



METROPOLITAN
TRANSPORTATION
COMMISSION

PROGRAMMING AND DELIVERY WORKING GROUP MEETING
Monday, June 15, 2009
10:30 a.m. – 12:30 p.m.
MTC MetroCenter, 1st Floor, Auditorium
101-8th Street, Oakland, CA 94607

AGENDA

<u>Item</u>	<u>Estimated Time</u>
1. Introductions and Announcements	3 min
2. Review of Minutes from the May 18, 2009 Working Group Meeting*	2 min
3. Working Group Standing Items	
A. STP/CMAQ Program Monitoring Update* (<i>Marcella Aranda</i>) (MTC staff will report on the STP/CMAQ Program monitoring status for FFY 2008-09 as well as additional program monitoring issues. The STP/CMAQ obligation deadline was April 30, 2009)	5 min
B. Federal Inactive Obligations* (<i>Marcella Aranda</i>) (MTC staff will discuss the projects on the federal inactive obligations March 2009 quarterly review as well as the 3- and 6-month look-ahead reports for the next quarter.)	3 min
C. STIP Project Delivery Monitoring Update* (<i>Kenneth Kao</i>) (MTC staff will report on allocation status of projects programmed in FY 2008-09 and FY 2009-10 of the STIP.)	5 min
D. CTC Update* (<i>Kenneth Kao</i>) (MTC staff will report on the latest from the California Transportation Commission (CTC) with regards to new or revised policies, procedures, guidance and direction.)	5 min
E. ARRA Discretionary Programs Summary * (<i>Amy Burch</i>) (MTC staff will provide an update on the 2009 ARRA State Discretionary Programs.)	5 min
4. Discussion Items	
A. American Recovery and Reinvestment Act (ARRA) Update* (<i>Ross McKeown/ Sylvia Fung</i>) (MTC staff will provide an update on the current activities related to the federal American Recovery and Reinvestment Act of 2009.) i. Cost Savings Discussion	15 min
B. New Federal Act – Framework and Schedule for 1 st Cycle Programming* (<i>Craig Goldblatt</i>) (MTC staff will present a framework for discussion of this upcoming federal programming opportunity.)	15 min
C. Proposed SLPP Guidelines and Shares for FY 2009-10* (<i>Kenneth Folan</i>) (MTC staff will give an update on proposed the State-Local Partnership Program guidelines and shares for the next fiscal year.)	5 min
D. Overview of 2010 Regional Transportation Improvement Program (RTIP)* (<i>Kenneth Kao</i>) (MTC staff will provide an overview of the 2010 Regional Transportation Improvement Program and schedule.)	5 min
5. Informational Items	
A. TIP Update* (<i>Memo Only</i>) (The current TIP and subsequent TIP Amendments are available online at: http://www.mtc.ca.gov/funding/tip)	
B. PMP Certification Status* (<i>Memo Only</i>) (Current PMP Certification status is available online at: http://www.mtcpms.org/ptap/cert.html)	
C. Legislative Update* (<i>Memo Only</i>)	
D. FY 2008-09 LS&R Needs, Revenue and Performance Summary Update* (<i>Memo Only</i>)	

E. Third Quarter Expenditure Status Report for FY 2008-09 Regional Improvement Program* (*Memo Only*)

6. Caltrans Items

(*Caltrans will present updates on various federal program- related changes, including solicitations and announcements.*)

A. Federal Programs Update (*Sylvia Fung, Caltrans D4*)

10 min

- i. Oberstar Awards – Call for Applications*
- ii. Interim Construction Oversight Plan*

7. Workshop Items

June 15 – Transportation Enhancement (TE) and the Conservation Corps

11:30 a.m.

(*Representatives from the Conservation Corps (CC) and Caltrans will be available to share experiences working with the CC and to provide input on how our agencies can partner with the state and local CCs on our TE jobs.*)

8. Recommended Agenda Items for Future Meetings

The next PDWG meeting:

Monday, July 20, 2009

10:30 a.m. – 12:30 p.m.

MTC MetroCenter, **3rd Floor, Fishbowl**

101 Eighth Street, Oakland 94607

* = Attachment in Packet ** = Handouts Available at Meeting

Contact MTC staff liaison, Kenneth Kao at (510) 817-5768 or kkao@mtc.ca.gov if you have questions about this session.

PROGRAMMING AND DELIVERY WORKING GROUP MINUTES

May 18, 2009

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1. Introductions and Announcements

In attendance:

County	Sep - 08	Oct - 08	Dec - 08	Feb-09	Mar-09	Apr-09	May-09	Jun-09	Jul-09
Alameda	X	X	X	X	X	X	X		
Caltrans - Prog Mgmt	X	X	X	X	X	X	X		
Caltrans - DLA	X	X			X	X			
Contra Costa	X	X	X	X	X	X	X		
Marin	X	X	X	X	X	X	X		
Napa				X	X	X	X		
San Francisco	X	X	X	X	X	X			
San Mateo	X	X	X	X	X	X	X		
Santa Clara	X	X	X	X	X	X	X		
Solano	X	X	X	X	X	X	X		
Sonoma	X	X	X	X	X	X	X		

2. Review of Minutes from the April 20, 2009 Meeting

The minutes from the April 20, 2009 meeting were approved.

3. Working Group Standing Items

A. STP/CMAQ Program Monitoring Update

Marcella Aranda (MTC) provided the STP/CMAQ Program Monitoring status report for FFY 2008-09. As of April 30, 2009, approximately \$108 million or 62.1% of the STP/CMAQ funds have been obligated. Sponsors with regional STP/CMAQ funds programmed in FY 2008-09 of the federal TIP were required to submit the obligation/ transfer request to Caltrans by February 1, 2009, and to receive obligation (an E-76 / federal authorization to proceed) by April 30, 2009. Funds not obligated by the regional deadlines are returned to MTC for reprogramming within the region. Projects not obligated due to a lack of OA will be considered for carry over after the April 30 regional deadline. Ross McKeown reported that ARRA obligation status will be posted on a different report and will not be included in the STP/CMAQ Obligation reports. Staff reminded the jurisdictions that the end of SAFETEA is September 30, 2009, and should prepare for the end of Act rescissions.

B. Federal Inactive Obligations List

Marcella Aranda (MTC) reported that the March 2009 Inactive List was released on April 17, 2009 and noted that the June and September 2009 Look Ahead reports were included in the packet. Projects on the March list should submit an invoice or justification and appropriate documentation by **May 22, 2009**. Projects that do not meet these deadlines will be deobligated by FHWA. Project sponsors were advised that if there has been invoicing activity on these projects since March, they may no longer be in jeopardy. MTC staff encourages all CMAs to contact their local agencies to make sure that all inactive obligation justifications and deobligation requests have been submitted to the Caltrans' District Local Assistance Engineer (DLAE) as well as complete justification forms with backup documentation for projects that do not have eligible expenditures. The June 2009 Inactive List is expected to be released by the end of July, and will be distributed via email to the Working Group shortly thereafter. Invoice status can be checked online via LPAMS, <http://lpams.dot.ca.gov>. The Quarterly Review of Inactive Obligations report is online at: <http://www.dot.ca.gov/hq/LocalPrograms/InactiveProjects.htm>.

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C. STIP Project Delivery Monitoring Update

Kenneth Kao (MTC) provided an update on the STIP project delivery for FY 2007-08, FY 2008-09 and the review of FY 2009-10 and POP projects. Staff reported that it is too late to move projects out of FY 2009-10 and that all FY 2009-10 PPM requests have been submitted to CTC for action at the June meeting. Regarding PTA, the CTC has additional programming capacity for transit funds. SB 286 directs RTPAs to prioritize Transportation Enhancement (TE) projects partnered with State and Local Conservation Corps. A workshop will be held as part of the June PDWG meeting, where John Haynes (California Conservation Corps) and local Conservation Corps will be available to discuss SB 286. The final CTC meeting to request STIP allocations for FY 2008-09 is June 2009; the deadline to submit requests passed on April 13, 2009. As a reminder, all project sponsors should be present at the CTC meeting when seeking action or requesting an extension from the CTC.

D. CTC / Budget Update

Kenneth Kao (MTC) recapped the April CTC meeting highlights. At the May 14 meeting, the State ARRA TE funds review was deferred due to the Governor's request to review; various STIP amendments were considered; and key regional allocations included Caldecott Tunnel Fourth Bore, Marin 580/101 Connector, and US-101 Central HOV Lanes in Sonoma County. Staff reported that California has met its 50% delivery requirement for ARRA funds.

E. ARRA Discretionary Programs Summary

Amy Burch (MTC) reported that MTC has developed a program matrix (ARRA Discretionary Program Summary), which provides an overview of the various ARRA transportation grant programs, to assist agencies seeking funding. Weekly updates to this matrix are available on the MTC website at: <http://www.mtc.ca.gov/funding/ARRA/>. MTC will focus advocacy and consensus on three funding categories: 1) Secretary's Discretionary Grant Program; 2) High Speed Rail and Intercity Passenger Rail Grants; and 3), New Starts. MTC's goal is to partner with Caltrans and the California High Speed Rail Authority so that the Bay Area and California are competitive for these funds. For other ARRA discretionary programs, agencies are encouraged to apply directly to the federal implementing agency. The Energy Efficiency and Conservation Block Grant (EECBG) Program provides direct, formula grants to reduce energy use and fossil fuel emissions, and to improve energy efficiency. A list of the allocations to Bay Area cities and counties (nearly \$57 million), as well as those to the California Energy Commission (\$49 million), is available at the following link: <http://www.energy.ca.gov/recovery/blockgrant.html>. Applications for the EECBG are due by June 25, 2009. Cities and counties that are not listed for direct, formula grants are eligible for nearly \$30 million of the \$49 million allocated to the California Energy Commission. The CEC may distribute the remaining \$19 million at its discretion.

4. Discussion Items

A. American Recovery and Reinvestment Act (ARRA) Update

Ross McKeown (MTC) provided an update on the American Recovery and Reinvestment Act (ARRA). As a note, Tier 2 funds cannot interfere with the delivery of Tier 1 projects, and if added to a Tier 1 project, must meet the regional delivery date of May 31, 2009. Brand new Tier 2 projects will have a later delivery deadline. Ross detailed administrative deadlines. Many Tier 2 project lists have been submitted; however, outstanding counties must submit their project lists by May 31, 2009 for Commission approval in June. All new projects must obligate by November 30, 2009. Staff reported that two projects have not submitted their PS&E and are subject to reprogramming unless they can demonstrate they can deliver by June 30, 2009; the CMAs should begin to review alternatives. The deadline to submit individual project applications for both Tier 1 and Tier 2 into FMS is May 31, 2009. The deadline to add new Tier 2 projects to the master project list was May 15. All requests should be submitted via the CMAs. *Kenneth Folan (MTC)* provided an update on 1511 certification. Projects are committed to uphold what is on the E-76 only, not on the certification. Regarding cost savings, project sponsors can change the proportionate share at time of award. If the bids come in low, local funds can be withdrawn; if the bid is higher, they can deobligate funds, which will go back to CMAs for reobligation by November 30, 2009 as a system preservation project.

PROGRAMMING AND DELIVERY WORKING GROUP MINUTES

May 18, 2009

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Questions regarding certifications should be directed to Kenneth Folan, kfolan@mtc.ca.gov and questions regarding reporting should be directed to Craig Goldblatt, cgoldblatt@mtc.ca.gov.

B. Pending TEA 21 / ISTEA Earmark Rescissions – Request for Action

Craig Goldblatt (MTC) reported that under the House recommendations for reauthorization legislation, recommendations included the repeal of prior ISTEA and TEA 21 projects designations that have not proceeded to construction or have remaining unused funds. Staff solicited a request for action for all project sponsors that may be affected. Sponsors should submit their “plan of action” for the unused funds no later than June 30, 2009. *Sri Srinivasan (MTC)* explained the TIP process for reprogramming earmarks into the current TIP and requested: a) the milestones be updated; b) a Capital Improvement Program (CIP) must be provided; c) fund lines should be split to reflect individual obligations.

C. April 2009 State Treasurer General Obligation Bond Sale

Theresa Romell (MTC) reported that on April 22, 2009, the State sold \$6.9B in General Obligation bonds. Approximately \$1B of the bond proceeds were used to repay outstanding AB 55 loans, while the remainder was used to fund various General Obligation bond projects such as roads, schools, flood control, water, environmental, stem cell research, high-speed rail, and affordable housing. A preliminary listing of non-exempt projects within the MTC region affected by the December 2008 funding freeze was included in the packet. Non-exempt projects are authorized to restart and funding will be provided consistent with project contracts, grant criteria, and / or additional agency / Board approvals as necessary. The list does not include SLPP projects, which may be considered for the September General Obligation bond sale. The listing will be updated as needed to reflect the status of bond funded projects. Updated listings can be found online at: http://www.dof.ca.gov/infrastructure/bond_funded_projects/

5. Informational Items

A. TIP Amendment Update

The current TIP and subsequent TIP Amendments are available online at: <http://www.mtc.ca.gov/funding/tip>.

B. PMP Certification Status

The updated PMP Certification status for local jurisdictions could be found online at <http://www.mtcpms.org/ptap/cert.html>.

6. Caltrans Items

A. Federal Programs Update

Caltrans District 4, Office of Local Assistance has updated their website to reflect ARRA project status. Updated information can be found online at: <http://www.dot.ca.gov/dist4/ola/>.

7. Workshop Items

Monday, June 15, 11:30 a.m.: Conservation Corps and Future Transportation Enhancement (TE) Funding

8. Recommended Agenda Items for Future Meetings

Recommended agenda items include:

- i. 1st Cycle Programming (June)

Please forward recommended agenda items for the next PDWG meeting to Kenneth Kao at kkao@mtc.ca.gov.

Next Meeting:

Monday, May 18, 2009

10:30 am – 12:30 pm

MTC MetroCenter, **2nd Floor, Claremont**

Oakland, CA 94607



**METROPOLITAN
TRANSPORTATION
COMMISSION**

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Memorandum

TO: Programming and Delivery Working Group

DATE: June 15, 2009

FR: Marcella Aranda

RE: FY 2008-09 STP/CMAQ Obligation Status

Background

AB 1012 (Chapter 783, Statutes of 1999 - Torlakson) established strict timely use of funds and project delivery requirements for transportation projects. Under AB 1012, Surface Transportation Program (STP) and Congestion Mitigation Air Quality Improvement (CMAQ) funds must be obligated within three years of the apportionment. The obligation requirement applies to the aggregate programmed amounts of STP and CMAQ amounts for a given fiscal year. Funds not obligated by the deadline are lost to the region. Furthermore, Obligation Authority (OA) is assigned to the STP/CMAQ apportionments on an annual basis. Regional OA not used by May 1 of each year is made available to other regions on a first-come first-served basis, with any remaining OA not used by the end of each federal fiscal year taken by the state; with no guarantee the funds will be returned.

In addition to the state requirements, MTC’s regional project delivery policy (MTC Resolution 3606) requires the obligation of STP and CMAQ funds on a project-by-project basis for established regional deadlines that are earlier than those required by AB 1012. This is to ensure that no funds are lost to the region due to missed state and federal requirements and to facilitate project delivery. Funds not obligated by the regional deadlines are returned to MTC for reprogramming within the region.

On November 21, 2008, MTC submitted the required FY 2008-09 annual obligation plan to Caltrans. The original plan identified over 118 STP/CMAQ projects totaling \$166.8 million in committed STP/CMAQ obligations for FY 2008-09. As of May 31, 2009, approximately \$111.4 million or 66.1% of the STP/CMAQ funds have been obligated. The obligations by fund source are summarized below.

STP/CMAQ Obligation Status for FY 2008-09

Fund Source	FY 2008-09 Obligation Plan (as submitted)	FY 2008-09 Obligation Plan (as of 5/31/09)	Obligations through May 31, 2009	% Obligated	Balance Remaining	% Remaining
STP	\$58,459,360	\$58,459,360	\$54,967,146	94.0 %	\$3,492,214	6.0 %
CMAQ	\$108,373,000	\$110,232,827	\$56,472,431	51.2 %	\$53,760,396	48.8 %
Total	\$166,832,360	\$168,692,187	\$111,439,577	66.1 %	\$57,252,610	33.9 %

FY 2008-09 Obligation Status

MTC staff continuously monitors the delivery of STP/CMAQ funded projects, and has been informing members of the Bay Area Partnership on a regular basis of the project delivery requirements and pending deadlines. Sponsors with regional STP/CMAQ funds programmed in FY 2008-09 of the federal TIP were required to submit the obligation/ transfer request to Caltrans by February 1, 2009, and to receive an

FY 2008-09 STP/CMAQ Obligation Status

June 15, 2009

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obligation (an E-76 / federal authorization to proceed) by April 30, 2009. Sponsors should continue to work with their Caltrans Local Assistance Engineer to obligate their funds as soon as possible before the State runs out of obligation authority.

Any funding changes to projects in the Plan must be added to FY 2008-09 of the TIP through a TIP Revision approved by MTC, before the change is incorporated into the Obligation Plan. Attached is a listing of the STP/CMAQ funds programmed in FY 2008-09 and should have been submitted to Caltrans Local Assistance by February 1, 2009, and obligated by April 30, 2009. **Funds not obligated by the regional deadlines are subject to reprogramming within the region to other projects that can use the OA.**

Any sponsor with funds in the “Balance Remaining” column of the attached Obligation Status Report should contact Ross McKeown at rmckeown@mtc.ca.gov immediately, if they still want the funds for their projects.

Attachment

A – FY 2008-09 STP/CMAQ Obligation Status Report, June 3, 2009

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Metropolitan Transportation Commission

STP/CMAQ Obligation Status Report

Fiscal Years: FY 08/09

June 03, 2009

Sponsor	TIP ID	Ver	Fund Code	Federal Proj Info			Appn FY	Prog FY	Fund Programming Information			Obligation Information			
				Prefix	Proj ID	Project Name			STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount
Alameda County															
AC Transit	ALA050017	8	CMAQ-T3-3B-3434			Enhanced Bus - Telegraph/Int/East 14th (CON)	08/09	08/09		35,000,000	35,000,000				35,000,000
ACCMMA	ALA010032	10	STP-T3-3-TCP-SF	STPL	6273052	I-580 San Leandro Estudillo Noise Barrier (CON)	08/09	08/09	7,262,000		7,262,000	03/30/2009	7,262,000		7,262,000
ACCMMA	ALA050036	9	CMAQ-T3-1-AQ	CML	6273047	Alameda SMART Corridors Operations & Management (CON)	08/09	08/09		283,000	283,000	01/28/2009		283,000	283,000
ACCMMA	ALA070020	8	CMAQ-T3-1-AQ	HPLUL	6204071	I-580 (TriValley) Corridor - EB HOV/HOT Lanes (CON)	08/09	08/09		160,000	160,000	04/09/2009		160,000	160,000
ACCMMA	ALA070020	8	CMAQ-T3-1-AQ	HPLUL	6204080	I-580 (TriValley) Corridor - EB HOV/HOT Lanes (CON)	08/09	08/09		1,000	1,000	04/09/2009		1,000	1,000
ACCMMA	ALA070020	8	CMAQ-T3-3B-SYS-SFTY-SWAP	HPLUL	6204071	I-580 (TriValley) Corridor - EB HOV/HOT Lanes (CON)	08/09	08/09		2,000,000	2,000,000	04/09/2009		2,000,000	2,000,000
ACCMMA	ALA070020	8	CMAQ-T3-3B-SYS-SFTY-SWAP	HPLUL	6204071	I-580 (TriValley) Corridor - EB HOV/HOT Lanes (CON)	08/09	08/09		4,000,000	4,000,000	04/09/2009		4,000,000	4,000,000
ACCMMA	ALA991084	14	CMAQ-T3-1-AQ			I-680 Sunol Grade - Alameda SB HOV Final Phase (CON)		08/09							
Alameda County	ALA050072	5	STP-T3-3-LSR-SF			Alameda County - Castro Valley Blvd Rehabilitation (CON)	08/09	08/09	758,000		758,000				758,000
Alameda County	ALA070040	4	CMAQ-T3-1-AQ			Hampton Rd Streetscape Improvements (CON)	08/09	08/09		257,000	257,000				257,000
Alameda County	ALA070040	4	CMAQ-T3-1-TROC-LIFE			Hampton Rd Streetscape Improvements (CON)	08/09	08/09		159,000	159,000				159,000
Alameda County	ALA070040	4	CMAQ-T3-2-TROC-LIFE			Hampton Rd Streetscape Improvements (CON)	08/09	08/09		1,841,000	1,841,000				1,841,000
Alameda County	ALA070040	4	CMAQ-T3-2-TROC-LIFE			Hampton Rd Streetscape Improvements (ROW)		08/09							
Alameda County	ALA070040	4	CMAQ-T3-3-RBP-CO			Hampton Rd Streetscape Improvements (CON)	08/09	08/09		742,000	742,000				742,000
BART	ALA070051	2	CMAQ-T3-1-AQ			BART Station Electronic Bike Lockers, Ph. 2 (CON)	08/09	08/09		130,000	130,000				130,000
Berkeley	ALA050073	4	STP-T3-3-LSR-SF	STPL	5057030	Berkeley - University Ave Rehabilitation (CON)	08/09	08/09	630,000		630,000	02/05/2009	630,000		630,000
Caltrans	ALA050059	4	STP-T3-2-TLC-SAP	STPL	6204063	SR 13 Median Landscaping (CON)	08/09	08/09	99,765		99,765	01/13/2009	99,765		99,765
Caltrans	ALA070042	4	CMAQ-T3-1-AQ	CML	6273045	I-880 SB HOV Lanes - Marina Blvd to Hegenberger (PE)	08/09	08/09		24,000	24,000	04/18/2009		24,000	24,000
Caltrans	ALA070042	4	CMAQ-T3-3B-SYS-SFTY-SWAP	CML	6273045	I-880 SB HOV Lanes - Marina Blvd to Hegenberger (PE)	08/09	08/09		2,757,000	2,757,000	04/18/2009		2,757,000	2,757,000
Caltrans	ALA070042	4	STP-T3-2-TCP-SF			I-880 SB HOV Lanes - Marina Blvd to Hegenberger (PSE)		08/09							
Caltrans	ALA070042	4	STP-T3-3-TCP-SF	CML	6273045	I-880 SB HOV Lanes - Marina Blvd to Hegenberger (PE)	08/09	08/09	198,000		198,000	04/18/2009	198,000		198,000
Dublin	ALA050082	6	CMAQ-T3-1-AQ	CML	5432013	E. Dublin BART Station Corridor Bike/Ped Enh. (CON)	08/09	08/09		76,000	76,000	03/10/2009		76,000	76,000
Dublin	ALA050082	6	CMAQ-T3-2-TLC-HIP	CML	5432013	E. Dublin BART Station Corridor Bike/Ped Enh. (CON)	08/09	08/09		1,459,000	1,459,000	03/10/2009		1,459,000	1,459,000
Dublin	ALA050083	5	CMAQ-T3-2-TLC-HIP	CML	5432013	W. Dublin BART Station Corridor Bike/Ped Enh. (CON)	08/09	08/09		1,052,000	1,052,000	03/10/2009		1,052,000	1,052,000
Fremont	ALA070037	3	CMAQ-T3-3-TLC-REG	CML	5322029	Bay Street Streetscape & Parking Project (CON)	08/09	08/09		1,570,000	1,570,000	01/16/2009		1,570,000	1,570,000
Livermore	ALA070038	2	CMAQ-T3-3-TLC-REG	CML	5053016	Downtown Livermore Pedestrian Transit Connection (CON)	08/09	08/09		1,060,000	1,060,000	03/31/2009		888,309	888,309 171,691
Livermore	ALA070059	3	CMAQ-T3-3-TLC-HIP	CML	5053017	Livermore Downtown Pedestrian Improvements (CON)	08/09	08/09		845,000	845,000	04/09/2009		845,000	845,000
MTC	ALA050060	5	CMAQ-T3-3-TLC-HIP			Emeryville - San Pablo/MacArthur Bike/Ped Imps. (CON)	08/09	08/09		128,000	128,000				128,000

Metropolitan Transportation Commission

STP/CMAQ Obligation Status Report

Fiscal Years: FY 08/09

June 03, 2009

Sponsor	TIP ID	Ver	Fund Code	Federal Proj Info			Appn FY	Prog FY	Fund Programming Information			Obligation Information					
				Prefix	Proj ID	Project Name			STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining	
Alameda County																	
Oakland	ALA050061	5	CMAQ-T3-2-TLC-HIP			Oakland - Latham & Telegraph Pedestrian Imps. (CON)		08/09									
Oakland	ALA050080	7	CMAQ-T3-3-TLC-HIP	CML	5012082	7th Street,W. Oakland Transit Village Improvements (CON)	08/09	08/09		750,000	750,000	01/22/2009		750,000	750,000		
Oakland	ALA050080	7	CMAQ-T3-3-TLC-REG	CML	5012082	7th Street,W. Oakland Transit Village Improvements (CON)	08/09	08/09		1,580,000	1,580,000	01/22/2009		1,580,000	1,580,000		
Oakland	ALA070011	5	CMAQ-T3-2-TLC-HIP	CML	5012087	66th Avenue Streetscape Improvement Project (CON)	08/09	08/09		1,230,000	1,230,000	03/31/2009		1,230,000	1,230,000		
Oakland	ALA070057	4	CMAQ-T3-3-TLC-HIP	CML	5012088	Fruitvale Ave Streetscape & Ped. Improvements (CON)	08/09	08/09		2,320,000	2,320,000	03/10/2009		2,320,000	2,320,000		
Oakland	ALA070057	4	CMAQ-T3-3-TLC-HIP			Fruitvale Ave Streetscape & Ped. Improvements (PE)	08/09	08/09		300,000	300,000				300,000		
San Leandro	ALA050078	5	CMAQ-T3-3-RBP-CO	HP21L	5041025	Bay Trail Bridge at Oyster Bay Slough (CON)	08/09	08/09		750,000	750,000	12/20/2008		750,000	750,000		
Union City	ALA050070	3	STP-T3-3-LSR-SF	STPL	5354024	Union City - Alvarado-Niles Road Rehabilitation (CON)	08/09	08/09		421,000	421,000	01/28/2009		421,000	421,000		
Alameda County Totals										9,368,765	60,474,000	69,842,765		8,610,765	21,745,309	30,356,074	39,486,691

Metropolitan Transportation Commission

STP/CMAQ Obligation Status Report

Fiscal Years: FY 08/09

June 03, 2009

Sponsor	TIP ID	Ver	Fund Code	Federal Proj Info			Appn FY	Prog FY	Fund Programming Information			Obligation Information				
				Prefix	Proj ID	Project Name			STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Contra Costa County																
BART	CC-030003	9	STP-T3-2-BF	FTASTPL	6000039	Richmond BART Parking Structure (CON)	08/09	08/09	4,320,000		4,320,000	01/21/2009	4,320,000		4,320,000	
CC County	CC-990046	10	CMAQ-T3-3-RBP-CO	CML	5928048	Iron Horse Trail Over-crossing at Treat (CON)	08/09	08/09		1,520,000	1,520,000	02/19/2009		1,520,000	1,520,000	
CC County	CC-990046	10	CMAQ-T3-3-TLC-CO	CML	5928048	Iron Horse Trail Over-crossing at Treat (CON)	08/09	08/09		754,000	754,000	02/19/2009		754,000	754,000	
CC County	CC-990046	10	CMAQ-T3-3-TLC-HIP	CML	5928048	Iron Horse Trail Over-crossing at Treat (CON)	08/09	08/09		2,522,000	2,522,000	02/19/2009		2,522,000	2,522,000	
Concord	CC-070030	3	CMAQ-T3-3-RBP-CO	CML	5135033	Concord Blvd. Gap Closure, Phase 2 (CON)	08/09	08/09		820,000	820,000	05/28/2009		820,000	820,000	
Concord	CC-070083	3	CMAQ-T3-3-TLC-REG			Monument Blvd & Meadow Ln Pedestrian Improvements (CON)	08/09	08/09		1,200,000	1,200,000				1,200,000	
El Cerrito	CC-070074	4	CMAQ-T3-3-TLC-CO	CML	5239010	San Pablo Avenue Streetscape (CON)	08/09	08/09		506,000	506,000	01/30/2009		506,000	506,000	
El Cerrito	CC-070074	4	CMAQ-T3-3-TLC-REG	CML	5239010	San Pablo Avenue Streetscape (CON)	08/09	08/09		1,800,000	1,800,000	01/30/2009		1,800,000	1,800,000	
Martinez	CC-070085	4	CMAQ-T3-3-TLC-HIP			Martinez - Marina Vista Streetscape (CON)	08/09	08/09		1,600,000	1,600,000				1,600,000	
Moraga	CC-050069	4	STP-T3-3-LSR-SF	STPL	5415008	Moraga - Moraga Road Rehabilitation (CON)	08/09	08/09	375,000		375,000	04/01/2009	375,000		375,000	
Pinole	CC-050073	3	STP-T3-3-LSR-SF		5126009	Pinole - Appian Way Rehab: Phase II (CON)	08/09	08/09	540,000		540,000				540,000	
Richmond	CC-070066	4	CMAQ-T3-3-RBP-CO	CML	5137035	Central Richmond Greenway (East Segment) (CON)	08/09	08/09		20,000	20,000	03/25/2009		20,000	20,000	
Richmond	CC-070080	3	CMAQ-T3-3-TLC-HIP			Richmond Downtown Bike & Ped Improvements (CON)	08/09	08/09		1,100,000	1,100,000				1,100,000	
Contra Costa County Totals									5,235,000	11,842,000	17,077,000		4,695,000	7,942,000	12,637,000	4,440,000

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Marin County																
San Rafael	MRN070016	4	CMAQ-T3-2-TROC-LIFE			San Rafael Canal Street Pedestrian Access (CON)	06/07	08/09		288,000	288,000					288,000
Marin County Totals									0	288,000	288,000		0	0	0	288,000

