

Date: January 25, 2006
W.I.: 1255
Referred by: PAC
Revised: 12/17/08-C
07/22/09-C

ABSTRACT

MTC Resolution No. 3730, Revised

This resolution approves the allocation of Regional Measure 2 funds for the I-80 HOV Lane Extension from Route 4 to Carquinez Bridge project sponsored and implemented by Caltrans.

This resolution includes the following attachments:

- Attachment A - Allocation Summary Sheet
- Attachment B - Project Specific Conditions for Allocation Approval
- Attachment C - MTC staff's review of Caltrans' Initial Project Report (IPR) for this project
- Attachment D - RM2 Deliverable/Useable Segment Cash Flow Plan

This resolution was revised through Commission Action on December 17, 2008, to allocate \$950,000 in additional final design funds to cover cost overruns associated with the final design of the project.

This resolution was revised through Commission Action on July 22, 2009, to allocate \$40.5 million in RM2 funds for the construction phase of the project.

Additional discussion of this allocation is contained in the Deputy Executive Director's memorandum to the MTC Programming and Allocations Committee dated January 11, 2006, December 10, 2008, and July 8, 2009.

Date: January 25, 2006
W.I.: 1255
Referred by: PAC

Re: Approval of Allocation of Regional Measure 2 funds for the I-80 HOV Lane Extension from Route 4 to Carquinez Bridge

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION No. 3730

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, Streets and Highways Code Sections 30950 *et seq.* created the Bay Area Toll Authority (“BATA”) which is a public instrumentality governed by the same board as that governing MTC; and

WHEREAS, on March 2, 2004, voters approved Regional Measure 2, increasing the toll for all vehicles on the seven state-owned toll bridges in the San Francisco Bay Area by \$1.00, with this extra dollar funding various transportation projects within the region that have been determined to reduce congestion or to make improvements to travel in the toll bridge corridors, as identified in SB 916 (Chapter 715, Statutes of 2004), commonly referred as Regional Measure 2 (“RM2”); and

WHEREAS, RM2 establishes the Regional Traffic Relief Plan and lists specific capital projects and programs and transit operating assistance eligible to receive RM2 funding as identified in Streets and Highways Code Sections 30914(c) & (d); and

WHEREAS, RM2 assigns administrative duties and responsibilities for the implementation of the Regional Traffic Relief Plan to MTC; and

WHEREAS, BATA shall fund the projects of the Regional Traffic Relief Plan by transferring RM2 authorized funds to MTC; and

WHEREAS, MTC adopted policies and procedures for the implementation of the Regional Measure 2 Regional Traffic Relief Plan, which specifies the allocation criteria and project compliance requirements for RM 2 funding (MTC Resolution No. 3636); and

WHEREAS, Caltrans has submitted a request for the allocation of RM 2 funds for the I-80 HOV Lane Extension project in Contra Costa and Solano Counties; and

WHEREAS, I-80 HOV Lane Extension from Route 4 to Carquinez Bridge is identified as capital project number 8 under RM 2 and is eligible to receive RM 2 funding as identified in Streets and Highways Code Sections 30914(c); and

WHEREAS, Caltrans has submitted an Initial Project Report (“IPR”), as required pursuant to Streets and Highway Code Section 30914(e), to MTC for review and approval; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the project and phase for which the Caltrans is requesting RM2 funding and the reimbursement schedule and amount recommended for allocation by MTC staff; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required project specific conditions which must be met prior to execution of the allocation and any reimbursement of RM2 funds; and

WHEREAS, Attachment C to this resolution, attached hereto and incorporated herein as though set forth at length, includes MTC staff’s review of Caltrans’s Initial Project Report (IPR) for this project; and

WHEREAS, Attachment D attached hereto and incorporated herein as though set forth at length, lists the cash flow of RM2 funds and complementary funding for the deliverable/useable RM2 project segment; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC approves MTC staff’s review of Caltrans’s IPR for this project as set forth in Attachment C; and be it further

RESOLVED, that MTC approves the allocation and reimbursement of RM2 funds in accordance with the amount and reimbursement schedule for the phase, and activities as set forth in Attachment A; and, be it further

RESOLVED, that the allocation and reimbursement of RM2 funds as set forth in Attachment A are conditioned upon Caltrans complying with the provisions of the Regional Measure 2 Regional Traffic Relief Plan Policy and Procedures as set forth in length in MTC Resolution 3636; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds are further conditioned upon the project specific conditions as set forth in Attachment B; and, be it further

RESOLVED, that the allocation and reimbursement of RM2 funds are further conditioned upon the execution of a cooperative agreement between MTC and Caltrans under which Caltrans agrees to the applicable requirements specified in MTC Resolution No. 3636 as well as to the project specific conditions set out herein; and be it further.

