

## BATA Operating Budget

AS of January 2011

	1	2	3	4	5	6
	FY 2010-11 Budget	Actual YTD	Current Budget Balance	% of Budget (col 2/1)	% of Budget year Expired	Encumbrances
<b>REVENUE:</b>						
1. RM 1 Toll Revenues	484,223,290	284,171,820	200,051,471	58.7%	58.3%	-
2. RM 2 Toll Revenues	112,000,000	66,925,276	45,074,724	59.8%	58.3%	-
3. Caltrans Revenue	153,000,000	153,000,000	-	100.0%	58.3%	-
4. Other revenue	10,000,000	8,405,901	1,594,099	84.1%	58.3%	-
5. Interest Income	29,011,200	7,177,414	21,833,786	24.7%	58.3%	-
6. GGB&HTD, SFO ETC Reimbursement	2,904,000	1,825,351	1,078,649	62.9%	58.3%	-
7. Alameda CMA Reimbursement	312,000	612,742	(300,742)	196.4%	58.3%	-
9. Rebate for Build America Bonds	106,590,400	16,269,663	90,320,738	15.3%	58.3%	-
<b>Total Revenue</b>	<b>898,040,890</b>	<b>538,388,166</b>	<b>359,652,724</b>	<b>60.0%</b>	<b>58.3%</b>	<b>-</b>
<b>EXPENSE:</b>						
<b>Caltrans Operations and Maintenance:</b>						
1. Toll Collection & Operations Services	21,600,000	11,031,912	10,568,088	51.1%	58.3%	-
2. Toll & Bridge Facility Maint	7,900,000	2,667,292	5,232,708	33.8%	58.3%	-
3. Transbay Transit Terminal Maint	816,837	494,151	322,686	60.5%	58.3%	-
4. Caltrans Coordination	500,000	129,055	370,945	25.8%	58.3%	-
5. Caltrans ETC Operations	10,000	5,011	4,989	50.1%	58.3%	-
<b>Caltrans O &amp; M Subtotal</b>	<b>30,826,837</b>	<b>14,327,421</b>	<b>16,499,416</b>	<b>46.5%</b>	<b>58.3%</b>	<b>-</b>
<b>ETC Operations and Maintenance:</b>						
6. ETC - CSC Operations	16,000,000	7,078,484	8,921,516	44.2%	58.3%	8,509,465
7. ETC - ATCAS Maintenance, IT equip	2,923,723	1,303,969	1,619,754	44.6%	58.3%	1,086,949
8. Banking Costs	8,000,000	4,637,577	3,362,423	58.0%	58.3%	3,554,901
9. ETC - Collection Exp./DMV Exp.	1,340,000	693,428	646,572	51.7%	58.3%	70,265
<b>BATA O &amp; M Subtotal</b>	<b>28,263,723</b>	<b>13,713,457</b>	<b>14,550,266</b>	<b>48.5%</b>	<b>58.3%</b>	<b>13,221,580</b>
<b>BATA Toll Bridge Administration:</b>						
10. Staff Costs - including salaries	7,766,087	4,227,604	3,538,483	54.4%	58.3%	553,308
11. RM 1/RM2 Audit/Accounting/Other	1,264,446	487,683	776,763	38.6%	58.3%	30,411
12. Misc. Toll Admin Operating Expenses	900,000	368,229	531,771	40.9%	58.3%	48,211
13. Professional Fees	3,580,000	902,879	2,677,121	25.2%	58.3%	1,836,531
14. Other	1,000,000	37,467	962,533	3.7%	58.3%	72,533
<b>Toll Bridge Admin Subtotal</b>	<b>14,510,533</b>	<b>6,023,862</b>	<b>8,486,672</b>	<b>41.5%</b>	<b>58.3%</b>	<b>2,540,993</b>
<b>Other/Transfers:</b>						
15. Transfers to BAIFA	153,000,000	153,000,000	-	100.0%	58.3%	-
16. Transfers to MTC	511,924,643	513,048,770	(1,124,127)	100.2%	58.3%	-
17. Transfers from Reserve for Legal	1,528,851	572,382	956,469	37.4%	58.3%	956,470
18. Transbay Transit Terminal Maint by TJP	3,000,000	222,519	2,777,481	7.4%	58.3%	2,640,109
19. Depreciation and Amortization	2,000,000	920,356	1,079,644	46.0%	58.3%	-
20. RM2/Clipper Marketing	2,285,000	546,143	1,738,857	23.9%	58.3%	155,252
21. RM2 Operating	42,560,000	16,668,191	25,891,809	39.2%	58.3%	16,830,015
<b>Transfers</b>	<b>716,298,494</b>	<b>684,978,361</b>	<b>31,320,133</b>	<b>95.6%</b>	<b>58.3%</b>	<b>20,581,846</b>
<b>Debt Service:</b>						
22. Interest and principal payments	560,846,558	104,855,153	455,991,405	18.7%	58.3%	-
23. Financing Costs	70,000,000	10,027,109	59,972,891	14.3%	58.3%	13,623,096
<b>Total Debt Service</b>	<b>630,846,558</b>	<b>114,882,263</b>	<b>515,964,295</b>	<b>18.2%</b>	<b>58.3%</b>	<b>13,623,096</b>
<b>Transfer to Capital Fund In (Out):</b>						
24. Transfer to Capital Fund	(522,755,255)	(295,570,876)	(227,184,379)	56.5%	58.3%	-
25. Furniture/Equip./Vehicle	50,000	33,680	16,320	67.4%	58.3%	-
<b>Total Capital Reserve In (Out)</b>	<b>(522,705,255)</b>	<b>(295,537,196)</b>	<b>(227,168,059)</b>	<b>56.5%</b>	<b>58.3%</b>	<b>-</b>
<b>Total Expense &amp; Transfers</b>	<b>898,040,890</b>	<b>538,388,166</b>	<b>359,652,724</b>			<b>49,967,516</b>
<b>Net</b>	<b>-</b>	<b>-</b>	<b>-</b>			<b>-</b>

