Muni	Flynn Facility Ventilation	\$ 5307		
Muni	Historic Rail Car rehabilitation	\$ 5307		
Muni	Historic Rail Car rehabilitation	\$ 5309 FG		
Muni	Light Rail Vehicle Safety Mods	\$ 5309 FG		
Muni	Light Rail Vehicle-Overhaul Program	\$ 5309 FG		
Muni	Potrero-Presidio Fall Protection System	\$ 5307		
Muni	Security Improvements & Graffiti Prevention	\$ 5307		
Muni	SF Muni Rail Replacement Program 1998-20	\$ 5309 FG		
Muni	Third St. IOS/MME	\$ 5307		
Muni	Trolley Overhead Reconstruction	\$ 5309 FG		
Muni	Wayside Train Control	\$ 5309 FG		
Muni	Woods Maintenance Facility Rehab	\$ 5307		
Muni	Motor Coach Replacement	\$ 5307		
	Total Amount Programmed to Muni			3,984,855
Region	Transit Capital Inventory	\$ 5307		
	Total Amount Programmed to MTC Regional Projects		70,000	30,000
SamTrans	Bus Repl: (76) 40" Alt Fuel Vehicles	\$ 5307		
SamTrans	Purchase 10 Minivan 2002 4-Year PT Vehicles	\$ 5307		
SamTrans	Replace 19 1999 El Dorado paratransit vans	\$ 5307		
SamTrans	Replacement of SamTrans Fare Collection Equipment	\$ 5307		
SamTrans	Preventive Maintenance (Capital Element Only)	\$ 5307		
	Total Amount Programmed to SamTrans			268,845
Union City	Preventive Maintenance (Capital Element Only)	\$ 5307		
Union City	Revenue Vehicle Replacement	\$ 5307		
	Total Amount Programmed to Union City		5,996	
Vallejo	Bus Catalyst Devices	\$ 5307		
Vallejo	Replace Buses	\$ 5307		
Vallejo	Preventive Maintenance (Capital Element Only)	\$ 5307		
Vallejo	Replace 19 40' Gillig buses	\$ 5307		
Vallejo	Bus Maintenance Facility Rehab	\$ 5307		
	Total Amount Programmed to Vallejo		467,766	
Westcat	Bus Catalyst Devices	\$ 5307		
Westcat	Replace (6) 1988 35' Buses	\$ 5307		
Westcat	Preventive Maintenance (Capital Element Only)	\$ 5307		
Westcat	Replace (2) 35' 1997 35' Thomas buses	\$ 5307		
	Total Amount Programmed to Westcat		185,519	
	Total Programmed		8,369,973	4,970,353
	Fund Balance		0	0

Notes:

1) \$1,383,221 of Muni's fund total was originally programmed to the motor coach fleet in FY 2002-03. The funds were rescinded and reallocated by the Commission in FY 2006-07.

PROGRAM OF AB 664 NET BRIDGE TOLL REVENUE PROJECTS
FISCAL YEARS 2005-06 THROUGH 2007-08

FY 2007-08 Program				
Sponsor	Eligible Capital Projects	Fund Source	East Bay	West Bay
	Net Toll Revenue Projections		8,655,002	3,709,286
	Expirations and Rescissions			
	Total Funds Available		\$8,655,002	\$3,709,286
AC Transit	Information Systems Upgrade	\$ 5307		
AC Transit	Maintenance Facility & Equipment Upgrades	\$ 5307		
AC Transit	Paratransit Vehicle Lease	\$ 5307		
AC Transit	Zero Emission Bus Advanced Demonstration	\$ 5307		
AC Transit	Preventive Maintenance (Capital Element Only)	\$ 5307		
AC Transit	Procurement of 14 - 30' Vehicles and 2 - 40' Vehicles	Local		
	Total Programmed to AC Transit		1,136,737	
BART	Rail, Way and Structures Program	\$ 5307/\$ 5309 FG		
BART	Traction Power	\$ 5309 FG		
BART	Train Control Renovation	\$ 5309 FG		
BART	Strategic Maintenance Program	\$ 5307		
BART	General Mainline Renovation	\$ 5307/\$ 5309 FG		
	Total Amount Programmed to BART		6,119,007	
Caltrain	Systemwide Track Rehab & Related Civil Structures	\$ 5309 FG		
Caltrain	Rapid Rail Related Projects Pt. II	\$ 5309 FG		
Caltrain	Signal/Communication Rehabilitation	\$ 5307/\$ 5309 FG		
Caltrain	Systemwide Track Rehab & Related Civil Structures	\$ 5309 FG		
Caltrain	North Terminal Operational Improvements Phase II	\$ 5307/\$ 5309 FG		
Caltrain	Signal Replacement and Upgrade Program	\$ 5307/\$ 5309 FG		
Caltrain	Installation of Fixed Fuel Facility	\$ 5307		
	Total Amount Programmed to Caltrain			712,695
CCCTA	ADA Bus Stops at County Connection Offices	\$ 5307		
CCCTA	Complete APC and AVA with Security Upgrades	\$ 5307		
CCCTA	On-Board Security Cameras	\$ 5307		
CCCTA	Operations Facility Security Camera System	\$ 5307		
CCCTA	Preventive Maintenance (Capital Element Only)	\$ 5307		
CCCTA	Replace 38 LINK vans and 12 flexvans	\$ 5307		
CCCTA	Scheduling Software Components for Planning	\$ 5307		
CCCTA	Replace 40 Diesel 40' Buses	\$ 5307		
	Total Amount Programmed CCCTA		486,479	
ECCTA	Luminator Demonstration Project	\$ 5307		
ECCTA	Park and Ride Lots	\$ 5307		
ECCTA	Preventive Maintenance (Capital Element Only)	\$ 5307		
	Total Amount Programmed to ECCTA		248,571	
LAVTA	Preventive Maintenance (Capital Element Only)	\$ 5307		
LAVTA	Replace (3) 2000 24' Paratransit Vehicles	\$ 5307		
LAVTA	12 Bus Replacement	\$ 5307		
	Total Amount Programmed to LAVTA		161,212	
MTC	Regional Transportation Information Kiosks			
	Total Amount Programmed to MTC			225,000
SFMTA	Articulated Trolley Coach Rehab	\$ 5307		
SFMTA	Cable Car Infrastructure	\$ 5307		
SFMTA	Cable Car Traction Power & Guideway Rehab	\$ 5309 FG		
SFMTA	Cable car vehicle renovation program	\$ 5307/\$ 5309 FG		
SFMTA	Escalator Rehabilitation	\$ 5307		
SFMTA	Flynn Facility Ventilation	\$ 5307		
SFMTA	Islais Creek	\$ 5307		
SFMTA	Light Rail Vehicle Safety Mods	\$ 5307		
SFMTA	Light Rail Vehicle-Overhaul Program	\$ 5307		
SFMTA	Misc. Equipment	\$ 5307		
SFMTA	Overhead Lines Rehabilitation	\$ 5307		
SFMTA	Potrero-Presidio Hoists	\$ 5307		
SFMTA	Radio Replacement	\$ 5307		
SFMTA	Rail Replacement	\$ 5307		
SFMTA	Security Gate Replacement	\$ 5307		
SFMTA	SF Muni Rail Replacement Program 1998-20	\$ 5309 FG		
SFMTA	Subway Fire Alarm & Detection Sys	\$ 5307		
SFMTA	Third St. IOS/MME	\$ 5307		
SFMTA	Trolley Coach Rebuild 60 Articulated New Flyers	\$ 5307		
SFMTA	Trolley Overhead Reconstruction	\$ 5309 FG		
SFMTA	Wayside fare Collection	\$ 5307		
SFMTA	Wayside Train Control	\$ 5309 FG		
SFMTA	Woods Maintenance Facility Rehab	\$ 5307		
	Total Amount Programmed to SFMTA			2,031,209
SamTrans	Repl. (76) 1993 Gillig 40' buses with hybrid buses	\$ 5307		
SamTrans	Replace (10) 2001 El Dorado paratransit vehicles	\$ 5307		
SamTrans	Replacement of SamTrans Fare Collection Equipment	\$ 5307		
SamTrans	Preventive Maintenance (Capital Element Only)	\$ 5307		
	Total Amount Programmed to SamTrans			740,383
Union City	Preventive Maintenance (Capital Element Only)	\$ 5307		
Union City	Revenue Vehicle Replacement	\$ 5307		
	Total Amount Programmed to Union City		11,630	
Vallejo	Ferry Major Components Rehabilitation	\$ 5307/\$ 5309 FG		
Vallejo	Replace 10 Paratransit Vans	\$ 5307		
Vallejo	Replace 5 1983 40' RTS buses	\$ 5307		
Vallejo	Bus Maintenance Facility Rehab	\$ 5307		
Vallejo	Preventive Maintenance (Capital Element Only)	\$ 5307		
	Total Amount Programmed to Vallejo		406,663	
Westcat	Preventive Maintenance (Capital Element Only)	\$ 5307		
Westcat	Replace 10 2002 Paratransit Vehicles	\$ 5307		
	Total Amount Programmed to Westcat		84,703	
	Total Programmed Fund Balance		8,655,002	3,709,286
			0	0