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				Prefix	Proj ID	Project Name			STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Napa County																
American Canyon	NAP050011	4	STP-T3-2-LSR-SF	STPL	5470003	American Canyon - Elliott Street Rehabilitation (CON)	08/09	08/09	48,000		48,000				48,000	
American Canyon	NAP070004	2	STP-T3-3-LSR-SF			West American Canyon Road Rehabilitation (CON)	08/09	08/09	281,000		281,000				281,000	
NCTPA	NAP070008	2	CMAQ-T3-3-RBP-CO			East Avenue Sidewalk Project (CON)	08/09	08/09		284,000	284,000				284,000	
Napa	NAP070003	3	STP-T3-3-LSR-SF			Napa - Browns Valley Road Rehabilitation (CON)	08/09	08/09	664,000		664,000				664,000	
Napa	NAP070006	3	STP-T3-3-LSR-SF			Napa - Soscol Avenue Rehabilitation (CON)	08/09	08/09	221,000		221,000				221,000	
Napa	NAP070007	3	STP-T3-3-LSR-SF			Napa - Soscol Road Rehabilitation Phase 2 (CON)	08/09	08/09	574,000		574,000				574,000	
Napa County	NAP070005	2	STP-T3-3-LSR-SF			Deer Park Road Rehabilitation (CON)	08/09	08/09	46,581		46,581				46,581	
Napa County Totals									1,834,581	284,000	2,118,581		0	0	0	2,118,581

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				Prefix	Proj ID	Project Name			STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Regional Totals																
BART	REG050020	6	STP-T3-3-TCP-SF	FTASTPL	6000039	BART Car Exchange (Preventive Maintenance) (CON)	08/09	08/09	22,683,000		22,683,000	01/21/2009	22,683,000		22,683,000	
MTC	MTC030003	13	CMAQ-T3-3-RO			Freeway Operations TOS (CON)		08/09								
MTC	MTC030003	13	CMAQ-T3-3-RO			Freeway Operations TOS (CON)	08/09	08/09		934,000	934,000				934,000	
MTC	MTC030003	13	CMAQ-T3-3-RO	CMLN	6084147	Freeway Operations TOS (PE)	08/09	08/09		266,000	266,000	04/18/2009		266,000	266,000	
MTC	MTC030005	7	STP-T3-3-TLC-PL			TLC/HIP Planning Grants (ENV)		08/09								
MTC	REG050008	5	STP-T3-3-TLC-PL	STPL	6084146	Station Area Planning Program (PE)	08/09	08/09	855,000		855,000	11/14/2008	855,000		855,000	
MTC	REG050008	5	STP-T3-3-TLC-SAP	STPL	6084146	Station Area Planning Program (PE)	08/09	08/09	9,200,000		9,200,000	11/14/2008	9,200,000		9,200,000	
MTC-SAFE	REG050021	5	CMAQ-T3-3-RO			Incident Management Program (PE)		08/09		1,000,000	1,000,000				1,000,000	
Regional Totals									32,738,000	2,200,000	34,938,000		32,738,000	266,000	33,004,000	1,934,000

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San Francisco County																
MUNI	SF-010037	14	CMAQ-T3-3-RBP-CO	FTASTPL	6328027	SF Muni Third St LRT Phase 2 - New Central Subway (PSE)	08/09	08/09		2,025,000	2,025,000	02/24/2009		2,025,000	2,025,000	
SF DPW	SF-070031	2	CMAQ-T3-3-TLC-REG	HPLUL	5934133	Valencia Streetscape Improvements (CON)	08/09	08/09		2,600,000	2,600,000	03/20/2009		2,600,000	2,600,000	
SF DPW	SF-070032	3	CMAQ-T3-3-TLC-HIP	RPSTPLE	5934140	Leland Avenue Streetscape Improvements (CON)	08/09	08/09		1,640,000	1,640,000	03/20/2009		1,640,000	1,640,000	
SF DPW	SF-070039	4	CMAQ-T3-3-TLC-HIP		5934149	Divisadero Streetscape and Ped. Improvements (CON)	08/09	08/09		2,614,000	2,614,000					2,614,000
San Francisco County Totals									0	8,879,000	8,879,000		0	6,265,000	6,265,000	2,614,000

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				Prefix	Proj ID	Project Name			STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
San Mateo County																
Belmont	SM-050011	5	STP-T3-2-LSR-SF	STPL	5268014	Belmont - Old County Road Rehabilitation (CON)	08/09	08/09	120,000		120,000	12/24/2008	120,000		120,000	
CCAG	SM-070037	3	CMAQ-T3-3-RO	CML	6419007	San Mateo County Traffic Incident Management (PE)	08/09	08/09		367,000	367,000	01/28/2009		367,000	367,000	
CCAG	SM-070043	2	CMAQ-T3-2-RO			San Mateo County Ramp Metering Study (PE)		08/09								
Colma	SM-070042	4	CMAQ-T3-3-RBP-CO	CML	5264002	Colma - 'D' Street Pedestrian Enhancements (CON)	08/09	08/09		235,000	235,000	01/16/2009		180,743	180,743	
Colma	SM-070042	4	CMAQ-T3-3-TLC-HIP	CML	5264002	Colma - 'D' Street Pedestrian Enhancements (CON)	08/09	08/09		250,000	250,000	01/16/2009		250,000	250,000	
Daly City	SM-050046	8	CMAQ-T3-2-TLC-HIP	CML	5196030	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		47,000	47,000	03/10/2009		47,000	47,000	
Daly City	SM-050046	8	CMAQ-T3-3-RBP-CO	CML	5196030	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		499,000	499,000	03/10/2009		499,000	499,000	
Daly City	SM-050046	8	CMAQ-T3-3-TLC-CO	CML	5196030	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		293,000	293,000	03/10/2009		293,000	293,000	
Daly City	SM-050046	8	CMAQ-T3-3-TLC-HIP	CML	5196030	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		123,000	123,000	03/10/2009		123,000	123,000	
Daly City	SM-050046	8	CMAQ-T3-3-TLC-REG	CML	5196030	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		900,000	900,000	03/10/2009		900,000	900,000	
Foster City	SM-070012	2	STP-T3-3-LSR-SF			Foster City - Shell Boulevard Rehabilitation (CON)		08/09								
MTC	SM-070036	3	CMAQ-T3-3-TLC-HIP			Colma HIP Streetscape & Pedestrian Improvements (CON)	08/09	08/09								
Pacifica	SM-070027	6	CMAQ-T3-3-RBP-CO		5350015	San Pedro Terrace Multi-Purpose Trail (CON)	08/09	08/09		150,000	150,000				150,000	
Pacifica	SM-070027	6	CMAQ-T3-3-RBP-CO	CML	5350015	San Pedro Terrace Multi-Purpose Trail (CON)	08/09	08/09		450,000	450,000	01/13/2009		450,000	450,000	
Pacifica	SM-070027	6	CMAQ-T3-3-RBP-CO		5350015	San Pedro Terrace Multi-Purpose Trail (PE)	08/09	08/09		50,000	50,000	10/22/2008		200,000	200,000	
Redwood City	SM-070001	7	CMAQ-T3-2-TLC-HIP	ESPL	5029021	Redwood City - El Camino Real/Broadway Streetscape (CON)	08/09	08/09		8,000	8,000	05/01/2009		8,000	8,000	
Redwood City	SM-070001	7	CMAQ-T3-3-TLC-HIP	ESPL	5029021	Redwood City - El Camino Real/Broadway Streetscape (CON)	08/09	08/09		251,000	251,000	05/01/2009		251,000	251,000	
Redwood City	SM-070001	7	CMAQ-T3-3-TLC-HIP	ESPL	5029021	Redwood City - El Camino Real/Broadway Streetscape (CON)	08/09	08/09		380,000	380,000	05/01/2009		380,000	380,000	
San Mateo	SM-070026	5	CMAQ-T3-3-RBP-CO	CML	5102032	San Mateo - Delaware Street Improvement (CON)	08/09	08/09		70,000	70,000	01/16/2009		70,000	70,000	
San Mateo Co	SM-070028	4	CMAQ-T3-3-RBP-CO	CML	5935044	Mirada Surf Coastal Bike and Pedestrian Trail (CON)	08/09	08/09		181,000	181,000	02/06/2009		181,000	181,000	
San Mateo Co	SM-070038	4	CMAQ-T3-3-TLC-HIP			Colma - 'F' Street Sidewalk Imps. and Streetscape (CON)		08/09								
San Mateo Co	SM-070039	5	CMAQ-T3-3-RBP-CO	CML	5935046	Menlo Park - Santa Cruz Avenue Pedestrian Imps. (CON)	08/09	08/09		27,000	27,000	01/28/2009		27,000	27,000	
San Mateo Co	SM-070040	4	CMAQ-T3-3-RBP-CO	CML	5935045	Westborough Blvd. Bicycle Lanes Improvements (CON)	08/09	08/09		18,000	18,000	01/22/2009		15,900	15,900	
San Mateo Co	SM-070046	2	CMAQ-T3-3-TLC-HIP	CML	5935048	Install Permanent Traffic Calming Advisory Signs (CON)	08/09	08/09		40,000	40,000	01/15/2009		40,000	40,000	
San Mateo County Totals									120,000	4,339,000	4,459,000		120,000	4,282,643	4,402,643	56,357

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Santa Clara County															
Caltrans	SCL030008	7	STP-T3-2-BF	STPL	6204067	SR 87 Guadalupe Freeway Corridor (CON)	08/09	08/09	208,600		208,600				208,600
Caltrans	SCL030008	7	STP-T3-2-BF	STPL	6024067	SR 87 Guadalupe Freeway Corridor (CON)	08/09	08/09	1,211,400		1,211,400	10/23/2008	1,211,400		1,211,400
Caltrans	SCL030008	7	STP-T3-2-BF			SR 87 Guadalupe Freeway Corridor (PSE)	08/09	08/09	60,000		60,000				60,000
Gilroy	SCL070010	5	CMAQ-T3-2-TROC-LIFE	CML	5034015	Gilroy Pedestrian Improvements (CON)	08/09	08/09		323,000	323,000	10/23/2008		323,000	323,000
Gilroy	SCL070039	4	CMAQ-T3-3-TLC-CO	CML	5034017	Gilroy 6th Street Streetscape West/East (CON)	08/09	08/09		459,000	459,000	01/15/2009		459,000	459,000
Gilroy	SCL070039	4	CMAQ-T3-3-TLC-HIP			Gilroy 6th Street Streetscape West/East (CON)	08/09	08/09		515,000	515,000				515,000
Los Altos Hills	SCL070025	3	CMAQ-T3-3-RBP-CO	CML	5324004	Los Altos Hills - El Monte Road Bike/Ped Path (CON)	08/09	08/09		440,000	440,000	10/23/2008		440,000	440,000
Los Gatos	SCL050029	5	STP-T3-3-LSR-SF	STPL	5067013	Los Gatos - Various Streets Rehabilitation (CON)	08/09	08/09	272,000		272,000	10/22/2008	272,000		272,000
Milpitas	SCL070037	3	CMAQ-T3-3-TLC-CO	CML	5314006	So. Abel & So. Main Streetscape Imps. - Phase 1 (CON)	08/09	08/09		850,000	850,000	05/09/2009		850,000	850,000
Morgan Hill	SCL070014	3	CMAQ-T3-3-TLC-REG	CML	5152016	Morgan Hill - Third Street Promenade (CON)	08/09	08/09		1,520,000	1,520,000	01/28/2009		1,520,000	1,520,000
San Jose	SCL050061	5	CMAQ-T3-3-TLC-HIP	CML	5005093	San Jose State Univ. / Japantown Pedestrian Imps. (CON)	08/09	08/09		1,555,000	1,555,000	01/28/2009		1,393,654	1,393,654
San Jose	SCL050081	7	CMAQ-T3-3-RBP-CO	HPLUL	5005084	Lower Guadalupe River Trail (PSE)	08/09	08/09		1,377,000	1,377,000	01/31/2009		1,377,000	1,377,000
San Jose	SCL070040	4	CMAQ-T3-3-RBP-CO	CML	5005094	San Jose - Jackson Street Pedestrian Imps. (CON)	08/09	08/09		435,000	435,000	02/05/2009		435,000	435,000
San Jose	SCL070040	4	CMAQ-T3-3-TLC-CO	CML	5005094	San Jose - Jackson Street Pedestrian Imps. (CON)	08/09	08/09		865,000	865,000	02/05/2009		865,000	865,000
Santa Clara Co	SCL050072	5	STP-T3-3-LSR-SF	STPL	5937125	Santa Clara Co. - Capitol Expwy. Rehabilitation (CON)	08/09	08/09	819,919		819,919	02/19/2009	728,860		728,860
Santa Clara Co	SCL050072	5	STP-T3-3-LSR-SF			Santa Clara Co. - Capitol Expwy. Rehabilitation (ENV)		08/09							
Santa Clara Co	SCL050072	5	STP-T3-3-LSR-SF	STPL	5937125	Santa Clara Co. - Capitol Expwy. Rehabilitation (PE)	08/09	08/09	75,081		75,081	10/22/2008	75,081		75,081
Santa Clara Co	SCL050075	6	STP-T3-3-LSR-SF	STPL	5937126	Santa Clara Co. - Oregon/Page Mill Expwy Rehab (CON)	08/09	08/09	1,180,972		1,180,972	03/05/2009	1,181,000		1,181,000
Santa Clara Co	SCL050075	6	STP-T3-3-LSR-SF			Santa Clara Co. - Oregon/Page Mill Expwy Rehab (ENV)	08/09	08/09							
Santa Clara Co	SCL050075	6	STP-T3-3-LSR-SF		5937126	Santa Clara Co. - Oregon/Page Mill Expwy Rehab (PE)	08/09	08/09	75,028		75,028	10/22/2008	75,028		75,028
Santa Clara Co	SCL050076	6	STP-T3-1A-LSR-SF	STPL	5937131	Santa Clara Co. - Various Non-Expressway Rehab (CON)	08/09	08/09	850,000		850,000	04/15/2009	850,000		850,000
Santa Clara Co	SCL050076	6	STP-T3-3-LSR-SF			Santa Clara Co. - Various Non-Expressway Rehab (PE)		08/09							
Santa Clara Co	SCL070042	2	CMAQ-T3-1-AQ-SWAP	CML	5937127	San Tomas Bicycle Shoulder Delineation - Phase 2 (CON)	08/09	08/09		216,000	216,000	01/28/2009		216,000	216,000
Santa Clara Co	SCL070042	2	CMAQ-T3-1-AQ-SWAP	CML	5937127	San Tomas Bicycle Shoulder Delineation - Phase 2 (CON)	08/09	08/09		34,000	34,000	01/28/2009		34,000	34,000
Santa Clara Co	SCL070051	2	CMAQ-T3-3-RBP-CO	CML	5937130	Foothill Expressway Loyola Bridge Bicycle Imp. (CON)	08/09	08/09		320,000	320,000	03/10/2009		320,000	320,000
Saratoga	SCL070038	2	CMAQ-T3-3-TLC-CO	CML	5332013	Saratoga Village Pedestrian Enhancements (CON)	08/09	08/09		425,000	425,000	03/05/2009		425,000	425,000
Saratoga	SCL070050	2	CMAQ-T3-2-RBP-REG	HSIPL	5332011	Highway 9 Safety Improvements (PE)	08/09	08/09		462,000	462,000	02/27/2009		462,000	462,000
Sunnyvale	SCL050027	6	STP-T3-3-LSR-SF	STPL	5213030	Sunnyvale - Various Streets Rehabilitation (CON)	08/09	08/09	530,234		530,234	10/22/2008	530,234		530,234
Sunnyvale	SCL070036	4	CMAQ-T3-3-TLC-CO	CML	5213028	Sunnyvale-Murphy Ave Streetscape Revitalization (CON)	08/09	08/09		397,000	397,000	01/23/2009		397,000	397,000

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Santa Clara County																	
Sunnyvale	SCL070036	4	CMAQ-T3-3-TLC-HIP	CML	5213028	Sunnyvale-Murphy Ave Streetscape Revitalization (CON)	08/09	08/09		1,300,000	1,300,000	01/23/2009		1,300,000	1,300,000		
Sunnyvale	SCL070036	4	CMAQ-T3-3-TLC-HIP			Sunnyvale-Murphy Ave Streetscape Revitalization (PE)	08/09	08/09									
VTA	SCL090031	1	CMAQ-T3-1-AQ-SWAP	FTACML	6264039	Grade-Separated Pedestrian Crossing (PSE)	08/09	08/09		257,827	257,827			257,828	257,828		
VTA	SCL090031	1	CMAQ-T3-3-RBP-CO	FTACML	6264039	Grade-Separated Pedestrian Crossing (PSE)	08/09	08/09		1,210,000	1,210,000			1,210,000	1,210,000		
VTA	SCL990046	21	STP-T3-3-TCP-SF	FTASTPL	6264038	VTA Preventive Maintenance (CON)	08/09	08/09		1,199,780	1,199,780	01/21/2009	1,199,778		1,199,778		
Santa Clara County Totals										6,483,014	12,960,827	19,443,841		6,123,381	12,284,482	18,407,863	1,035,978

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Sponsor	TIP ID	Ver	Fund Code	Federal Proj Info			Fund Programming Information			Obligation Information						
				Prefix	Proj ID	Project Name	Appn FY	Prog FY	STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Solano County																
Benicia	SOL070045	3	CMAQ-T3-3-RBP-CO			State Park Road Bridge Widening (CON)	08/09	08/09		1,311,000	1,311,000			1,311,000		
Benicia	SOL070045	3	CMAQ-T3-3-TLC-CO			State Park Road Bridge Widening (CON)	08/09	08/09		40,000	40,000			40,000		
Dixon	SOL070046	2	CMAQ-T3-3-AQ-SOL	CML	5056015	SR113 Pedestrian Improvements (CON)	08/09	08/09		90,000	90,000	04/21/2009	90,000	90,000		
Fairfield	SOL070027	2	CMAQ-T3-3-RBP-CO			W. Texas St. Gateway Project Phase I & II (CON)	06/07	08/09		85,000	85,000			85,000		
STA	SOL991066	13	CMAQ-T3-3-AQ-SOL	CML	6249015	Eastern Solano / SNCI Rideshare Program (PE)	08/09	08/09		195,000	195,000	02/27/2009	195,000	195,000		
Solano County	SOL050024	5	CMAQ-T3-3-AQ-SOL	CML	5923086	Vacaville-Dixon Bicycle Route (CON)	08/09	08/09		337,000	337,000	01/16/2009	337,000	337,000		
Solano County	SOL050046	7	CMAQ-T3-3-TLC-CO	RPSTPLE	5923073	Old Town Cordelia Enhancements (CON)	08/09	08/09		500,000	500,000	02/24/2009	499,998	499,998	2	
Vacaville	SOL050013	5	CMAQ-T3-2-AQ-SOL	CML	5094048	Vacaville Intermodal Station (CON)	08/09	08/09		900,000	900,000			900,000		
Vacaville	SOL050013	5	CMAQ-T3-3-TLC-HIP	CML	5094048	Vacaville Intermodal Station (CON)	08/09	08/09		2,128,000	2,128,000			2,128,000		
Vacaville	SOL070028	2	CMAQ-T3-3-AQ-SOL	CML	5094047	Vacaville Downtown Creekwalk Extension (CON)	08/09	08/09		694,000	694,000	02/24/2009	693,999	693,999	1	
Vacaville	SOL070028	2	CMAQ-T3-3-AQ-SOL	CML	5094047	Vacaville Downtown Creekwalk Extension (PSE)	08/09	08/09		53,000	53,000	01/13/2009	53,000	53,000		
Vacaville	SOL070029	2	CMAQ-T3-3-AQ-SOL	CML	5094051	Ulatis Creek Bike Path - Allison to I-80 (ENV)	08/09	08/09		169,000	169,000	01/28/2009	169,000	169,000		
Vacaville	SOL070047	3	CMAQ-T3-2-AQ-SOL	ESPL	5094049	Peabody Rd & Marshall Rd Pedestrian Improvements (CON)	08/09	08/09		120,000	120,000	05/23/2009	120,000	120,000		
Vacaville	SOL070047	3	CMAQ-T3-3-AQ-SOL	ESPL	5094049	Peabody Rd & Marshall Rd Pedestrian Improvements (CON)	08/09	08/09		28,000	28,000	05/23/2009	28,000	28,000		
Vacaville	SOL070047	3	CMAQ-T3-3-RBP-CO	ESPL	5094049	Peabody Rd & Marshall Rd Pedestrian Improvements (CON)	08/09	08/09		4,000	4,000	05/23/2009	4,000	4,000		
Vallejo	SOL010027	6	STP-T3-3-LSR-SF	STPL	5030045	Vallejo - Lemon Street Rehabilitation (CON)	08/09	08/09	672,000		672,000	03/05/2009	672,000	672,000		
Vallejo	SOL050048	4	CMAQ-T3-2-TLC-HIP			Downtown Vallejo Pedestrian Enhancements.- Ph I (CON)	08/09	08/09		580,000	580,000			580,000		
Solano County Totals									672,000	7,234,000	7,906,000		672,000	2,189,997	2,861,997	5,044,003

Metropolitan Transportation Commission

STP/CMAQ Obligation Status Report

Fiscal Years: FY 08/09

June 03, 2009

Sponsor	TIP ID	Ver	Fund Code	Federal Proj Info			Fund Programming Information			Obligation Information						
				Prefix	Proj ID	Project Name	Appn FY	Prog FY	STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Sonoma County																
Cotati	SON050032	3	STP-T3-3-LSR-SF			Cotati - Old Redwood Highway South Rehab (CON)		08/09								
Healdsburg	SON050017	4	CMAQ-T3-3-RBP-CO	CML	5027013	Healdsburg Foss Creek Bicycle/Ped Pathway (PE)	08/09	08/09		149,000	149,000	04/18/2009		149,000	149,000	
MTC	SON050025	4	CMAQ-T3-3-TLC-HIP			SMART Regional Bike/Ped Path: Ph. III (CON)		08/09								
Santa Rosa	SON050036	3	STP-T3-3-LSR-SF	STPL	5028051	Santa Rosa - Various Streets Rehabilitation (CON)	08/09	08/09	2,008,000		2,008,000	03/30/2009	2,008,000		2,008,000	
Santa Rosa	SON070006	3	CMAQ-T3-3-TLC-HIP		5028044	Santa Rosa Courthouse Square Enhancements (CON)	08/09	08/09		434,000	434,000	01/16/2009		434,000	434,000	
Santa Rosa	SON070017	2	CMAQ-T3-3-RBP-CO	CML	5028052	Piner Road Pathway/Stony Circle Sidewalk (CON)	08/09	08/09		235,000	235,000				235,000	
Sebastopol	SON070015	2	CMAQ-T3-3-RBP-CO	CML	5123013	Street Smart Sebastopol Phase 2 (CON)	08/09	08/09		485,000	485,000	05/27/2009		485,000	485,000	
Sonoma County	SON070018	2	CMAQ-T3-3-RBP-CO	CML	5920112	Western Avenue Bike Ped. Project (CON)	08/09	08/09		429,000	429,000	05/09/2009		429,000	429,000	
Windsor	SON070019	3	CMAQ-T3-3-RBP-CO			Windsor Road Ped & Bike Gap Closure (CON)	08/09	08/09								
Sonoma County Totals									2,008,000	1,732,000	3,740,000		2,008,000	1,497,000	3,505,000	235,000
Report grand									58,459,360	110,232,827	168,692,187		54,967,146	56,472,431	111,439,577	57,252,610



METROPOLITAN
TRANSPORTATION
COMMISSION

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Memorandum

TO: Programming and Delivery Working Group

DATE: June 15, 2009

FR: Marcella Aranda

RE: Federal Inactive Obligations List – March 2009 List and June and September 2009 Look Ahead

Federal regulations require that agencies receiving federal funds invoice against their obligations at least once every six months. Projects that do not have invoicing activity over a six-month period are placed on the Inactive Obligation list, and those projects are at risk of deobligation of federal funds if Caltrans and the Federal Highways Administration (FHWA) do not receive either an invoice or a valid justification for inactivity. A current list of projects to be deobligated can be found online at <http://www.dot.ca.gov/hq/LocalPrograms/Inactiveprojects.htm>.

Previous memos included additional information on how to justify project delays to Caltrans and FHWA, as well as how to check the status of your invoice (via LPAMS, <http://lpams.dot.ca.gov>). Caltrans Local Assistance posts the quarterly inactive list, as well as future at-risk look-ahead reports online at <http://www.dot.ca.gov/hq/LocalPrograms/Inactiveprojects.htm>.

The March 2009 Inactive List was released on April 17, 2009. An updated list as of May 29, 2009 has been included as Attachment B. Projects on the March list should have submitted an invoice or justification and appropriate documentation by **May 22, 2009**. Projects that did not meet these deadlines will be deobligated by FHWA. The June 2009 Inactive List is expected to be released by the end of July, and will be distributed via email to the Working Group shortly thereafter.

Also attached for review are the Look Ahead reports for June 2009 and September 2009, which includes projects that will become inactive if these projects do not have an invoice paid in time. Note: if there has been invoicing activity on these projects since March, they may no longer be in jeopardy. Please ensure that your jurisdictions' projects do not end up on this list. FHWA is required to deobligate federal funds if they do not receive an invoice or justification within the prescribed timeframe.

If you have any questions regarding inactive obligations and invoicing, please contact MTC or Caltrans Local Assistance staff.

Attachments:

- A. Letter from Denix Anbiah re: March 2009 Inactive Obligation Listing, April 17, 2009
- B. Bay Area Region Inactive Project Listing for March 2009, rev May 29, 2009
- C. Bay Area Region Inactive Project Listing Look Ahead for June 2009, rev May 22, 2009
- D. Bay Area Region Inactive Project Listing Look Ahead for September 2009, rev April 23, 2009

DEPARTMENT OF TRANSPORTATION

DIVISION OF LOCAL ASSISTANCE – M.S. 1

1120 N STREET

P. O. BOX 942874

SACRAMENTO, CA 94274-0001

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TTY 711



*Flex your power!
Be energy efficient!*

April 17, 2009

To: Metropolitan Planning Organizations
Regional Transportation Planning Agencies
Local Transportation Commissions
Public Works Directors of All Cities and Counties in California

Dear Executive Director:

Subject: Potential loss of Federal Funds
Quarterly Review of Inactive Obligations – Quarter Ending March 31, 2009

The California Department of Transportation (Caltrans) has started the quarterly review process of inactive obligations for the quarter period from January 1, 2009 to March 31, 2009 as required under 23CFR 630.106 (http://www.access.gpo.gov/nara/cfr/waisidx_06/23cfr630_06.html). The list of currently inactive obligations is available for review on the Division of Local Assistance website at <http://www.dot.ca.gov/hq/LocalPrograms/Inactiveprojects.htm>. This list will be updated regularly as to the status of each of these projects. A summary of the inactive projects listed by local agency is enclosed for your reference. To prevent the potential deobligation and/or loss of unexpended federal funds, the local agencies must do one the following:

1. Submit a complete and correct invoice for eligible expenditures to Caltrans Local Program Accounting (LPA) with an electronic copy to chris_jensen@dot.ca.gov by **May 22, 2009**.
2. Identify unneeded project funds and submit deobligation requests to the District Local Assistance Engineer (DLAE) by **May 22, 2009**. Process project final closeout, if applicable.
3. Submit a complete justification form (available on the website) along with all backup documentation to DLAE by **May 22, 2009** for projects that do not have eligible expenditures to be invoiced or do not have unneeded funds to be deobligated.

In addition to the procedure changes implemented for June 2008 quarterly review (see letter dated July 31, 2008), the Federal Highway Administration (FHWA) has implemented the following changes immediately:

1. FHWA will only consider justifications in the following categories:
 - right of way delay
 - environmental approval
 - litigation
 - final invoice
 - program specific issue that are related to special projects

Metropolitan Planning Organizations, et al.
April 17 2009
Page 2

2. After FHWA reviews all the inactive projects, FHWA will direct Caltrans to deobligate unexpended federal funds for any inactive projects that do not have a valid financial transaction, valid invoice or approved justification.
3. Caltrans shall complete the deobligation of unexpended federal funds within 90 days after the review period. For the current review period, deobligations must be completed by June 30, 2009.

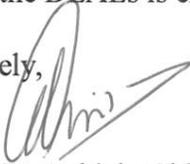
Local Agencies that have an indirect cost rate proposal awaiting approval by the Caltrans' Division of Audits should continue to submit invoices for eligible direct cost until the indirect cost rate proposal is approved.

In addition to the currently inactive local projects, "Look Ahead Reports" are also available on the website. The Look Ahead Reports include projects that will become inactive by the end of June 2009 (3-Month Look Ahead Report), the end of September 2009 (6-Month Look Ahead Report), and by the end of December 2009 (9-Month Look Ahead Report), if no expenditures are billed. These reports provide an opportunity for local agencies to be proactive in preventing projects from becoming inactive and the potential loss of federal funds. Projects on the 3-Month Look Ahead Report are being monitored for financial transactions to reduce the number of projects that would become inactive for the next quarterly review.

Please work with your district and local agencies to ensure all the inactive projects are made active by either invoicing or deobligating excess funds. Our goal is to help local agencies prevent their projects from becoming inactive. We do not want to depend on FHWA approval of a justification to keep projects from being deobligated.

If you have any questions regarding the quarterly review process, please contact your DLAE. A list of the DLAEs is enclosed for your reference.