## Regional Measure 2 Operating Budget

As of January 2011 (\$000)

Program 30914(d)						Balance
Project	Project Title	Total Budget	Allocation	Actual	Encumbrance	Remaining
1	Richmond Bridge Express Bus	\$2,196	2,196	1,281	915	-
2	Napa Vine Service	390	390	185	205	-
3	Express Bus North - serving SFOBB, Dumbarton, San Mateo bridges	3,427	2,715	1,582	1,133	712
4	Express Bus South - serving Carquinez and Benicia Bridges	6,472	5,997	3,267	2,730	475
6	Vallejo Ferry Intermodal Station	15,300	10,472	6,027	4,445	4,828
7	Owl Service - BART Corridor	1,827	1,827	855	972	-
8	MUNI Metro 3rd St	2,500	2,500	-	2,500	-
9	AC Enhanced Bus Service	3,000	3,000	1,750	1,250	-
10	Clipper Operations	1,400	1,400	264	1,136	-
11	Water Emergency Transportation Authority	3,000	3,000	1,457	1,543	-
	Subtotal for Operating Assistance Program	39,512	33,497	16,668	16,829	6,015
N/A	Clipper Marketing	1,382		-	-	1,382
	Bay Area Rapid Transit District	13		8	5	0
	Lysa Hale	15		15	-	0
	Swirl	325		325	-	0
	Subtotal for Clipper Marketing	1,735		348	5	1,382
N/A	RM2 Marketing	202		-	-	202
	Nemetode Holdings LLC	348		198	150	0
	Subtotal for RM2 Marketing	550		198	150	202
	Total	\$41,797	\$33,497	\$17,214	\$16,984	\$7,599

