METROPOLITAN
TRANSPORTATION
COMMISSION

Joseph P. Bort MetroCenter
101 Eighth Street
Oakland, CA 94607-4700
TEL 510.817.5700
TDD/TTY 510.817.5769
FAX 510.817.5848
E-MAIL info@mtc.ca.gov
WEB www.mtc.ca.gov

Memorandum

TO: Transit Finance Working Group DATE: November 4, 2009

FR: Amy Burch

RE: Prop 1B Update: Transit (PTMISEA) and Transit Security (CTSGP)

Programming of Remaining FY 2007-08 and FY 2008-09 PTMISEA Funds
Bay Area agencies with remaining FY 2007-08 and FY 2008-09 PTMISEA funds submitted their allocation requests to Caltrans by the November 1st deadline. Thank you to those agencies with remaining funds for making the deadline, given the short time frame.

After the Commission's November 18, 2009 meeting, MTC staff will forward Resolution 3880, revised to Caltrans. Resolution 3880, revised serves as the regional board action for population-based fund requests for PTMISEA. The Programming and Allocations Committee (PAC) is reviewing Resolution 3880, revised today; the PAC agenda is available on the MTC website at: http://www.mtc.ca.gov/meetings/agendas.htm. Also, Attachment A is the November 4, 2009 PAC summary for the Prop 1B Transit: Remaining FY 2007-08 and FY 2008-09 Population-based Funds Allocation Requests. The recommended projects are listed below:

Lifeline/Small Operators ($2.5 million)
1) Santa Clara County Hybrid Bus Replacement ($2.3 million)
2) Tri Delta Bus Shelters ($0.2 million)*
3) Healdsburg Bus Purchase ($53,767)*

Urban Core ($2.4 million)
1) San Francisco Central Subway ($1.1 million)
2) BART to Warm Springs ($1.3 million)

FY 2009-10 Appropriations
The FY 2009-10 PTMISEA appropriations – $350 million statewide – may be available for programming by December, 2009. On October 11, 2009, the Governor signed AB 1072 into law. AB 1072 requires agencies to submit allocation request plans for the remainder of the program. After the State Controller's Office (SCO) issues formula calculations, Caltrans will provide further direction – likely either later this month or December. MTC staff will keep the TFWG informed on future deadlines. Attachments B and C are the summaries of PTMISEA appropriations for the Bay Area.

Caltrans Contacts for PTMISEA
Jayme Desormier is the new contact person for questions relating to PTMISEA, and she can be reached at 916.657.4679 and jayme_desormier@dot.ca.gov. Feel free to contact Joan Musillani as well at 916.654.9495 and joan_musillani@dot.ca.gov.

Prop 1B Transit Security – CTSGP-CTAF
Bay Area operators have received conditional awards for Transit Security grants totaling $21.2 million for FY 2008-09 (see Attachment D). MTC anticipates that these funds will be paid after the next bond sale, which may not occur until next year. Transit Security projects did not receive any funds from the recent bond sale.

Jason Peery is the contact for CTSGP, and can be reached at 916.324.5947 and jason.peery@calema.ca.gov.

Feel free to contact me at 510-817-5735 and aburch@mtc.ca.gov or Kenneth Folan at 510-817-5804 and kfolan@mtc.ca.gov with questions regarding the Prop 1B Transit and Transit Security Programs.

Metropolitan Transportation Commission
Programming and Allocations Committee

November 4, 2009 **Item Number 2d**

MTC Resolution 3880, Revised

Subject: Proposition 1B - Transit: Remaining FY 2007-08 and FY 2008-09 Population-based Funds Allocation Requests

Background: In June 2007, MTC adopted the Proposition 1B Regional Transit Program (Resolution 3814), including the programming of $347 million in state bond funds available to the region by the State Transit Assistance population-based formula over 10 years. To date, MTC has approved and submitted approximately $86 million in population-based funding for Bay Area projects in FY 2007-08 and FY 2008-09. The region's remaining balance through FY 2008-09 totals about $5 million in available population-based funds.

Summary: To meet Proposition 1B timely-use-of-funds requirements, remaining FY 2007-08 and FY 2008-09 funds must be requested by November 1st, 2009. This request for remaining funds is subject to Commission approval, and staff will forward the Commission's action to Caltrans on November 19, 2009. Staff recommends requesting the remaining $5 million in allocations for the projects listed below:

Lifeline/Small Operators ($2.5 million)
1) Santa Clara County ($2.3 million)
2) Tri Delta Bus Shelters ($0.2 million)*
3) Healdsburg Bus Purchase ($53,767)*

Urban Core ($2.4 million)
1) San Francisco Central Subway ($1.1 million)
2) BART to Warm Springs ($1.3 million)

**These projects were previously submitted and either a portion or all of their requested funds are listed within the FY 2008-09 allocations in Attachment A.*

The program is summarized in the following chart.