Date: June 24, 2009
W.I.: 1512
Referred By: PAC
Revised: 05/26/10-C
06/22/11-C

ABSTRACT

Resolution No. 3908, Revised

This resolution approves the process and establishes the criteria for programming the FTA Section 5307 and 5309 Fixed Guideway (FG) funds in the San Francisco Bay Area for FY 2009-10 through FY 2011-12.

This resolution was revised on May 26, 2010 to establish the policy for programming the Vehicle Procurement Reserve, establish a Bus Emission Reduction Device Funding Program, revise the Flexible Set-aside formula in the Petaluma Urbanized Area, and make other minor revisions to the policy.

This resolution was revised on June 22, 2011 to make Solano County Transit eligible for future Transit Capital Priorities programming, and to specify that the Flexible Set-Aside will not be programmed in FY 2010-11 due to apportionment shortfalls.

Further discussion of the Transit Capital Priorities Policy is contained in the Executive Director's memorandum to the Programming and Allocations committee dated May 13, 2009, and the Programming and Allocations Summary Sheets dated May 13, 2009, June 10, 2009, May 12, 2010, and June 8, 2011.

Date: June 24, 2009
W.I.: 1512
Referred By: PAC
Revised: 05/26/10-C
06/22/11-C

Attachment A
Resolution No. 3908
Page 1 of 37

**FY 2009-10 through FY 2011-12
San Francisco Bay Area
FTA Section 5307 and FTA Section 5309 Fixed Guideway
Transit Capital Priorities Criteria**

**For development of the
FY 2009-10 through FY 2011-12
Transit Capital Priorities Project Lists**

Metropolitan Transportation Commission
Joseph P. Bort MetroCenter
101 Eighth Street
Oakland, CA 94607

The full text of Resolution 3908 can be found on our website at the link below, or will be provided upon request to MTC to info@mtc.ca.gov or 510.817.5700.

http://www.mtc.ca.gov/funding/FTA/downloads/RES-3908_approved.pdf

The revised sections are shaded and attached for your reference.

Program Eligibility

FTA Section 5307 Urbanized Area Federally Defined Program Eligibility (Statutory Reference: 49USC5307): Planning, engineering design and evaluation of transit projects and other technical transportation-related studies; capital investments in bus and bus-related activities such as replacement of buses, overhaul of buses, rebuilding of buses, crime prevention and security equipment and construction of maintenance and passenger facilities; and capital investments in new and existing fixed guideway systems including rolling stock, overhaul and rebuilding of vehicles, track, signals, communications, and computer hardware and software, and other related projects to meet unfunded mandates. All preventive maintenance and some ADA complementary paratransit service are considered capital costs.

FTA Section 5309 Fixed Guideway Federally Defined Program Eligibility (Statutory Reference: 49USC5309): Capital projects to modernize or improve fixed guideway systems are eligible including purchase and rehabilitation of rolling stock and ferries, track, line equipment, structures, ferry floats, ramps and other ferry fixed guideway connectors, ferry navigational equipment and related components, signals and communications, power equipment and substations, passenger stations and terminals, security equipment and systems, maintenance facilities and equipment, operational support equipment including computer hardware and software, system extensions, and preventive maintenance.