Sincerely,



Denix D. Anbiah, Chief
Division of Local Assistance

Enclosure

cc: District Local Assistance Engineers
Deputy District Directors for Local Assistance

**Inactive List for March 2009 Quarterly Review
(Review Period 01/01/09 - 03/31/09)
District 4**

Project No	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds	First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F= In Final Voucher Process R= Rejected Invoice A = Approved Invoice	FMIS Action: C= Closed D=De-Obligated E=FMIS Error I=Invoiced W=Withdrawn	Documentation Received I=Progress Invoice D=De-obligation J=Justification N/A=Docs. not reqd. X=Docs. Needed	Agency Action Required (as of 04/28/09)
4430004	Ala	Alameda County	PALOMARES ROAD @ MM 8.70 . , EMERGENCY OPENING & PRE. RESTORATIO	12/17/05		\$89,900.00	\$87,010.00	\$0.00	\$87,010.00	2009-03	A	N/A	N/A	Invoice approved by State; Monitor for FMIS transaction.
5933074	Ala	Alameda County	VASCO RD BETWEEN LIVERMORE AND CC COUNTY LINE , ROADWAY ALIGNMENT AND ROW .	05/12/05	02/22/08	\$5,400,000.00	\$3,900,000.00	\$3,900,000.00	\$0.00	2009-03	A	N/A	N/A	Invoice approved by State; monitor for FMIS transaction.
5933074	Ala	Alameda County	VASCO RD BETWEEN LIVERMORE AND CC COUNTY LINE , ROADWAY ALIGNMENT AND ROW .	05/12/05	02/22/08	\$286,382.00	\$136,876.00	\$0.00	\$136,876.00	2009-03	A	N/A	N/A	Invoice approved by State; monitor for FMIS transaction.
5933074	Ala	Alameda County	VASCO RD BETWEEN LIVERMORE AND CC COUNTY LINE , ROADWAY ALIGNMENT AND ROW .	05/12/05	02/22/08	\$19,562,764.00	\$9,350,000.00	\$0.00	\$9,350,000.00	2009-03	A	N/A	N/A	Invoice approved by State; monitor for FMIS transaction.
5933074	Ala	Alameda County	VASCO RD BETWEEN LIVERMORE AND CC COUNTY LINE , ROADWAY ALIGNMENT AND ROW .	05/12/05	02/22/08	\$1,190,926.00	\$433,202.00	\$0.00	\$433,202.00	2009-03	A	N/A	N/A	Invoice approved by State; monitor for FMIS transaction.
5322025	Ala	Fremont	AT VARIOUS SPOT INTERSECTIONS, TRAFFIC SIGNAL CONTROLLERS	07/01/01	01/03/06	\$720,000.00	\$312,000.00	\$282,779.45	\$29,220.55	2009-03	N/A	N/A	X	No documentation rec'd; submit invoice or justification form by 5/22/09.
6084091	Ala	Metropolitan Transportation Commission	VARIOUS MTC COUNTIES . , TRAVELER INFORMATION FY 04/05 .	08/10/04	09/26/06	\$5,986,672.00	\$5,300,000.00	\$5,050,537.31	\$249,462.69	2008-09	A	I	N/A	Confirmed FMIS transaction; no further action req'd.
5317012	Ala	Newark	THORNTON AVENUE/CEDAR BLVD INTERSECTION , GROOVE PAVEMENT	01/19/06		\$22,000.00	\$19,800.00	\$0.00	\$19,800.00	2009-03	N/A	N/A	X	No documentation rec'd; submit invoice or justification form by 5/22/09.
5041029	Ala	San Leandro	E. 14TH ST. , MEDIAN IMPROVEMENT & LIGHTING	11/27/07		\$858,615.00	\$623,629.00	\$0.00	\$623,629.00	2008-12	N/A	N/A	J	Justification form submitted and reviewed; will present to FHWA at quarterly mtg.
5135003	CC	Concord	04-CC-242-R1.2/2.4, CITY OF CONCORD, CONCORD , AVE TO GRANT, MOD. I/C, ADD AUX. LN	03/01/94	10/16/06	\$8,034,920.00	\$6,000,000.00	\$5,899,828.48	\$100,171.52	2008-12	I	N/A	I	Invoice rec'd by State; awaiting approval. Monitor progress.
5137021	CC	Richmond	WESTSIDE OF RICHMOND BART/AMTRAK STATION, CONSTRUCT NEW PEDESTRIAN PLAZA	03/01/00	12/09/05	\$847,171.00	\$750,000.00	\$646,269.00	\$103,731.00	2007-12	N/A	D	N/A	Confirmed FMIS transaction; no further action req'd.

**Inactive List for March 2009 Quarterly Review
(Review Period 01/01/09 - 03/31/09)
District 4**

Project No	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds	First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F= In Final Voucher Process R= Rejected Invoice A = Approved Invoice	FMIS Action: C= Closed D=De-Obligated E=FMIS Error I=Invoiced W=Withdrawn	Documentation Received I=Progress Invoice D=De-obligation J=Justification N/A=Docs. not reqd. X=Docs. Needed	Agency Action Required (as of 04/28/09)
5137025	CC	Richmond	VARIOUS LOCATIONS , INSTALL PED. CROSS WALK LIGHT	09/10/02	10/11/06	\$203,000.00	\$182,700.00	\$675.00	\$182,025.00	2008-12	N/A	N/A	X	No documentation rec'd; submit invoice or justification form by 5/22/09.
5137027	CC	Richmond	RICHMOND TRANSIT STATION/NEVIN PLAZA , CONSTRUCT TRANSIT STATION	09/09/02	02/05/08	\$3,690,250.00	\$1,579,000.00	\$532,701.73	\$1,046,298.27	2009-03	N/A	N/A	X	No documentation rec'd; submit invoice or justification form by 5/22/09.
5137027	CC	Richmond	RICHMOND TRANSIT STATION/NEVIN PLAZA , CONSTRUCT TRANSIT STATION	09/09/02	02/05/08	\$2,073,750.00	\$575,000.00	\$172,708.41	\$402,291.59	2009-03	N/A	N/A	X	No documentation rec'd; submit invoice or justification form by 5/22/09.
5225019	CC	Walnut Creek	TREAT BLVD - SHEPPARD ROAD TO BANCROFT ROAD , ROADWAY REHABILITATION	03/22/08		\$1,097,709.00	\$540,000.00	\$0.00	\$540,000.00	2009-03	A	N/A	I	Invoice approved by State; Monitor for FMIS transaction.
5232008	Mrn	Corte Madera	CORTE MADERA AVE. IN CORTE MADERA, CA , NEW SIDEWALK AND DRAINAGE, RET WALL	03/06/07		\$129,549.00	\$80,000.00	\$0.00	\$80,000.00	2009-03	I	N/A	I	Copy of invoice rec'd from Agency. Will provide to FHWA at Quarterly meeting.
5470003	Nap	American Canyon	ELLIOTT ST FR DONALDSON SOUTH TO CITY LIMITS , REHABILITATION	08/23/06		\$619,550.00	\$200,000.00	\$0.00	\$200,000.00	2008-09	N/A	N/A	X	No documentation rec'd; submit invoice or justification form by 5/22/09.
5042026	Nap	Napa	SEMINARY ST. BRIDGE OVER NAPA CREEK., BRIDGE RAIL REPLACEMENT	07/01/00	01/30/07	\$63,500.00	\$56,216.00	\$0.00	\$56,216.00	2009-03	N/A	N/A	I	Copy of invoice rec'd from Agency. Will provide to FHWA at Quarterly meeting.
5042026	Nap	Napa	SEMINARY ST. BRIDGE OVER NAPA CREEK., BRIDGE RAIL REPLACEMENT	07/01/00	01/30/07	\$75,000.00	\$66,397.00	\$66,396.85	\$0.15	2009-03	N/A	N/A	I	Copy of invoice rec'd from Agency. Will provide to FHWA at Quarterly meeting.
5034012	SCI	Gilroy	MONTEREY STREETSCAPE BETWEEN 4TH & 6TH STREET , STREETSCAPES IMPROVEMENT	08/16/05	01/29/07	\$2,823,902.00	\$2,500,000.00	\$2,450,000.00	\$50,000.00	2009-03	N/A	N/A	X	No documentation rec'd; submit invoice or justification form by 5/22/09.
5937097	SCI	Santa Clara County	AT VARIOUS LOCATIONS IN SANTA CLARA COUNTY , BIENNIAL BRIDGE INSPECT./MANAGEMENT	10/14/04	02/08/07	\$352,650.00	\$282,120.00	\$176,634.09	\$105,485.91	2009-03	F	N/A	N/A	In Final Voucher process; awaiting decision if more docs req'd; monitor progress.
5213020	SCI	Sunnyvale	TASMAN LIGHT RAIL VICINITY , PEDESTRIAN ACCESS IMPROVEMENT	09/06/02	11/28/06	\$533,007.00	\$471,870.00	\$397,446.08	\$74,423.92	2008-12	N/A	D	N/A	Project deobligated in FMIS; no further action req'd.

**Inactive List for March 2009 Quarterly Review
(Review Period 01/01/09 - 03/31/09)
District 4**

Project No	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds	First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F= In Final Voucher Process R= Rejected Invoice A = Approved Invoice	FMIS Action: C= Closed D=De-Obligated E=FMIS Error I=Invoiced W=Withdrawn	Documentation Received I=Progress Invoice D=De-obligation J=Justification N/A=Docs. not reqd. X=Docs. Needed	Agency Action Required (as of 04/28/09)
6003031	SF	San Francisco County	GOLDEN GATE BRIDGE , SEISMIC RETROFIT-PHASE 2	09/08/06	02/26/08	\$1,505,631.00	\$1,505,631.00	\$214,172.26	\$1,291,458.74	2009-03	N/A	N/A	X	No documentation rec'd; submit invoice or justification form by 5/22/09.
6003031	SF	San Francisco County	GOLDEN GATE BRIDGE , SEISMIC RETROFIT-PHASE 2	09/08/06	02/26/08	\$751,462.00	\$751,462.00	\$105,487.84	\$645,974.16	2009-03	N/A	N/A	X	No documentation rec'd; submit invoice or justification form by 5/22/09.
L089804	SF	San Francisco County	METRO EAST LINE RAIL MAINT/STORAGE, PE	06/01/93		\$15,000,000.00	\$1,288,050.00	\$0.00	\$1,288,050.00	2006-03	F	N/A	N/A	In Final Voucher process; awaiting decision if more docs req'd; monitor progress.
6342003	SF	University of San Francisco	STATEWIDE PUBLIC EDUCATIONAL PROGRAM , PEDESTRIAN AND BICYCLE EDUCATION	12/13/07		\$885,000.00	\$783,000.00	\$0.00	\$783,000.00	2008-12	N/A	N/A	X	No documentation rec'd; submit invoice or justification form by 5/22/09.
5268009	SM	Belmont	RALSTON AVE - HWY 101 TO GRANADA STREET, ROAD REHABILITATION	08/01/01	01/18/06	\$165,172.00	\$105,000.00	\$94,500.00	\$10,500.00	2009-03	A	N/A	I	Invoice approved by State; Monitor for FMIS transaction.
5268014	SM	Belmont	OLD COUNTY RD.FR. SAMMATEOCO LMT. TO RALSTON , ROAD REHABILITANTION/AC OVERLAY	03/07/07		\$15,814.00	\$14,000.00	\$0.00	\$14,000.00	2009-03	I	N/A	I	Copy of invoice rec'd from Agency. Will provide to FHWA at Quarterly meeting.
5268014	SM	Belmont	OLD COUNTY RD.FR. SAMMATEOCO LMT. TO RALSTON , ROAD REHABILITANTION/AC OVERLAY	03/07/07		\$349,230.00	\$120,000.00	\$0.00	\$120,000.00	2009-03	I	N/A	I	Copy of invoice rec'd from Agency. Will provide to FHWA at Quarterly meeting.
5350013	SM	Pacifica	PALMETTO AVE FR ESPLANADE-BELLA VISTA , AC OVERLAY	03/26/07		\$512,300.00	\$405,000.00	\$0.00	\$405,000.00	2009-03	A	I	N/A	Confirmed FMIS transaction; no further action req'd.
5132023	Sol	Fairfield	TRAVIS BLVD. FROM OLIVER RD. TO N. TEXAS ST. , SIGNAL UPGRADE, TRAFFIC SIGN INSTAL	06/26/05	10/06/06	\$400,000.00	\$360,000.00	\$189,462.19	\$170,537.81	2008-12	I	N/A	X	Invoice rec'd by State; awaiting approval. Monitor progress.
5123010	Son	Sebastopol	Sebastopol: asphalt concrete overlay	03/06/07		\$192,799.00	\$150,000.00	\$0.00	\$150,000.00	2009-03	R	N/A	X	Invoice rejected; correct and return by 5/22/09.
5920036	Son	Sonoma County	SONOMA CREEK (WATMAUGH ROAD) (BR NO 20C-0017) , SEISMIC RETROFIT	09/04/96	12/28/05	\$25,000.00	\$22,132.00	\$22,132.00	\$0.00	2008-12	N/A	N/A	X	No documentation rec'd; submit invoice or justification form by 5/22/09.

**Inactive List for March 2009 Quarterly Review
(Review Period 01/01/09 - 03/31/09)
District 4**

Project No	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds	First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F= In Final Voucher Process R= Rejected Invoice A = Approved Invoice	FMIS Action: C= Closed D=De-Obligated E=FMIS Error I=Invoiced W=Withdrawn	Documentation Received I=Progress Invoice D=De-obligation J=Justification N/A=Docs. not reqd. X=Docs. Needed	Agency Action Required (as of 04/28/09)
5920036	Son	Sonoma County	SONOMA CREEK (WATMAUGH ROAD) (BR NO 20C-0017) , SEISMIC RETROFIT	09/04/96	12/28/05	\$115,000.00	\$92,000.00	\$43,999.99	\$48,000.01	2008-12	N/A	N/A	X	No documentation rec'd; submit invoice or justification form by 5/22/09.
5920041	Son	Sonoma County	STONY PT.-PEPPER RD TO MECHAM RD., RECONSTRUCTION & WIDENING	08/01/97	01/11/06	\$2,433,790.00	\$1,772,371.00	\$1,772,371.00	\$0.00	2009-03	I	N/A	N/A	Invoice rec'd by State; awaiting approval. Monitor progress.
5920041	Son	Sonoma County	STONY PT.-PEPPER RD TO MECHAM RD., RECONSTRUCTION & WIDENING	08/01/97	01/11/06	\$2,843,912.00	\$2,517,716.00	\$2,508,863.02	\$8,852.98	2009-03	I	N/A	N/A	Invoice rec'd by State; awaiting approval. Monitor progress.
Regional Totals						\$79,856,327.00	\$43,332,182.00	\$24,526,964.70	\$18,805,217.30					

**March 2009 Quarterly Review
3-Months Look Ahead
June 2009**

Project Number	Prefix	County	Responsible Agency	Description	Expenditure Date	Auth Date	Total Cost	Federal Funds	Expended	Unexpended	LPAMS Action I=Invoiced F= In Final Voucher Process R= Rejected Invoice A = Approved Invoice (as of 05/22/09)	FMIS Action: C= Closed D=De- Obligated E=FMIS Error I=Invoiced W=Withdrawn (as of 05/22/09)
6273047	VPPL	Ala	Alameda County Congestion Management Agency	ALAMEDA AND CONTRA COSTA COUNTIES . , OPERATION, MANAGEMENT-SMART CORRID.	6/26/08	6/26/08	\$869,000.00	\$801,000.00	\$0.00	\$801,000.00	N/A	N/A
6000032	STPLZ	Ala	Bay Area Rapid Transit Authority	BART ROCKRIDGE STATION , SEISMIC RETROFIT-AERIAL STRUCTURES	5/22/08	5/22/08	\$1,980,639.00	\$1,753,458.00	\$0.00	\$1,753,458.00	N/A	N/A
6000034	STPLZ	Ala	Bay Area Rapid Transit Authority	NORTH OAKLAND AERIAL STRUCTURES , SEISMIC RETROFIT	6/5/08	6/5/08	\$40,505,647.00	\$35,859,648.00	\$0.00	\$35,859,648.00	N/A	N/A
6000035	STPLZ	Ala	Bay Area Rapid Transit Authority	BART WEST OAKLAND STATION , SEISMIC RETROFIT	6/27/08	6/27/08	\$2,840,734.00	\$2,514,900.00	\$0.00	\$2,514,900.00	N/A	N/A
6084060	CML	Ala	Metropolitan Transportation Commission	BAY AREA TRANSIT SYSTEM , SMART TRANSIT CARD FARE COLLECTION	6/11/07	7/17/02	\$11,670,621.00	\$10,332,000.00	\$10,125,360.00	\$206,640.00	A	N/A
5012084	STPL	Ala	Oakland	CITYWIDE , AC OVERLAY	4/5/08	4/5/08	\$2,808,088.00	\$2,486,000.00	\$0.00	\$2,486,000.00	A	N/A
5012086	CML	Ala	Oakland	DERBY AVE. TO LANCASTER STR. , BAY TRAIL	4/12/08	4/12/08	\$1,637,039.00	\$899,000.00	\$0.00	\$899,000.00	N/A	N/A
5101013	CML	Ala	Pleasanton	I-580 FROM I-238 TO GREENVILLE R. DBLN,PLE,LI , UPRADE INTEGRATION OF FWY & LOCAL	6/19/06	3/26/00	\$4,113,908.00	\$3,223,000.00	\$3,183,921.03	\$39,078.97	N/A	N/A
5041022	HP21L	Ala	San Leandro	E. 14TH ST. , MEDIAN IMPROVEMENT & LIGHTING	5/25/06	9/12/02	\$100,000.00	\$80,000.00	\$80,000.00	\$0.00	N/A	N/A
5038011	CML	CC	Antioch	SR4; BAILEY TO SR4/160 I/C & ADJ. ARTERIALS , SR4 CORRIDOR SIGNAL INTERCONNECT	4/26/07	9/5/00	\$1,242,518.00	\$1,100,000.00	\$860,335.78	\$239,664.22	N/A	N/A
5135019	CML	CC	Concord	TREAT BLVD / CYTN RD / CND AVE / GILINDO ST / , WILLOW PASS RD UPGRADE SIGNALS	4/17/06	9/6/00	\$1,287,241.00	\$1,137,000.00	\$1,114,260.00	\$22,740.00	N/A	N/A
5024009	STPLR	CC	Martinez	MARTINEZ MARINA; FOOT OF NORTH COURT STREET. , CONSTRUCT PASSENGER SHELTER.	4/5/07	8/1/96	\$309,613.00	\$247,690.00	\$18,999.69	\$228,690.31	N/A	N/A
5024021	STPL	CC	Martinez	ALHAMBRA AVE.-APPROX. HWY 4 TO JOHN MUIR ROAD , ROADWAY REHABILITATION	6/6/08	6/6/08	\$609,964.00	\$540,000.00	\$0.00	\$540,000.00	N/A	N/A
5375018	RSTP	CC	Pleasant Hill	CONTRA COSTA BLVD BETH DR. GREGORY LN , RESURFACE AC,	5/24/08	5/24/08	\$922,156.00	\$540,000.00	\$0.00	\$540,000.00	I	N/A
5137026	STPLER	CC	Richmond	FILBERT ST. FROM CHESLEY AVE. TO VERNON AVE. , SIDEWALK AND ROADWAY IMPROVEMENT	6/12/07	4/18/03	\$2,564,792.00	\$2,000,000.00	\$1,630,581.60	\$369,418.40	N/A	N/A

**March 2009 Quarterly Review
3-Months Look Ahead
June 2009**

Project Number	Prefix	County	Responsible Agency	Description	Expenditure Date	Auth Date	Total Cost	Federal Funds	Expended	Unexpended	LPAMS Action I=Invoiced F= In Final Voucher Process R= Rejected Invoice A = Approved Invoice (as of 05/22/09)	FMIS Action: C= Closed D=De- Obligated E=FMIS Error I=Invoiced W=Withdrawn (as of 05/22/09)
5137032	STPL	CC	Richmond	RICHMOND BART ST MACDONALD AVE AND 16TH ST. , IMPROVEMENT BUS SHELTER & PARKING	5/13/08	12/14/04	\$977,636.00	\$865,500.00	\$8,853.00	\$856,647.00	N/A	N/A
5437017	STPL	CC	San Ramon	SAN RAMON BLVD CROW CANYON TO NORRIS CANYON , RESURFACE AC,	5/24/08	5/24/08	\$884,532.00	\$540,000.00	\$0.00	\$540,000.00	N/A	N/A
5277018	STPLH	Mrn	Fairfax	SFD BLVD., MARIN & OAK TREE LANE , INSTALL LIGHTING, BEACONS SIGNINGS	6/27/07	6/27/07	\$120,175.00	\$108,157.00	\$0.00	\$108,157.00	N/A	N/A
5470005	STPL	Nap	American Canyon	AMERICAN CANYON RD FR FLOSDEN TO AM CYN CR BR , REHABILITATION	6/20/07	6/20/07	\$661,058.00	\$287,000.00	\$0.00	\$287,000.00	N/A	N/A
6204065	CML	Nap	Caltrans	IN NAPA & SOLANO CNTYS SR12 BET SR29 & I-80 , REHAB & EXPAND FR TWO TO FOUR LANES	5/28/08	3/18/08	\$9,281,385.00	\$2,500,000.00	\$1,400,000.00	\$1,100,000.00	N/A	N/A
5042012	BRLO	Nap	Napa	FIRST ST BRIDGE @ NAPA CRK (BR # 21C-0096) , BRIDGE REPLACEMENT	6/11/07	7/30/96	\$9,914,771.00	\$7,871,817.00	\$7,678,820.38	\$192,996.62	N/A	N/A
5152014	STPLHSR	SCI	Morgan Hill	MONTEREY RD. AND CENTRAL AVE.INTERSECTION , PED SAFETY IMPROVE, RADAR SPEED DIS	5/9/06	7/8/05	\$188,100.00	\$169,290.00	\$169,289.99	\$0.01	N/A	N/A
5005085	HPLUL	SCI	San Jose	SANTA CLARA COUNTY , TRASPORT INCIDENT MANAGE CENTER	4/19/08	4/19/08	\$1,562,500.00	\$1,250,000.00	\$0.00	\$1,250,000.00	R	N/A
5937103	STPL	SCI	Santa Clara County	COUNTY NON-EXPRESSWAYS - VARIOUS LOCATIONS . , ROAD REHABILITATION & OVERLAY	4/2/07	2/18/06	\$804,247.00	\$712,000.00	\$558,295.80	\$153,704.20	N/A	N/A
5937115	STPL	SCI	Santa Clara County	SEE STATE REMARK FOR THE STREETS , ROAD REHAB AND OVERLAY	5/17/08	5/17/08	\$961,257.00	\$851,000.00	\$0.00	\$851,000.00	A	N/A
6264036	STPL	SCI	Santa Clara Valley Transportation Authority	SR 87 (BRANHAM LANE TO JULIAN ST) , LANDSCAPING	4/2/08	4/2/08	\$3,283,000.00	\$2,520,000.00	\$0.00	\$2,520,000.00	I	N/A
6204038	STPLN	SM	Caltrans	SR101 FROM RALSTON AVE TO HILLSDALE BLVD , CONSTRUCT NB AUXILIARY LANE	5/25/07	7/25/00	\$9,350,312.00	\$4,832,422.00	\$4,416,435.79	\$415,986.21	N/A	N/A
6014005	CML	SM	San Mateo County Transit District	EL CAMINO REAL , PRELIMINARY PLANNING	4/15/07	4/15/07	\$500,000.00	\$400,000.00	\$0.00	\$400,000.00	I	N/A
5132029	CML	Sol	Fairfield	LINEAR PARK BETWEEN N. TEXAS ST. & DOVER AVE. , PEDSTRIAN AND BIKE PATH	4/18/07	4/18/07	\$415,824.00	\$330,000.00	\$0.00	\$330,000.00	N/A	N/A

**March 2009 Quarterly Review
3-Months Look Ahead
June 2009**

Project Number	Prefix	County	Responsible Agency	Description	Expenditure Date	Auth Date	Total Cost	Federal Funds	Expended	Unexpended	LPAMS Action I=Invoiced F= In Final Voucher Process R= Rejected Invoice A = Approved Invoice (as of 05/22/09)	FMIS Action: C= Closed D=De- Obligated E=FMIS Error I=Invoiced W=Withdrawn (as of 05/22/09)
5094029	CML	Sol	Vacaville	VARIOUS LOCATIONS IN VACAVILLE AND DIXON , LEASING OF ELECTRIC VEHICLES AND **	6/13/06	9/8/02	\$795,000.00	\$650,000.00	\$640,000.00	\$10,000.00	N/A	N/A
5379014	CML	Son	Rohnert Park	STATE FARM DRIVE TO CITY CENTER PLAZA , CITY CENTER PLAZA & PED IMPROVEMENT	5/3/08	5/3/08	\$2,205,722.00	\$1,150,000.00	\$0.00	\$1,150,000.00	I	N/A
4442087	ER	Son	Sonoma County	13 Locations in Sonoma County - Emergency Openings	5/14/08	5/14/08	\$559,393.00	\$534,592.00	\$0.00	\$534,592.00	N/A	N/A
5114013	STPL	Son	Sonoma County	ANDRIEUX ST - BROADWAY TO 5TH, PAVEMNT REHAB , ROADWAY REHABILITATION	4/20/07	4/20/07	\$325,221.00	\$150,000.00	\$0.00	\$150,000.00	N/A	N/A
5920034	STPLZ	Son	Sonoma County	VARIOUS LOCATIONS (SEE STATE COMMENTS), SEISMIC RETROFIT	6/7/06	9/1/96	\$50,000.00	\$44,265.00	\$39,838.50	\$4,426.50	N/A	N/A
5920043	STPLX	Son	Sonoma County	DUTCHER CREEK RD. ON DUTCHER CREEK, REPLACE BR RAIL & WIDEN(20C-0411)	6/9/06	12/1/97	\$17,000.00	\$15,049.00	\$15,048.94	\$0.06	N/A	N/A
5472008	RPSTPLE	Son	Windsor	MCCLELAND RODATA INTERSECTIONS , WINDSOR ROAD PEDESTRIAN ENHANCEMENT	6/27/07	6/27/07	\$235,000.00	\$208,045.00	\$0.00	\$208,045.00	N/A	N/A
				Regional Total			\$116,554,093.00	\$89,502,833.00	\$31,940,040.50	\$57,562,792.50		

**March 2009 Quarterly Review
6-Months Look Ahead Report
Quarter Ending September 2009**

Project Number	County	Responsible Agency	Description	Expenditure Date	Auth Date	Total Cost	Federal Funds	Expended	Unexpended
5933028	Ala	Alameda County	OAKLAND ESTUARY (FRUITVALE AV) BR NO 33C-0147, SEISMIC RETROFIT	7/11/06	9/1/96	\$25,000.00	\$22,132.00	\$16,841.30	\$5,290.70
5933030	Ala	Alameda County	VARIOUS LOCATIONS (SEE STATE COMMENTS), SEISMIC RETROFIT	7/7/06	9/1/96	\$50,000.00	\$44,265.00	\$15,664.54	\$28,600.46
5933083	Ala	Alameda County	INTERSECT. @ CASTRO VALLY BLVDV. & MATTOX RD. , INTERSECTION SAFTY IMPROVMENT .	7/27/07	7/27/07	\$400,000.00	\$360,000.00	\$0.00	\$360,000.00
6000038	Ala	Bay Area Rapid Transit Authority	BART DALY CITY STATION , SEISMIC RETROFIT	9/17/08	9/17/08	\$653,154.00	\$578,236.00	\$0.00	\$578,236.00
5057026	Ala	Berkeley	WEST STREET- BETWEEN UNIV. AVE.& DELAWARE ST. , BIKE LANE, PED. PATH & LANDSCAPE .	7/3/07	2/27/04	\$1,210,568.00	\$1,000,000.00	\$811,726.89	\$188,273.11
6204073	Ala	Caltrans	I-680 FROM RTE 237 TO STONRIDGE DR. . , CONSTRUCT HOV LANE. .	8/5/08	8/5/08	\$50,791,250.00	\$1,373,150.00	\$0.00	\$1,373,150.00
6328015	Ala	City & County of San Francisco, MTA / Dept of Parking & Traffic	SAN FRANCISCO DOWNTOWN , IMP. VAR PRIC PRG USING TET TOLLSYS	7/28/08	7/28/08	\$23,000,000.00	\$18,400,000.00	\$0.00	\$18,400,000.00
6084101	Ala	Metropolitan Transportation Commission	BAY AREA , CMA PLANNING ACTIVITIES FY 04/05 .	7/3/07	8/12/04	\$4,602,963.00	\$4,075,000.00	\$3,924,999.93	\$150,000.07
6084133	Ala	Metropolitan Transportation Commission	CITY AND COUNTY OF SAN FRANCISCO , VALUE PRICING PILOT (VPP)	9/17/07	9/17/07	\$545,000.00	\$436,000.00	\$0.00	\$436,000.00
6097009	Ala	San Francisco International Airport	SAN FRANCISCO INTERNATIONAL AIRPORT , SEISMIC RETROFIT	9/15/08	9/15/08	\$25,087,073.00	\$22,209,586.00	\$0.00	\$22,209,586.00
5038016	CC	Antioch	SOMERSVILLE ROAD BRIDGE NO. 28C-0201 , BRIDGE REPLACEMENT	7/29/08	5/14/02	\$2,211,218.00	\$1,923,895.00	\$350,058.40	\$1,573,836.60
5928032	CC	Contra Costa County	DELTA ROAD BRIDGE @ ROCK SLOUGH, BRIDGE REPLACEMENT	9/26/06	9/1/97	\$950,000.00	\$760,000.00	\$738,683.71	\$21,316.29
5928082	CC	Contra Costa County	BOULEVARD WAY OVER LAS TRAMPAS CREEK , BRIDGE SCOUR REPAIR/COUNTERMEASURE	9/12/07	9/12/07	\$64,000.00	\$56,659.00	\$0.00	\$56,659.00
5117007	CC	Hercules	SAN PABLO AVE SYCAMORE AVE, WILLOW AVE , AC OVERLAY REHAB	8/28/07	8/23/06	\$677,737.00	\$600,000.00	\$543,646.05	\$56,353.95
5024016	CC	Martinez	BR @ GREEN ST (28C-0370) & WARD ST (28C-0406), BRIDGE RAIL REPLACEMENT	7/28/06	12/1/00	\$17,000.00	\$15,050.00	\$2,145.32	\$12,904.68
5415007	CC	Moraga	ASCOT DR. AND MORAGA ROAD , INSTALL TRAFFIC SIGNAL	8/29/08	9/12/07	\$668,301.00	\$601,470.00	\$90,000.00	\$511,470.00
5927064	Mrn	Marin County	MARIN PARKLANDS VISITOR ACCESS , WETLAND AND CREEK RESTORATION	7/3/08	7/3/08	\$544,822.00	\$544,822.00	\$0.00	\$544,822.00
5043019	Mrn	San Rafael	MEDWAY--FRANCISCO E. AND CANAL STREET , BEAUTIFY ST. S.W. PLANT TREES ETC.	8/21/08	4/9/03	\$1,647,205.00	\$1,530,600.00	\$783,725.99	\$746,874.01
6406005	Mrn	Transportation Authority of Marin	SR101-- MARIN SONOMA NARROWS , SAFETY LU DEMO HOVE LANES IN MARIN	9/4/08	9/14/07	\$951,000.00	\$841,500.00	\$133,218.83	\$708,281.17
5921039	Nap	Napa County	ON LAS AMIGAS RD FR CUTTINGS WHARF TO MILTON , CONSTRUCT CLASS II BIKE LANE	8/14/07	8/14/07	\$436,565.00	\$276,213.00	\$0.00	\$276,213.00