($ in millions) Category	Total Program	Allocated FY 2007-08	Allocated FY 2008-09	Recommended Remaining FY 07-08, 08-09	Total Allocated (If Action Approved)
Lifeline	112	17.4	7.9	2.5	27.8
Urban Core	203	33.7	19.0	2.4	55.1
Small Operators	32	5.3	3.0	**	8.3
Total	**347**	**56.4**	**29.9**	**5.0**	**91.2**

***Small Operators remaining funds total $14,767.*

The allocation requests are based on the programming framework established by the Commission under Resolution 3814, submittal requests from project sponsors, and the allocation principles described in Attachment B to Resolution 3880.

Issues:

1) This programming action ensures all available funds are requested by advancing $2.4 million in the Urban Core category from the Lifeline category. The advance will be repaid to the Lifeline category in the FY 2009-10 programming cycle, subject to availability of funds.

2) State bond funds are currently unavailable due to the state's fiscal crisis. Bay Area transit operators have not yet received roughly $10 million in FY 2008-09 approved allocations due to the bond freeze. Today's $5 million request will be added to this backlog. Once the State has bond funding to allow new projects and grants to proceed, these Proposition 1B projects will receive their funding.

3) Allocation requests are subject to review by Caltrans and approval by the State Controller's Office. Resolution 3880 authorizes the Executive Director to make administrative changes to existing allocation requests up to $1 million and authorize new allocations up to $0.5 million, as necessary, based on sponsor requests and the state's review. The goal is to expedite review of non-substantive program changes.

Recommendation: Refer Resolution No. 3880, Revised to the Commission for approval.

Attachment: MTC Resolution No. 3880, Revised

J:\SECTION\ALLSTAFF\Resolution\TEMP-RES\MTC\November PAC\tmp-3880_revised.doc

Updated: October 26, 2009

DRAFT - POPULATION-BASED PROPOSITION 1B - PTMISEA

		FY 2007-08			FY 2008-09						Available for Programming
				A	B	C=A+B	D	E	F=D+E	G=C-F	I
Investment Category	Estimated Prop 1B Total	FY 2007-08 Appropriated	Actual Allocations (Paid)	CARRYOVER Unallocated FY 2007-08	FY 2008-09 Appropriated	Available Lifeline: FY 2007-08 Carryover FY 2008-09 Appropriation (Adjusted for redistribution)	Actual Allocations (Paid)	Approved by Caltrans - Postponed to Future Cycle	Subtotal	Allocation Requests Submitted - Subject to Commission and Caltrans Approval	*Estimated* FY 2009-10 Appropriation
Lifeline											
Alameda	30,688,000	1,734,416	5,098,588		0				-		2,872,181
Contra Costa	14,000,000	791,248		212,018	1,804,823	2,016,841	1,716,841	100,000	1,816,841	200,000	1,310,302
Marin	3,024,000	170,910		45,796	389,842	435,638	435,638		435,638	(0)	283,025
Napa	1,904,000	107,610		28,834	245,456	274,290	274,290		274,290	0	178,201
San Francisco*	16,912,000	955,828		256,123	2,180,226	2,436,349	1,365,610		1,365,610	1,070,739	1,582,844
San Mateo	7,952,000	449,429		120,426	1,025,140	1,145,566	100,000	900,000	1,000,000	145,566	744,251
Santa Clara	24,304,000	1,373,607		368,063	3,133,173	3,501,236			-	3,501,236	2,274,684
Solano	6,160,000	348,149		93,288	794,122	887,410	587,410	300,000	887,410	(0)	576,533
Sonoma	7,056,000	398,789		106,857	909,631	1,016,488	967,488		967,488	49,000	660,392
MTC - Regional Projects**		12,278,000	12,278,000		-						
Subtotal - Lifeline Program	**112,000,000**	**18,607,987**	**17,376,588**	**1,231,399**	**10,482,412**	**11,713,817**	**5,447,277**	**1,300,000**	**6,747,277**	**4,966,540**	**10,482,412**

*In FY 2008-09, MTC approved San Francisco Lifeline projects totaling $2,436,344. However, Caltrans only approved $212,000 for project work scheduled for completion within 6 months.
SF project sponsors need to reapply to Caltrans when contract award is within 6 months of funding cycle.
**MTC Regional Projects funded with 1B to free up STA funds for Lifeline.