Regional Requirements and Eligibility

Urbanized Area Eligibility

Transit operators are required to submit annual reports to the National Transit Database. Service factors reported in large urbanized areas determine the amounts of FTA Section 5307 and 5309 FG funds generated in the region. MTC staff will work with members of the Partnership to coordinate reporting of service factors in order to maximize the amount of funds generated in the region and to determine urbanized area eligibility. An operator is eligible to claim FTA funds only in designated urbanized areas as outlined in Table 1 below. Eligibility is based on geographical operations, NTD reporting, and agreements with operators.

Table 1. Urbanized Area Eligibility

Urbanized Area	Eligible Transit Operators
San Francisco-Oakland	AC Transit, ACE, BART, Caltrain, GGBHTD, SFMTA, SamTrans, <u>Solano County Transit</u> , Union City Transit, Vallejo Transit, Water Emergency Transportation Authority, WestCat
San Jose	ACE, Caltrain, SCVTA
Concord	ACE, BART, CCCTA, LAVTA
Antioch	BART, Tri-Delta
Santa Rosa	GGBHTD, Santa Rosa City Bus, Sonoma County Transit
Vallejo	City of Benicia, Napa Vine on behalf of American Canyon, <u>Solano County Transit</u> , City of Vallejo, WestCat
Fairfield	Fairfield-Suisun Transit
Vacaville	Vacaville Transit
Napa	Napa VINE
Livermore	ACE, LAVTA
Gilroy-Morgan Hill	Caltrain, SCVTA
Petaluma	GGBHTD, Petaluma Transit, Sonoma County Transit

- (i) Altamont Commuter Express (ACE) is eligible to claim funds in four of the San Francisco Bay Area’s urbanized areas according to Federal Transit Administration statute. ACE has entered into an agreement with other operators eligible to claim funds in the San Jose UA, which prevents ACE from claiming funds in that UA. Likewise, ACE has also determined that they will be reporting their Livermore area revenue miles in the Stockton UA and have elected not to seek funding from the Livermore UA. The project element that the Regional Priority Model would apportion to these two urbanized areas will be deducted from the total amount of their capital request. ACE operates on track privately owned by Union Pacific. Requests for track rehabilitation, maintenance, and or upgrades for funding in the San Francisco-Oakland and Concord UAs will be assessed for eligibility upon review of the ACE and Union Pacific agreement.
- (ii) Santa Rosa City Bus and Sonoma County will apportion Santa Rosa urbanized area funding in accordance with previous agreements (75% Santa Rosa City Bus and 25% Sonoma County).
- (iii) Golden Gate Bridge and Highway Transportation District (GGBHTD) is eligible to claim funds in the Santa Rosa Urbanized Areas. However, as a result of an agreement between the operators and discussion with the TFWG, GGBHTD will not claim funds from the Santa Rosa UA at this time. However, should it become advantageous to the region for GGBHTD to report revenue miles in the Santa Rosa UA and thereby claim funds in that UA, agreements between the operators will be re-evaluated. Golden Gate is an eligible claimant for funds in the Petaluma UA,

and in years where extensive capital need in other urbanized areas in the region is high; Golden Gate's projects could be funded in the Petaluma UA.

- (iv) WestCat is an eligible claimant in the Vallejo UA but will report revenue miles in the San Francisco-Oakland UA in order to maximize funding to the region. Therefore, WestCAT will claim funds exclusively in the San Francisco-Oakland UA.
- (v) Funding agreements between operators in the San Jose and Gilroy-Morgan Hill UAs are subject to the conditions outlined in the Caltrain Joint Powers Board Agreement.
- (vi) The Water Emergency Transportation Authority (WETA) is an eligible claimant in the San Francisco-Oakland UA starting in FY 2009-10 contingent on WETA's adoption of a transition plan for the assumption of responsibility for the Alameda and Vallejo ferry services, including responsibility for replacement and rehabilitation of Alameda's and Vallejo's ferry capital assets, as required by SB 976. If WETA does not adopt the transition plan, any TCP funds programmed to WETA would be reprogrammed to other eligible operators.
- (vii) Solano County Transit (SolTrans) is an eligible claimant in the San Francisco-Oakland and Vallejo UAs starting in FY 2010-11 contingent on FTA's designation of SolTrans as an eligible grantee. Programming for SolTrans will be in lieu of new programming for the City of Benicia and the City of Vallejo bus services.

Eligibility for New Operators

New operators will be required to meet the following criteria before becoming eligible for TCP funding:

- The operator provides public transit services in the San Francisco Bay Area that are compatible with the region's Regional Transportation Plan.
- The operator is an FTA grantee.
- The operator has filed NTD reports for at least two years prior to the first year of programming, e.g., has filed an NTD report for 2008 services and intends to file a report for 2009 to be eligible for FY10 TCP funding.
- The operator has executed a Cooperative Planning Agreement with MTC.
- The operator has submitted a current SRTP to MTC.

- b) *Multi-County Agreements*: For some operators, urbanized area (UA) apportionments are guided by multi-county agreements. Aside from the acknowledged agreements, funds are apportioned based on the regional priority model.

There are three specific agreements that are being honored under the negotiated multi-county agreement model: the Caltrain Joint Powers Board Agreement, the Altamont Commuter Express (ACE) Cooperative Services Agreement and the Sonoma County-Santa Rosa City Bus Agreement.

Consideration for future agreements will include representation from each interested county, interested transit property, or an appointed designee, and be approved by all operators in the affected UA and MTC.

- c) *10% Flexible Set-Aside*: Prior to running the apportionment model, 10% of the FTA Section 5307 funds from each of the urbanized areas is redistributed based on apportioned ridership and FTA revenue factors, weighted equally. Table 8 shows the percentages by operator and urbanized area for this programming period. Urbanized areas not shown are either urbanized areas with only one operator or urbanized areas that have opted to not participate in the set-aside. Descriptions of these formulas are outlined below.

Apportioned Ridership: Ridership is apportioned based on how an operator reports their revenue miles to FTA. As an example, BART reports their revenue miles 71.28% in the San Francisco-Oakland UA, 26.14% in the Concord UA, and 2.58% in the Antioch UA. Instead of counting their total ridership, or 97.1 million, in each UA, ridership is apportioned to each UA based on the reporting factors.

FTA Revenue Factors: The set-aside is distributed on FTA revenue factors - bus tier and fixed guideway tier. Factors included in the analysis are revenue vehicle miles, passenger miles, and operating cost. Small-urbanized area set-asides are distributed to eligible operators based on a rough estimation of population and population density.

