

Metropolitan Transportation Commission Programming and Allocations Committee

June 8, 2011

Item Number 2h

MTC Resolution No. 4007

Subject: FY2011-12 State Transit Assistance (STA) Regional Coordination Program

Background: Consistent with MTC's adopted STA Population-Based Consolidated policy, MTC Resolution No. 3837, population-based STA funds are available to support special projects of regional interest and transit coordination projects, especially those identified in the Commission's Transit Coordination Implementation Plan (MTC Resolution No. 3866).

The Commission annually adopts the STA Regional Coordination Program. Attachment A to MTC Resolution No. 4007 updates the programming of STA to projects for FY2011-12. Attachment B provides more detailed information about each of these projects. Consistent with the updated programming, staff is concurrently requesting an allocation of STA funds in MTC Resolution No. 4001.

Issues: After FY2011-12 programming, the STA Regional Coordination Program will have an estimated fund balance of \$19.1 million. STA funds are valuable because they can be used for capital and operating. Since MTC has few fund sources for transit that can be spent on operating and STA funding levels have been subject to much uncertainty in the last several years, staff continues to take steps to reduce commitments against MTC's STA funds and maximize the amount of STA available for ClipperSM operating costs. A significant majority of MTC's STA Program fund balance is reserved to meet future ClipperSM operating costs. Staff will continue to monitor the STA Program's ability to fund these costs and keep the Commission apprised of the situation.

Of the various projects to receive STA funds in FY2011-12, staff would like to highlight the programming of \$5.0 million of STA to cover approximately six months of ClipperSM operating costs until federal CMAQ funds for operations are obligated by FTA. Once CMAQ is obligated, unspent STA will be returned to MTC's Regional Coordination Program.

Recommendation: Refer MTC Resolution No. 4007 to the Commission for approval.

Attachments: MTC Resolution No. 4007

Date: June 22, 2011
W.I.: 1223
Referred by: PAC

ABSTRACT
Resolution No. 4007

This resolution establishes a one-year program for FY2011-12 for MTC State Transit Assistance (STA) Regional Coordination Program funds.

Further discussion is contained in the Programming and Allocation Summary sheet dated June 8, 2011.

Date: June 22, 2011
W.I.: 1223
Referred by: PAC

RE: FY2011-12 MTC Regional Coordination Program for State Transit Assistance (STA) Funds

METROPOLITAN TRANSPORTATION COMMISSION

Resolution No. 4007

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 et seq.; and

WHEREAS, the State Transit Assistance (STA) fund is created pursuant to Public Utilities Code § 99310 et seq., and

WHEREAS, Public Utilities Code § 99313 provides for the allocation by the Controller of State Transit Assistance (STA) funds to MTC based on the ratio of the population of the area under MTC's jurisdiction to the total population of the State of California; and

WHEREAS, in accordance with PUC Code § 99316(a) MTC has created the State Transit Assistance fund with Alameda County for deposit of STA funds received from the State Controller; and

WHEREAS, Public Utilities Code § 99313.6(d) provides that MTC is an eligible claimant for such population-based STA funds for projects to achieve regional transit coordination objectives; and

WHEREAS, MTC has adopted a Transit Coordination Implementation Plan pursuant to Government Code Section 66516.5 which identifies a number of projects to be implemented by MTC and the region's transit agencies to improve coordination of services; and

WHEREAS, the projects listed in Attachment A to this resolution, attached hereto, and incorporated herein as though set forth at length, are consistent with the STA Population-Based Consolidated policy established in MTC Resolution No. 3837; and

WHEREAS, MTC has provided information about the use of STA funds for the projects in FY2011-12 as shown in Attachment B to this resolution, attached hereto, and incorporated herein as though set forth at length; and

WHEREAS, the implementation of the projects and purposes listed in Attachment B comply with the requirements of the California Environmental Quality Act, Public Resources Code § 21000 et seq., and the State EIR Guidelines (14 Cal. Code of Regs. § 15000 et seq.)

WHEREAS, MTC has complied with the applicable rules and regulations for an allocation of STA funds under 21 Cal. Code of Regs. § 6730 et seq.; now, therefore, be it

RESOLVED, that STA funds are programmed by MTC in the amounts and for the purposes that are specified in Attachment A and described in Attachment B to this resolution, attached hereto and made a part of this resolution.

METROPOLITAN TRANSPORTATION COMMISSION

Adrienne J. Tissier, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California on June 22, 2011.

Date: June 22, 2011
W.I.: 1223
Referred by: PAC

Attachment A
MTC Resolution No. 4007
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**STA Regional Coordination Program
FY2011-12**

STA Regional Discretionary Funds ^(note 1)		\$ 24,977,386
Project Name	Claimant	Amount
Clipper	MTC	\$ 5,396,000
Clipper Grant Administration	GG Transit	\$ 10,000
Transit Connectivity	AC Transit	\$ 206,000
Regional Transit Marketing	MTC	\$ 91,000
Project Management Tools	MTC	\$ 36,000
Regional Paratransit Program	CCCTA	\$ 75,000
Transit Projects Contingency	MTC	\$ 100,000
	Total	\$ 5,914,000
STA Balance Estimate		\$ 19,063,386

Notes:

1. This amount is from the FY2011-12 STA Population-based fund estimate in MTC Resolution No. 3990 and is comprised of the sum of the estimated carryover (\$16,433,793) and new funding of \$8,543,593.