**Regional Measure 2 Project Budget**

**As of January 2011 (\$000) - Life to Date**

Program	Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
1	BART/MUNI Direct Connection at Embarcadero & Civic Center	\$3,000	-	-	-	\$3,000
2	SF MUNI Metro 3rd Street LRT Extension	30,000	30,000	30,000	-	-
3	MUNI Historic Streetcar Expansion (E-Line)	10,000	10,000	7,879	2,121	-
4	Dumbarton Commuter Rail Service	44,000	9,157	6,627	2,530	34,843
5	Vallejo Ferry Intermodal Station	28,000	14,900	4,772	10,128	13,100
6	Solano County Express Bus Intermodal Facilities	20,000	7,297	3,562	3,735	12,703
7	Solano County Corridor Improvements near I-80 / I-680	100,000	97,622	55,950	41,672	2,378
8	I-80 EB HOV Lane Extension from Route 4 to Carraizuez Bridge	50,000	36,735	8,993	27,742	13,265
9	Richmond Parkway Park & Ride	16,000	700	631	69	15,300
10	SMART Extension to Larkspur	35,000	13,406	7,206	6,200	21,594
11	Greenbrae Interchange	65,000	15,850	10,573	5,277	49,150
12	Direct HOV lane connector from I-680 to the Pleasant Hill BART	15,000	1,000	682	318	14,000
13	Rail Extension to East Contra Costa/E-BART	96,000	96,000	28,265	67,735	-
14	Capitol Corridor Improvements in Interstate-80/Interstate 680	25,000	3,365	3,365	-	21,635
15	Central Contra Costa Bay Area Rapid Transit (BART) Crossover	25,000	25,000	13,694	11,306	-
16	Benicia-Martinez Bridge: New Span	50,000	50,000	50,000	-	-
17	Remaining Regional Express Bus North - Competitive Program	20,000	5,232	488	4,744	14,768
18	TransLink®	22,000	20,739	12,241	8,498	1,261
19	Real-time transit information	20,000	17,644	11,015	6,629	2,356
20	Safe Routes to Transit	22,500	11,414	5,922	5,492	11,086
21	BART Tube Seismic Retrofit	33,801	33,801	33,653	148	-
22	Transbay Terminal/Downtown	150,000	150,000	137,903	12,097	-
23	Oakland Airport Connector	115,199	115,199	-	115,199	-
24	AC Transit Enhanced Bus - Phase 1 (International Blvd/Telegraph Ave)	65,000	23,157	19,137	4,020	41,843
25	Commute Ferry Service for Alameda/Oakland/Harbor Bay	12,000	-	-	-	12,000
26	Commute Ferry Service for Berkeley/Albany	12,000	-	-	-	12,000
27	Commute Ferry Service for South San Francisco	12,000	12,000	11,629	371	-
28	Water Transit Facility Imps., Spare Vessels and Environmental Review	48,000	27,755	23,944	3,811	20,245
29	Regional Express Bus South - Remaining Projects	22,000	21,989	19,482	2,507	11
30	I-880 North Safety Improvements	10,000	8,660	4,442	4,218	1,340
31	BART Warm Springs Extension	186,000	186,000	44,293	141,707	-
32	I-580 (Tri Valley) Rapid Transit Corridor Improvements	65,000	45,877	38,325	7,552	19,123
33	Regional Rail Master Plan	6,500	6,465	5,936	529	35
34	Integrated Fare Structure Program	1,500	1,500	595	905	-
35	Transit Commute Benefits Promotion	5,000	3,220	1,035	2,185	1,780
36	Caldecott Tunnel Improvements	50,500	42,202	14,551	27,651	8,298
37	BART's Fixed Guideway Rehab	24,000	24,000	8,568	15,432	-
	<b>Total</b>	<b>\$1,515,000</b>	<b>\$1,167,886</b>	<b>\$625,358</b>	<b>\$542,528</b>	<b>\$347,114</b>