Table 8: 10% Flexible Set-aside Shares by Urbanized Area and Operator

Operator	SFO	SJ	Concord	Antioch	Vallejo	Napa	Livermore	Gilroy-MH	Petaluma
AC Transit	15.7%								
ACE	1.5%		1.6%						
BART	25.4%		76.9%	47.9%					
Caltrain	3.3%	9.6%							
CCCTA			16.5%						
ECCTA				52.1%					
GGBHTD	5.1%								58.4%
LAVTA			5.0%				100.0%		
Napa					13.5%	100.0%			
Petaluma									13.8%
SamTrans	4.8%								
SFMTA	40.9%								
Sonoma County									27.8%
Union City	0.2%								
Vallejo/Benicia	2.0%				86.5%				
VTA		90.4%						100.0%	
WestCat	0.5%								
WETA (Alameda Ferry)	0.6%								
Total	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Notes:

- 1) Urbanized Areas not shown are not participating in 10% flexible set-aside policy.
- 2) Formula based on hybrid of apportioned ridership and revenue factors (equally weighted).
- 3) Ridership based on MTC's 2004 Statistical Summary of Bay Area Operators (FY 2002-03 data).
- 4) Revenue factors based on FY 2001-2002 NTD data received from operators.
- 5) Shares for Petaluma Transit and WETA based on 2007 data.
- 6) Distribution in Petaluma UA revised by agreement of eligible operators.
- 7) To calculate funding amounts, multiply 10% of related urbanized area revenue estimate against percentages shown for operators in that urbanized area.

Flexible Set-Aside funds will not be programmed for the FY 2010-11 program year due to lower federal apportionments and projected shortfalls in FY 2011-12. The region's ability to program Flexible Set-Aside funds in FY 2011-12 will be evaluated based on projected apportionments and high-scoring capital project needs.

- d) *10% ADA Paratransit Service Set-Aside:* SAFETEA establishes a cap on the use of large urbanized area capital funds for ADA paratransit services not to exceed 10% of the region's apportionment of FTA Section 5307 funds. An amount equal to 10% of each participating urbanized area's FTA Section 5307 apportionment will be set-aside to assist operators in defraying ADA paratransit operating expenses. The purpose of this set-aside is to ensure that in any one year, a transit operator can use these funds to provide ADA service levels necessary to maintain compliance with the federal law, without impacting existing levels of fixed route service. ADA set-aside programmed to small UA operators will not impact eligible programming amounts in large UAs. Table 9 shows the percentages by operator and urbanized area for this programming period.

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05/26/10-C
06/22/11-C

ABSTRACT

Resolution No. 3916, Revised

This resolution approves the FY 2009-10 through FY 2011-12 FTA Section 5307 and FTA Section 5309 Fixed Guideway (FG) programs for inclusion in the 2009 Transportation Improvement Program (TIP).

This resolution was revised on April 28, 2010 to reprogram \$17.5 million in Section 5307 funds from SFMTA to AC Transit as part of funding exchange with CMAQ funds.

This resolution was revised on May 26, 2010 to reconcile the FY 2009-10 program with the final FY 2009-10 FTA apportionments, and to program the FY 2010-11 and FY 2011-12 Vehicle Procurement Reserve to BART (\$80 million) and Caltrain (\$70 million) for their rail car replacement projects.

This resolution was revised on June 22, 2011 to reconcile the FY 2010-11 program with the final FY 2011 FTA apportionments, implement an exchange of \$17.5 million in CMAQ funds programmed to AC Transit's Bus Rapid Transit project for FTA preventive maintenance funding, and transfer \$5 million from Caltrain's Railcar Replacement project to preventive maintenance.

Further discussion of the FTA program of projects is contained in the Programming and Allocations Committee summary sheets dated July 8, 2009, April 14, 2010, May 12, 2010 and June 8, 2011.

FY 2010-11 FTA Section 5307 and 5309 Fixed Guideway Program				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
		Actual Apportionment	216,504,664	132,223,176
		Previous Year Carryover	9,819,983	8,901,518
		Funds Available for Programming	226,324,647	141,124,694
ADA Set-Aside				
ALA990076	AC Transit	ADA Operating Assistance	4,339,305	
ALA010056	ACE	ACE Track Improvements	553,355	
BRT99T01B	BART	ADA Capital - Enhancements	3,251,332	
REG090051	Caltrain	Revenue Vehicle Rehab Program	1,129,419	
CC-99T001	CCCTA	ADA Operating Assistance	732,526	
CC-030035	ECCTA	ADA Operating Assistance	537,405	
MRN090033	GGBHTD	ADA Operating Assistance	1,229,437	
ALA990077	LAVTA	ADA Operating Assistance	311,817	
NAP030004	Napa Vine	ADA Operating Assistance	24,436	
SF-990022	SFMTA	ADA Operating Assistance	4,117,438	
SM-990026	SamTrans	ADA Operating Assistance	1,094,747	
SOL990040	Vallejo Transit	ADA Operating Assistance	624,814	
SCL050046	VTA	ADA Operating Assistance	3,884,698	
CC-990045	WestCat	ADA Operating Assistance	119,028	
Economic Reserve				
SM-050005	BART	Preventive Maintenance	12,599,452	
CC-030034	CCCTA	Preventative Maintenance	827,797	
CC-030037	ECCTA	Preventive Maintenance	263,844	
REG090050	WETA	Ferry Major Component	64,411	
Vehicle Procurement Reserve				
REG050020	BART	BART Car Replacement Exchange Preventive Maintenance	25,940,067	
REG090037	BART	Railcar Replacement	7,284,799	
		Total Program Set-asides and Commitments	68,930,126	0
		Funds Available for Programming	157,394,521	141,124,694
Capital Projects				
ALA990052	AC Transit	Paratransit Van Leasing	1,706,256	
ALA991070	AC Transit	Preventive Maintenance	34,500,000	
ALA010056	ACE	ACE Track Improvements	1,460,000	
BRT030004	BART	Train Control		13,000,000
BRT030005	BART	Traction Power		13,000,000
BRT97100B	BART	Track Replacement Rehabilitation	2,496,035	10,503,965
ALA090065	BART	Replacement of Fixed Guideway Elements and Fare Collection Equipment	2,520,000	
SM-03006B	Caltrain	Systemwide Track Rehabilitation & Related Structures		12,940,248
SM-050041	Caltrain	Signal/ Communication Rehabilitation & Upgrades		329,752
REG090053	Caltrain	Preventive Maintenance	5,000,000	
CC-030034	CCCTA	Preventive Maintenance	5,466,170	
CC-070092	ECCTA	Transit Bus Replacements	5,263,853	
SOLO10006	Fairfield	Operating Assistance	2,497,847	
MRN090034	GGBHTD	Replace 30 - 1997 45' Over-the-Road Buses	15,264,600	
MRN090035	GGBHTD	Replace 7 paratransit vans	445,669	
MRN990017	GGBHTD	Ferry Dredging	2,000,000	
MRN090025	GGBHTD	Ferry Propulsion	3,660,000	
NAP970010	Napa	Operating Assistance	1,438,183	
SON090030	Petaluma	Electronic Fareboxes	120,000	
SON090029	Petaluma	2 Van Replacement	180,940	
SON090010	Petaluma	Preventive maintenance	193,502	