**March 2009 Quarterly Review
6-Months Look Ahead Report
Quarter Ending September 2009**

Project Number	County	Responsible Agency	Description	Expenditure Date	Auth Date	Total Cost	Federal Funds	Expended	Unexpended
5152017	SCI	Morgan Hill	WEST LITTLE LLAGAS CREEK , BIKE TRAIL	8/6/08	8/6/08	\$614,101.00	\$543,000.00	\$0.00	\$543,000.00
5005087	SCI	San Jose	COYOTE CREEK TRAIL (SR237 TO STORY RD) , BIKE/PED TRAIL	7/3/08	7/3/08	\$1,647,500.00	\$1,317,999.00	\$0.00	\$1,317,999.00
5937046	SCI	Santa Clara County	STEVENS CRK. BR. ON STEVENS CANYON RD.37C-576 , BRIDGE REPLACEMENT	7/23/08	12/11/97	\$2,166,100.00	\$1,885,235.00	\$200,799.88	\$1,684,435.12
5937047	SCI	Santa Clara County	STEVENS CRK. BR. ON STEVENS CANYON RD.37C-577 , BRIDGE REPLACEMENT	7/23/08	12/11/97	\$2,250,800.00	\$1,960,219.00	\$176,799.84	\$1,783,419.16
5332012	SCI	Saratoga	DEANZA TRAIL BET SUNNYVALE&SARATOGA , BIKE AND PEDESTRIAN FACILITIES	8/6/08	8/6/08	\$1,400,000.00	\$1,400,000.00	\$0.00	\$1,400,000.00
5213029	SCI	Sunnyvale	SUNNYVALE: TASMAN-FAIR OAKS , BICYCLE/PEDESTRIAN IMPROVEMENTS	8/13/08	8/13/08	\$1,809,000.00	\$1,601,507.00	\$0.00	\$1,601,507.00
6003017	SF	Golden Gate Bridge, Highway And Transportation District	GOLDEN GATE BRIDGE , SEISMIC RETROFIT PHASE III	9/12/08	9/7/01	\$20,173,270.00	\$20,173,270.00	\$4,551,283.48	\$15,621,986.52
5053016	SF	Livermore	DOWNTOWN LIVERMORE PEDESTRIAN TRANSIT CENTER , LANDSCAPED PED. WALKWAY/ PATH	9/4/08	11/9/07	\$1,161,539.00	\$1,028,309.00	\$16,339.76	\$1,011,969.24
5934097	SF	San Francisco County	CIVIC CENTER PLAZA BET.LEAVENWORTH AND HYDE , LANDSCAPING, LIGHTING, ETC.	9/26/06	8/18/00	\$936,325.00	\$936,325.00	\$936,325.00	\$0.00
7500100	SM	San Mateo	CITY OF SAN MATEO, USDOT #754900Y,903U,904B , GRADE CROSSING HAZARD ELIMINATION	8/21/08	8/21/08	\$1,390,000.00	\$1,390,000.00	\$0.00	\$1,390,000.00
5132026	Sol	Fairfield	WOOLNER AVE.FROM ENTERPRISE DR. TO SHELDON ES , SIDEWALK IMPROVEMENT	9/12/07	9/12/07	\$65,000.00	\$53,100.00	\$0.00	\$53,100.00
5032011	Sol	Suisun City	VARIOUS LOCATIONS THROUGHOUT CITY, STRIPING FOR BIKE LANES	8/25/06	8/1/01	\$44,980.00	\$35,000.00	\$19,731.17	\$15,268.83
5027009	Son	Healdsburg	HEALDSBURG AVENUE , HEALDSBURG AVENUE REHABILITATION	7/11/07	7/11/07	\$374,080.00	\$240,000.00	\$0.00	\$240,000.00
5920048	Son	Sonoma County	RIVERSIDE DRIVE @ SONOMA CRK (BR # 20C-0009) , REPLACE BRIDGE (SEISMIC STRATEGY)	8/17/07	4/24/98	\$4,612,165.00	\$3,698,260.00	\$3,622,625.40	\$75,634.60
5920101	Son	Sonoma County	KINLEY DRIVE , UPGRADE METAL BEAM GUARDRAIL	8/17/07	4/4/06	\$170,600.00	\$131,400.00	\$22,500.00	\$108,900.00
5920102	Son	Sonoma County	VARIOUS LOCATIONS , DEVELOP BPMP	9/8/06	9/8/06	\$15,000.00	\$13,279.00	\$0.00	\$13,279.00
Regional Totals						\$153,363,316.00	\$92,055,481.00	\$16,957,115.49	\$75,098,365.51



METROPOLITAN
TRANSPORTATION
COMMISSION

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Memorandum

TO: Programming and Delivery Working Group

DATE: June 15, 2009

FR: Kenneth Kao

RE: STIP Project Delivery Update for FY 2008-09 and FY 2009-10 Projects

Background

Senate Bill 45 (Chapter 622, Statutes 1997) established strict timely use of funds and project delivery requirements for transportation projects. Projects programmed in the State Transportation Improvement Program (STIP) must receive an allocation from the California Transportation Commission (CTC) or Caltrans by the end of the fiscal year in which the funds are programmed. Funds not allocated or extended by the CTC within this deadline are deleted from the STIP with the funds returned to the county in the next county share period. MTC staff monitors the delivery of STIP projects, and has been informing members of the Bay Area Partnership on a monthly basis of the project delivery requirements and pending deadlines.

FY 2008-09 Projects

Attachment A lists STIP projects programmed in FY 2008-09. Most projects have already been allocated by the CTC. This report is being included to keep track of project extensions and award deadlines. **Please review the award deadlines for allocated projects and the new allocation deadline for projects approved for allocation extension requests.**

FY 2009-10 Projects

Please review all FY 2009-10 STIP projects (including TE projects and TE Reserve); listed in Attachment B. Projects can no longer be shifted out of FY 2009-10. In accordance with SB 45, a project cannot be moved out in the year it is programmed. For projects programmed in FY 2009-10, please note:

- It is now too late to submit an allocation request for FY 2009-10. Any projects that do not have an allocation request or extension request will lapse from the STIP.
- In order to receive an allocation at the August 2009 CTC meeting (the next meeting of the CTC in FY 2009-10), you must submit your allocation request to MTC and Caltrans D4 Local Assistance by June 15 (today).
- The deadline for the July 2009 CTC meeting has already passed (May 11).
- In accordance with recently adopted policy, all allocated construction funds must have a contract awarded within six months of allocation.
- Currently, the CTC is allocating state-only funds, with the exception of TE funds. There is no guarantee that CTC will continue to allocate state-only funds after FY 2009-10.

STIP Project Delivery Update

June 15, 2009

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- For federal projects, such as Transportation Enhancement projects, Caltrans requests that the request for the STIP allocation and request for federal authorization to proceed (E-76) be submitted to Caltrans at the same time. Check with Caltrans for what additional supporting documentation needs to be submitted with your allocation request. Remember to initiate TIP amendments through MTC's FMS.
- For federal projects (i.e. TE projects), be sure the sponsor's Disadvantaged Business Enterprise (DBE) program is approved by Local Assistance (obligation of federal funds cannot occur without approved DBE program).

Please contact Kenneth Kao at (510) 817-5768 or kkao@mtc.ca.gov with questions or comments.

Attachments

A – FY 2008-09 STIP Project Delivery Status Report

B – FY 2009-10 STIP Project Delivery Status Report

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STIP Allocation Status Report for FY 2008-09 Programmed Projects

Projects with Allocation Deadline of 6/30/09 (All numbers in \$1,000s)
June 3, 2009

Regional Transportation Improvement Program (RTIP) and Transportation Enhancements (TE)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Alameda	ALA070060	Caltrans	96G	I-238 Replacement Landscaping	01-Jul-08	n/a	ENV	220	220	0	Caltrans Lump-Sum Allocation
Alameda	ALA070060	Caltrans	96G	I-238 Replacement Landscaping	01-Jul-08	n/a	PSE	339	339	0	Caltrans Lump-Sum Allocation
Alameda	REG050014	MTC	2100	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	113	113	0	Allocated
Alameda	ALA99SA01	MTC/ACCMA	2179	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	1,409	1,409	0	Allocated
Alameda-PTA	ALA050081	Berkeley	2009W	Ashby BART/Ed Roberts Campus Imps. (FY 09-10)	AB 3090 Approved		CON	1,500	0	1,500	AB 3090 approved Aug-08
Alameda-TE	ALA050080	Oakland	2100E	7th St / West Oakland TOD (FY 09-10)	ARRA-TE		CON	1,300	0	1,300	To obligate ARRA-TE
Alameda RTIP Totals								4,881	2,081	2,800	
Contra Costa	CC-010002	Caltrans	57A	SR 24 - Caldecott Tunnel 4th Bore	ARRA-Regional		CON	31,000	0	31,000	To obligate ARRA-Regional
Contra Costa	CC-010009	Caltrans	192E	SR 4 Widening from Loweridge to Somersville	Extension Requested		CON/C-CT	32,035	0	32,035	Extension requested Jun-09
Contra Costa	REG050014	MTC	2118	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	74	74	0	Allocated
Contra Costa	CC-070021	CCTA	20110	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	1,358	1,358	0	Allocated
Contra Costa-TE	CC-070083	Concord	183G	Monument Blvd Pedestrian Improvements	ARRA-TE		CON	1,000	0	1,000	To obligate ARRA-TE
Contra Costa-TE	CC-070085	Martinez	183I	Marina Vista Streetscape	ARRA-TE		CON	127	0	127	To obligate ARRA-TE
Contra Costa-TE	CC-070084	Pittsburg	183H	Bailey Rd Transit Access Improvements	15-mo Extension		CON	989	0	989	Extension approved 5/14/09
Contra Costa-TE	CC-010031	CC County	2011K	Delta DeAnza Trail crossing of SR-4	11-Dec-08	09-Jun-09	CON	251	251	0	Allocated
Contra Costa-TE	CC-990046	CC County	2011U	Iron Horse Trail Overcrossing Over Treat Blvd.	11-Dec-08	09-Jun-09	CON	246	246	0	Allocated
Contra Costa-TE	CC-070086	Hercules	2025D	Refugio Bridge Bike/Ped/Vehicle Connectivity	16-mo Extension		CON	775	0	775	Extension approved 5/14/09
Contra Costa-TE	CC-070087	CC County	183K	Montalvin Manor Pedestrian Improvements	August Allocation		ENV	30	0	30	Submitted to CT Mar-09
Contra Costa-TE	CC-070087	CC County	183K	Montalvin Manor Pedestrian Improvements	20-mo Extension Req		CON	335	0	335	Extension request to CT 4/13/09
Contra Costa RTIP Totals								68,220	1,929	66,291	
Marin	MRN050034	Caltrans	A360F	US-101 Marin-Sonoma Narrows	01-Jul-08	n/a	PSE	7,200	7,200	0	Caltrans Lump-Sum Allocation
Marin	MRN050034	Caltrans	360G	US-101 San Antonio Curve Correction	01-Jul-08	n/a	PSE	1,900	1,900	0	Caltrans Lump-Sum Allocation
Marin	MRN050002	TAM	2127C	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	450	450	0	Allocated
Marin	REG050014	MTC	2127	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	21	21	0	Allocated
Marin RTIP Totals								9,571	9,571	0	
Napa	REG050014	MTC	2130	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	13	13	0	Allocated
Napa	NAP010009	MTC/Napa TPA	1003E	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	24	24	0	Allocated
Napa	NAP010008	Solano TA	0367D	SR-12 Jameson Canyon Widening	25-Sep-08	n/a	PSE	2,659	2,659	0	Allocated
Napa-TE	REG070005	MTC	2130B	TE reserve			N/A	352	0	352	Funds to Lapse
Napa RTIP Totals								3,048	2,696	352	
San Francisco	SF-010020	San Francisco	2014D	Addison & Digby Traffic Circle	30-Oct-08	28-Apr-09	CON	180	180	0	Allocated
San Francisco	SF-010008	MTC/SFCTA	2007	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	541	541	0	Allocated
San Francisco	REG050014	MTC	2131	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	58	58	0	Allocated
San Francisco-TE	SF-070032	SF DPW	9098A	Leland Ave Streetscape Improvements Project	11-Dec-08	09-Jun-09	CON	1,735	1,735	0	Allocated
San Francisco-TE	SF-070031	SF DPW	9098B	Renewed Valencia Streetscape, 15th-19th	11-Dec-08	09-Jun-09	CON	1,500	1,500	0	Allocated
San Francisco-TE	SF-070033	SF DPT	9098C	Inner Sunset Traffic Calming & Transit Enh	ARRA-TE		CON	343	0	343	To obligate ARRA-TE
San Francisco RTIP Totals								4,357	4,014	343	
San Mateo	SM-030001	Caltrans	658B	U.S. 101 Aux Lanes - SCL Co. Line to Marsh Rd	01-Jul-08	n/a	PSE	8,525	8,525	0	Caltrans Lump-Sum Allocation
San Mateo	SM-030001	Caltrans	658B	U.S. 101 Aux Lanes - SCL Co. Line to Marsh Rd	01-Jul-08	n/a	ROW-CT	496	496	0	Caltrans Lump-Sum Allocation
San Mateo	SM-070037	SM C/CAG	2140F	San Mateo Smart Corridors ITS	30-Oct-08	n/a	PSE	2,000	2,000	0	Allocated
San Mateo	REG050014	MTC	2140	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	60	60	0	Allocated
San Mateo	SM-030015	SM C/CAG	2140A	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	460	460	0	Allocated
San Mateo-TE	REG070005	MTC	2140C	TE reserve			N/A	1,124	0	1,124	Funds to Lapse
San Mateo RTIP Totals								12,665	11,541	1,124	
Santa Clara	SCL030012	SCVTA	409C	GARVEE debt (I-880 Coleman)	01-Jul-08	n/a	CON	7,315	7,315	0	Allocated
Santa Clara	SCL030012	SCVTA	443N	GARVEE debt (SR 87 HOV North)	01-Jul-08	n/a	CON	3,968	3,968	0	Allocated
Santa Clara	SCL030012	SCVTA	443S	GARVEE debt (SR 87 HOV South)	01-Jul-08	n/a	CON	4,565	4,565	0	Allocated
Santa Clara	REG050014	MTC	2144	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	133	133	0	Allocated
Santa Clara	SCL010004	MTC/SCVTA	2255	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	547	547	0	Allocated
Santa Clara-TE	SCL070022	Campbell	9035A	East Campbell Ave Downtown Enhancements	ARRA-TE		CON	1,200	0	1,200	To obligate ARRA-TE
Santa Clara RTIP Totals								17,728	16,528	1,200	
Solano	SOL990004	Solano TA	5301	Jepson Parkway (I-80 reliever)	Deferred Jun-09		PSE	2,400	0	2,400	Submitted to CT 3/18/09
Solano	REG050014	MTC	2152	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	35	35	0	Allocated
Solano	SOL010002	MTC/STA	2263	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	589	589	0	Allocated
Solano-TE	SOL050057	Vacaville	5152E	Jepson Pkwy Gateway Enhancement	11-Jun-09		PSE	120	0	120	Submitted to CT 4/13/09
Solano-TE	SOL070045	Benicia	0156I	State Park Overcrossing, Rt 780	ARRA-TE		CON	320	0	320	To obligate ARRA-TE
Solano-TE	REG070005	MTC	5152A	TE reserve			N/A	381	0	381	Funds to lapse
Solano RTIP Totals								3,845	624	3,221	

STIP Allocation Status Report for FY 2008-09 Programmed Projects

Projects with Allocation Deadline of 6/30/09 (All numbers in \$1,000s)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Sonoma	SON010024	Caltrans	775	US-101 HOV Lanes from Petaluma to Rohnert Park (Central)	14-May-09	10-Nov-09	CON/C-CT	46,399	46,399	0	Allocated
Sonoma	SON070004	Caltrans	360F	US-101 Marin-Sonoma Narrows	01-Jul-08	n/a	ROW	7,020	7,020	0	Caltrans Lump-Sum Allocation
Sonoma	SON070004	Caltrans	360F	US-101 Marin-Sonoma Narrows	01-Jul-08	n/a	ROW-CT	700	700	0	Caltrans Lump-Sum Allocation
Sonoma	SON950005	Caltrans	781E	US-101 HOV Lanes, Rohnert Pk-Sta Rosa (Wilfred)	25-Sep-08	24-Mar-09	CON/C-CT	11,500	11,500	0	Allocated
Sonoma	SON990001	Caltrans	789A	US-101 HOV Lanes, SR-12 - Steele (supp)	28-Aug-08	n/a	CON	2,869	2,869	0	Allocated
Sonoma	REG050014	MTC	2156	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	43	43	0	Allocated
Sonoma	SON010017	MTC/SCTA	770E	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	607	607	0	Allocated
Sonoma-TE	SON070006	Santa Rosa	5156C	Santa Rosa Courthouse Square	11-Dec-08	09-Jun-09	CON	1,000	1,000	0	Allocated
Sonoma RTIP Totals								70,138	70,138	0	
Total RTIP - Region								194,453	119,122	75,331	

Interregional Transportation Improvement Program (ITIP)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Alameda/CC-IIP	CC-010002	Caltrans	57A	Caldecott Tunnel 4th bore (IIP)	14-May-09	10-Nov-09	CON-CT	2,700	2,700	0	Allocated; to obligate ARRA-State
Marin-IIP	MRN050034	Caltrans	360G	US-101 San Antonio Rd Curve Correction	01-Jul-08	n/a	PSE	400	400	0	Caltrans Lump-Sum Allocation
Napa-IIP	NAP010008	Solano TA	0367D	SR-12 Jameson Canyon Widening	25-Sep-08	n/a	PSE	1,806	1,806	0	Allocated
Santa Clara-IIP		VTA	484G	New Alignment, SR-152, US-101-SR-156	11-Dec-08	n/a	ENV	5,000	5,000	0	Allocated
Solano-IIP	SOL030006	Caltrans	5201F	Rt 37 Planting (Rt 29/37 interchange)	Deferred		CON/C-CT	3,769	0	3,769	Deferred
Solano-IIP	SOL050003	Caltrans	8273B	I-80 Recon Connectors, I-680 Landscaping	01-Jul-08	n/a	PSE	700	700	0	Caltrans Lump-Sum Allocation
Sonoma-IIP	SON990001	Caltrans	789A	US-101 HOV Lanes, SR-12 - Steele (supp)	28-Aug-08	n/a	CON	956	956	0	Allocated
Total ITIP								15,331	11,562	3,769	

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STIP Allocation Status Report for FY 2009-10 Programmed ProjectsProjects with Allocation Deadline of 6/30/10 (All numbers in \$1,000s)
May 8, 2009**Regional Transportation Improvement Program (RTIP) and Transportation Enhancements (TE)**

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Alameda	ALA050019	ACCMA	44C	I-880 Operational and Safety Imps. at 29th Ave.			PSE	5,000		5,000	
Alameda	ALA978027	Fremont/ACTA	16V	Mission Blvd/I-880 Interchange Reconst, ph. 1B/2			CON	10,000		10,000	
Alameda	ALA070060	Caltrans	96G	I-238 Landscape Replacement Project			CON	3,500		3,500	
Alameda	REG050014	MTC	2100	Planning, programming, and monitoring			ENV	113		113	Submitted to CT 05/08/09
Alameda	ALA99SA01	MTC/ACCMA	2179	Planning, programming, and monitoring			ENV	1,209		1,209	Submitted to CT 05/08/09
Alameda RTIP Totals								19,822	0	19,822	
Contra Costa	CC-030028	CCTA	192F	SR-4 Widening from Somersville to SR-160			CON	10,589		10,589	
Contra Costa	REG050014	MTC	2118	Planning, programming, and monitoring			ENV	74		74	Submitted to CT 05/08/09
Contra Costa	CC-070021	CCTA	2011O	Planning, programming, and monitoring			ENV	1,557		1,557	Submitted to CT 05/08/09
Contra Costa-PTA	CC-030003	BART	2011G	BART - Richmond Station Parking			CON	8,100		8,100	
Contra Costa RTIP Totals								20,320	0	20,320	
Marin	MRN990001	Caltrans	342L	US-101 HOV Lanes (segment 5)			CON	2,200		2,200	
Marin	MRN050002	TAM	2127C	Planning, programming, and monitoring			ENV	299		299	Submitted to CT 05/08/09
Marin	REG050014	MTC	2127	Planning, programming, and monitoring			ENV	21		21	Submitted to CT 05/08/09
Marin-PTA	MRN070001	MCTD	2128A	Bus Stop Improvement Program			PSE	350		350	
Marin RTIP Totals								2,870	0	2,870	
Napa	REG050014	MTC	2130	Planning, programming, and monitoring			ENV	13		13	Submitted to CT 05/08/09
Napa	NAP010009	MTC/Napa TPA	1003E	Planning, programming, and monitoring			ENV	24		24	Submitted to CT 05/08/09
Napa	NAP010001	Caltrans	376	SR-12/29/221 Soscol Intersection - Separation			PSE	2,600		2,600	
Napa	NAP010008	Caltrans	367D	SR-12 Jameson Canyon Widening			CON/C-CT	12,600		12,600	
Napa-TE	REG070005	MTC	2130B	TE reserve			N/A	356		356	
Napa RTIP Totals								15,593	0	15,593	
San Francisco	SF-010008	MTC/SFCTA	2007	Planning, programming, and monitoring			ENV	500		500	Submitted to CT 05/08/09
San Francisco	REG050014	MTC	2131	Planning, programming, and monitoring			ENV	58		58	Submitted to CT 05/08/09
San Francisco RTIP Totals								558	0	558	
San Mateo	SM-010031	Caltrans	700C	US-101 Aux Lanes Landscaping from 700B			C-CT	33		33	
San Mateo	SM-070003	Caltrans	645C	Menlo Park-Millbrae, interconnect signals			CON/C-CT	6,295		6,295	
San Mateo	SM-070037	SM C/CAG	2140F	San Mateo Smart Corridors ITS			PSE	1,000		1,000	
San Mateo	REG050014	MTC	2140	Planning, programming, and monitoring			ENV	60		60	Submitted to CT 05/08/09
San Mateo	SM-030015	SM C/CAG	2140A	Planning, programming, and monitoring			ENV	460		460	Submitted to CT 05/08/09
San Mateo-TE	REG070005	MTC	2140C	TE reserve			N/A	1,813		1,813	
San Mateo RTIP Totals								9,661	0	9,661	
Santa Clara	SCL030012	SCVTA	409C	GARVEE debt (I-880 Coleman)			CON	7,315		7,315	
Santa Clara	SCL030012	SCVTA	443N	GARVEE debt (SR 87 HOV North)			CON	3,968		3,968	
Santa Clara	SCL030012	SCVTA	443S	GARVEE debt (SR 87 HOV South)			CON	4,565		4,565	
Santa Clara	REG050014	MTC	2144	Planning, programming, and monitoring			ENV	133		133	Submitted to CT 05/08/09
Santa Clara	SCL010004	MTC/SCVTA	2255	Planning, programming, and monitoring			ENV	547		547	Submitted to CT 05/08/09
Santa Clara-TE	SCL070023	Palo Alto	9035B	Stanford Ave/EI Camino Real Intersection Project			CON	1,210		1,210	
Santa Clara RTIP Totals								17,738	0	17,738	
Solano	NAP010008	Caltrans	367D	SR-12 Jameson Canyon Widening			CON	7,000		7,000	
Solano	SOL030003	STA	5301	Jepson Parkway			ROW	3,800		3,800	
Solano	REG050014	MTC	2152	Planning, programming, and monitoring			ENV	35		35	Submitted to CT 05/08/09
Solano	SOL010002	MTC/STA	2263	Planning, programming, and monitoring			ENV	589		589	Submitted to CT 05/08/09
Solano	SOL990035	Vallejo	2260	Vallejo Ferry Terminal Parking, Phase 2			CON	11,412		11,412	
Solano-TE	SOL050057	Vacaville	5152E	Jepson Parkway Gateway enhancement			CON	230		230	
Solano-TE	REG070005	MTC	5152A	TE reserve			N/A	0		0	TE Reserve \$721k to other counties
Solano RTIP Totals								23,066	0	23,066	

STIP Allocation Status Report for FY 2009-10 Programmed Projects

Projects with Allocation Deadline of 6/30/10 (All numbers in \$1,000s)

County	TIP ID	Agency	PPNO	Project	Allocation	Award	Phase	Programmed	Completed	Balance	Comments
					Date	Deadline		Amount	Allocations		
Sonoma	SON010001	Caltrans	789F	US-101 HOV Lanes Landscaping			ENV/PSE/RW	300		300	
Sonoma	SON050002	Caltrans	789E	US-101 HOV Lanes - College Ave and 6th Street			CON/C-CT	3,350		3,350	
Sonoma	REG050014	MTC	2156	Planning, programming, and monitoring			ENV	43		43	Submitted to CT 05/08/09
Sonoma	SON010017	MTC/SCTA	770E	Planning, programming, and monitoring			ENV	607		607	Submitted to CT 05/08/09
Sonoma-TE	SON070008	Sonoma Parks	5156H	Bodega Bay Trail			CON	535		535	
Sonoma-TE	REG070005	MTC	5156A	TE reserve			N/A	258		258	
Sonoma RTIP Totals								5,093	0	5,093	
Total RTIP - Region								114,721	0	114,721	

Interregional Transportation Improvement Program (ITIP)

County	TIP ID	Agency	PPNO	Project	Allocation	Award	Phase	Programmed	Completed	Balance	Comments
					Date	Deadline		Amount	Allocations		
Alameda-IIP-PTA	BRT050003	BART	1014A	AB 3090 Reimbursement (W Oakland Sta Retrofit)			CON	3,500		3,500	
Contra Costa-IIP-PTA	CC-010006	Richmond	2011G	Richmond station, add parking (IIP)			CON	2,000		2,000	
Santa Clara-IIP-PTA	SCL010013	Caltrans	2008	Fourth track, San Jose-Santa Clara			CON	20,600		20,600	
Napa-IIP	NAP010008	Caltrans	367D	Jameson Canyon Widening (IIP) (TCRP)			CON/C-CT	12,110		12,110	
Solano-IIP	SOL050003	Caltrans	8273B	I-80 Recon Connectors, I-680 Landscaping			RW-CT/C-CT	768		768	
Marin-IIP-TE	MRN050012	Caltrans	343D	Route 101 Golden Gate native revegetation, ph 2			ENV	30		30	
Sonoma-IIP-TE		Caltrans	449N	Watershed awareness signs			ENV	10		10	
Total ITIP								39,018	0	39,018	

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Memorandum

TO: Programming and Delivery Working Group

DATE: June 15, 2009

FR: Kenneth Kao

RE: CTC Update

The CTC met on June 10-11 in Sacramento and will meet again on July 8-9 in San Jose.

On June 10-11, the CTC was scheduled to consider the following:

- Adopt 2010 STIP Fund Estimate Assumptions;
- Draft guidelines for SB 4 (Public Private Partnerships and Design Build);
- Proposition 1B SLPP Guidelines for FY 2009-10 (see item 4C on 6/15/09 PDWG agenda);
- Proposition 1B Third Quarter Project Delivery Report (attached);
- Various STIP Amendments in Alameda, San Francisco, San Mateo, and Solano, as well as two Caltrans amendments in Marin and Sonoma;
- Various TCRP Amendments in San Francisco, San Mateo, and BART;
- Various STIP Extensions, including for projects in Contra Costa, Marin, and BART.

The next meeting of the CTC will be on July 8-9 in San Jose.

Questions regarding the CTC update should be directed to Kenneth Kao at kkao@mtc.ca.gov.

State of California

Business, Transportation and Housing Agency

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: June, 2009

Reference No: Information Item

From: **RICHARD D. LAND**
Chief Engineer

Prepared by: Karla Sutliff
Division Chief
Project Management

Subject: Proposition 1B Programmatic Program Amendments to the Proposition 1B Project Baseline Agreements

SUMMARY:

During the past quarter, project teams delivering Proposition 1B Bond projects made minor project delivery changes on projects as shown in the spreadsheet attached.