Shaded projects are completed

**RM1 Project Budget**

As of January 2011 (\$000) - Life to Date

Program	Total Budget	Allocated Budget	Total Expenses	Encumbrance	Balance Remaining
<b>New Bridge - Benicia:</b>					
BATA Budget	1,224,800	1,200,949	1,169,852	31,097	23,851
Non BATA Budget*	47,700	47,700	38,299	9,401	-
Subtotal for New Bridge - Benicia	1,272,500	1,248,649	1,208,151	40,498	23,851
Main Span - Carquinez	517,656	515,497	513,073	2,424	2,159
Richmond Parkway	5,897	5,897	5,226	671	-
<b>Richmond Trestle Fender Replacement: **</b>					
BATA Budget	37,593	34,800	50,193 **	(15,393)	2,793
Non BATA Budget*	59,660	59,660	61,642	(1,982)	-
Subtotal for Richmond Trestle Fender Rep.	97,253	94,460	111,835	(17,375)	2,793
Richmond Deck Rehab.	20,000	19,653	19,653	-	347
Widen Trestle	211,202	211,202	209,753	1,449	0
<b>I-880/SR-92 Interchange Recnst.</b>					
BATA Budget	235,400	226,414	189,132	37,282	8,986
Non BATA Budget*	9,600	9,600	-	9,600	-
Subtotal for I-880/SR-92 Interchange Recnst	245,000	236,014	189,132	46,882	8,986
SR-92 Replacement Planting	395	22	-	22	373
Bayfront Expressway Widening	33,968	33,447	33,382	65	521
University/101 Interchange	3,800	3,800	3,700	100	-
<b>TOTAL FOR RM1 PROGRAM</b>	<b>2,407,672</b>	<b>2,368,641</b>	<b>2,293,905</b>	<b>74,736</b>	<b>39,030</b>

\* Non BATA Funded Costs

\*\* EA 0438UY has \$16.9 million in expenses that is part of the Richmond Bridge Retrofit Program in the Seismic Budget

**Seismic Capital Project Budget**  
As of January 2011 (\$000) - Life to Date

Program	Base Budget	Current Budget	Total Expenses*	Encumbrance	Remaining Balance
San Francisco-Oakland Bay Bridge East Span Repl	\$ 5,486,600	\$ 6,184,180	\$ 4,622,212	\$ 1,561,968	\$ -
San Francisco-Oakland Bay Bridge West Span	307,900	307,900	305,316	2,584	\$ -
San Francisco-Oakland Bay Bridge West Approach	429,000	467,700	447,040	20,660	\$ -
Antioch Bridge Retrofit	-	101,000	32,344	68,656	\$ -
Dumbarton Bridge Retrofit	-	149,000	32,350	116,650	\$ -
Richmond-San Rafael Bridge Retrofit ***	825,000	816,500	794,861 ***	21,639	\$ -
Benicia-Martinez Bridge Retrofit	177,800	177,830	177,817	13	\$ -
Carquinez Bridge Retrofit	114,200	114,130	114,206	-	\$ (76)
San Mateo-Hayward Bridge Retrofit	163,500	163,510	163,412	98	\$ -
<b>Subtotal for Bay Area Bridges</b>	<b>7,504,000</b>	<b>8,481,750</b>	<b>6,689,558</b>	<b>1,792,268</b>	<b>(76)</b>
Misc Program Costs	30,000	30,000	26,024	3,976	\$ -
Program Contingency**	989,000	408,220	-	408,220	\$ -
Vincent Thomas Bridge Retrofit ( <i>non-BATA, for information only</i> )	58,500	58,510	58,411	99	\$ -
San Diego-Coronado Bridge Retrofit ( <i>non BATA, for information only</i> )	103,500	103,520	103,235	285	\$ -
<b>Subtotal for Other Bridges</b>	<b>162,000</b>	<b>162,030</b>	<b>161,646</b>	<b>384</b>	<b>-</b>
<b>Total for Toll Bridge Seismic Retrofit Program</b>	<b>\$ 8,685,000</b>	<b>\$ 9,082,000</b>	<b>\$ 6,877,228</b>	<b>\$ 2,204,848</b>	<b>\$ (76)</b>

\*Includes preAB144 LTD expenses from Caltrans to April 2006 3,709,068  
Bata expenses from May 2006 to current 3,168,160  
6,877,228