FY 2010-11 FTA Section 5307 and 5309 Fixed Guideway Program				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
SF-99T005	SFMTA	Historic Vehicle Rehabilitation		10,000,000
SF-970073	SFMTA	Cable Car Vehicle Renovation		1,102,500
SF-090035	SFMTA	Paratransit Van Replacement	1,945,341	
SF-070046	SFMTA	Rehab 170 Neoplan Motor Coaches	4,800,000	
SF-070045	SFMTA	Trolley Car Replacement		20,000,000
<u>SF-95037B</u>	<u>SFMTA</u>	<u>Rail Replacement</u>	<u>4,026,555</u>	14,040,000
SF-970170	SFMTA	Overhead Rehabilitation		14,040,000
SF-050024	SFMTA	Wayside Train Control Equipment Rehab and Replacement		7,500,000
SF-030013	SFMTA	Wayside Fare Collection Equipment Rehab and Replacement		700,000
<u>SM-030023</u>	<u>SamTrans</u>	<u>Preventive Maintenance</u>	<u>5,092,763</u>	
SM-090042	SamTrans	Replacement of 10 2007 Minivans	403,930	
SON030011	Santa Rosa	Operating Assistance	1,318,170	
SON090024	Santa Rosa	Preventive Maintenance	1,634,486	
SON030012	Santa Rosa	Bus Stop Enhancements	34,694	
SON070020	Santa Rosa	Hybrid Electric Bus Purchase (Replacement)	482,559	
SON050021	Sonoma County	Bus Stop Improvement Project	11,565	
<u>SON030005</u>	<u>Sonoma County</u>	<u>Preventive Maintenance</u>	<u>1,145,072</u>	
ALA090061	Union City	Replacement of Four (4) Transit Buses	1,658,276	
ALA090064	Union City	Replacement of Two (2) Transit Buses	854,758	
SOL010007	Vacaville	Operating Funds	973,000	
SOL97AM70	Vacaville	Bus Shelters	400,000	
SOL090028	Vallejo	Communication Upgrades (AVL, GPS, and other)	1,728,000	
SOL090029	Vallejo	Bus Radio(s) replacement	94,000	
SOL090030	Vallejo	Vault Receiver	88,000	
SOL090031	Vallejo	Bill Counters	8,000	
SOL090032	Vallejo	Public Address System	28,000	
SOL090033	Vallejo	Bus Maintenance Facility Renovation	800,000	
SCL050002	VTA	Rail Rehabilitation & Replacement		1,683,000
SCL090044	VTA	TP OCS Rehab & Replacement		6,098,250
SCL050049	VTA	TP Substation Replacement		4,767,000
SCL050045	VTA	ADA Bus Stop Improvements	442,846	
SOL010006	VTA	Preventive Maintenance	36,432,424	
<u>CC-090060</u>	<u>WestCAT</u>	<u>Revenue Vehicle Replacement</u>	<u>1,015,640</u>	
New	<u>WestCAT</u>	<u>Bus Wash</u>	<u>150,000</u>	
New	<u>WestCAT</u>	<u>Vehicle Rehab</u>	<u>180,585</u>	
REG090054	WETA	Harbor Bay Dredging	200,000	
REG090057	WETA	Ferry Major Component	336,000	
REG090055	WETA	Ferry Propulsion Systems	1,600,000	
New	WETA	Facilities Rehabilitation	200,000	
REG090067	WETA	Fixed Guideway Connectors	1,344,000	
Total Capital Projects			153,641,719	129,704,715
Total Program			222,571,845	129,704,715
Fund Balance			3,752,802	11,419,979

Notes:

- Operators in the Napa and Petaluma UAs do not participate in the ADA set-aside.
- The 10% Flexible Set-Aside was not programmed in FY11 due to apportionment shortfalls in FY11 and projected shortfalls in FY12.
- AC Transit exchanged \$20,000,000 for replacement of 68 low-floor 40' buses for preventive maintenance. The buses will be procured with I-bond funds. \$3,000,000 of the preventive maintenance funding was deferred to FY12.
- AC Transit exchanged \$17,500,000 in CMAQ programmed to its BRT project for \$17,500,000 in 5307 for preventive maintenance. CMAQ funds were reprogrammed to SFMTA's Central Subway; \$17.5M I-Bond funds were transferred from Central Subway to BART's Fixed Guideway projects, which will be reduced by \$17.5M in TCP funds in FY12.
- Caltrain exchanged \$5,000,000 in FY12 funding for Railcar Replacement for preventive maintenance in FY11. The Railcar funding will be replaced by Caltrain using non-TCP funds. The region will not replace the \$5 million, meaning that the share of regional participation in car replacement will decrease by \$5 million.
- CCCTA deferred replacement of 10 40' buses from FY11 to FY23 in exchange for \$5,466,170 in preventive maintenance.
- Petaluma deferred replacement of 8 cutaways in exchange for \$238,447 in preventive maintenance in FY10. Due to insufficient funds in Petaluma UA, \$105,522 from Bus Replacement and \$87,980 in Van Replacement transferred to PM in FY10. Funds were restored in FY11 as preventive maintenance; the vehicles were purchased with local funds.
- SFMTA deferred \$20,000,000 programmed in FY11 and \$4,159,333 programmed in FY12 for replacement of 45 40' NABI buses to FY13 in exchange for \$4,026,555 for Rail Replacement.
- SamTrans deferred replacement of 62 1998 Gillig buses to FY12 and 10 to FY23 in exchange for \$5,092,763 in preventive maintenance.
- Sonoma County Transit exchanged \$400,000 for replacement of one 40' CNG bus in exchange for preventive maintenance. The bus will be procured with ARRA funds.
- WestCAT deferred \$3,326,130 for replacement of 9 out of 11 40' buses from FY11 to FY13 in exchange for \$276,500 to upgrade the two remaining buses to 45' OTR coaches, \$150,000 for a bus wash, and \$180,585 for vehicle rehabs.