BACKGROUND:

Project Baseline Agreements have been developed and submitted to the California Transportation Commission defining and committing project delivery in terms of project schedules, project description (scope), and funding for each cost component. These agreements serve to provide the project delivery parameters to evaluate the progress of delivery for each project.

Program Amendments: Program oversight by Bond Program Managers is primarily focused on ensuring timely contract awards (within quarter of approved award date), the full scope of the project, and that projects are within available funds for each project. When project changes occur that requires a change of more than three months in the contract award date, change in project scope (evaluated case by case), or change to State programmed funds, these changes will be presented to the commission for approval in a formal program amendment.

Programmatic Program Amendments: When project schedule changes occur that does not impact the construction award date by more than three months, or a cost change is made to non-State programmed funds, or a project split/combine that does not change the overall project scope, these changes will be documented as a programmatic change. Programmatic changes will be furnished to the commission and commission staff as an information item for use in program oversight.

The following programmatic project delivery revisions to Proposition 1B Project Baseline Agreements do not require a formal amendment and have been adjusted in project management data used to report on project delivery. This document formalizes team-approved changes as shown in this quarter Bond Project Delivery Report. This amends the Proposition 1B Program Project Baseline Agreement by incorporating project cost, scope, schedule and technical changes as shown in the Attachment.

**Proposition 1B Programmatic Program Amendment - Third Quarter FY 2008-09 Bond Project Delivery Report
Cost, Scope, Schedule and Technical Changes (\$ Thousands)**

D/Cty Rte PM	Description	EA PPNO Funds	Con Cap \$ FY Pgm RW Cap \$	Support \$	Schedule		Imp Ag	Comments				
					Beg	End						
<i>These changes were made in conjunction with the First Quarter FY 2008-09 Bond Project Delivery Report. Shown here for documentation.</i>												
12 Ora 91 15.9	SR 91 Eastbound Lane - Route 241 to Route 71	0G040 4678 CMIA TCRP Loc	\$ 65,000 08/09 FY \$ 600 \$ 924	PAED PSE RW Con Cls	\$ 1,700 \$ 6,700 \$ 60 \$ 6,440	\$ 1,944 \$ 400	05/01/04 07/01/07 07/01/07 08/01/09 09/01/11	No No Change	12/01/07 03/01/09 03/01/09 09/01/11 09/01/15	No No Change	Loc CT CT CT	OCTA will fund with measure funds updated RW capital, RW support, and final PAED costs. RW costs increased due to subterranean easements for retaining wall tiebacks.
5 SCr 1 14.8	Auxiliary lanes, Morrissey to Soquel Ave	0F650 6500 CMIA STIP 3 Loc	\$ 15,640 09/10 FY \$ 352 \$ 621	PAED PSE RW Con Cls	\$ 1,549 \$ 1,642 \$ 205 \$ 2,700	\$ 1,180 \$ 1,876 \$ 41	04/01/07 09/01/08 06/01/09 09/01/10 10/01/12	No No Change	06/01/09 06/01/10 03/01/10 09/01/12 04/01/13	No No Change	Loc Loc CT CT	Adjust support to reflect actual expenditures by transferring Demo funds from PSE (under) to RW Support (over).
05 SLO 46 36.6	Rte 46 Corr Impvmt (Whitley 1)	33072 0226C CMIA STIP Demo	\$ 80,000 09/10 FY \$ 10,400	PAED PSE RW Con Cls	\$ - \$ 7,000 \$ 600 \$ 7,000	\$ 6,400 \$ 1,200	01/01/98 09/01/06 03/01/07 07/01/10 06/01/13	No No Change	05/01/06 02/01/10 10/01/09 07/01/13 05/01/14	No No Change	CT CT CT CT	Adjust support to reflect actual expenditures by transferring Demo funds from PSE (under) to RW Support (over).
03 Sac 99 35.0	Elverta Rd IC	37150 0259D SR99 Loc	\$ 24,400 10/11 FY \$ 3,000	PAED PSE RW Con Cls	\$ 400 \$ 1,800 \$ - \$ -	\$ 1,000	07/01/07 07/01/09 07/01/09 05/01/11 03/01/13	No No Change	07/01/09 12/01/10 12/01/10 01/01/13 06/01/13	No No Change	Loc Loc Loc Loc	Increase of local funds for PAED to reflect actual expenditures incurred by local agency in preparation of environmental document.
03 Sut 99 0.0	SR99 Riego Rd IC	40660 3L44 SR99 Loc	\$ 21,300 10/11 FY \$ 3,200	PAED PSE RW Con Cls	\$ 340 \$ 2,500 \$ 500 \$ 3,000	\$ 520	01/01/00 12/01/07 04/01/09 05/01/11 01/01/14	No No Change	12/01/07 01/01/11 09/01/11 01/01/13 01/01/15	No No Change	Loc CT CT CT	Increase of local funds for PAED to reflect actual expenditures incurred by local agency in preparation of environmental document.

This change is a new change as shown in the Third Quarter FY 2008-09 Bond Project Delivery Report.

11 SD	I-5 North Coast Corridor - Stage 1A - Project # 2 PM Change I-805 26.5 28.9/ 42.6 46.5 I-5 34.0 32.1/ R40.9 R51.7	2T040 0716 CMIA Loc ARRA	\$ 77,000 \$ 75,380 \$ 8,000 \$ 500	PAED PSE RW Con Cls	\$ 1,000 \$ 4,800 \$ 900 \$ 10,300 \$ 500	\$ 3,500 \$ 9,900 \$ 11,820	03/30/07 04/01/07 04/01/07 03/30/10 09/30/12		07/30/09 10/01/09 10/01/09 06/30/12 01/30/13		CT CT CT CT	Increase of local funds to cover support components, amendment also adds local Recovery Act funds to project. Postmile limit changes is to allow two miles of striping and construction signing to tie into existing HOV lane.
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Third Quarter FY 2008-09 Bond Program Project Delivery Report

**Quarterly Report to the
California Transportation
Commission**



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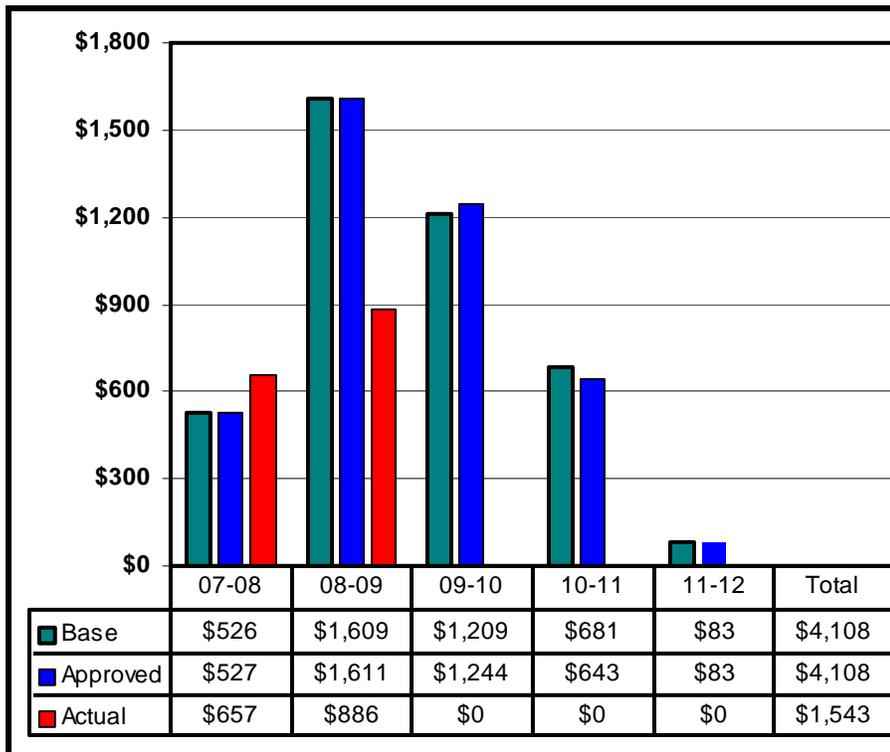
The Bond Program Project Delivery Report is prepared quarterly in November, February, May, and August. The Department of Transportation (Department) staff prepares this report. The purpose of this report is to monitor and track the progress of project delivery for projects in the bond programs.

CMIA Program Status

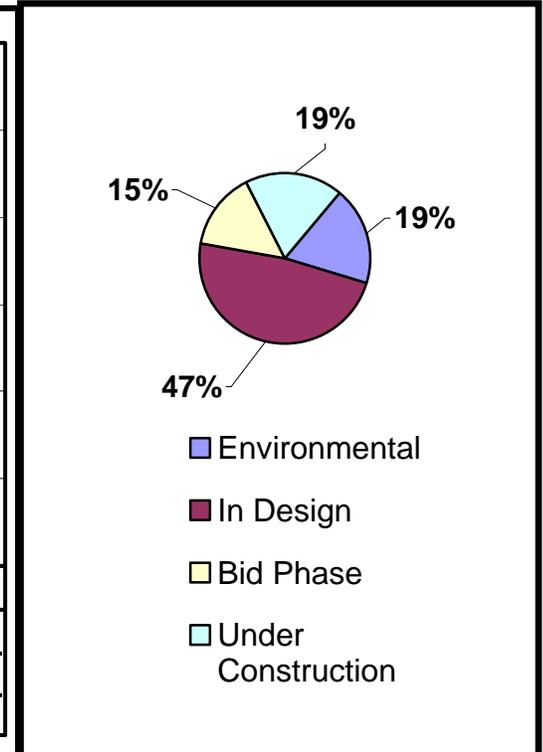
Third Quarter FY 2008-09

In the CMIA bond program budget, \$4.108 billion is to be allocated for construction. There is also \$90 million set aside for bond administrative costs and an addition \$11 million that has not been committed. The balance of \$291 million is for non-construction funded project components including right of way capital and engineering support costs. To date, \$1.637 billion has been allocated. The total of \$1.637 billion committed to date utilizes 36 percent of the available program funds.

CMIA Bond Construction Capital Allocations (millions)



Projects by Phase



Corridor projects count in a phase if one or more projects have started that phase.

CMIA Bond Funds Committed (millions)

Component	Available	Allocated	Percent
Construction	\$ 4,108	\$ 1,543.0	38%
Non-Construction			
RW Capital	\$ 4	\$ 0.5	12%
Support	\$ 290	\$ 93.0	32%
Subtotal	\$ 291	\$ 93.5	32%
Not Committed	\$ 11		
Bond Administration	\$ 90		
Program Total	\$ 4,500	\$ 1,637.5	36%

Completed Phases

Phase	Projects	Percent
Environmental	42	78%
Design	13	24%
Bid Phase	6	11%
Construction	0	0%

Corridor projects are completed in a phase when all projects have completed that phase.

CMIA Program Progress Report

Third Quarter FY 2008-09

This report reflects the program delivery status of CMIA Program bond funds for the 54 projects adopted on March 15, 2007 by the California Transportation Commission. The projects adopted into the program have an overall value of \$8.992 billion including CMIA bond funds for \$4.397 billion.

Overall Program Status

To date, 44 projects (including corridor projects starting a subsequent phase) have completed the preliminary engineering and environmental evaluation phase, 18 projects have completed the design phase, and ten projects are under construction. It is anticipated that the first CMIA project will be completed and open to traffic in the summer of 2009.

FY 2008-09 Accomplishments

Progress continues to be made to deliver and implement the adopted CMIA program.

To date, in FY 2008-09 five construction contracts were awarded, nine projects completed the right of way milestone, ten projects completed design, and seven project completed the environmental document.

Third Quarter FY 2008-09 Milestones Met

The following projects completed a major project delivery milestone in the last quarter:

Cty	Rte	Project	Milestone
Ala	24	Rte 24 Caldecott Tunnel Fourth Bore	End RW, Des
Mrn	580	WB I-580 to NB 101 Connector Impvmts	End Des
SCI	101	US101 Impvmts (I-280 to Yerba Buena Rd)	Environmental
SBd	215	I-215 North Segments 1 & 2	End RW
SD	15	Managed Lanes South (Project #4)	Environmental
Son	101	US 101 HOV Lanes – Wilfred	Award
Son	101	US 101 HOV Ln – Railroad to Rohnert Pk	End RW, Des

Program Management

Each project in the program is being monitored at the component level for potential scope, cost, and schedule changes to ensure timely delivery of the full scope as approved and adopted. Attached is a corrective actions report that identifies actions being taken to manage project changes.

#	Co	Rte	Project	Scope	Budget	Schedule	Phase	%
1	Ala	24	Rte 24 Caldecott Tunnel Fourth Bore	●	●	●	Design	100
2	Ala	580	EB I-580 EB HOV Hacienda to Greenville	●	●	●	Construction	20
3	Ala	580	I-580 WB HOV Ln Greenville to Foothill	●	●	●	Environmental	70
4	Ala	580	I-580 / Isabel IC	●	●	●	Design	100
5	Ala	880	I-880 SB HOV Lane Extension	●	●	●	Environmental	65
6	Cal	4	SR4 Angels Camp Bypass	●	●	●	Construction	70
7	CC	4	SR4 E Widening fr Somersville to SR 160	●	●	●	Design	65
8	Ala	80	I-80 Integrated Corridor Mobility Project	■	●	■	Environmental	25
9	ED	50	US 50 HOV Lanes – Phase 1	●	●	●	Construction	5
10	Ker	46	Route 46 Expressway – Segment 3	●	●	●	Design	50
11	Kin	198	Route 198 Expressway	●	●	●	Design	100
12	LA	405	I-405 Carpool Ln I-10 to US101 (NB)	●	●	●	Design	30
13	LA	5	I-5 Carpool Ln & Mixed Flow Ln	●	●	●	Design	30
14	LA	5	I-5 Carpool Ln fr Rte 134 to Rte 170	●	●	●	Design	90
15	Mon	1	Salinas Road Interchange	●	●	●	Design	100
16	Mrn	101	Hwy 101 Mrn-Son Narrows Project	●	●	●	Environmental	95
17	Mrn	580	WB I-580 to NB 101 Connector Impvmts	●	●	●	Design	100
18	Nap	12	SR12 Jameson Canyon – Phase 1	●	●	●	Design	10
19	Nev	49	Rte 49 La Barr Meadows Widening	●	●	●	Design	90
20	Ora	22	SR 22/I-405/I-605 HOV Conn w ITS	●	●	●	Design	72
21	Ora	57	Widen NB N SR91 to N Lambert Rd	●	●	●	Design	48
22	Ora	57	Widen NB S Katella to N Lincoln Ave	●	●	●	Environmental	92
23	Ora	91	Widen EB/WB SR91 E 55 Conn E Weir	●	●	●	Environmental	80
24	Ora	91	SR 91 EB Ln – Rte 241 to Rte 71	●	●	●	Design	95
25	Pla	65	Lincoln Bypass	●	■	●	Construction	14
26	Pla	80	Pla 80 HOV Phase 2	●	●	●	Construction	15
27	Pla	80	Pla 80 HOV Phase 3	●	●	●	Design	100
28	Riv	215	Add one mixed flow Ln in each direction	●	●	●	Design	10
29	Riv	91	HOV Lane Gap closure	●	●	●	Design	45
30	Sac	Loc	White Rock Rd fr Grant Line to Prairie City	●	●	●	Environmental	40
31	Sac	50	Hwy 50 Bus/Carpool Lanes	●	●	●	Design	100
32	SBd	10	Widen exit ramps & add aux lanes	●	●	●	Design	95
33	SBd	10	I-10 WB Mixed Flow Ln in addition	●	●	●	Design	95
34	SBd	210	State Route 210/215 Connectors	●	●	●	Design	95
35	SBd	215	I-215 North Segments 1 & 2	●	●	●	Design	95
36	SBd	215	I-215 North Segments 5	●	●	●	Design	95
37	SCI	101	US101 Impvmts (I-280 to Yerba Buena Rd)	●	●	●	Design	95
38	SCI	101	US101 Aux Lns SR85 to Embarcadero Rd	●	●	●	Environmental	85
39	SCI	880	I-880 Widening (SR237 to US 101)	●	●	●	Environmental	90
40	SCr	1	Hwy 1 Soquel to Morissey Aux Lns	■	●	●	Environmental	80
41	SD	15	Managed Lanes South Segment	●	●	●	Construction	Var
42	SD	5	I-5 North Coast Corridor – Stage 1A	●	●	●	Construction	Var
43	Sha	5	Cottonwood Hills Truck Climbing Lane	●	●	●	Design	75
44	SJ	205	I-205 Auxiliary Lanes	●	●	●	Design	95
45	SLO	46	Rte 46 Corridor Impvmts (Whitley 1)	●	●	●	Design	50
46	SM	101	Widen US 101 and add Aux Lns	●	●	●	Design	20
47	Sol	80	I-80 HOV Lanes Fairfield	●	●	●	Construction	35
48	Son	101	US 101 HOV Lanes – Wilfred	●	●	●	Design	100
49	Son	101	US 101 HOV Ln – Railroad to Rohnert Pk	●	●	●	Design	100
50	Son	101	US 101 HOV Lanes – North Phase A	●	●	●	Construction	5
51	Sta	219	Route 219 Expressway Phase 2	●	■	■	Design	95
52	Sta	219	Route 219 Expressway Phase 1	●	●	●	Construction	25
53	Tuo	108	E. Sonora Bypass Stage II	■	■	●	Design	60
54	Ven	101	HOV Lns Mussel Shoals to Casitas Pass	●	●	●	Design	5

Legend
 ● No known scope, budget or schedule issues.
 ● Potential scope, budget or schedule issues.
 ■ Known scope, budget or schedule issues.

The California Department of Transportation

Third Quarter FY 2008-09

CMIA Program Delivery Report 54 Planned Deliveries

PROJECT NUMBER	DISTRICT	COUNTY	ROUTE	ESTIMATED CONSTRUCTION CAPITAL VALUE (\$1,000's)	BOND CAPITAL VALUE (\$1,000's)	PROJECT DESCRIPTION	END ENVIRONMENTAL	END DESIGN	END RIGHT OF WAY	AWARD	CCA
LEGEND Milestone Complete Milestone Behind Schedule Awarded Award Behind Schedule Milestone Completed ahead of Schedule Milestone Completed behind Schedule Awarded ahead of Schedule Award Behind Schedule											
1	04	Ala CC	24	\$ 345,000	\$ 157,400	State Route 24 Caldecott Tunnel - Fourth Bore	★			07/01/09	06/01/14
<i>I-580 Eastbound HOV Lane - Greenville to Haceinda - Corridor Project</i>											
2	04	Ala	580	\$ 47,410	\$ 23,337	Corridor Project #1 (EA 29084)					12/01/11
				\$ 58,591	\$ 20,435	Corridor Project #2 (EA 29083)					12/01/11
				\$ 19,028	\$ 19,028	Corridor Project #3 (EA 29085)	06/30/09	03/01/10	03/01/10	08/01/10	12/01/11
				\$ 125,029	\$ 62,800	Corridor Summary	06/30/09	03/01/10	03/01/10		12/01/11
3	04	Ala	580	\$ 114,800	\$ 88,435	I-580 Westbound HOV Lane - Greenville to Foothill	11/01/09	03/01/11	03/01/11	08/01/11	10/01/13
<i>I-580 / Isabel Interchange - Corridor Project</i>											
4	04	Ala	580	\$ 37,400	\$ 24,600	Corridor Project #1 (EA 17131)					01/01/12
				\$ 7,300	\$ 3,900	Corridor Project #2 (EA 17132)					01/01/12
				\$ 52,200	\$ 31,500	Corridor Project #3 (EA 17133)			★		01/01/12
				\$ 86,000	\$ 60,000	Corridor Summary					01/01/12
5	04	Ala	880	\$ 83,700	\$ 83,700	I-880 SB HOV Ln Extension - Hegenberger to Marina Blvd	11/01/09	09/01/11	09/01/11	03/01/12	04/01/14
6	10	Cal	4	\$ 31,965	\$ 4,438	Angels Camp Bypass				★	09/01/10
7	04	CC	4	\$ 300,300	\$ 65,000	State Route 4 East Widening from Somersville to Route 160		06/01/10	06/01/10	11/01/10	12/01/14
8	04	Ala CC	80	\$ 47,100	\$ 47,100	I-80 Integrated Corridor Mobility Project	12/31/09	09/01/10	09/01/10		10/01/12
9	03	ED	50	\$ 37,808	\$ 20,000	US 50 HOV Lanes - Phase 1			★	★	06/01/10
10	06	Ker	46	\$ 67,229	\$ 45,000	Route 46 Expressway - Segment 3		03/19/10	05/01/10	07/01/10	07/28/14
11	06	Kin Tul	198	\$ 91,894	\$ 71,600	Route 198 Expressway			05/29/09	07/01/09	02/01/12
12	07	LA	405	\$ 792,000	\$ 730,000	I-405 Carpool Lane I-10 To US 101 (Northbound)		6/28/11 Design	4/10/13 Build	01/28/09	04/03/13
13	07	LA	5	\$ 575,543	\$ 387,000	I-5 Carpool Lane from Orange County Line to I-605		07/27/10	10/08/10	10/08/10	11/01/16
<i>Interstate 5 Carpool Lane from Route 134 to Route 170 - Corridor Project</i>											
14	07	LA	5	\$ 92,000	\$ 20,000	Corridor Project #1 (EA 12181)					12/03/12
				\$ 150,000	\$ 45,000	Corridor Project #2 (EA 12184)		04/30/09	06/01/09	10/20/09	08/10/12
				\$ 34,200	\$ 8,000	Corridor Project #3 (EA 12183)			08/05/09	01/05/10	10/23/12
				\$ 180,947	Not Bond	Corridor Project #4 (EA 12182)		11/02/09	10/01/09	04/19/10	12/20/13
				\$ 457,147	\$ 73,000	Corridor Summary		05/28/10	10/01/09	06/02/09	12/20/13
15	05	Mon	1	\$ 32,633	\$ 32,633	Salinas Road Interchange		★	★	05/01/09	07/01/11

PROJECT NUMBER	DISTRICT	COUNTY	ROUTE	ESTIMATED CONSTRUCTION CAPITAL VALUE (\$1,000's)	BOND CAPITAL VALUE (\$1,000's)	PROJECT DESCRIPTION	END ENVIRONMENTAL	END DESIGN	END RIGHT OF WAY	AWARD	CCA
Highway 101 Marin-Sonoma Narrows Project - Corridor											
16	04	Mrn Son	101	\$ 27,640	\$ 10,433	Corridor Project #1 (EA 26407)	06/30/09	12/01/10	12/01/10	06/01/11	12/02/13
				\$ 31,270	\$ 17,337	Corridor Project #2 (EA 26408)	06/30/09	12/01/10	12/01/10	06/01/11	12/02/13
				\$ 54,420	\$ 44,420	Corridor Project #3 (EA 26406)	06/30/09	07/01/10	07/01/10	12/01/10	12/02/13
				\$ 113,330	\$ 72,190	Corridor Summary	06/30/09	12/01/10	12/01/10	06/01/11	12/02/13
17	04	Mrn	580	\$ 13,200	\$ 13,200	Westbound I-580 to Northbound US 101 Connector Improvements			10/31/08	08/14/09	07/01/10
18	04	Nap Sol	12	\$ 96,700	\$ 73,990	SR 12 Jameson Canyon Widening - Phase 1		04/01/10	04/01/10	09/01/10	08/01/13
19	03	Nev	49	\$ 21,000	\$ 16,098	Route 49 La Barr Meadows Widening		05/15/09	09/01/09	09/15/09	06/01/12
20	12	Ora	22	\$ 291,000	\$ 200,000	SR-22 / I-405 / I-605 HOV Connector with ITS Elements		07/01/09	07/01/09	03/01/10	09/01/13
21	12	Ora	57	\$ 106,188	\$ 70,000	Widen NB from 0.4 m N of SR-91 to 0.1 m N of Lambert Road	★	11/01/09	11/01/09	06/01/10	04/01/13
22	12	Ora	57	\$ 29,400	\$ 20,086	Widen NB fr 0.3 m S of Katella Ave to 0.3 m N of Lincoln Ave	07/01/09	11/01/10	11/01/10	05/01/11	01/01/15
23	12	Ora	91	\$ 69,800	\$ 22,000	Widen EB&WB SR 91 E of SR 55 Conn to E of Weir Canyon Road	07/01/09	01/02/11	01/02/11	06/01/11	09/01/13
24	12	Ora	91	\$ 65,000	\$ 65,000	Add one lane on EB SR-91 from SR-241/SR-91 to SR-71/SR-91		05/18/09	05/18/09	08/18/09	09/01/11
25	03	Pla	65	\$ 210,000	\$ 73,715	Lincoln Bypass		★	★	★	01/01/12
26	03	Pla	80	\$ 31,200	\$ 8,484	Pla-80 HOV Phase 2		★	★		10/01/10
27	03	Pla	80	\$ 57,505	\$ 37,216	Pla-80 HOV Phase 3		★	05/15/09	05/01/09	01/01/11
28	08	Riv	215	\$ 55,100	\$ 38,570	Widening, Add One Mixed Flow Lane in Each Direction	★	08/01/10	02/01/10	12/01/10	12/01/13
29	08	Riv	91	\$ 177,146	\$ 142,600	HOV Lane Gap Closure	★	02/02/11	08/01/10	06/01/11	06/01/15
30	03	Sac	Loc	\$ 19,100	\$ 19,100	White Rock Road from Grant Line to Prairie City	07/01/09	12/01/10	12/01/10	05/01/11	11/01/12
31	03	Sac	50	\$ 133,125	\$ 80,000	Hwy 50 Bus/Carpool & Aux Lns & Community Enhancements	★	04/01/09	08/01/09	01/01/10	12/01/12
32	08	SBd	10	\$ 26,523	\$ 19,233	Widen Exit Ramps&Add Aux Ln @Cherry, Citrus&Cedar Ave IC's		08/02/09	06/01/09	11/30/09	12/01/10
33	08	SBd	10	\$ 37,875	\$ 26,500	Westbound Mixed Flow Lane Addition	★	10/01/09	10/01/09	01/01/10	06/01/11
34	08	SBd	210 215	\$ 79,967	\$ 22,000	SR - 210/215 Connectors		05/15/09	11/01/08	08/17/09	10/15/13
35	08	SBd	215	\$ 289,482	\$ 49,120	I-215 North Segments 1 & 2 - HOV & Mixed Flow Ln Addition		06/30/09	★	09/14/09	09/13/13
36	08	SBd	215	\$ 59,000	\$ 59,000	I-215 North Segment 5 - HOV and Mixed Flow Lane Addition		05/15/09	11/01/08	08/15/09	10/15/13
37	04	SCI	101	\$ 44,465	\$ 23,310	US 101 Improvements (I-280 to Yerba Buena Rd)		09/02/09	09/02/09	12/30/09	12/01/12
38	04	SCI	101	\$ 73,850	\$ 73,850	US 101 Aux Lanes - State Route 85 to Embarcadero Rd	07/01/09	02/01/11	11/01/10	06/01/11	08/01/13
39	04	SCI	880	\$ 65,390	\$ 61,790	I-880 Widening (SR 237 to US 101)	06/30/09	02/01/11	02/01/11	05/15/11	07/01/13
40	05	SCr	1	\$ 15,640	\$ 15,640	Highway 1 Soquel to Morrissey Auxiliary Lanes	06/01/09	04/01/10	03/01/10	09/01/10	09/01/12

PROJECT NUMBER	DISTRICT	COUNTY	ROUTE	ESTIMATED CONSTRUCTION CAPITAL VALUE (\$1,000's)	BOND CAPITAL VALUE (\$1,000's)	PROJECT DESCRIPTION	END ENVIRONMENTAL	END DESIGN	END RIGHT OF WAY	AWARD	CCA	
Managed Lanes South Segment - Corridor Project												
41	11	SD	15	\$ 90,000	\$ 90,000	Corridor Project #1 (EA 2T093)		★	★	★	01/17/11	
				\$ 85,000	\$ 85,000	Corridor Project #2 (EA 2T091)			★	★	01/26/11	
				\$ 125,000	\$ 125,000	Corridor Project #3 (EA 2T092)					04/08/12	
				\$ 300,000	\$ 300,000	Bond Funded Corridor		★	★	★	04/08/12	
				\$ 28,859	Not Bond	Corridor Project #4 (EA 2T095)			01/02/12	09/04/11	05/20/12	06/20/14
				\$ 328,858	\$ 300,000	Corridor Summary			01/02/12	09/04/11	★	06/20/14
I-5 North Coast Corridor - Stage 1A - Corridor Project												
42	11	SD	5	\$ 43,038	\$ 24,500	Corridor Project #1 (EA 2358U)	★		★	★	12/13/09	
				\$ 77,000	\$ 52,500	Corridor Project #2 (EA 2T040)	04/02/09	06/30/09	07/28/09	09/27/09	06/30/12	
				\$ 120,038	\$ 77,000	Corridor Summary	04/02/09	06/30/09	07/28/09	09/27/09	06/30/12	
43	02	Sha	5	\$ 20,802	\$ 20,802	Cottonwood Hills Truck Climbing Lane	★	12/01/09	06/01/09	03/03/10	03/01/11	
45	05	SLO	46	\$ 80,000	\$ 67,742	Route 46 Corridor Improvements (Whitley 1)		02/02/10	09/24/09	06/10/10	07/08/13	
44	10	SJ	205	\$ 40,653	\$ 25,000	I-205 Auxiliary Lanes	★	12/07/09	10/02/09	06/14/10	01/20/12	
46	04	SM	101	\$ 81,731	\$ 49,473	Widen US 101 & add Aux Lns fr Marsh Rd to Embarcadero Rd.		12/01/10	11/01/10	04/01/11	11/01/13	
HOV lanes, Fairfield (Rt 80/680/12 to Putah Creek) - Corridor Project												
47	04	Sol	80	\$ 53,210	\$ 37,833	Corridor Project #1 (EA 0A531)					12/01/09	
				\$ 3,400	\$ 3,400	Corridor Project #2 (EA 4C15U)			★	04/24/09	12/01/09	
				\$ 6,907	\$ 6,907	Corridor Project #3 (EA 0A532)		01/15/10	01/10/10	06/01/10	12/01/10	
				\$ 63,517	\$ 48,140	Corridor Summary		01/15/10	01/10/10		12/01/10	
48	04	Son	101	\$ 63,270	\$ 41,700	US 101 HOV Lanes - Wilfred Ave to Santa Rosa Ave					12/01/11	
49	04	Son	101	\$ 83,800	\$ 38,548	Central Phase A - US 101 HOV Lns from Railroad Ave to Rohnert Park Expressway	★		★	12/01/09	12/01/11	
50	04	Son	101	\$ 91,200	\$ 61,360	US 101 HOV lanes - North Phase A (from Steele Lane to Windsor River Road)		03/01/08	03/01/08	09/01/08	01/01/11	
51	10	Sta	219	\$ 26,000	\$ 18,813	SR-219 Expressway, Phase 2 (Morrow Road to Route 108)		11/26/09	07/28/10	10/14/10	01/07/13	
52	10	Sta	219	\$ 12,760	\$ 12,760	SR-219 Expressway, Phase 1 (SR-99 to Morrow Road)					02/28/10	
53	10	Tuo	108	\$ 33,800	\$ 13,858	E. Sonora Bypass Stage II		04/01/10	04/01/10	10/13/10	10/27/12	
54	07	Ven SB	101	\$ 116,300	\$ 116,300	HOV Lanes, Mussel Shoals to Casitas Pass Road		05/27/10	04/30/10	01/24/11	09/18/15	

CMIA Program Project Action Plan Report

Third Quarter FY 2008-09

Each project in the program is being monitored at the component level for potential scope, cost and schedule to ensure timely delivery of the full scope as approved and adopted. Listed below are project action plans that have been identified to address identified scope, cost or schedule issues on projects.