\*\* Contingency Allocation

Contingency per Budget	989,000
Allocation to SFO BB East Span Repl 7/07	(179,220)
Allocation to Benicia-Martinez 7/07	(30)
Allocation to San Mateo-Hayward 7/07	(10)
Allocation to Vincent Thomas 7/07	(10)
Allocation to San Diego-Coronado 7/07	(20)
Unallocate to Carquinez 7/07	70
Allocation to SFO BB West Approach 3/26/08	(24,700)
Allocation to SFO BB East Span Repl 7/08	(36,290)
Unallocate to Richmond SR 7/08	8,500
Allocations to SFOBB West Approach 12/17/08	(17,000)
Allocation to SFOBB East Span Replacement 12/09	(50,600)
Allocation to Antioch Contingency 1/10	72,000
Allocation to Dumbarton Contingency 1/10	118,000
Allocation to SFOBB East Span Replacement 7/10	(138,390)
Unallocate to SFOBB West Approach 7/10	3,000
Unallocate to Antioch Retrofit 7/10	94,000
Unallocate to Antioch Contingency 7/10	(94,000)
Unallocate to Antioch Contingency 7/10	(43,000)
Unallocate to Dumbarton Retrofit 9/10	216,000
Unallocate to Dumbarton Contingency 9/10	(216,000)
Allocate to SFOBB East Span 9/10	(293,080)
Remaining Balance	<u>408,220</u>

\*\*\* EA 0438UY has \$16.9 million in expenses from the RM 1 Richmond Trestle Fender Replacement Program that belongs to the Seismic Richmond Bridge Retrofit Budget

Rehab Project Budget

As of January 2011 (\$000) - Life to Date

Program	Program	Total Budget	Allocated Budget	Total Expenses	Encumbrance	Balance Remaining
6812	Benicia-Martinez Bridge Rehab	4,274	4,274	4,262	12	-
6813	Carquinez Bridge Rehab	22,736	22,736	8,399	14,337	-
6814	Richmond-San Rafael Bridge Rehab	12,930	12,930	7,738	5,192	-
6825	San Francisco-Oakland Bay Bridge Rehab	85,140	85,140	64,463	20,677	-
6826	San Mateo-Hayward Bridge Rehab	15,052	15,052	7,533	7,519	-
6827	Dumbarton Bridge Rehab	9,382	9,382	6,330	3,052	-
6828	All Bridges Rehab	30,648	30,648	26,372	4,276	-
8030	Completed/Defunded/Transferred Projects	38,731	38,731	30,961	7,770	-
8629	Minor Bridge Rehab Projects	9,183	9,183	1,513	7,670	-
8032	Transbay Transit Terminal Projects	1,441	1,441	423	1,018	-
8033	Minor Toll Plaza Projects	4,016	4,016	2,495	1,521	-
	<b>TOTAL CALTRANS REHAB BUDGET</b>	<b>233,533</b>	<b>233,533</b>	<b>160,489</b>	<b>73,044</b>	<b>-</b>
8905	Misc. Bridge Improvements	5,400	5,400	2,450	46	2,904
8900	ETC Regional CSC Development	7,858	7,858	4,575	1,400	1,883
8902	Future CSC Upgrades/Replacement	2,250	2,250	199	600	1,451
8903	ATCAS Lane Host Upgrades	31,300	31,300	6,677	21,328	3,295
8904	Fastrak Sign & Sign Structure Improvements	36,170	36,170	28,145	1,219	6,806
8531	Benicia New Toll Plaza ORT	4,485	4,485	4,153	218	114
8901	ETC Transponder Procurement	39,853	39,853	31,017	2,051	6,785
8602	Hybrid/ETC Lane Modifications	900	900	874	0	26
8631	Procure New Callboxes	1,644	1,644	1,187	-	457
8907	Toll Plaza Capital Improvements	4,800	4,800	2,070	231	2,499
8908	Enterprise Computing HW/SW	2,000	2,000	663	58	1,279
8909	Gateway Park Planning	1,000	1,000	770	180	50
8910	Minor Emergency Reserve	1,761	1,761	-	-	1,761
8000-11	SRA/RM1 Program Monitoring	36,545	36,545	28,529	2,146	5,870
8000-05	Capital Program Audit	6,000	6,000	4,469	1,297	234
8911	Fender Study	500	500	-	-	500
8912	ETC Transponder Tag Swap	2,433	2,433	111	1,489	833
8913	SFOBB Administration Building	38,700	38,700	6,189	14,679	17,832
8914	Violation Enforcement System Upgrade	8,300	8,300	7,467	802	-
8539	SFOBB Eyebarr Repair Review	2,950	2,950	1,964	951	35
8594	SFOBB West Span Pathway PSR	1,250	1,250	373	877	-
8916	Bay Crossing Study	2,000	2,000	-	400	1,600
8917	IT Security Procedures & Policies	250	250	-	-	250
8918	Maintenance Complex	3,046	3,046	-	100	2,946
8919	Congestion Pricing Study	400	400	-	400	-
	<b>Total BATA REHAB BUDGET</b>	<b>241,795</b>	<b>241,795</b>	<b>131,882</b>	<b>49,972</b>	<b>59,941</b>
	<b>TOTAL REHAB BUDGET</b>	<b>475,328</b>	<b>475,328</b>	<b>292,371</b>	<b>123,016</b>	<b>59,940</b>