FY 2011-12 FTA Section 5307 and 5309 Fixed Guideway Program				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
		<i>Projected Apportionment</i>	241,388,871	145,891,960
		<i>Previous Year Carryover</i>	3,752,802	11,419,979
		<i>Funds Available for Programming</i>	245,141,673	157,311,939
Flexible Set-Aside Reserve			23,141,611	
ADA Set-Aside				
ALA990076	AC Transit	ADA Operating Assistance	4,512,877	
ALA050042	ACE	ADA Operating Assistance	575,489	
BRT99T01B	BART	ADA Operating Assistance	3,381,385	
SM-050040	Caltrain	ADA Operating Assistance	1,174,595	
CC-99T001	CCCTA	ADA Operating Assistance	761,827	
CC-030035	ECCTA	ADA Operating Assistance	558,902	
MRN99T001	GGBHTD	ADA Operating Assistance	1,278,614	
ALA990077	LAVTA	ADA Operating Assistance	333,250	
NAP030004	Napa Vine	ADA Operating Assistance	26,973	
SF-990022	SFMTA	ADA Operating Assistance	4,282,135	
SM-990026	SamTrans	ADA Operating Assistance	1,138,537	
SOL990040	Vallejo Transit	ADA Operating Assistance	670,655	
SCL050046	VTA	ADA Operating Assistance	4,047,786	
CC-990045	WestCat	ADA Operating Assistance	123,789	
Economic Reserve				
CC-030037	ECCTA	Preventative Maintenance	278,564	
CC-030025	WestCat	Preventative Maintenance	146,362	
New	WETA	Preventative Maintenance Reserves	64,411	
Vehicle Procurement Reserve				
REG090037	BART	Railcar Replacement	36,775,134	10,000,000
New	Caltrain	Rolling Stock Replacement Program	35,833,335	29,166,665
Total Program Set-asides and Commitments			119,106,231	39,166,665
Funds Available for Programming			126,035,442	118,145,274
Capital Projects				
New	AC Transit	(68) Low Floor 40' Transit Buses	7,521,137	
ALA990052	AC Transit	Paratransit Van Leasing	1,740,381	
New	AC Transit	(28) 40' Low Floor Buses	11,670,845	
ALA991070	AC Transit	Preventive Maintenance	3,000,000	
ALA010056	ACE	Santa Clara Track Improvements	1,460,000	
BRT030004	BART	Train Control		8,000,000
BRT030005	BART	Traction Power		8,000,000
New	BART	Track Replacement Rehabilitation		8,000,000
BRT030005	BART	Replacement of Fixed Guideway Elements and Fare Collection Equipment		20,000
SM-03006B	Caltrain	Systemwide Track Rehabilitation & Related Structures		13,270,000

FY 2011-12 FTA Section 5307 and 5309 Fixed Guideway Program				
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG
New	CCCTA	Replace (10) 40' buses - Hybrid	5,627,420	
New	CCCTA	Replace (4) LINK Vans	371,840	
New	CCCTA	Replace (4) Minivans	173,556	
New	ECCTA	1995 Transit Bus Replacement	2,774,881	
CC-070092	ECCTA	Clipper Fareboxes	136,464	
CC-050029	ECCTA	Park and Ride Facility Land Purchase - Security Project	71,860	
SOL010006	Fairfield	Operating Assistance	2,924,191	
New	GGBHTD	Replace 2 - 1998 45' Over-the-Road Buses	1,048,234	
New	GGBHTD	Replace 3 - 2005 paratransit vans	195,897	
MRN030010	GGBHTD	Fixed Guideway Connectors	1,000,000	
MRN030011	GGBHTD	Ferry Major Component Replacement	400,000	
New	GGBHTD	Ferry Propulsion	4,260,000	
New	LAVTA	Preventative Maintenance	116,780	
NAP970010	Napa	Operating Assistance	1,428,764	
New	Petaluma	Communication Equipment	46,371	
SF-99T005	SFMTA	Historic Vehicle Rehabilitation		13,146,553
SF-970073	SFMTA	Cable Car Vehicle Renovation		1,157,625
New	SFMTA	Paratransit Van Replacement	206,824	
SF-070046	SFMTA	Rehab 170 Neoplan Motor Coaches	4,800,000	
New	SFMTA	<u>Motor Coach Replacement</u>	-	
SF-070045	SFMTA	Trolley Car Replacement	1,174,792	18,825,208
SF-950037B	SFMTA	Rail Replacement		10,290,000
SF-99T002	SFMTA	Cable Car System Rehabilitation		7,500,000
SF-970170	SFMTA	Overhead Rehabilitation		10,290,000
SF-050024	SFMTA	Wayside Train Control Equipment Rehab and Replacement		7,500,000
SF-030013	SFMTA	Wayside Fare Collection Equipment Rehab and Replacement		700,000
New	SamTrans	<u>Replacement of 62 1998 Gillig Buses</u>	24,745,874	
New	SamTrans	Replacement of 10 2005 El Dorado 22' Cutaways	1,231,388	
SON030011	Santa Rosa CityBus	Operating Assistance	1,318,170	
SON090024	Santa Rosa CityBus	Preventive Maintenance	1,969,781	
SON030012	Santa Rosa CityBus	Bus Stop Enhancements	39,007	
SON070020	Santa Rosa CityBus	Hybrid Electric Bus Purchase (Replacement)	573,713	
SON070024	Sonoma County Transit	Bus Replacement	1,300,233	
SON070024	Sonoma County Transit	Bus Replacement	400,000	
SON050021	Sonoma County Transit	Bus Stop Improvement Project	13,002	
SOL010007	Vacaville	Operating Funds	983,000	
New	Vallejo	Fare Collection Equipment	7,200	
SOL050012	Vallejo	Stations/Curtola/Lemon Bus Transit Center	2,500,000	
SCL050045	VTA	ADA Bus Stop Improvements	460,559	
SCL990046	VTA	Preventive Maintenance	30,000,000	
SCL050002	VTA	Rail Rehabilitation & Replacement		3,195,000
New	VTA	LRV Overhaul Program		4,528,500
New	WestCat	Revenue Vehicle Replacement	1,857,205	
New	WestCat	Revenue Vehicle Replacement	380,657	
REG090057	WETA	Ferry Major Component		168,000
New	WETA	Floats & Gangways		3,512,000
Total Capital Projects			119,930,027	118,102,886
Total Program			239,036,258	157,269,551
Fund Balance			6,105,414	42,388