Index of Project Action Plans by Category

(1) Potential Projects to Watch

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Proposed Adjustments
8	4	CC	80	I-80 Integrated Corridor Mobility Project	\$55,300	\$63,400	CMIA Amendment
25	3	Pla	65	Lincoln Bypass	\$73,715	\$324,000	Transfer project savings
35	8	SBd	215	I-215 North Segments 1&2, HOV & Mixed Flow	\$49,120	\$424,085	Monitor bid results
40	5	SCR	1	Route 1 Soquel to Morissey Auxiliary Lanes	\$16,190	\$22,058	Define Scope in Project Report

(2) Program amendments that were approved by the CTC during the past quarter.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Proposed Adjustments
16	4	Mrm	101	Hwy 101 Marin-Sonoma Narrows Project	\$82,400	\$202,799	Amend CMIA for TCRP changes
49	4	Son	101	Central Phase A US 101 HOV Lns	\$ 42,848	\$ 118,250	Transfer local funded savings

(no narrative is included for either of these projects. The changes involved transfer of funds between different funding programs. No changes were made to component costs)

(3) Project changes that will require Commission action, plan has been submitted for preparing an amendment.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Proposed Adjustments
1	4	Ala	24	Route 24 / Caldecott Tunnel - Fourth Bore	\$175,000	\$420,000	STIP, CMIA Amendment
11	6	Kin	198	Route 198 Expressway	\$71,600	\$124,507	Transfer project savings
19	3	Nev	49	La Barr Meadows Widening	\$ 18,568	\$ 40,500	Update schedule
31	3	Sac	50	Hwy 50 Bus/Carpool & Aux Lns & Community	\$ 80,000	\$165,000	Update schedule
34	8	SBd	210	State Route 210/215 Connectors	\$22,000	\$96,204	CMIA Amendment
36	8	SBd	215	I-215 North Segment 5	\$59,000	\$66,676	CMIA Amendment

(4) Project changes that will require Commission action, preparation of a plan has just started.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Proposed Adjustments
14	7	LA	5	I-5 Carpool Ln fr Rte 134 to Rte 170 - Corridor	\$73,000	\$609,539	STIP Program Amendment
29	8	Riv	91	HOV Lane Gap Closure	\$157,198	\$240,277	TCRP amendment
51	10	Sta	219	SR 219 Expwy, Phase 2 (Morrow Rd to Rte 108)	\$18,813	\$50,500	STIP Program Amendment
53	10	Tuo	108	East Sonora Bypass Stage II	\$17,233	\$65,920	STIP Program Amendment
54	7	Ven	101	HOV Lns, Mussel Shoals to Casitas Pass Rd	\$131,600	\$151,470	STIP Program Amendment

(5) Project changes that were approved by the Project Team during the past quarter.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Proposed Adjustments
42	11	SD	5	I-5 North Coast Corridor - Stage 1A - Project #2	\$57,500	\$102,000	Transfer local fund project savings

(6) Project changes identified and likely to be approved by Project Team with funding Partners.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Proposed Adjustments	
16	4	Mrn	101	Hwy 101 Marin-Sonoma Narrows Project	\$82,400	\$202,799	Update schedule (pending PAED)	
24	12	Ora	91	Add one lane on EB SR91 from SR241 to SR71	\$71,440	\$81,408	Supplement with local funds	
38	4	SCI	101	US 101 Aux Lns - SR85 to Embarcadero Rd	\$84,930	\$102,258	Supplement with local funds	

(7) Project programmed STIP cost variances that exceed baseline agreement cost, but do not require a CTC action pursuant to STIP guidelines.

Estimated final costs are projected to be within 120% programmed STIP funded component at time of vote:

ID	D	Co	Rte	Project Title	Programmed Component \$ (1,000's)	EAC \$ (1,000's)	Component	Variance
14	7	LA	5	I-5 Carpool Ln fr Rte 134 to Rte 170 - Corridor	\$65,700	\$68,928	Right of Way	RWS, RWC
15	5	Mon	1	Salinas Road Interchange	\$ 6,018	\$ 6,610	PJD	Des
					\$ 5,437	\$ 5,810	Right of Way	RWC
31	03	Sac	50	Hwy 50 Bus/Carpool & Aux Lns & Community	\$80,000	165,000	Right of Way	RWS
45	5	SLO	46	Route 46 Corridor Imp (Whitley 1)	\$11,600	\$11,609	Right of Way	RWS
48	4	Son	101	US 101 HOV Lns - Wilfred	\$11,775	\$11,851	PJD	Des
54	7	Ven	101	HOV Lns, Mussel Shoals to Casitas Pass Rd	\$19,200	\$16,416	PJD	Des

Costs reflect post vote costs, and no further CTC action is required:

ID	D	Co	Rte	Project Title	Programmed Component \$ (1,000's)	EAC \$ (1,000's)	Component	Variance
6	10	Cal	4	Angels Camp Bypass	\$ 5,052	\$ 6,012	PJD	PAED & Des
					\$ 20,935	\$ 21,761	Right of Way	RWS & RWC
					\$ 35,565	\$ 31,140	Construction	Cons
25	03	Pla	65	Lincoln Bypass	\$ 19,000	\$ 18,792	PJD	Des
				(amendment in process to transfer savings, finalize right of way costs)	\$ 73,000	\$ 73,207	Right of Way	RWS
52	10	Sta	219	Route 219 Expwy Phase 1 (SR99 to Morrow Rd)	\$ 4,476	\$ 4,512	PJD	Des
					\$ 31,260	\$ 21,813	Right of Way	RWS

(8) Delivered projects that award dates have been impacted by temporary lack of bond funds

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Apvd Award	Curr Award
4	4	Ala	580	Isabel IC	\$ 68,000	\$153,000	2/1/09	5/19/09
17	4	Mrn	580	WB I-580 to NB US 101 Conn Improvements	\$ 20,000	\$ 20,000	3/1/09	8/14/09
49	4	Son	101	Central Phase A US 101 HOV Lns	\$ 42,848	\$ 118,250	7/1/09	12/1/09

Project Action Plans – Narrative (in project ID order)

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
1	4	Ala	24	Route 24 / Caldecott Tunnel – Fourth Bore	\$175,000	\$420,000	Des, RW Sup, Con Sup

Project Action Plan:

The project action plan proposes to split the project into four contracts, within the cost and schedule of the approved bond project. The proposed split provides an opportunity for small businesses to bid on the smaller contracts and provides operational improvement before and during construction of the tunnel. The fourth contract for landscaping, is funded with local funds; and will be removed from the baseline schedule reporting. There are also support variances of \$2.35M in PS&E and \$100,000 in R/W Support that will be corrected by transferring funds from the construction contingency. The estimated construction support budget for four projects is \$43.6M (baseline is \$22.4M). The increase of \$21.2M will be funded from Construction contingency. It should be noted that the challenge to the environmental document has been resolved out of court.

The project is to receive State (loan) and Regional Recovery Act funds totaling \$197.7M. Approval of a CMIA Program Amendment for these changes is on the agenda for the May meeting for action by the Commission.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
8	40	CC	80	I-80 Integrated Corridor Mobility Project	\$55,300	\$63,400	Schedule (all), Scope

Project Action Plan:

This is an Intelligent Transportation Systems (ITS) project to incorporate corridor management along the I-80 corridor. The scope of work includes completion of a vehicle detection system network, purchase of ITS hardware and software, and installation of an active traffic management system. Staff met with CTC staff in January 2009 and will submit a revised project action plan in the May 2009 to combine this project with the Traffic Light Synchronization Program (TLSP bond program) funded project on San Pablo Ave, a parallel arterial to I-80 to coordinate ITS on both routes. The combined project will then be split for delivery purposes into six contracts, comprised of two procurement contracts and four construction contracts. The project action plan also addresses the schedule variances for the project in PAED, End Design, and End ROW. In addition, the Start Construction (award) milestone will be revised from July 2009 to October 2009 based on the first contract, and the End Construction milestone will be revised from October 2011 to October 2012 based on the last contract. The schedule variance is due to delays in starting the project, as well as a better assessment of how to effectively deliver the project.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
11	6	Kin	198	Route 198 Expressway	\$71,600	\$124,507	PAED, Des, RWS, RWC

Project Action Plan:

Project action plan was prepared and submitted to transfer STIP and TCRP savings from some components to cover overruns in other components, and proposes to split out a follow up landscape mitigation project. STIP and TCRP components are within their available funds. There is a proposed construction capital savings of approximately \$7 million in CMIA funds proposed to be put in reserve. There are no scope or schedule changes. Project action plan requires a CMIA, TCRP and STIP amendment.

The STIP amendment was on the agenda for action at the May 2009 Commission meeting.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
14	7	LA	5	I-5 Carpool Ln fr Rte 134 to Rte 170 - Corridor	\$73,000	\$609,539	PAED, Des, End Des

Project Action Plan:

PAED and Design support expenditures have exceeded the available budget. The support overage is expected to be approximately \$14 million. A plan is being developed which identifies savings on some project component and additional savings from a separate project along the same corridor. The plan proposes to balance funding between a total of five projects along the corridor to balance overall funds and keep the project budgets within available funds. The plan will be finalized and submitted in the fourth quarter FY 2008-09.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
16	4	Mrn	101	Hwy 101 Marin-Sonoma Narrows Project	\$82,400	\$202,799	PAED Date

Project Action Plan:

PAED milestone has been delayed 8 months, from October 2008 to June 2009. Biological Opinion from USFWS was received on April 1, 2009 completing the environmental document. As long as PAED is completed by June 2009, it is anticipated that the project will meet its approved RTL and Start Construction dates.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
19	03	Nev	49	La Barr Meadows Widening	\$18,568	\$ 40,500	End Des, Award

Project Action Plan:

The addition of several more right of way parcels, the time needed to deal with unique features and allow independent appraisals allowed by recent legislation has led to delays in the design schedule and impacts the project award date. Because the schedule changes require a CMIA program baseline amendment, the cost adjustments to STIP funded right of way components are also being adjusted to reflect actual costs.

A CMIA baseline amendment reflecting schedule and right of way adjustments is on the agenda for Commission action at the May meeting.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
24	12	Ora	91	Add one lane on EB SR91 from SR241 to SR71	\$71,440	\$81,408	Des, RWS

Project Action Plan:

An action plan will be developed and submitted shortly to detail additional costs needed for Design and Right of Way support to deal with unanticipated design and right of way requirements related to railroad, geotechnical considerations, environmental mitigation measures, changes triggered by impacted property owners resulting in easements, coordination with a future overlapping District 8/RCTC project, and federalizing the project to enable use of potential federal funds. Discussions are underway with commission staff about funding scenarios regarding backfilling bond funds with potential economic stimulus funds and local funds. An effort is underway to finalize the right of way process through a Resolution of Necessity at the May 2009 CTC meeting for one parcel. Once approved, the project can achieve R/W certification and RTL, with a current target date of 5/18/2009, and an E-76 obligation of Recovery Act funding immediately afterwards. Extensive right of way activities resulted in support cost overrun that would be addressed in the same action plan.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
25	3	Pla	65	Lincoln Bypass	\$73,715	\$324,000	RWC

Project Action Plan:

There are significant project savings as a result of the bids received and the amount committed when the project was awarded. Project action plan proposes to transfer savings to cover final estimate for right of way capital funds that are higher than approved in the baseline agreement, and transfer remaining savings into reserve for future use in the corridor.

A CMIA baseline amendment reflecting right of way capital adjustment is slated for notice in June.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
29	8	Riv	91	HOV Lane Gap Closure	\$157,198	\$240,277	RWS, RWC

Project Action Plan:

The most current R/W cost estimate identified Utility Cost increase of \$15,091 million, and R/W Acquisition cost increase of \$14,484 million. R/W Cost estimate will be revised from \$31,682 to \$61,257 Million. RCTC is proposing to fund R/W cost increase with CMAQ, TCRP, and local funds. RCTC and Caltrans is finalizing the project action plans and targeting first quarter FY 2009-10 for approval.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
31	3	Sac	50	Hwy 50 Bus/Carpool & Aux Lns & Community	\$80,000	\$165,000	RWS, RWC

Project Action Plan:

A project action plan will be developed and submitted in the fourth quarter to detail right of way support expenditures and mitigation costs. The right of way support costs were higher due to the effort to reduce and minimize right of way impacts to property owners along the corridor. As a result, the right of way capital expenditures were reduced significantly and will be used to offset the overrun. In addition, settlement was reached recently on a lawsuit challenging the project, which is now added to the right of way capital cost. The cost to mitigate the lawsuit and supplement the right of way support budget is available from the projects overall budget (using local funds) and the project remains within the total project budget.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
34	8	SBd	210	State Route 210/215 Connectors	\$22,000	\$96,204	Des, End Des
36	8	SBd	215	I-215 North Segment 5	\$59,000	\$66,676	Des, End Des

Project Action Plan:

Project action plan has been submitted to fund Design, Construction support, and Construction capital cost variances, and to amend the Construction schedule of the SR210/ I-215 project to match I-215 Segment 5. With the two projects being combined for construction, the two schedules need to be consistent. It is also proposed to change the implementing agency for construction from SANBAG to Caltrans. To be in compliance with policy when Caltrans is the construction-implementing agency, it is recommended the construction support budget be segregated from the construction capital budget. As a combined project, it is recommended that \$10M of CMIA funds be transferred from I-215 North Segment 5 to SR-210/I-215 Connector project. The transfer of funds and the associated construction quantities, will simply track the separate project costs in construction, while not impacting the overall construction cost. Furthermore, an additional \$550,000 of local funds (Measure I) will be programmed under construction in order for SANBAG to pay for design consultant support during construction. There is a Design cost variance of \$524,000 and \$866,000 for I-215 and State Route 210/215 connector respectively. These cost variances will be funded using local funds (Measure I Funds). The combined project was RTL on 4/10/09.

A CMIA Program Amendment was approved for the cost, schedule, and implementing agency changes and was on the agenda for action at the April 2009 CTC Meeting.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
35	8	SBd	215	I-215 North Segments 1&2, HOV & Mixed Flow	\$49,120	\$424,085	Monitor bid results for ConC

Project Action Plan:

A CMIA Program Amendment was approved by the Commission on June 26, 2008 which shifted funds from construction to right of way (Transfer of \$25 million). The amendment was based on updated right of way data sheets indicating increased right of way capital costs in April 2008. In addition, an evaluation of the engineer's construction cost estimate at that time supported a lower construction capital cost. While recent bid prices may support such a reduction, this project is to be monitored to ensure that when the project is delivered sufficient funds need to be available to fund the estimated cost at time of planned allocation. The capital costs for both right of way and construction are being monitored frequently. At the end of July, an independent FHWA Cost Estimate Review was performed on the I-215 corridor. This review supported the revised lower construction capital cost. PS&E approval by Caltrans and FHWA has been issued. The project is RTL and will be AAA'd by SANBAG. Advertising is expected by 5/18/09. Currently SANBAG and Caltrans are working together to confirm all expenditures to date and to review current estimates to complete. If it is shown there needs to be funding adjustments either from updates on the construction capital cost estimates or the right of way capital cost estimates, a new project action plan would be submitted.

Potential sources of additional funding could come from previously allocated TCRP funding and/or Projects of National Regional Significance (PNRS - a federal earmark program) funding already allocated to the I-215 corridor.

Due to the unavailability of Prop 1B funding, SANBAG has applied for and received an allocation of Recovery Act funds. The funds were recently approved in the FTIP in April. Project advertising was accelerated early to May 2009. R/W certification is proposed for upgrading to 3W status by July 7, 2009.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
38	4	SCI	101	US 101 Aux Lns - SR85 to Embarcadero Rd	\$84,930	\$102,258	PAED, Scope

Project Action Plan:

The reported variance of about \$400k in expected over-expenditures during PAED will be addressed by transferring local measure funds from R/W, which is expected to under-run. Final accounting will be completed when PAED, currently targeted for July 2009 is complete. At the completion of the environmental process, the scope of this project is proposed to extend the existing dual HOV lanes in addition to the original auxiliary lanes base scope. The proposed scope modification was included in the submittal of the DED and cover memorandum to the CTC, per CTC's request from the briefing on December 10, 2008. The construction cost is still estimated to meet the CMIA baseline budget. A plan will be submitted to adjust the project budget this summer after work on the PAED is finalized and a better estimate of final PAED costs can be determined.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
40	5	SCR	1	Route 1 Sequel to Morissey Auxiliary Lanes	\$16,190	\$22,058	Scope

Project Action Plan:

Action Plan and Narrative was submitted May/June 2008. It was determined that the Commission would not act on the scope change until after the environmental process was completed. The project now proposes to add a bicycle lane and

pedestrian improvements to the La Fonda Overcrossing, and to defer improvements at the Morrissey IC. Cost savings from Morrissey IC will be applied to the additional improvements at the La Fonda Overcrossing and sidewalks improvements on the north side of the Morrissey IC. The Draft Environmental Document and Draft Project Report were circulated to the CTC in December 2008. PA&ED is on schedule for June 2009.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
44	10	SJ	205	I-205 Auxiliary Lanes	\$25,500	\$51,600	Con Sup

Project Action Plan:

Upon completion of PA&ED, estimates were updated in the Project Report. Based on the updated cost estimates, the project is projecting an overall savings. The project development team determined that there is a funding shortfall of \$342,000 in construction support. The team will re-evaluate the resource estimates once the 65% design package is submitted for review. There are currently projected savings in Design, Right of Way and Construction Capital. It is proposed to use construction capital savings to fund the additional construction support needed. A program amendment is also proposed to transfer local funds to construction capital and fund support with State funds (CMIA) in lieu of a reimbursed rate if performed with local funds.

SJCOG has taken the necessary steps to transfer Measure K funds between components. A Board Resolution (No. R-24-09) was prepared in January to document this action.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
51	10	Sta	219	SR 219 Expwy, Phase 2 (Morrow Rd to Rte 108)	\$18,813	\$50,500	Des, RWS, RWC, Schedule (all)

Project Action Plan:

A detailed traffic analysis has identified a need for additional improvements in order to meet the committed benefits of the project. Furthermore, at 95% design, it was determined that an additional 120 construction working days was needed to construct the project. Due to these changes, the current estimates show an additional funding need of \$3.54M for the support components--\$1.37M for Design, \$1.32M for RW Support, and \$848,000 for Construction Support. All support components are currently programmed with RIP funds. The team proposes to transfer \$3.54M in CMIA savings from Phase 1 project Construction Capital to Phase 2 project Construction Capital. The existing programmed RIP funds of \$3.54M in Phase 2 Construction Capital will be transferred to fund shortfalls in the support components. The latest RW Data Sheet (September 2008) shows an increase in R/W Capital to \$19.5 million. The Project Development Team will monitor expenditures closely. Construction Capital components remain fully funded. The 95% PS&E estimated cost for construction is \$23 million, with \$26 million programmed.

A project action plan was submitted in the third quarter of FY 2008-09 to propose the transfer of funds between Phase I and Phase 2 and prepare for the STIP and CMIA amendment for corridor adjustments. The action plan has been discussed with both our local partners and headquarters management. A Project Change Request and Executive Summary for the transfer of CMIA funds between Phase I and Phase 2 and to adjust the schedule have been developed and are in review with Programming and Prop 1B Bond personnel.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
53	10	Tuo	108	East Sonora Bypass Stage II	\$17,233	\$65,920	Des, ConS, ConC, Scope, Schedule (all)

Project Action Plan:

Discussions have been ongoing with the Tuolumne County Transportation Council (TCTC) regarding project costs and options to address the forecasted funding shortfalls. A project action plan is being developed and will be submitted in the fourth quarter of FY 2008-09 to detail the final plan of addressing the funding needed for Design and Construction. The proposal is to revise the project scope, schedule, and budget for support and capital cost and amend the project baseline agreement. These changes are based on the Action Plan approved by TCTC at their December 2008 board meeting and is summarized below.

In June 2008, extensive analysis projected that the project cost would exceed the baseline budget by \$9.4 million (support and capital). An Action Plan (AP) Committee was formed and has developed and evaluated various corrective measures to mitigate the projected cost over-runs. The AP committee was able to down-scope the project and suggested a potential savings of \$8.2 million by removing the westbound on-ramp, the eastbound off-ramp, and purchasing R/W for a two-lane expressway instead of a four-lane expressway, while still achieving the agreed upon project benefits. After adjusting all of the components, the project is still under-funded by \$1.2 million. TCTC has agreed by resolution to fund this remaining portion by any means possible if full funding cannot be restored. The funding is proposed to come from STIP funds currently programmed for the State Route 108 Relinquishment and Local Improvement project in FY 2012-13 FY (PPNO 0235).

A draft Program Change Request (PCR) to address the above issues was prepared in the third quarter of FY 2008-09 and is currently in circulation for review. The PCR, along with an Executive Summary, will be finalized and submitted to headquarters in the fourth quarter.

ID	D	Co	Rte	Project Title	Bond's \$ (1,000's)	Total \$ (1,000's)	Variance
54	7	Ven	101	HOV Lns, Mussel Shoals to Casitas Pass Rd	\$131,600	\$151,470	RWS, RWC, Con Sup

Project Action Plan:

A need for additional costs have been identified due to a number of issues, including inadequate clearance under existing railroad bridge (potential need to replace railroad bridge) and utilities. Utility identification is ongoing. Right of way impacts are being evaluated which will lead to the development of a project action plan to be submitted in the first quarter FY 2009-10 to detail additional costs needed for Right of Way and possibly Construction support.

CMIA Bond Program Project Expenditures

Third Quarter FY 2008-09

Within budget conditions (green) - Estimated cost < or = budget G No CTC action required (blue) Within 120% at vote 120 Post vote VS overall budget under	Potential cost overrun conditions (yellow) - Estimated cost STIP funded component between 100-120% budget YS Estimated cost Bond funded component > 100% budget YB Estimated cost other funded component > 100% budget YO	Cost overrun conditions (red) - Estimated or actual cost STIP funded component > 120% budget RS Actual cost Bond funded component > 100% budget RB Actual cost other funded component > 100% budget RO Actual Stip funded cost after vote > 120% budget RVS
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Cost Management Action Plan notes:
 (<120%) indicates cost is STIP funded and within 120%, no adjustment required
 (Proj) overall project costs within budget, amendment to transfer savings to overruns.
 (Funds) indicates project needs to supplement budget, amendment to Commission for approval.
 (Voted) indicates cost is STIP funded and adjustments if necessary were made at vote.
 (Loc) indicates component is local funded, and local funds will supplement budget.

Project					Environmental (PAED) Support (1,000's)			Design Support (1,000's)			Right of Way (1,000's)				Construction (1,000's)						
					Support			Capital			Support		Capital								
#	D	CO	RTE	PROJECT DESCRIPTION	Agency	Appd	Exp	Agency	Appd	Exp	Agency	Appd	Exp	Appd	Exp	Agency	Appd	Exp	Appd	Exp	
1	04	Ala	24	State Route 24 Caldecott Tunnel Fourth Bore	Caltrans	\$ 20,500	\$ 19,882	Caltrans	\$ 31,100	\$ 22,443	Caltrans	\$ 200	\$ 249	\$ 800	\$ 46	Caltrans	\$ 22,400	\$ -	\$ 345,000	\$ -	
					G			(Proj) YO			(Proj) YO		G		(Proj) YO		G		G		
<i>I-580 Eastbound HOV Lane - Greenville to Hazeinda - Corridor Project</i>																					
2	04	Ala	580	Corridor Project #1 (EA 29084)	ACCMA	\$ 5,700	\$ 5,700	ACCMA	\$ 470	\$ 470	ACCMA	\$ -	\$ -	\$ -	\$ -	Caltrans	\$ 5,700	\$ 2,182	\$ 47,410	\$ 18,790	
					G			G			G		G		G		YO		G		
					Corridor Project #2 (EA 29083)			ACCMA \$ 6,300 \$ 6,300			ACCMA \$ 530 \$ 530		ACCMA \$ - \$ -		ACCMA \$ - \$ -		Caltrans \$ 6,905 \$ -		\$ 58,591 \$ -		
					Corridor Project #3 (EA 29085)			ACCMA \$ - \$ -			ACCMA \$ 700 \$ 2		ACCMA \$ - \$ -		ACCMA \$ - \$ -		Caltrans \$ 2,535 \$ -		\$ 19,028 \$ -		
					Corridor Summary			\$ 12,000 \$ 12,000			\$ 1,700 \$ 1,002		\$ - \$ -		\$ - \$ -		\$ 15,140 \$ 2,182		\$ 125,029 \$ 18,790		
					G			G			G		G		G		YO		G		
3	04	Ala	580	I-580 Westbound HOV Lane - Greenville to Foothill	ACCMA	\$ 10,000	\$ 4,175	ACCMA	\$ 2,000	\$ 250	ACCMA	\$ 500	\$ -	\$ 2,500	\$ -	Caltrans	\$ 15,600	\$ -	\$ 114,800	\$ -	
					G			G			G		G		G		G		G		
<i>I-580 / Isabel Interchange - Corridor Project</i>																					
4	04	Ala	580	Corridor Project #1 (EA 17131)	Livermore	\$ 4,200	\$ 1,173	Livermore	\$ 9,500	\$ 7,673	Livermore	\$ -	\$ -	\$ 3,300	\$ -	Livermore	\$ -	\$ -	\$ 37,400	\$ -	
					G			G			G		G		G		G		G		
					Corridor Project #2 (EA 17132)			Livermore \$ 1,300 \$ 363			Livermore \$ 400 \$ 323		Livermore \$ - \$ -		Livermore \$ 1,900 \$ -		Livermore \$ - \$ -		\$ 7,300 \$ -		
					Corridor Project #3 (EA 17133)			Livermore \$ 5,600 \$ 1,564			Livermore \$ 3,100 \$ 2,504		Livermore \$ - \$ -		Livermore \$ 18,800 \$ -		Caltrans \$ 8,000 \$ -		\$ 52,200 \$ -		
					Corridor Summary			\$ 11,100 \$ 3,100			\$ 13,000 \$ 10,500		\$ - \$ -		\$ 24,000 \$ -		\$ 8,000 \$ -		\$ 96,900 \$ -		
					G			G			G		G		G		G		G		
5	04	Ala	880	I-880 SB HOV Ln Extension - Hegenberger to Marina Blvd	ACCMA	\$ 4,520	\$ 2,200	ACCMA	\$ 6,980	\$ 545	ACCMA	\$ 1,900	\$ -	\$ -	\$ -	Caltrans	\$ 10,900	\$ -	\$ 83,700	\$ -	
					G			G			G		G		G		G		G		
6	10	Cal	4	Angels Camp Bypass	Caltrans	\$ 1,678	\$ 1,686	Caltrans	\$ 3,374	\$ 4,326	Caltrans	\$ 2,335	\$ 2,622	\$ 18,600	\$ 18,331	Caltrans	\$ 3,600	\$ 3,272	\$ 31,965	\$ 19,827	
					(Voted) VS			(Voted) VS			(Voted) VS		(Voted) VS		(Voted) VS		(Voted) VS		G		
7	04	CC	4	State Route 4 East Widening from Somersville to Route 160	CCTA	\$ 300	\$ 84	CCTA	\$ 29,000	\$ 13,600	CCTA	\$ -	\$ -	\$ 66,700	\$ 9,425	Caltrans	\$ 48,700	\$ -	\$ 300,300	\$ -	
					G			G			G		G		G		G		G		
8	04	Ala	80	I-80 Integrated Corridor Mobility Project	ACCMA	\$ 1,800	\$ 524	ACCMA	\$ 6,300	\$ 1,836	ACCMA	\$ -	\$ -	\$ -	\$ -	Caltrans	\$ 8,200	\$ -	\$ 47,100	\$ -	
					G			G			G		G		G		G		G		
9	03	ED	50	US 50 HOV Lanes - Phase 1	ED Co DOT	\$ -	\$ -	ED Co DOT	\$ 3,000	\$ 3,000	ED Co DOT	\$ 100	\$ 21	\$ 100	\$ -	ED Co DOT	\$ 3,560	\$ 169	\$ 37,808	\$ 685	
					G			G			G		G		G		G		G		
10	06	Ker	46	Route 46 Expressway - Segment 3	Caltrans	\$ 450	\$ 581	Caltrans	\$ 4,795	\$ 2,283	Caltrans	\$ 1,055	\$ 886	\$ 10,603	\$ 1,187	Caltrans	\$ 9,900	\$ -	\$ 67,229	\$ -	
					G			G			G		G		G		G		G		
11	06	Kin Tul	198	Route 198 Expressway	Caltrans	\$ 1,549	\$ 2,209	Caltrans	\$ 5,046	\$ 5,628	Caltrans	\$ 2,537	\$ 3,081	\$ 12,981	\$ 13,929	Caltrans	\$ 10,000	\$ -	\$ 91,894	\$ -	
					(Proj) YS			(Proj) YS			(Proj) YS		(Proj) YS		G		G		G		