Urban Core Transit Improvements											
BART Seismic	24,000,000		24,000,000						-	-	
San Francisco Muni Central Subway	100,000,000				15,000,000		15,000,000		15,000,000	-	
Santa Clara VTA Line 522/523 BRT	45,000,000		9,726,977						-	-	
BART to Warm Springs	17,000,000								-	-	
East Contra Costa BART Extension	17,000,000				3,999,373		3,999,373		3,999,373	-	
Subtotal - Urban Core	**203,000,000**	**33,726,977**	**33,726,977**	**0**	**18,999,373**		**18,999,373**	**0**	**18,999,373**	**0**	**18,999,373**
Small Operators/North Counties											
Marin	3,404,473	565,629	565,629	0	318,635			318,635	318,635	-	318,635
Napa	1,806,699	300,170	300,170	0	169,094		169,094		169,094	-	169,094
Solano (includes Vallejo)	5,682,360	944,083	944,082	0	531,829			531,829	531,829	-	531,829
Sonoma	6,449,431	1,071,526	1,071,526	0	603,621		131,237	457,617	588,854	14,767	603,621
CCCTA	6,555,668	1,089,177	1,089,177	0	613,564		613,564		613,564	-	613,564
ECCTA	3,654,151	607,111	607,111	0	342,003		342,003		342,003	-	342,003
LAVTA	2,583,887	429,294	429,294	0	241,834		241,834		241,834	-	241,834
Union City	956,272	158,878	158,878	0	89,500		89,500		89,500	-	89,500
WestCat	907,058	150,701	150,701	0	84,894		84,894		84,894	-	84,894
Subtotal - Small Operators/North Counties	**32,000,000**	**5,316,568**	**5,316,568**	**0**	**2,994,975**		**1,672,126**	**1,308,081**	**2,980,207**	**14,767**	**2,994,974**
Population-based Total	**347,000,000**	**57,651,532**	**56,420,133**	**1,231,399**	**32,476,760**		**26,118,776**	**2,608,081**	**28,726,857**	**4,981,307**	**32,476,760**

FY 2007-08 CARRYOVER Funds are available for allocation until June 30, 2010, and available for encumbrance and liquidation until June 30, 2012.
FY 2008-09 Funds are available for allocation until June 30, 2010, and available for encumbrance and liquidation until June 30, 2014.

J:\PROJECT\Funding\Infastructure Bond\I-Bond\Transit\MTC Regional Transit Proposal - $347M\FY 2008-09\Project Summary List\[PTMISEA Project List_10.26.09.xls]POP

Updated: October 26, 2009

DRAFT - REVENUE-BASED PROPOSITION 1B - PTMISEA

		FY 2007-08				A	FY 2008-09 B	C	D	A+B-C-D	Available for Programming
Agency	Estimated Prop 1B Total	FY 2007-08 Appropriated	Actual Allocations (Paid)	Approved by Caltrans - Postponed to Future Cycle	Actual + Postponed	CARRYOVER Unallocated FY 2007-08	FY 2008-09 Appropriated	Actual Allocations (Paid)	Approved by Caltrans - Postponed to Future Cycle	Allocation Requests Submitted - Subject to Caltrans Approval	Estimated FY 2009-10 Appropriation
Alameda CMA - for ACE	1,699,328	283,155	283,155		283,155	0	159,509		159,509	0	159,509
Benicia	129,528	21,583	21,583		21,583	0	12,158			12,158	12,158
Caltrain	41,108,705	6,849,847	6,849,847		6,849,847	0	3,858,715			3,858,715	3,858,715
CCCTA	5,117,254	852,676	852,676		852,676	0	480,337	480,337		0	480,337
Dixon	41,542	6,922	6,922		6,922	0	3,900	3,900		0	3,900
ECCTA	2,076,372	345,981	345,981		345,981	0	194,901	194,901		0	194,901
Fairfield*	724,664	120,749		120,749	120,749	0	68,021	68,021		0	68,021
GGBHTD	35,123,114	5,852,482	5,852,482		5,852,482	0	3,296,871	2,163,666	1,133,205	0	3,296,871
Healdsburg	11,217	1,869			0	1,869	1,053			2,922	1,053
LAVTA	1,606,102	267,621	267,621		267,621	0	150,759	150,759		0	150,759
NCPTA	429,082	71,497	71,497		71,497	0	40,276	40,276		0	40,276
SamTrans	48,424,898	8,068,927	8,068,927		8,068,927	0	4,545,458	2,568,430		1,977,028	4,545,458
Santa Rosa	1,099,151	183,149	183,149		183,149	0	103,173	103,173		0	103,173
Sonoma County Transit	1,392,500	232,029	232,029		232,029	0	130,708	130,708		0	130,708
Union City	411,210	68,519	68,519		68,519	0	38,599	38,599		0	38,599
Vallejo	5,933,235	988,641	988,641		988,641	0	556,930	556,930		0	556,930
VTA	143,993,645	23,993,323	9,251,713	14,386,746	23,638,459	354,864	13,516,126		10,226,675	3,644,315	13,516,126
VTA - for ACE	2,371,371	395,136			0	395,136	222,592			617,728	222,592
WestCAT	2,484,810	414,038	414,038		414,038	0	233,239	233,239		0	233,239
SUBTOTAL	**294,177,728**	**49,018,144**	**33,758,780**	**14,507,495**	**48,266,275**	**751,869**	**27,613,325**	**6,732,939**	**11,519,389**	**10,112,866**	**27,613,325**
AC Transit	94,030,133	15,668,020	15,668,020		15,668,020	0	8,826,245	8826245	0	0	8,826,245
BART	235,238,734	39,197,278	39,197,278		39,197,278	0	22,080,949	22,080,949	0	0	22,080,949
SFMTA	309,462,843	51,565,067	50,365,000	1,200,000	51,565,000	67	29,048,079	16,700,000	12,300,000	48,146	29,048,079
SUBTOTAL	**638,731,711**	**106,430,365**	**105,230,298**	**1,200,000**	**106,430,298**	**67**	**59,955,273**	**47,607,194**	**12,300,000**	**48,146**	**59,955,273**
Revenue-based Total	**932,909,439**	**155,448,509**	**138,989,078**	**15,707,495**	**154,696,573**	**751,936**	**87,568,598**	**54,340,133**	**23,819,389**	**10,161,013**	**87,568,598**