Notes:

- 1) Operators in the Santa Rosa, Fairfield, and Vacaville Urbanized Areas did not wish to participate in the ADA or 10% flexible set-aside programming elements, and operators in the Napa and Petaluma UAs do not participate in the ADA set-aside.
- 2) AC Transit deferred \$3,000,000 of the preventive maintenance funding from FY11.
- 3) AC Transit exchanged \$17,500,000 in CMAQ programmed to its BRT project for \$17,500,000 in 5307 for preventive maintenance in FY11. CMAQ funds were reprogrammed to SFMTA's Central Subway; \$17.5M I-Bond funds were transferred from Central Subway to BART's Fixed Guideway projects, which will be reduced by \$17.5M in TCP funds in FY12.
- 4) Caltrain exchanged \$5,000,000 in FY12 funding for Railcar Replacement for preventive maintenance in FY11. The Railcar funding will be replaced by Caltrain using non-TCP funds.
- 5) SFMTA deferred \$20,000,000 programmed in FY11 and \$4,159,333 programmed in FY12 for replacement of 45 40' NABI buses to FY13 in exchange for \$4,026,555 for Rail Replacement in FY11.
- 6) SamTrans deferred \$20,000,000 for replacement of 72 1998 Gillig Buses from FY11 to FY12.

Date: June 22, 2011
W.I.: 1514
Referred by: PAC

ABSTRACT

Resolution No. 4013

This resolution approves the AB 664 Net Bridge Toll Revenues program of projects for FY 2010-11 totaling approximately \$13.1 million.

Further discussion of the AB 664 program of projects is contained in the Programming and Allocations Committee summary sheet dated June 8, 2011.

Date: June 22, 2011
W.I.: 1514
Referred by: PAC

RE: Programming of AB 664 Net Bridge Toll Revenues in Fiscal Year 2010-11

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4013

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 et seq., and

WHEREAS, pursuant to Streets and Highways Code § 30892, after deduction for MTC's administrative costs, MTC shall allocate toll bridge net revenues to public entities operating public transportation systems and to the California Department of Transportation (Caltrans) to achieve MTC's capital planning objectives in the vicinity of toll bridges as set forth in its adopted Regional Transportation Plan (RTP) ("Net Revenues"); and

WHEREAS, pursuant to Streets and Highways Code § 30894, MTC has adopted MTC Resolution No. 4015, which sets forth MTC's Bridge Toll Revenue Allocation Policy; and

WHEREAS, MTC has adopted a transit capital priorities program which set forth the priorities for funding transit capital projects in the Transportation Improvement Program (TIP); and

WHEREAS, these projects programmed in the TIP are in conformance with MTC's Regional Transportation Plan, with the requirements of the California Environmental Quality Act (Public Resources Code § 2100 et seq.) and the State EIR Guidelines (14 Cal. Admin. Code § 15000 et seq.); now therefore, be it

RESOLVED, that MTC approves the FY 2010-11 programming of AB 664 Net Bridge Toll Revenues to the claimants, in the amounts, for the purposes, and subject to the conditions listed on Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length.

METROPOLITAN TRANSPORTATION COMMISSION

Adrienne J. Tissier, Chair

The above resolution was entered into
by the Metropolitan Transportation Commission
at a regular meeting of the Commission
held in Oakland, California on June 22, 2011.

PROGRAM OF AB 664 NET BRIDGE TOLL REVENUE PROJECTS

FY 2010-11 Program				
Sponsor	Eligible Capital Projects	Fund Source	East Bay	West Bay
	Revenue Projections		7,552,300	3,236,700
	Previous Year Carry-Over (if any)		1,110,110	683,012
	Expirations and Rescissions		553,369	
	Total Funds Available		\$9,215,779	\$3,919,712
Current Year Programming				
AC Transit	Preventive Maintenance	§ 5307		
AC Transit	Bus Procurements	§ 5307		
AC Transit	Paratransit Van Leasing	§ 5307		
	Total Programmed to AC Transit		2,209,036	
BART	Preventive Maintenance	§ 5307		
BART	BART Car Exchange Preventive Maintenance	§ 5307		
BART	Railcar Replacement	§ 5307		
BART	Train Control	§ 5309 FG		
BART	Traction Power	§ 5309 FG		
BART	Track Replacement Rehabilitation	§ 5307/§ 5309 FG		
BART	Replacement of Fixed Guideway Elements and Fare Collection Equipment	§ 5307/§ 5309 FG		
BART	Strategic Maintenance Program	§ 5307		
BART	General Mainline Renovation	§ 5307/§ 5309 FG		
BART	Security Needs	§ 5307		
	Total Amount Programmed to BART (1)		5,420,763	
Caltrain	Preventive Maintenance	§ 5307		
Caltrain	Signal/ Communication Rehabilitation & Upgrades	§ 5309 FG		
Caltrain	Systemwide Track Rehabilitation & Related Structures	§ 5309 FG		
	Total Amount Programmed to Caltrain			702,633
CCCTA	Preventative Maintenance	§ 5307		
	Total Amount Programmed CCCTA		384,011	
ECCTA	Preventive Maintenance	§ 5307		
ECCTA	Transit Bus Replacements	§ 5307		
	Total Amount Programmed to ECCTA		337,259	
SFMTA	Paratransit Van Replacement	§ 5307		
SFMTA	Rehab 170 Neoplan Motor Coaches	§ 5307		
SFMTA	Rail Replacement	§ 5307/§ 5309 FG		
SFMTA	Historic Vehicle Rehabilitation	§ 5309 FG		
SFMTA	Cable Car Vehicle Renovation	§ 5309 FG		
SFMTA	Trolley Car Replacement	§ 5309 FG		
SFMTA	Overhead Rehabilitation	§ 5309 FG		
SFMTA	Wayside Train Control Equipment Rehab and Replacement	§ 5309 FG		
SFMTA	Wayside Fare Collection Equipment Rehab and Replacement	§ 5309 FG		
	Total Amount Programmed to SFMTA			3,005,686