RESOLVED, that the allocation and reimbursement of RM2 funds as set forth in Attachment A are conditioned upon the availability and expenditure of the complementary funding as set forth in Attachment D; and be it further

RESOLVED, that reimbursement of RM2 funds as set forth in Attachment A is subject to the availability of RM2 funding; and be it further

RESOLVED, that a certified copy of this resolution, shall be forwarded to the project sponsor.

METROPOLITAN TRANSPORTATION COMMISSION

Jon Rubin, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at the regular meeting of the Commission held in Oakland, California, on January 25, 2006.

REGIONAL MEASURE 2 PROGRAM Allocation of Funds

Project Title: I-80 HOV Lane Extension from Route 4 to Carquinez Bridge
Sponsor: Caltrans
Project Number: 8

Allocation No. 1						
Activities to be funded with Allocation #1:						
1. Update the Project Report for the eastbound HOV project. 2. Final Design specifications for the project.						
Funding Information:						
Allocation Instruction No.	Approval Date	Amount	Phase	Reimbursement Year	Cumulative Total To Date	
06373001	25-Jan-06	\$ 300,000	ENV	2005-06	\$ 300,000	
06373002	25-Jan-06	\$ 890,000	PSE	2005-06	\$ 1,190,000	
06373003	25-Jan-06	\$ 310,000	ROW	2005-06	\$ 1,500,000	
07373004	25-Jan-06	\$ 2,220,000	PSE	2006-07	\$ 3,720,000	
08373005	25-Jan-06	\$ 1,540,000	PSE	2007-08	\$ 5,260,000	

Allocation No. 2						
Activities to be funded with Allocation #2:						
This allocation will fund final design cost overruns to bring the project to the ready-to-list milestone, and may include costs associated with contract advertisement.						
Funding Information:						
Allocation Instruction No.	Approval Date	Amount	Phase	Reimbursement Year	Cumulative Total To Date	
09373006	17-Dec-08	\$ 950,000	PSE	2008-09	\$ 6,210,000	

Allocation No. 3						
Activities to be funded with Allocation #3:						
This allocation will fund the construction capital (about \$35 million) and construction support (about \$5.5 million) for the project. This amount includes contingencies, and may be adjusted after the contract bid opening and award.						
Funding Information:						
Allocation Instruction No.	Approval Date	Amount	Phase	Reimbursement Year	Cumulative Total To Date	
10373007	22-Jul-09	\$ 40,500,000	Const.	2009-10	\$ 46,710,000	

REGIONAL MEASURE 2 PROGRAM Project Specific Conditions

Project Title: I-80 HOV Lane Extension from Route 4 to Carquinez Bridge
Sponsor: Caltrans
Project Number: 8

The allocation and reimbursement of RM2 funds for the above project are conditioned upon the following :

1. Expenditures against the RM2 allocation shall only be eligible following the execution of a cooperative funding agreement between MTC and Caltrans.
2. Caltrans may invoice MTC for eligible expenses as frequently as monthly, in accordance with the cash flow plan.
3. The cost estimates for the Construction phase are being reevaluated. The total project as identified in the IPR is considered conceptual until MTC allocates to the construction phase.
4. Upon Completion of the project report update, Caltrans shall provide MTC with its recommendation and supporting analysis for eastern terminus of the project. Two options are under evaluation - either the west approach or the toll plaza of the Carquinez Bridge.
5. Allocation No. 10373007 may be adjusted in September 2009 based on the actual contract bids received or contract awarded.