FY 2007-08 CARRYOVER Funds are available for allocation until June 30, 2010.
FY 2008-09 Funds are available for allocation until June 30, 2010 also.
J:\PROJECT\Funding\Infastructure Bond\I-Bond\Transit\MTC Regional Transit Proposal - $347M\FY 2008-09\Project Summary List\[PTMISEA Project List_10.26.09.xls]POP

Bay Area's Share of Transit Security Funding in Proposition 1B ~ FY2008-09

	FY08-09 Eligible Allocation		Carryover from FY07-08		FY07-08 + 08-09			
	Revenue Based Formula GC 8879.58(a)(3)	Population Based Formula GC 8879.58(a)(2)	Revenue Based Formula GC 8879.58(a)(3)	Population Based Formula GC 8879.58(a)(2)	Total Funding Available (Revenue + Population)*	Total Requested in Round One (Received Conditional Award)	Total Available in Future (Carryover)	Total Population-Based Available (Carryover)
Statewide Share	30,000,000	30,000,000	30,000,000	30,000,000	120,000,000			
MTC Share	15,548,491	5,766,503	15,548,491	5,766,503	42,629,988			
Alameda CMA - Corresponding to ACE	28,322	10,504	28,322	10,504	77,652		77,652	21,008
Benicia	2,159	801	2,159	801	5,919		5,919	1,601
Caltrain	685,145	254,101			939,246	939,246		
CCCTA	85,288	31,631			116,918	116,918		
Dixon	692	257	692	257	1,898		1,898	514
ECCTA	34,606	12,834	34,606	12,834	94,881	47,440	47,441	12,834
Fairfield	12,078	4,479		4,479	21,036	21,036		
GGBHTD	585,385	217,103			802,488	802,488		
Healdsburg	187	69	187	69	513		513	139
LAVTA	26,768	9,928		9,928	46,624	46,624		
NCPTA	7,151	2,652	51	2,652	12,507		12,507	5,304
SamTrans	807,082	299,324			1,106,406	1,106,406		
Santa Rosa	18,319	6,794			25,113	25,113		
Sonoma County Transit	23,208	8,607			31,816	31,816		
Union City	6,854	2,542	6,854	2,542	18,791		18,791	5,084
Vallejo	98,887	36,675	98,887	36,675	271,123	135,562	135,561	36,675
SCVTA	2,399,894	890,054			3,289,948	3,289,948		
SCVTA - Corresponding to ACE	39,523	14,658	39,523	14,658	108,362		108,362	29,316
WestCAT	41,413	15,359			56,773	56,773		
SUBTOTAL	**4,902,962**	**1,818,372**	**211,281**	**95,400**	**7,028,015**	**6,619,370**	**408,644**	**112,474**
Alameda-Contra Costa Transit District	1,567,169	581,219			2,148,388	2,148,388		
Bay Area Rapid Transit District	3,920,646	1,454,058			5,374,704	5,374,704		
City of San Francisco (MUNI)	5,157,714	1,912,853			7,070,567	7,070,567		
SUBTOTAL	**10,645,529**	**3,948,131**			**14,593,659**	**14,593,659**		
TOTAL	**15,548,491**	**5,766,503**	**211,281**	**95,400**	**21,621,674**	**21,213,029**	**408,644**	**112,474**

Note:
Per OHS California Transit Security Grant Program guidelines (pp. 5 and 6).

J:\PROJECT\Funding\Infastructure Bond\I-Bond\Security-Transit\FY 2008-09\Program Allocations - FY 08-09\[Prop 1B Transit Security allocation FY08-09_v3.xls]For Round Two