SamTrans	Preventive Maintenance	\$ 5307		
SamTrans	Replacement of 10 2007 Minivans	\$ 5307		
Total Amount Programmed to SamTrans				211,393
Union City	Replacement of (4) Transit Buses	\$ 5307		
Union City	Replacement of (2) Transit Buses	\$ 5307		
Total Amount Programmed to Union City			153,327	
Vallejo	Communication Upgrades (AVL, GPS, and other)	\$ 5307		
Vallejo	Bus Radio(s) replacement	\$ 5307		
Vallejo	Vault Receiver	\$ 5307		
Vallejo	Bill Counters	\$ 5307		
Vallejo	Public Address System	\$ 5307		
Vallejo	Bus Catalyst Devices			
Vallejo	Bus Replacements			
Vallejo	Preventive Maintenance (Capital Element Only)			
Vallejo	Bus Maintenance Facility Renovation	\$ 5307		
Total Amount Programmed to Vallejo (2)			629,246	
Westcat	Revenue Vehicle Replacement	\$ 5307		
Westcat	Bus Wash	\$ 5307		
Westcat	Vehicle Rehab	\$ 5307		
Total Amount Programmed to Westcat			82,137	
Total Programmed			\$9,215,779	\$3,919,712
Fund Balance			0	0

Notes:

- (1) Total for BART includes \$91,663 reallocation of lapsed funds originally allocated in FY 2006-07.
(2) Total for Vallejo includes \$461,706 reallocation of lapsed funds originally allocated in FY 2006-07.

Date: June 22, 2011
W.I.: 1514
Referred by: PAC

ABSTRACT

Resolution No. 4014

This resolution allocates \$13.1 million in AB 664 Net Bridge Toll Revenues to eligible transit operators for FY 2010-11.

Further discussion of the allocations is contained in the Programming and Allocations Committee Summary Sheet dated June 8, 2011.

Date: June 22, 2011
W.I.: 1514
Referred by: PAC

RE: Allocation of AB 664 Net Bridge Toll Revenues for FY 2010-11

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4014

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code § 66500 et seq.; and

WHEREAS, MTC is responsible for the allocation of certain bridge toll revenues, to wit:

(1) Pursuant to Streets and Highways Code § 30892, after deduction for MTC's administrative costs, MTC shall allocate certain toll bridge net revenues to public entities operating public transportation systems and to the California Department of Transportation (Caltrans) to achieve MTC's capital planning objectives in the vicinity of toll bridges as set forth in its adopted Regional Transportation Plan (RTP) ("Net Revenues"); and

WHEREAS, MTC Resolution No. 4015 sets forth MTC's bridge toll revenue allocation policies; and

WHEREAS, pursuant to Streets and Highways Code § 30895, MTC has prepared and submitted to the Legislature a report on the capital planning and ferry system objectives of MTC to be achieved through the allocation of net toll revenues; and

WHEREAS, "Claimants" have each submitted an application to MTC for an allocation of net bridge toll revenues in FY 2010-11 for the projects and purposes set forth in Attachment A to this resolution, attached hereto and in MTC Resolution No. 4013, Revised, and incorporated herein as though set forth at length; and

WHEREAS, MTC Resolution No. 4013, Revised, programs Net Bridge Toll Revenues for FY 2010-11; and

WHEREAS, claimants certify that their respective projects and purposes set forth in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code § 21000 et seq.) and the State EIR Guidelines (14 Cal. Code Regs. § 15000 et seq.); now, therefore, be it

RESOLVED, that MTC finds that the Claimants' projects and purposes as set forth in Attachment A are in conformance with MTC's Regional Transportation Plan, MTC's bridge toll revenue allocation policies, and MTC's capital planning and ferry system objectives; and, be it further

RESOLVED, that MTC approves the allocation of net bridge toll revenues in FY 2010-11 to Claimants, in the amounts, for the purposes, and subject to the conditions listed on Attachment A to this resolution and consistent with MTC Resolution 4013, Revised.

METROPOLITAN TRANSPORTATION COMMISSION

Adrienne J. Tissier, Chair

The above resolution was entered into
by the Metropolitan Transportation Commission
at a regular meeting of the Commission
held in Oakland, California on June 22, 2011

**ALLOCATION OF AB 664 NET BRIDGE TOLL REVENUE
FISCAL YEAR 2010-11**

PO/Acct. Code	Project Sponsor	Project	East Bay Allocation	West Bay Allocation	Approval Date
Match to Federal Transit Capital Funds					
11-4014-01/5850	ACTransit	Match to capital projects programmed in MTC Resolution No. 4013	2,209,036		6/22/11
11-4014-02/5850	BART	Match to capital projects programmed in MTC Resolution No. 4013	5,420,763		6/22/11
11-4014-03/5850	Caltrain	Match to capital projects programmed in MTC Resolution No. 4013		702,633	6/22/11
11-4014-04/5850	CCCTA	Match to capital projects programmed in MTC Resolution No. 4013	384,011		6/22/11
11-4014-05/5850	ECCTA	Match to capital projects programmed in MTC Resolution No. 4013	337,259		6/22/11
11-4014-07/5850	SamTrans	Match to capital projects programmed in MTC Resolution No. 4013		211,393	6/22/11
11-4014-08/5850	SFMTA	Match to capital projects programmed in MTC Resolution No. 4013		3,005,686	6/22/11
11-4014-09/5850	Union City Transit	Match to capital projects programmed in MTC Resolution No. 4013	153,327		6/22/11
11-4014-10/5850	Vallejo Transit	Match to capital projects programmed in MTC Resolution No. 4013	629,246		6/22/11
11-4014-11/5850	WestCat	Match to capital projects programmed in MTC Resolution No. 4013	82,137		6/22/11

	Grand Total		
Total Allocations	9,215,779	3,919,712	13,135,491

Notes:

- (1) Total for BART includes \$91,663 reallocation of lapsed funds originally allocated in FY 2006-07.
- (2) Total for Vallejo includes \$461,706 reallocation of lapsed funds originally allocated in FY 2006-07.