Regional Measure 2 Regional Traffic Relief Plan

RM2 Project Number: 8

I-80 HOV Lane Extension from Route 4 to Carquinez Bridge

Lead Sponsor Caltrans	Other Sponsors(s) N/A	Implementing Agency (if applicable) Caltrans
Legislated Project Description Interstate 80: Eastbound High-Occupancy Vehicle (HOV) Lane Extension from Route 4 to Carquinez Bridge. Construct HOV-lane extension.		
RM2 Legislated Funding (in \$1,000) \$50,000		Total Estimated Project Cost (in \$1,000) \$50,000
Project Purpose and Description Extend the high occupancy vehicle lane in the Eastbound direction on Interstate 80 between State Route 4 and the Carquinez Bridge. This stretch of roadway is a critical east-west connector between the San Francisco Bay Area, Sacramento, and the points east into and beyond the Sierras. With the anticipated growth in both the commuter and commercial traffic along this portion of the corridor, it is expected that without improvements, operational performance will continue to decline in the years to come. The purpose of this project is to: <ul style="list-style-type: none"> · Close the gap in the existing HOV lanes on I-80 between SR-4 and the Carquinez Bridge and create a continuous HOV Lane system from the Carquinez Bridge to the San Francisco-Oakland Bay Bridge. · Reduce travel delay · Enhance intermodal transportation along the I-80 corridor in the Bay Area. 		
Funding Description Committed Funds: Regional Measure 2 funding fully funds the project. Uncommitted Funds: None Operating Capacity: The HOV lanes will be operated and maintained by the California Department of Transportation as part of the normal maintenance and operations of the State Highway System (Interstate 80).		

Overall Project Cost and Schedule

Phase	Scope	Start	End	Cost (in \$1,000)
1	Final Environmental Document	09/2005	12/2008	\$300
2	Plans, Specifications and Estimates	09/2005	06/2009	\$5,600
3	Right-of-Way	01/2006	03/2009	\$300
4	Construction	10/2009	10/2011	\$43,800
Total:				\$50,000

Total Project Funding Plan: Committed and Uncommitted Sources

(Amounts Escalated in Thousands)

Project Title	I-80 HOV Lane Extension from Route 4 to Carquinez Bridge					Project No. 8				
Lead Sponsor	Caltrans									

Fund Source	Phase	Prior	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	Future	Total
Committed										
RM2	Environmental			300						300
RM2	PS&E			65	2,200	3,335				5,600
RM2	ROW				10	90				100
RM2	ROW - Sup				133	67				200
RM2	Construction -Cap					3,000	28,900	6,400		38,300
RM2	Construction -Sup					500	3,250	1,750		5,500
										0
Total:		0	0	365	2,343	6,992	32,150	8,150	0	50,000
Uncommitted										
										0
										0
Total:		0	0	0	0	0	0	0	0	0
Total Project Committed and Uncommitted										
		Prior	2004-05	2005-06	2006-07	2007-08	2008-09	2009-10	Future	Total
Total:		0	0	365	2,343	6,992	32,150	8,150	0	50,000

REGIONAL MEASURE 2 PROGRAM Project Cash Flow Plan

January 25, 2006
Attachment D
MTC Resolution No. 3730
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Project Title: I-80 HOV Lane Extension from Route 4 to Carquinez Bridge
Sponsor: Caltrans
RM2 Project Number: 8

	PRIOR	FY 2006-07	FY 2007-08	FY 2008-09	FY 2009-10	FY 2010-11	TOTAL
RM2 Funds Total	-	508,000	2,357,000	3,335,000	40,500,000	-	46,700,000
Environmental (ENV)	0	300,000	0	0	0	0	300,000
RM2		300,000					300,000
							0
							0
							0
Final Design (PS&E)	0	65,000	2,200,000	3,335,000	0	0	5,600,000
RM2		65,000	2,200,000	3,335,000			5,600,000
							0
							0
							0
Right of Way	0	143,000	157,000	0	0	0	300,000
RM2		143,000	157,000				300,000
							0
							0
							0
Construction	0	0	0	0	40,500,000	0	40,500,000
RM2					40,500,000		40,500,000
							0
							0
							0
TOTAL FUNDING							
Environmental	0	300,000	0	0	0	0	300,000
Final Design (PS&E)	0	65,000	2,200,000	3,335,000	0	0	5,600,000
Right of Way	0	143,000	157,000	0	0	0	300,000
Construction	0	0	0	0	40,500,000	0	40,500,000
PROJECT TOTAL	0	508,000	2,357,000	3,335,000	40,500,000	0	46,700,000