Date: June 22, 2011
 W.I.: 1223
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Attachment B
 MTC Resolution No. 4007
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STA Regional Coordination Program Summary FY2011-12

STA Regional Coordination Program funds have historically supported some of MTC's regional operations projects as well as other planning and operational efforts to improve coordination of, and access to, transit services in the Bay Area. Many of these projects have been identified in the Commission's Transit Coordination Implementation Plan (MTC Resolution 3866). Specific goals include: (1) improve service to the transit customer, (2) increase system efficiency through coordination of specific functions, and (3) develop sub-regional coordination agreements between connecting agencies.

The FY2011-12 STA Regional Coordination Program is approximately \$5.9 million and generally focuses on delivering ClipperSM and Transit Connectivity consistent with the Commission's Transportation 2035 Plan and other regional planning documents. In addition, a small amount of funds are programmed to implement other MTC regional priorities. More detail about the specific projects and the amount of STA funds programmed to each follows.

ClipperSM

Programmed to MTC: \$5,396,000

Programmed to GGT: \$ 10,000

ClipperSM allows transit riders to pay transit fares with a reloadable ClipperSM smart card. The ClipperSM card stores transit value – electronic cash (e-cash) and/or transit passes, and transit riders “tag” the card by touching it to a ClipperSM card reader when boarding a transit vehicle or entering a station. The reader deducts the correct fare including any transfers or other discounts. ClipperSM is currently operating on AC Transit, Bay Area Rapid Transit (BART), Caltrain, Golden Gate Transit and Ferry (GGT), San Francisco Municipal Transportation Authority (MUNI), Samtrans and Santa Clara Valley Transportation Authority (VTA).

MTC's ClipperSM responsibilities include oversight of a contract with Cubic Transportation Systems, Inc. to design, build, operate and maintain the ClipperSM system and a number of other contracts related to the implementation and operation of the ClipperSM system. In FY2011-12, \$5,000,000 of STA will support operating costs and \$396,000 of STA will support capital costs. In addition, \$10,000 of STA funds will cover GGT's administration of federal funds used to support the implementation of the ClipperSM system.

Transit Connectivity – Transit Information Displays

Programmed to AC Transit: \$206,000

In April 2006, MTC adopted a Transit Connectivity Plan. In July 2006, MTC adopted the funding component for the Plan (Resolution 3771), which specifies the roles and responsibilities of MTC and the transit operators to pay for specific transit connectivity improvements at regional transit hubs. Consistent with the funding plan, MTC is investing \$10 million in initial capital improvements at 24 regional transit hubs (including 3 airports). The focus is to improve wayfinding signage, real-time transit information and static transit information at these hubs. These improvements were originally funded entirely with STA funds. However, MTC Resolution 3814 redirected the STA funds to Lifeline Transit operations and backfilled the Transit Connectivity Program with Proposition 1B Public Transportation, Modernization, Improvement and Service Enhancement Account (Prop 1B Transit) funds.

In addition to capital improvements, the Transit Connectivity Plan recommends a greater regional commitment to maintaining transit information in transit information displays (TIDs). MTC has entered into a Memorandum of Understanding (MOU) with AC Transit to maintain these displays on behalf of the region. The MOU documents the scope of work in exchange for the direct allocation of STA funds. In FY2011-12, \$206,000 is programmed for ongoing maintenance activities.

Regional Transit Marketing, Web, and Communication Services

Programmed to MTC: \$91,000

STA funds will serve as local match to federal funds. Marketing funds will be used to provide customer education, public outreach, market research, and web-related services for the 511 Traveler Information and ClipperSM Programs, as well as to address other ad-hoc transit marketing needs. Consistent with the findings of the 511 Strategic Plan, the program will maintain its focus on increasing and sustaining usage of the 511 phone number and website (511.org) as well as developing a better understanding of how customers use the 511 system. For ClipperSM, marketing funds will be used for customer education and outreach to support transition of existing paper-based fare media to ClipperSM-only availability and to increase overall ClipperSM market share.

Project Management Tools

Programmed to MTC: \$36,000

STA funds will continue to support creation of a fund/contract tracking and reporting tool to better support project management needs. As a part of this effort, the expertise of a consultant will be used to further develop and maintain the project database. In addition, MTC may explore and implement other tools to facilitate project management.

Regional Paratransit Program

Programmed to CCCTA: \$75,000

STA funds will support a ‘lead agency’ for the Regional Paratransit Program. This approach is consistent with the goals of the Transit Coordination and Implementation Plan, which endorses the concept of reimbursement for services provided by a Lead Agency on behalf of other operators. The lead agency is the Central Contra Costa Transit Authority (CCCTA). CCCTA will coordinate paratransit operational activities such as:

- Oversight of the Paratransit Eligibility Program and Regional Eligibility Database, and delivery of the Paratransit Technical Assistance Program through a consultant; and
- Liaison between the PTCC Accessibility Committee and MTC, including reporting on the status of activities.

CCCTA will provide progress reports summarizing work performed.

Transit Projects Contingency

Programmed to MTC: \$100,000

These funds would be used in the event of unforeseen project needs or operating shortfalls with respect to MTC’s regional operations projects such as ClipperSM, 511 Transit and Transit Connectivity. These funds would also allow MTC to respond to unexpected regional or sub-regional transit planning needs or requests as they arise. Unspent contingency funds will be returned to the STA Program.