**AB 1171 Project Budget**

**As of January 2011 (\$000) - Life to Date**

<b>Project Title</b>	<b>Total Budget</b>	<b>Allocation</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Balance Remaining</b>
Doyle Drive Replacement	80,000	80,000	680	79,320	-
BART to Warm Spring Extension	5,000	5,000	-	5,000	-
Fairfield/Vacaville Train Station	9,000	-	-	-	9,000
I80/680 Interchange	100,000	7,000	-	7,000	93,000
Regional Express Lane Network	11,000	2,800	73	2,727	8,200
Transbay Terminal/Downtown Extension:Phase 1	150,000	150,000	19,139	130,861	-
Tri-Valley Transit Access Improve. To BART	95,000	1,500	-	1,500	93,500
East Contra Costa BART Extension	120,000	111,490	-	111,490	8,510
<b>Total</b>	<b>\$570,000</b>	<b>\$357,790</b>	<b>\$19,892</b>	<b>\$337,898</b>	<b>\$212,210</b>

AB 1171 Program Budget:	\$570,000
Approved Projects:	\$357,790
AB 1171 Program Balance:	<u>\$212,210</u>

## CONTRACTS REQUIRED UNDER BOND ISSUANCE DOCUMENTS

Consultant	Purpose	January'11
Moody's Investor Service	Financing Fees	\$ 55,000
Barclays Bank	Financing Fees	2,400
Lloyds TSB Bank	Financing Fees	2,400
Sumitomo Mitsui Banking Corp.	Financing Fees	2,400
Morgan Stanley	Remarketing Fees	2,400
Bank of America	Remarketing Fees	2,400
JP Morgan	Financing Fees	2,400
Union Bank of California	Remarketing Fees	2,400
Bank of Tokyo	Financing Fees	2,400

**CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR  
\$2500-100,000**

<u>Consultant</u>	<u>Purpose</u>	<u>January'11</u>
<i>Steiny and Company Inc.</i>	<i>CMS Emergency Service and Repairs</i>	\$ 100,000
<i>Mcguire-Pacific Constructors</i>	<i>Toll Bridge Signing and Striping</i>	3,860
<i>Swinerton Builders</i>	<i>SFOBB Toll Operation Building</i>	24,412
<i>Sjoberg Evashenk Consulting Inc.</i>	<i>Internal Project Auditing Services</i>	50,000
<i>Thompson Cobb Brazillo &amp; Associates</i>	<i>Internal Project Auditing Services</i>	50,000

**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$2500-\$100,000**

**January' 11**

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<i>Corish Electric</i>		\$	18,000
	<i>Electrical Service Maintenance</i>		
<i>Pictobank</i>			6,500
	<i>Photography of SFOBB</i>		
<i>Janis Clark Enterprises</i>			4,329
	<i>Advertisement</i>		
<i>Another Dancing Bear Productions</i>			3,347
	<i>Advertisement</i>		
<i>Hewlett Packard Company</i>			25,367
	<i>Computer Equipment</i>		
<i>APC Sales and Service Corporation</i>			2,757
	<i>Computer Hardware</i>		