Project					Environmental (PAED) Support (1,000's)			Design Support (1,000's)			Right of Way (1,000's)					Construction (1,000's)				
					Agency	Appd	Exp	Agency	Appd	Exp	Support			Capital		Support			Capital	
#	D	CO	RTE	PROJECT DESCRIPTION	Agency	Appd	Exp	Agency	Appd	Exp	Agency	Appd	Exp	Appd	Exp	Agency	Appd	Exp	Appd	Exp
12	07	LA	405	I-405 Carpool Lane I-10 To US 101 (Northbound)	Caltrans	\$ 22,000	\$ 21,293	Caltrans	\$ 39,000	\$ 8,236	Caltrans	\$ 15,000	\$ 241	\$ 82,000	\$ 21	Metro	\$ -	\$ -	\$ 792,000	\$ -
							G			G		G			G			G		G
13	07	LA	5	I-5 Carpool Lane from Orange County Line to I-605	Caltrans	\$ 19,930	\$ 19,354	Caltrans	\$ 42,391	\$ 5,756	Caltrans	\$ 15,523	\$ 1	\$ 507,073	\$ 16	Caltrans	\$ 80,064	\$ -	\$ 575,543	\$ -
							G			G		G			G			G		G
Interstate 5 Carpool Lane from Route 134 to Route 170 - Corridor Project																				
14	07	LA	5	Corridor Project #1 (EA 12181)	Caltrans	\$ 780	\$ 796	Caltrans	\$ 8,300	\$ 11,130	Caltrans	\$ 850	\$ 1,179	\$ 7,000	\$ 2,943	Caltrans	\$ 12,000	\$ -	\$ 92,001	\$ -
				Corridor Project #2 (EA 12184)	Caltrans	\$ 1,300	\$ 1,299	Caltrans	\$ 12,320	\$ 14,369	Caltrans	\$ 1,800	\$ 545	\$ 11,000	\$ 151	Caltrans	\$ 12,718	\$ -	\$ 150,000	\$ -
				Corridor Project #3 (EA 12183)	Caltrans	\$ 350	\$ 335	Caltrans	\$ 4,700	\$ 3,920	Caltrans	\$ 570	\$ 19	\$ 6,000	\$ 17	Caltrans	\$ 5,024	\$ -	\$ 34,200	\$ -
				Corridor Project #4 (EA 12182)	Caltrans	\$ 1,700	\$ 1,760	Caltrans	\$ 16,000	\$ 19,508	Caltrans	\$ 1,980	\$ 203	\$ 36,500	\$ 34	Caltrans	\$ 11,500	\$ -	\$ 180,947	\$ -
				Corridor Summary		\$ 4,130	\$ 4,190		\$ 41,320	\$ 48,927		\$ 5,200	\$ 1,946	\$ 60,500	\$ 3,145		\$ 41,242	\$ -	\$ 457,148	\$ -
						(Funds)	RS		(Funds)	RS		(<120%)	120		(<120%)	120		G		G
15	05	Mon	1	Salinas Road Interchange	Caltrans	\$ 3,068	\$ 2,899	Caltrans	\$ 2,950	\$ 3,506	Caltrans	\$ 757	\$ 538	\$ 4,680	\$ 648	Caltrans	\$ 4,428	\$ -	\$ 32,633	\$ -
							G		(<120%)	120		G		(<120%)	120			G		G
Highway 101 Marin-Sonoma Narrows - Corridor Project																				
16	04	Mrn	101	Corridor Project #1 (EA 26407)	Caltrans	\$ 8,200	\$ 7,600	Caltrans	\$ 3,160	\$ 500	Caltrans	\$ 2,210	\$ 5	\$ 22,080	\$ -	Caltrans	\$ 4,150	\$ -	\$ 27,640	\$ -
				Corridor Project #2 (EA 26408)	Caltrans	\$ 7,600	\$ 6,061	Caltrans	\$ 4,380	\$ 475	Caltrans	\$ 919	\$ -	\$ 9,190	\$ -	Caltrans	\$ 4,690	\$ -	\$ 31,270	\$ -
				Corridor Project #3 (EA 26406)	Caltrans	\$ 8,600	\$ 7,800	Caltrans	\$ 4,920	\$ 1,311	Caltrans	\$ 110	\$ 6	\$ 1,100	\$ 4	Caltrans	\$ 8,160	\$ -	\$ 54,420	\$ -
				Corridor Summary		\$ 24,400	\$ 21,461		\$ 12,460	\$ 2,286		\$ 3,239	\$ 11	\$ 32,370	\$ 4		\$ 17,000	\$ -	\$ 113,300	\$ -
							G			G		G			G			G		G
17	04	Mrn	580	Westbound I-580 to Northbound US 101 Connector Improvements	TAM	\$ 1,300	\$ 1,268	TAM	\$ 2,900	\$ 2,100	TAM	\$ -	\$ -	\$ 500	\$ -	Caltrans	\$ 2,100	\$ -	\$ 13,200	\$ -
							G			G					G			G		G
18	04	Nap Sol	12	SR 12 Jameson Canyon Widening - Phase 1	Caltrans	\$ 7,300	\$ 7,299	STA	\$ 7,550	\$ 656	Caltrans	\$ 2,350	\$ 31	\$ 16,600	\$ -	Caltrans	\$ 10,000	\$ -	\$ 95,700	\$ -
							G			G		G						G		G
19	03	Nev	49	Route 49 La Barr Meadows Widening	Caltrans	\$ 2,900	\$ 2,853	Caltrans	\$ 2,600	\$ 2,492	Caltrans	\$ 1,500	\$ 1,295	\$ 9,000	\$ 2,136	Caltrans	\$ 3,500	\$ -	\$ 21,000	\$ -
							G			G		(<120%)	120					G		G
20	12	Ora	22	SR-22 / I-405 / I-605 HOV Connector with ITS Elements	OCTA	\$ -	\$ -	OCTA	\$ 26,000	\$ 20,816	OCTA	\$ -	\$ -	\$ 28,500	\$ 6,146	Caltrans	\$ 54,500	\$ -	\$ 291,000	\$ -
							G			G								G		G
21	12	Ora	57	Widen NB from 0.4 m N of SR-91 to 0.1 m N of Lambert Road	OCTA	\$ 1,662	\$ 1,168	OCTA	\$ 12,240	\$ 9,751	Caltrans	\$ 200	\$ 87	\$ 1,350	\$ 2	Caltrans	\$ 18,360	\$ -	\$ 106,180	\$ -
							G			G								G		G
22	12	Ora	57	Widen NB fr 0.3 m S of Katella Ave to 0.3 m N of Lincoln Ave	OCTA	\$ 1,176	\$ 1,071	OCTA	\$ 3,528	\$ 454	Caltrans	\$ 150	\$ -	\$ 1,540	\$ -	Caltrans	\$ 5,292	\$ -	\$ 29,400	\$ -
							G			G								G		G
23	12	Ora	91	Widen EB&WB SR-91 fr E of SR-55 Conn to E of Weir Canyon Road	Caltrans	\$ 4,763	\$ 4,078	Caltrans	\$ 9,050	\$ 62	Caltrans	\$ 423	\$ -	\$ 3,087	\$ -	Caltrans	\$ 8,877	\$ -	\$ 69,800	\$ -
							G			G								G		G
24	12	Ora	91	Add one lane on EB SR-91 from SR-241/SR-91 to SR-71/SR-91	OCTA	\$ 1,944	\$ 1,944	Caltrans	\$ 6,700	\$ 7,512	Caltrans	\$ 400	\$ 573	\$ 924	\$ 55	Caltrans	\$ 6,440	\$ -	\$ 65,000	\$ -
							G		(Loc)	RO		(Loc)	RO					G		G
25	03	Pla	65	Lincoln Bypass	Caltrans	\$ 6,000	\$ 4,422	Caltrans	\$ 13,000	\$ 14,370	Caltrans	\$ 3,000	\$ 3,207	\$ 70,000	\$ 55,844	Caltrans	\$ 22,000	\$ 2,581	\$ 210,000	\$ 24,198
							G		(<120%)	120		(<120%)	120		(Proj)	RS			G	G

Project					Environmental (PAED) Support (1,000's)			Design Support (1,000's)			Right of Way (1,000's)					Construction (1,000's)				
					Agency	Appd	Exp	Agency	Appd	Exp	Support			Capital		Support			Capital	
#	D	CO	RTE	PROJECT DESCRIPTION	Agency	Appd	Exp	Agency	Appd	Exp	Agency	Appd	Exp	Appd	Exp	Agency	Appd	Exp	Appd	Exp
26	03	Pla	80	Pla-80 HOV Phase 2	Caltrans	\$ 2,136	\$ 2,067	Caltrans	\$ 6,498	\$ 6,366	Caltrans	\$ 400	\$ 325	\$ 200	\$ 86	Caltrans	\$ 7,143	\$ 954	\$ 31,200	\$ 5,222
							G			G			G		G			G		G
27	03	Pla	80	Pla-80 HOV Phase 3A	Caltrans	\$ -	\$ -	Caltrans	\$ 3,500	\$ 2,653	Caltrans	\$ 200	\$ 39	\$ 400	\$ 12	Caltrans	\$ 5,300	\$ -	\$ 57,505	\$ -
							G			G			G		G			G		G
28	08	Riv	215	Widening, Add One Mixed Flow Lane in Each Direction	RCTC	\$ 3,623	\$ 3,134	RCTC	\$ 3,548	\$ 200	RCTC	\$ -	\$ -	\$ 50	\$ -	RCTC	\$ -	\$ -	\$ 55,100	\$ -
							G			G			G		G			G		G
29	08	Riv	91	HOV Lane Gap Closure	RCTC	\$ 2,681	\$ 785	Caltrans	\$ 13,070	\$ 7,902	RCTC	\$ 1,100	\$ 1,190	\$ 31,682	\$ 3,408	Caltrans	\$ 14,598	\$ -	\$ 177,146	\$ -
							G			G		(Loc)	RO	(Loc)	RO			G		G
30	03	Sac	Loc	White Rock Road from Grant Line to Prairie City	Sac Co DOT	\$ 1,500	\$ 693	Sac Co DOT	\$ 2,000	\$ -	Sac Co DOT	\$ -	\$ -	\$ 4,000	\$ -	Sac Co DOT	\$ -	\$ -	\$ 19,100	\$ -
							G			G			G		G			G		G
31	03	Sac	50	Hwy 50 Bus/Carpool & Aux Lns & Community Enhancements	Caltrans	\$ 5,000	\$ 4,648	Caltrans	\$ 10,000	\$ 5,404	Caltrans	\$ 500	\$ 1,056	\$ 2,375	\$ 272	Caltrans	\$ 14,000	\$ -	\$ 133,125	\$ -
							G			G		(Proj)	YO	(Proj)	YO			G		G
32	08	SBd	10	Westbound Mixed Flow Lane Addition	SANBAG	\$ -	\$ -	SANBAG	\$ 5,000	\$ 1,554	SANBAG	\$ 20	\$ -	\$ 291	\$ -	SANBAG	\$ -	\$ -	\$ 37,875	\$ -
							G			G			G		G			G		G
33	08	SBd	10	Widen Exit Ramps&Add Aux Ln @Cherry, Citrus&Cedar Ave IC's	Caltrans	\$ 1,200	\$ 647	Caltrans	\$ 1,900	\$ 861	Caltrans	\$ 440	\$ 1	\$ 130	\$ -	SANBAG	\$ 2,500	\$ -	\$ 26,523	\$ -
							G			G			G		G			G		G
34	08	SBd	210	SR - 210/215 Connectors	SANBAG	\$ 1,800	\$ 1,800	SANBAG	\$ 4,000	\$ 4,640	Caltrans	\$ 2,000	\$ 648	\$ 8,437	\$ 5,031	SANBAG	\$ -	\$ -	\$ 79,967	\$ -
							G		(Loc)	RO			G					G	(Funds)	YB
35	08	SBd	215	I-215 North Segments 1 & 2 - HOV & Mixed Flow Ln Addition	Caltrans	\$ 816	\$ 607	SANBAG	\$ 26,792	\$ 26,301	Caltrans	\$ 10,090	\$ 8,192	\$ 96,905	\$ 36,089	SANBAG	\$ -	\$ -	\$ 289,482	\$ -
							G			G			G		G			G		G
36	08	SBd	215	I-215 North Segment 5 - HOV and Mixed Flow Lane Addition	Caltrans	\$ -	\$ -	SANBAG	\$ 4,541	\$ 4,879	Caltrans	\$ 585	\$ 199	\$ 2,550	\$ 850	SANBAG	\$ -	\$ -	\$ 59,000	\$ -
							G		(Loc)	RO								G		G
37	04	SCI	101	US 101 Improvements (I-280 to Yerba Buena Rd)	SCVTA	\$ 3,320	\$ 3,320	SCVTA	\$ 6,550	\$ 5,528	SCVTA	\$ -	\$ -	\$ 1,850	\$ -	Caltrans	\$ 6,690	\$ -	\$ 44,565	\$ -
							G			G			G		G			G		G
38	04	SCI	101	US 101 Aux Lanes - State Route 85 to Embarcadero Rd	SCVTA	\$ 3,534	\$ 3,325	SCVTA	\$ 7,182	\$ 17	SCVTA	\$ -	\$ -	\$ 6,612	\$ 21	Caltrans	\$ 11,080	\$ -	\$ 73,850	\$ -
						(Proj)	YO			G			G		G			G		G
39	04	SCI	880	I-880 Widening (SR 237 to US 101)	SCVTA	\$ 5,500	\$ 2,831	SCVTA	\$ 6,200	\$ 1,809	SCVTA	\$ -	\$ -	\$ 8,100	\$ -	Caltrans	\$ 9,810	\$ -	\$ 65,390	\$ -
							G			G			G		G			G		G
40	05	SCR	1	Highway 1 Soquel to Morrissey Auxiliary Lanes	SCCRTC	\$ 1,900	\$ 1,481	SCCRTC	\$ 1,261	\$ -	Caltrans	\$ 205	\$ -	\$ 352	\$ -	Caltrans	\$ 2,700	\$ -	\$ 15,640	\$ -
							G			G			G		G			G		G
Managed Lanes South Segment - Corridor Project																				
41	11	SD	15	Corridor Project #1 (EA 2T093)	Caltrans	\$ -	\$ -	Caltrans	\$ 15,760	\$ 9,315	Caltrans	\$ 685	\$ 272	\$ 1,022	\$ 111	Caltrans	\$ 14,739	\$ 5,013	\$ 90,000	\$ 29,681
				Corridor Project #2 (EA 2T091)	Caltrans	\$ -	\$ -	Caltrans	\$ 19,606	\$ 8,518	Caltrans	\$ 100	\$ 47	\$ 25	\$ 3	Caltrans	\$ 14,025	\$ 4,514	\$ 85,000	\$ 17,122
				Corridor Project #3 (EA 2T092)	Caltrans	\$ -	\$ -	Caltrans	\$ 15,523	\$ 4,351	Caltrans	\$ 1,000	\$ 327	\$ 3,448	\$ 56	Caltrans	\$ 21,236	\$ 3,034	\$ 125,000	\$ 27,227
				Corridor Project #4 (EA 2T095)	Caltrans	\$ 2,970	\$ 2,970	Caltrans	\$ 5,740	\$ -	Caltrans	\$ 1,929	\$ 76	\$ 11,100	\$ 3	Caltrans	\$ 6,200	\$ -	\$ 28,859	\$ -
				Corridor Summary		\$ 2,970	\$ 2,970		\$ 56,629	\$ 22,184		\$ 3,714	\$ 722	\$ 15,595	\$ 173		\$ 56,200	\$ 12,561	\$ 328,859	\$ 74,030
							G			G			G		G			G		G

Project					Environmental (PAED) Support (1,000's)			Design Support (1,000's)			Right of Way (1,000's)					Construction (1,000's)					
					Agency	Appd	Exp	Agency	Appd	Exp	Agency	Appd	Exp	Appd	Exp	Appd	Exp	Agency	Appd	Exp	Appd
#	D	CO	RTE	PROJECT DESCRIPTION	Agency	Appd	Exp	Agency	Appd	Exp	Agency	Appd	Exp	Appd	Exp	Agency	Appd	Exp	Appd	Exp	
<i>I-5 North Coast Corridor - Stage 1A - Corridor Project</i>																					
42	11	SD	5	Corridor Project #1 (EA 2358U)	Caltrans	\$ 3,626	\$ -	Caltrans	\$ -	\$ -	Caltrans	\$ -	\$ -	\$ 75	\$ -	Caltrans	\$ 6,000	\$ 5,388	\$ 43,038	\$ 33,725	
				Corridor Project #2 (EA 2T040)	Caltrans	\$ 3,500	\$ 1,754	Caltrans	\$ 9,900	\$ 7,804	Caltrans	\$ 900	\$ 357	\$ 500	\$ 7	Caltrans	\$ 11,820	\$ -	\$ 75,380	\$ -	
				Corridor Summary		\$ 7,126	\$ 1,754		\$ 9,900	\$ 7,804		\$ 900	\$ 432	\$ 8,000	\$ 7		\$ 16,300	\$ 5,388	\$ 120,038	\$ 33,725	
							G			G			G		G			G		G	
43	02	Sha	5	Cottonwood Hills Truck Climbing Lane	Caltrans	\$ 1,470	\$ 305	Caltrans	\$ 1,920	\$ 807	Caltrans	\$ 966	\$ 3	\$ 185	\$ -	Caltrans	\$ 2,100	\$ -	\$ 20,802	\$ -	
							G			G			G		G			G		G	
44	10	SJ	205	I-205 Auxiliary Lanes	SJCOG	\$ 1,169	\$ 1,145	SJCOG	\$ 4,830	\$ 1,501	SJCOG	\$ 100	\$ -	\$ 1,150	\$ -	Caltrans	\$ 3,758	\$ -	\$ 40,653	\$ -	
							G			G			G		G		(Proj)	YO		G	
45	05	SLO	46	Route 46 Corridor Improvements (Whitley 1)	Caltrans	\$ -	\$ -	Caltrans	\$ 6,400	\$ 3,656	Caltrans	\$ 1,200	\$ 783	\$ 10,400	\$ 839	Caltrans	\$ 7,000	\$ -	\$ 80,000	\$ -	
							G			G		(<120%)	120		G			G		G	
46	04	SM	101	Widen US 101 & add Aux Lns Marsh Rd to Embarcadero Rd	Caltrans	\$ 5,200	\$ 4,071	Caltrans	\$ 8,525	\$ 275	Caltrans	\$ 496	\$ 72	\$ 4,910	\$ -	Caltrans	\$ 10,527	\$ -	\$ 81,731	\$ -	
							G			G			G		G			G		G	
<i>HOV lanes, Fairfield (Rt 80/680/12 to Putah Creek) - Corridor Project</i>																					
47	04	Sol	80	Corridor Project #1 (EA 0A531)	STA	\$ 4,475	\$ 4,475	STA	\$ 2,725	\$ 1,786	STA	\$ -	\$ -	\$ -	\$ -	Caltrans	\$ 6,351	\$ 2,568	\$ 53,210	\$ 20,123	
				Corridor Project #2 (EA 4C15U)	STA	\$ -	\$ -	STA	\$ -	\$ -	STA	\$ -	\$ -	\$ -	\$ -	Caltrans	\$ 400	\$ 8	\$ 3,400	\$ -	
				Corridor Project #3 (EA 0A532)	STA	\$ 300	\$ 300	STA	\$ 1,500	\$ 445	STA	\$ -	\$ -	\$ -	\$ -	Caltrans	\$ 1,319	\$ -	\$ 6,907	\$ -	
				Corridor Summary		\$ 4,775	\$ 4,775		\$ 4,225	\$ 2,231		\$ -	\$ -	\$ -	\$ -		\$ 8,070	\$ 2,576	\$ 63,517	\$ 20,123	
							G			G			G		G			G		G	
48	04	Son	101	US 101 HOV Lanes - Wilfred Ave to Santa Rosa Ave	Caltrans	\$ 5,018	\$ 4,993	Caltrans	\$ 6,757	\$ 6,808	Caltrans	\$ 840	\$ 801	\$ 7,230	\$ 2,597	Caltrans	\$ 6,600	\$ 199	\$ 63,270	\$ 4	
							G		(Voted)	VS			G		G			G		G	
49	04	Son	101	Central Phase A - US 101 HOV Lns from Railroad Ave to Rohnert Park Expressway	SCTA	\$ 3,500	\$ 3,475	SCTA	\$ 10,000	\$ 7,419	Caltrans	\$ 750	\$ 433	\$ 9,700	\$ 192	Caltrans	\$ 10,500	\$ -	\$ 83,800	\$ -	
							G			G			G		G			G		G	
50	04	Son	101	US 101 HOV lanes - North Phase A (from Steele Lane to Windsor River Road)	SCTA	\$ 3,500	\$ 3,322	Caltrans	\$ 6,000	\$ 3,135	Caltrans	\$ 500	\$ 203	\$ 7,060	\$ 15	Caltrans	\$ 12,000	\$ 1,203	\$ 91,200	\$ 1,722	
							G			G			G		G			G		G	
51	10	Sta	219	SR-219 Expressway, Phase 2 (Morrow Road to Route 108)	Caltrans	\$ -	\$ -	Caltrans	\$ 2,000	\$ 2,158	Caltrans	\$ 2,000	\$ 1,132	\$ 17,000	\$ 464	Caltrans	\$ 3,500	\$ -	\$ 26,000	\$ -	
							G		(Funds)	RS			(Funds)	RS	(Funds)	RS		(<120%)	120		G
52	10	Sta	219	SR-219 Expressway, Phase 1 (SR-99 to Morrow Road)	Caltrans	\$ 1,648	\$ 1,621	Caltrans	\$ 2,828	\$ 2,891	Caltrans	\$ 3,260	\$ 3,401	\$ 28,000	\$ 15,368	Caltrans	\$ 2,000	\$ 594	\$ 12,760	\$ 1,650	
							G		(Voted)	VS			(Voted)	VS				G		G	
53	10	Tuo	108	E. Sonora Bypass Stage II	Caltrans	\$ 120	\$ 111	Caltrans	\$ 3,800	\$ 4,163	Caltrans	\$ 4,000	\$ 2,085	\$ 19,200	\$ 5,525	Caltrans	\$ 5,000	\$ -	\$ 33,800	\$ -	
							G		(Funds)	RS			G		G			(<120%)	120	(Funds)	RS
54	07	Ven	101	HOV Lanes, Mussel Shoals to Casitas Pass Road	Caltrans	\$ 4,400	\$ 4,723	Caltrans	\$ 14,800	\$ 422	Caltrans	\$ 441	\$ -	\$ 229	\$ 28	Caltrans	\$ 15,300	\$ -	\$ 116,300	\$ -	
						(<120%)	120			G			(Funds)	RS	(Funds)	RS		(Funds)	YB		G



**METROPOLITAN
TRANSPORTATION
COMMISSION**

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Memorandum

TO: Programming and Delivery Working Group

DATE: June 15, 2009

FR: Amy Burch

RE: ARRA Discretionary Programs

Background

The American Recovery and Reinvestment Act (ARRA) included an array of competitive programs that may provide additional funding to transportation projects in the Bay Area. MTC has developed a program matrix (ARRA Discretionary Program Summary, attached), which provides an overview of the various ARRA transportation grant programs, to assist agencies seeking funding. Weekly updates to this matrix are available on our website at: <http://www.mtc.ca.gov/funding/ARRA/>

Regional Coordination and Advocacy

MTC will focus advocacy and consensus on two funding categories: 1) Secretary's Discretionary Grant Program, and 2) High Speed Rail and Intercity Passenger Rail Grants. MTC's goal for this regional effort is to partner with Caltrans and the California High Speed Rail Authority so that the Bay Area and California are competitive for these funds. Agencies interested in seeking funding from these sources may contact Randy Rentschler at rentschler@mtc.ca.gov or 510.817.5780.

MTC Supports Agencies Applying Individually

For other ARRA discretionary programs (#s 4 – 17 on the ARRA Discretionary Program Summary), agencies are encouraged to apply directly to the federal implementing agency. Also, as requested, we will provide other support for agencies seeking funding in these discretionary program categories. Feel free to contact Alix Bockelman at abockelman@mtc.ca.gov or 510.817.5850 if you have requests for assistance.

Upcoming ARRA Discretionary Program Application Deadlines

Attached is a reference page for application/obligation deadlines (Deadlines for ARRA Discretionary Programs). Please note that many programs' application deadlines have already passed. Programs with upcoming application due dates include:

- Department of Energy – “Energy Efficiency and Conservation Block Grant” (EECBG) program (#13 on ARRA Discretionary Program Summary). **Applications are due by June 25, 2009.** Attached is an allocation list of funds available to Bay Area cities and counties, as well as to the state (EECBG - Allocations for Bay Area). More information is available on the ARRA Discretionary Program Summary, and at: <http://www.eecbg.energy.gov/>

- Department of Energy - "Clean Cities FY09 Petroleum Reduction Technologies Projects for the Transportation Sector" Program (#12 on ARRA Discretionary Program Summary). **Applications are due by September 30, 2009** for round two of this program. More information is available on the ARRA Discretionary Program Summary, and at: <http://www.afdc.energy.gov/cleancities/progs/solicitations.php#recovery>

Additional EECBG Program Details

The Energy Efficiency and Conservation Block Grant (EECBG) Program provides direct, formula grants to reduce energy use and fossil fuel emissions, and to improve energy efficiency. A list of the allocations to Bay Area cities and counties (nearly \$57 million), as well as those to the California Energy Commission (\$49 million), is available at the following link: <http://www.energy.ca.gov/recovery/blockgrant.html>. As noted above, **applications are due by June 25, 2009**.

Small cities and counties that are not listed for direct, formula grants are eligible for nearly \$30 million (60 percent) of the \$49 million allocated to the California Energy Commission. The CEC may distribute the remaining \$19 million at its discretion. In early June, CEC staff held workshops on the application process and guideline development for the 60 percent portion of funds.

In addition to the EECBG formula grants, a separate, competitive program will distribute \$455 million nationally. DOE's first priority is to award the formula grants. Details for the competitive program will soon be available in a Funding Opportunity Announcement. To stay current on the status of the formula and competitive grants, agencies may sign up for automated email updates from DOE. Please subscribe to Progress Alerts to receive updates on DOE's Recovery Act activities at the following link: <http://apps1.eere.energy.gov/news/subscribe.cfm>

Feel free to contact Amy Burch at aburch@mtc.ca.gov or 510.817.5735 with any questions regarding these discretionary programs.

Attachments:

- 1) ARRA Discretionary Program Summary
- 2) Deadlines for ARRA Discretionary Programs
- 3) EECBG – Allocations for Bay Area

**DRAFT American Recovery and Reinvestment Act (ARRA) Discretionary Program Summary
Transportation Funding in HR 1, as of June 3, 2009**

Agency	Program	Amount (millions)	Application Deadline	Obligation Deadline	Eligible Sponsors	Eligible Projects	Funding Number www.grants.gov or web reference	Other Details	
MTC Commission to Adopt Regional Priorities - Will Consult with Partnership									
1	DOT	U.S. DOT Secretary's Discretionary Grant Program - "Transportation Investment Generating Economic Recovery" (TIGER)	1,500	Sept 15, 2009 Submit applications via e-mail at TIGERGrants@dot.gov	Funds available for obligation until September 30, 2011. Priority to projects that can be completed by February 17, 2012.	State and local governments, including U.S. territories, tribal governments, transit agencies, port authorities, other political subdivisions of State or local governments, and multi-State or multi-jurisdictional applicants	Eligible projects include, but are not limited to highway, transit, freight and passenger rail and port infrastructure projects: (1) highway or bridge projects eligible under title 23, United States Code; (2) public transportation projects eligible under chapter 53 of title 49, United States Code; (3) passenger and freight rail transportation projects; and (4) port infrastructure investments.	Federal Register Interim Notice, posted May 15, 2009, http://www.federalregister.gov/OFRUpload/OFRData/2009-11542_P1.pdf http://www.fta.dot.gov/index_9118.html	Grant range from \$20 - \$300million. Secretary may waive the \$20m minimum grant size for projects in small cities, regions or states. Cap of 20% for any single state. Priority to projects with a local match, and that can be completed by February 17, 2012. Guidelines for required federal logos for all DOT-funded ARRA projects: http://www.fta.dot.gov/index_9440_9482.html
2	DOT	High Speed and Intercity Passenger Rail	8,000	TBD Guidance to be issued on/before June 17, 2009 (within 120 days of enactment)	Funds must be obligated by September 30, 2012	Official eligibility requirements TBD. See grant categories under Eligible Projects.	http://www.fra.dot.gov/us/content/2166	Secretary of DOT must submit a strategic plan to House and Senate Appropriations Committee within 60 days of enactment (mid-April) describing how funding will be used. Guidelines for required federal logos for all DOT-funded ARRA projects: http://www.fta.dot.gov/index_9440_9482.html	
3	DOT	New Starts/Capital Investment Grants	750	FTA is not soliciting applications. Instead, FTA limited its allocation of discretionary funding to eleven major capital projects - 10 New Starts and one Small Starts - that were already started or under construction.	By May 11, 2010, all funds must be outlayed. FTA may de-obligate any funds not outlayed within the one-year period.	Please see Eligible Projects.	FTA selected projects already in the pipeline in order to obligate a significant amount of funding within 150 days of ARRA enactment. Federal Register Notice posted May 11, 2009: http://edocket.access.gpo.gov/2009/pdf/E9-10963.pdf		
Sponsors Apply Individually - MTC Support as Requested									
4	DOT	Transit Energy Efficiency "Recovery Act-Transit Investments for Greenhouse Gas and Energy Reduction" (TIGGER)	100	ALREADY PASSED	Sept. 30, 2010 ARRA Funds expire Sept. 30, 2015		FTA-09005-TIGGER-TRI Federal Register Listing 3/24/09: http://edocket.access.gpo.gov/2009/pdf/E9-6420.pdf		
5	DOT	Ferry Boat Discretionary (FBD) Program	60	ALREADY PASSED	Sept. 30, 2010 ARRA Funds expire Sept. 30, 2015		http://www.fhwa.dot.gov/discretionary/090330a.cfm		
6	DOT	Park Roads and Parkways (PRP)	170	Internal application process - no solicitation expected		FHWA Federal Lands Highway is responsible for project design, construction and oversight activities. The NPS develops the prioritized program-of-projects and oversees planning.	Fund allocations are based on the ranking of the prioritized projects approved by the FHWA.	Program Contact: US DOT, FHWA, Federal Lands Highway, Office of Program Development, 1200 New Jersey Avenue, SE, Washington, DC 20590. (202) 366-9494. www.fhwa.dot.gov/flh/	

**DRAFT American Recovery and Reinvestment Act (ARRA) Discretionary Program Summary
Transportation Funding in HR 1, as of June 3, 2009**

	Agency	Program	Amount (millions)	Application Deadline	Obligation Deadline	Eligible Sponsors	Eligible Projects	Funding Number www.grants.gov or web reference	Other Details
7	NPS	National Park Service (including roads)	589	Internal application process - no solicitation expected		Projects must be submitted by National Park Units	Projects must be within or provide direct access to a National Park		ARRA funding already committed to existing projects in the program.
8	EPA	Diesel Emission Reduction -- "Recovery Act Funding for Clean Diesel: National Clean Diesel Funding Assistance Program"	156	ALREADY PASSED	Project Implementation - from June 2009 - Sept. 30, 2010			EPA-ARRA-OAR-OTAG-09-06 http://epa.gov/otaq/eparecovery/prognational.htm	
9	EPA	Diesel Emission Reduction -- "Recovery Act Funding for Clean Diesel: SmartWay Clean Diesel Finance Program"	30	ALREADY PASSED	same as above			EPA-ARRA-OAR-OTAG-09-04 http://epa.gov/otaq/eparecovery/profinance.htm	
10	EPA	Diesel Emission Reduction -- "Recovery Act Funding for Clean Diesel: Clean Diesel Emerging Technologies Program"	20	ALREADY PASSED	same as above			EPA-ARRA-OAR-OTAG-09-05 http://epa.gov/otaq/eparecovery/proemerge.htm	
11	DOE	Transportation Electrification	400	ALREADY PASSED				DE-FOA-0000028 http://www.energy.gov/recovery/funding.htm	
12	DOE	Alternative Fuel Vehicles Pilot "Clean Cities FY09 Petroleum Reduction Technologies Projects for the Transportation Sector"	300	Round 1: May 29, 2009 Round 2: September 30, 2009	Awards anticipated August 2009 for Areas of Interest 1-3 For Area of Interest 4, Round 1 awards anticipated September 2009 Round 2 awards anticipated February 2010	State governments, local governments, metropolitan transportation authorities, air pollution control districts, and private or nonprofit entities.	Eligible projects include acquisition of alternative fueled vehicles, fuel cell vehicles or hybrid vehicles, including buses for public transportation and ground support vehicles at public airports. The installation or acquisition of infrastructure necessary to directly support an alternative fueled vehicle, fuel cell vehicle, or hybrid vehicle project funded by the grant is also eligible.	DE-PS26-09NT01236-04 For more information: http://www1.eere.energy.gov/clean/cities/ http://www.afdc.energy.gov/clean/cities/progs/solicitations.php	Provides grants to encourage the use of plug-in electric drive vehicles or other emerging electric vehicle technologies for up to 30 geographic areas. The funding minimum per project is \$5 million to a maximum of \$15 million.
13	DOE	Energy Efficiency and Conservation Block Grant (EECBG)	2,700	June 25, 2009 at 8:00 PM (EST) for ALL applicants, including local, tribal, and state governments (As of May 11, 2009)	Obligate: 18 months after effective award date Grant performance period: 36 months In the event funds are not obligated/committed within eighteen (18) months, DOE reserves the right to deobligate the funds and cancel the award.	U.S. states, territories, Indian tribes, cities and counties are eligible to receive funds under the EECBG Program. Please see the list of entities eligible for formula grants and allocation amounts: http://www.eecbg.energy.gov/grantalloc.html	Funds can be used community-wide, not only for government owned facilities and infrastructure. A list of eligible activities for use of program funds is provided in Section 544, Title V, Subtitle E of the Energy Independence and Security Act (EISA). Transportation-related examples of projects include: Development of bike lanes and pedestrian walkways; State/local/regional integrated planning activities to reduce GHG emissions and VMT; Incentive programs to reduce commutes by single occupancy vehicles; Improvements in operational and system efficiency of the transportation system, such as intelligent transportation systems (ITS); Idle-reduction technologies and/or facilities to conserve energy and reduce air pollutants/GHG emissions from freight movement.	http://www.eecbg.energy.gov/ Funding Opportunity Announcement (FOA): https://www.fedconnect.net/Fedconnect/ Reference number: DE-FOA-0000013 Application: http://www.grants.gov/ CDFA No: 81.128	Program provides direct, formula grants to reduce energy use and fossil fuel emissions, and to improve energy efficiency. * nearly \$1.9 billion is available to cities and counties * more than \$770 million is available to states, U.S. territories, and the District of Columbia * nearly \$54 million is available to Indian tribes In addition, each state must pass not less than 60% of its allocation on to cities and counties that are ineligible for direct formula grants from DOE. States decide how to award sub-grants. The FOA and its attachments are located at FedConnect: https://www.fedconnect.net/Fedconnect/ . The application package is located at: http://www.grants.gov/ .

**DRAFT American Recovery and Reinvestment Act (ARRA) Discretionary Program Summary
Transportation Funding in HR 1, as of June 3, 2009**

	Agency	Program	Amount (millions)	Application Deadline	Obligation Deadline	Eligible Sponsors	Eligible Projects	Funding Number www.grants.gov or web reference	Other Details	
14	DOE	Energy Efficiency and Conservation Competitive Grants	455	Funding Opportunity Announcement expected soon	TBA	TBA	TBA	http://www.eecbc.energy.gov/	DOE's first priority is to award the formula grants. Details on applying for competitive grants will soon be provided in a Funding Opportunity Announcement.	
15	FEMA	Port Security Grant Program (PSGP)	150	July 2, 2009	Obligate by end of first quarter, FY 2010 Expend within 3 years	Port authorities, facility operators, and State and local government agencies required to provide port security services	Top Three Priorities for eligible port projects: 1. Maritime Domain Awareness (MDA) 2. Improvised Explosive Device (IED) and WMD prevention, protection, response, and recovery 3. Implementation of the Transportation Worker Identification Credential (TWIC) Top Three Priorities for eligible ferry system projects: 1. Develop ways to prevent, detect, respond to and recover from terrorists using IEDs 2. Risk mitigation 3. Use mobile technology to prevent and detect explosives, other threats	http://www.dhs.gov/xlibrary/assets/recovery/FEMA_Port_Security_Grants_Recovery_Act_Plan_51509.pdf	Competitive program for grants and cooperative agreements. FEMA and USCG will target funds to the highest-risk ports across the nation. Each of the four tiers will have a specific allocation, and ports will compete for the funds within their assigned tier. FEMA will announce allocations and awards on a rolling basis from October 15, 2009 to December 31, 2009. Please note that from mid-September to mid-October, all financial systems within DHS must be shut down to reconcile obligations. As well, OMB must reappportion grant monies back to FEMA after the first of the fiscal year, so there may be delays in grant awards.	
16	FEMA	Transportation Security Grant Program (TSGP) - Public Transportation and Railroad Security	150	June 1, 2009 for personnel costs June 15, 2009 for capital projects	Obligate by end of first quarter, FY 2010 Expend within 3 years	Transit agencies	Priorities for eligible projects: 1. Operational Packages: Hiring of transit law enforcement officers to enhance visible, unpredictable deterrence efforts in transit (e.g., K-9 teams, mobile screening teams, and Anti-terrorism teams (ATT)) 2. Support and Equipment for Operational Packages 3. Shovel-Ready Capital Projects for Asset Hardening: Projects that can certifiably begin within 90 days of release of funds and will be completed within 24 months from the release of funds date. 4. Other Security Projects	http://www.dhs.gov/xlibrary/assets/recovery/FEMA_Public_Transportation_and_Rail_Security_Recovery_Act_Plan_51509.pdf	Competitive grant program for transit agencies to promote security. FEMA will announce allocations and awards on a rolling basis from October 15, 2009 to December 31, 2009. Please note that from mid-September to mid-October, all financial systems within DHS must be shut down to reconcile obligations. As well, OMB must reappportion grant monies back to FEMA after the first of the fiscal year, so there may be delays in grant awards.	
17	DOT	Public Transportation on Indian Reservations Program (Tribal Transit Program (TTP))	17	ALREADY PASSED	Sept. 30, 2010			FTA-09004-TPM-TRTR		
Total			15,547							

Note: Highlighted programs are still accepting applications, as of June 3, 2009.

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DRAFT
As of June 4, 2009

Application Deadlines for Non-Formula Programs:

American Recovery and Reinvestment Act (ARRA)
Transportation Funding in HR 1

June, 2009

June 15: Transportation Security Grant Program (TSGP) - Public Transportation and Railroads – capital projects

June 25: Energy Efficiency and Conservation Block Grant (EECBG) – cities, counties, states and tribes submit

July, 2009

July 2: Port Security Grant Program (PSGP)

September, 2009

September 30: Clean Cities FY 09 Petroleum Reduction Technologies – round two

Obligation Deadlines

September 30, 2010

- Ferry Boat Discretionary (FBD) Program
- National Clean Diesel Funding Assistance Program
- Clean Diesel Emerging Technologies Program
- Public Transportation on Indian Reservations Program (Tribal Transit)
- Port Security Grant Program (PSGP)
- Transportation Security Grant Program (TSGP) - Public Transportation and Railroads

September 30, 2011

- U.S. DOT Secretary's Discretionary Grant Program
- SmartWay Clean Diesel Finance Program

September 30, 2012

- High Speed and Intercity Passenger Rail

**Energy Efficiency and Conservation Block Grant
ARRA Discretionary Program #13
Allocations For Bay Area**

Name	Government Level	Allocation (\$)
Alameda	City	640,600
Antioch	City	885,000
Berkeley	City	1,013,500
Brentwood	City	197,000
Campbell	City	163,700
Concord	City	1,151,900
Cupertino	City	526,200
Daly City	City	873,900
Danville	City	168,400
Dublin	City	186,700
Fairfield	City	984,500
Fremont	City	1,891,200
Gilroy	City	207,000
Hayward	City	1,361,900
Livermore	City	750,800
Martinez	City	150,800
Milpitas	City	662,400
Morgan Hill	City	157,100
Mountain View	City	719,000
Napa	City	699,800
Newark	City	173,200
Novato	City	491,800
Oakland	City	3,919,200
Pacifica	City	140,600
Palo Alto	City	663,000
Petaluma	City	514,500
Pittsburg	City	565,500
Pleasanton	City	692,700
Redwood City	City	736,300
Richmond	City	955,100
Rohnert Park	City	164,100
San Francisco	City	7,739,300
San Jose	City	8,840,600
San Mateo	City	875,800
San Rafael	City	575,400
San Ramon	City	215,600
Santa Clara	City	1,180,900
Santa Rosa	City	1,509,500
South San Francisco	City	636,900
Sunnyvale	City	1,292,000
Union City	City	638,200
Vacaville	City	849,000
Vallejo	City	1,051,200
Walnut Creek	City	677,700
Contra Costa	County	3,574,300
San Mateo	County	2,951,200
Sonoma	County	1,981,200
Total Bay Area		56,996,200

California Energy Commission	49,603,400
60% through competitive grant program	29,762,040
40% allocated at CEC's discretion	19,841,360



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Memorandum

TO: Programming and Delivery Working Group

DATE: June 15, 2009

FR: Craig Goldblatt

RE: ARRA Cost Savings Proposal

There have been numerous questions raised by project sponsors regarding how to handle cost savings at the time of awarding an ARRA funded (FHWA) project. Many believe that this is likely given the favorable bid environment that we find ourselves in today.

The following approaches have been discussed as ways to address significant cost savings upon the award of a construction contract for projects under the “System Preservation Projects—Local Streets and Roads” category:

1. In the case where the project sponsor is bringing sufficient local funds to the project budget, lower the ratio of local funds to federal funds in order to maintain the full use of the original ARRA fund amount originally programmed to the project in the TIP.
2. A project sponsor, as a contingency, would include additional project segments or components under its request for authorization and include those as contingencies in the advertisement package. If there are sufficient cost savings upon award, additional project components could be included in the contract. Or conversely if there are no cost savings a number of project components could be deleted. Of course the larger, inclusive project scope must have been already included in the project scope that was reviewed per NEPA.
3. Cost savings could be redirected to another project after award by deobligating ARRA funds and programming them to another project for reobligation. A proposal to do this follows below.

Proposal

If the first two approaches are not workable for a project sponsor, MTC proposes the moving of these cost savings for project with the May 31, 2009 obligation deadline (ARRA regional funded and combined ARRA regional and state funded projects) to other project(s):

- The CMA may pool together cost savings in its county and reprogram these funds to a new project under the same project category type as the original project.
- To minimize the number of projects and workloads on Caltrans Local Assistance during the latter part of the ARRA program time frame, the CMA’s are requested to select projects that will use no less than \$500,000 of ARRA funding. MTC will provide flexibility in some cases such as when countywide cost savings do not exceed this amount.
- Projects subject to the May 31, 2009 obligation deadline have until September 30, 2009, to award. Any cost savings may be deobligated and provided to a new project provided that obligation occurs no later than December 31, 2009. It is advisable that a project retain 5-10% of the project cost to address change

ARRA Cost Savings Proposal

June 15, 2009

Page 2 of 2

order purposes when deobligating federal funds. The award deadline for new projects would be the same as State ARRA funded projects, March 31, 2010.

- Lastly for those projects funded by ARRA, exclusively using State ARRA funds, obligation must occur no later than November 30, 2009 with the contract award no later than June 30, 2010. There is a small window to address cost savings for these projects. The final federal “drop dead” date for reobligating cost savings is September 30, 2010; but in all likelihood an earlier Caltrans deadline is anticipated (TBD) to provide a programming cushion to ensure flexibility to the State to manage and save any left over funding. Therefore there will not be sufficient time in most cases to redirect cost savings to another project. While no formal policy is being offered to address these cost savings, MTC staff offers to work with CMAs on a case-by-case basis if cost savings occur well before June 30, 2010 to explore options, if there will be any. We will keep our partners informed when there is more information on the amount of time that would be available.

We will be discussing these approaches at the next PDWG Meeting. In the meantime, I am waiting to hear back from Caltrans on their views and any other issues that might need to be addressed.



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Memorandum

TO: Programming and Delivery Working Group

DATE: June 15, 2009

FR: Craig Goldblatt

W. I.

RE: New Federal Transportation Act—Framework and Schedule for Cycle Programming
(STP/CMAQ)

Background

The region has programmed all of its expected SAFETEA apportionment and we are in the final fiscal year of the act. As the region faces the close of SAFETEA ending on September 30, 2009, an expeditious approach is called for to provide an overall architecture to guide upcoming programming decisions for the new surface transportation act funding (New Act). While the exact fund program categories in the new authorization are not yet known, there is a likelihood that the future funding programs will overlap to a great extent with projects that are currently eligible for funding under Title 23. Furthermore, we expect that the next one or two years of funding most likely will be authorized through an extension of the current act and its programs.

The starting point for making New Act funding decisions should be guided by Transportation 2035, which was adopted by the Commission last month, with an eye toward strategic delivery of these investments. The plan provides a critical backdrop for setting priorities for New Act funding. In particular, Transportation 2035 stressed investments for federal STP/CMAQ funding in the following areas:

- Ongoing commitments to system maintenance and preservation;
- Climate Initiatives;
- System operations on the State Highways;
- Bicycle/pedestrian programs;
- Transportation for Livable Communities (TLC); and
- Continuation of Regional Operations programs such as 511 and TransLink®.

Further, funding decisions should be strategic, responding to and seizing on opportunities to deliver system-wide improvements as well as to address critical projects that might be postponed due to unforeseen budget crises. For example, in the past, the region directed STP and ARRA funds to jumpstart construction projects when state funds were not immediately available.

Recent Programming Activities

Under the American Recovery and Reinvestment Act of 2009 (ARRA) roughly \$660 million of funding was made available to fund critical transportation needs in the Bay Area, which could be implemented quickly with the objective of jumpstarting the economy. The following ARRA investment actions are a necessary piece for informing policy decisions on funding going forward.

New Act Programming

June 15, 2009

Page 2 of 3

1. **System Preservation:** State and Regional ARRA funds have in large part been set aside to address System Preservation needs for transit and streets and roads as identified in Transportation 2035. \$145 million has been programmed to streets and roads rehabilitation projects and \$286 million has been programmed to transit rehabilitation projects.
2. **Safety and Freeway Performance Initiative projects:** ARRA included \$32 million for cost-effective and timely system operations improvements.
3. **Transit Expansion:** \$70 million kick starts the Oakland Airport Connector, a key regional transit connection.
4. **Advance Proposition 1B to Construction:** \$105 million funds are being directed to close funding gaps in the Proposition 1B program to allow ready-to-go stalled projects to move forward. With this funding MTC is further leveraging state funds to deliver the SR-24 Caldecott Tunnel Fourth Bore.
5. **SMART Highways:** \$14 million delivers two SMART highway projects: the Alameda I-580 EB Express Lane element and the Santa Clara SR-I 880/SR 237 Express connector.
6. **Transportation Enhancements:** The region programmed \$9.6 million of ARRA funding within the transportation enhancements (TE) program on existing bicycle and pedestrian projects. After considering a regional investment for US 101 in Belmont, \$7.5 million will be available in State TE funding for future projects.

A closely timed action was a proposal by MTC to enter into a private placement bond purchase to keep \$200 million in Proposition 1B highway projects in construction.

Funding Estimate

As noted above, without a New Federal Transportation authorization or even a proposed bill, MTC can only make preliminary estimates of revenues. Therefore, as in the past, we will have to reconcile revenue levels following enactment of a New Act, and also address any changes in eligibility of revenue categories. That being said, STP/CMAQ revenue is estimated at roughly \$1 billion over the New Act, assuming a 4% growth rate.

However, the regions overall capacity to address priority investment categories in the first few years of our T2035 plan extend beyond just the New Act's STP/CMAQ programming estimate. Given the recent ARRA funding actions discussed below, the region will also have \$105 million in RTIP/CMIA bond funding capacity as well as \$7.5 million in Transportation Enhancements for programming consideration. Attachment A summarizes both the ARRA programming as well as the estimated funding to be discussed as part of the New Act programming. All told, roughly \$1.1 billion will be part of the New Act programming framework discussion. MTC staff would recommend that we consider the funding in three tranches: 1) ARRA Backfill (\$105 million); 2) First Cycle (first three-years of the New Act, or FY 2009-10 to FY2011-12); and 3) Second Cycle (last three-years, or FY2012-13 to FY2014-15).

Proposed Programming Principles

MTC staff has developed some general principles for discussion that would guide the New Act programming development, and is seeking feedback from our partners and advisors.

- **Maintain critical on-going programs:** The starting point is the continuation of fundamental programs which have critical funding needs in Cycle 1. These include planning activities, regional operation programs, Pavement Technical Assistance Program (PTAP), and statutorily required Federal – Aid Secondary (FAS) investments. Additionally, any required payback to the State of borrowed Obligation Authority should be considered a first priority.
- **Seize opportunity to deliver system-wide improvements:** Another focused funding priority is the Freeway Performance Initiative, a ready-to-go, cost-effective, high performing program. This program addresses traffic congestion on State highways throughout the Bay Area.

New Act Programming

June 15, 2009

Page 3 of 3

- **Fund core Transportation 2035 categories:** Establish a framework for funding key Transportation 2035 programs such as System Preservation (Streets and Road and Transit), Climate Initiatives, Transportation for Livable communities, and Bike and Pedestrian Projects. Consider that additional startup time is needed to establish the newly revised TLC Program and Climate Initiative programs. Establish an appropriate level and sequence of the funding by considering both ARRA and STP/CMAQ capacity.
- **Direct some ARRA backfill capacity to strategic investments and regional commitments:** In addition to the nearly 80% of funding invested in system preservation, the ARRA funding also included some key strategic investment recommendations. Some capacity from the ARRA backfill should also focus on complementary areas to those from ARRA such as freight/goods movement, transit efficiency, system management, and regional commitments.

Schedule

Please refer to Attachment B for a summary of the schedule for the development of Cycle 1 funding for the New Act. The proposal will be developed in concert with the Bay Area Partnership during the months of June and July. August presents a recess for most committees. In September, staff expects to take a final proposal to the Programming and Allocations Committee with a recommendation for MTC adoption.

We welcome your feedback and expect to bring a more detailed proposal for discussion to the next Partnership Board meeting.

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**Fund Estimate and ARRA Programming
May 29, 2009**

(amounts in \$ millions)

STP & CMAQ Total	ARRA Programming	ARRA* Backfill	STP/CMAQ Cycle 1	STP/CMAQ Cycle 2	STP/CMAQ New Act Total	Grand Total
	08/09	08/09	09/10 - 10/11 - 11/12	12/13 - 13/14 - 14/15	09/10-14/15	09/10-14/15
Estimated Apportionment Revenues	662	113	485	546	1,031	1,806
Annual Programs						
1 Required SAFETEA OA Carryover			70		70	70
2 On-Going Regional Planning			22	24	46	46
3 On-Going Regional Operations			84	74	158	158
4 On-Going Regional Streets and Roads - PTAP & FAS			22	6	28	28
SubTotal			198	104	302	302

T 2035 Core Programs	ARRA Programming	ARRA* Backfill	STP/CMAQ Cycle 1	STP/CMAQ Cycle 2	STP/CMAQ New Act Total	Grand Total
5 Focus 1 Freeway Performance Initiative (FPI)	19					19
6 Focus 2 Climate Initiatives / Regional Bike/Ped	10	8				17
7 Focus 2 Transportation for Livable Communities (TLC)						0
8 Focus 3 Transit Capital Rehabilitation	286					286
9 Focus 3 Regional Streets and Roads Rehabilitation	145					145
ARRA Strategic Investments						
10 Safety Projects (Vasco Road and North Bay counties)	13					13
11 SMART Highways (580 and 237/880)	14					14
12 Transit Expansion (Oakland Airport Connector)	70					70
13 Advance Prop 1B Construction (Caldecott Tunnel)	105					105
Total Programming To-Date	662	8				669

* \$113 M in ARRA Backfill is included within the \$662 M ARRA Programming Amount (\$105 M for Caldecott Tunnel and \$8M for TE)

Available for Programming Discussions	0	105	287	442	729	834
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Attachment B

New Act STP/CMAQ Cycle Programming Outreach Schedule

Date	Committee	Action
May		
18	PTAC	Draft Proposal
June		
3	TFWG	Draft Proposal
4	EDAC	
9	MCAC	
10	Advisory Council	
12	LSR	
15	PDWG	
15	PTAC	
23	Partnership Board	
July		
1	TFWG	Draft Proposal Revised as needed. Draft Final developed after PTAC to be taken to PAC/Commission in September.
2	EDAC	
8	PAC	
8	Advisory Council	
10	LSR	
14	MCAC	
20	PDWG	
20	PTAC	
September		
9	PAC	Adoption of Cycle 1 and New Act Framework / TIP Amendment
22	Commission Approval	

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METROPOLITAN
TRANSPORTATION
COMMISSION

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Memorandum

TO: Programming and Delivery Working Group

DATE: June 15, 2009

FR: Kenneth Folan

RE: FY 2009-10 CTC Guidelines for the State-Local Partnership Program

The attached FY 2009-10 California Transportation Commission's (CTC) Guidelines for the State-Local Partnership Program (SLPP) are scheduled for adoption by the CTC on June 10-11. Please review and note the 2009-10 funding share calculations.

Please contact Kenneth Folan at kfolan@mtc.ca.gov with any questions.

Attachment

A – FY 2009-10 CTC State-Local Partnership Program Guidelines, revision June 2009

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CALIFORNIA TRANSPORTATION COMMISSION
State-Local Partnership Program Guidelines
Revision June 2009

General Program Policy

1. Authority and purpose of guidelines. The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006, approved by the voters as Proposition 1B on November 7, 2006, authorized \$1 billion to be deposited in the State-Local Partnership Program (SLPP) Account to be available, upon appropriation by the Legislature, for allocation by the California Transportation Commission over a five-year period to eligible transportation projects nominated by an applicant transportation agency. The Bond Act required a dollar for dollar match of local funds for an applicant agency to receive state funds under the program.

In 2008, the Legislature enacted implementing legislation (AB 268) to add Article 11 (commencing with Section 8879.66) to Chapter 12.491 of Division 1 of Title 2 of the Government Code. Article 11 defines the purpose and intent of the program, defines the eligibility of applicants, projects, and matching funds, and provides that 95% of program funds will be distributed by formula to match voter-approved transportation taxes and fees and that the remaining 5% will be available for a competitive grant application program to match uniform developer fees. Section 8879.74 requires the Commission to adopt an annual program of projects for the program and to develop and adopt guidelines to implement the program, consistent with Article 11. Project allocations are to be made **beginning no later than** October of each year.

Earlier legislation to implement the Bond Act (SB 88, Chapter 181, Statutes of 2007) designated the Commission as the administrative agency for the SLPP and mandated that various administrative and reporting requirements be incorporated in the guidelines for all programs established by Proposition 1B.

2. Program of Projects. The Commission will adopt an annual program of projects for the SLPP, by October of each fiscal year. The program will consist of projects nominated by eligible applicants for the formula program and projects selected by the Commission under the competitive grant program to match uniform developer fees. SLPP project funding will match eligible local funding for project construction or equipment acquisition, consistent with Section 8879.70. The Commission will not program or allocate SLPP funding to match local funding for preconstruction work.

The program of projects for each fiscal year will include, for each project, the amount to be funded from the SLPP, the source of the dollar-for-dollar match of SLPP funding, and the estimated total cost of project construction or equipment acquisition, including any additional supplementary funding. The source of the dollar-for-dollar match will include only revenues from the transportation tax or fee that qualifies the applicant for SLPP funding and only funds to be expended after the Commission allocation of SLPP funds.

The Commission will program and allocate funding to projects in whole thousands of dollars and will include a project only if it is fully funded from a combination of SLPP and other committed funding. The Commission will regard funds as committed when they are programmed by the Commission or when the agency with discretionary authority over the funds has made its commitment to the project by ordinance or resolution. For federal formula funds, including RSTP, CMAQ, and federal formula transit funds, the commitment may be by federal TIP adoption. For federal discretionary funds, the commitment may be by federal approval of a full funding grant agreement or by grant approval.

The Commission's annual SLPP program of projects will also include multiyear programs of projects for SLPP funding that eligible applicants may elect to adopt and submit to the Commission. The Commission will include these multiyear programs for informational purposes, acknowledging the future plans and intent of the eligible applicants. The inclusion of an applicant multiyear program, however, will not constitute a programming commitment by the Commission for future year funding.

Formula Program for Voter-Approved Taxes and Fees

3. Annual Funding Shares. The Commission will adopt the annual funding share for each eligible applicant for the Voter-Approved Taxes and Fees Subaccount with the adoption of these guidelines and prior to the beginning of each subsequent fiscal year. These shares will be determined in accordance with Government Code Section 8879.72 and rounded to the nearest whole thousand dollars. In establishing funding shares, the Commission will use the following:

- For toll revenues, the sum of revenues from Regional Measures 1 and 2 for the most recent fiscal year, as reported in audited financial statements from the Bay Area Toll Authority.
- For parcel and property tax revenues, the revenues for the most recent fiscal year, as reported to the State Controller pursuant to Government Code Section 53891.
- For local sales tax revenues, the sum of gross revenues for the most recent four quarters as reported for each local tax by the Board of Equalization.
- For population, the annual population estimate for cities and counties issued by the Department of Finance in May prior to the beginning of each fiscal year.

The Commission will determine a funding share for each eligible applicant with a voter-approved tax or toll that was approved prior to the adoption of the funding shares and will be collected during the fiscal year. Where a city has a voter-approved local sales tax and is located within a county without a countywide sales tax, the Commission will adopt a funding share for the city based on the city's population. Where there are multiple eligible applicants with a voter-approved local sales tax within a county with a countywide sales tax, the Commission will adopt a single countywide funding share based on the population for the county.

The Commission will set aside up to 2 percent of the total amount appropriated each year for the program as a reserve for bond administrative expenses. In the absence of an enacted state budget, the Commission may establish the funding shares based on its best estimate of the amount that the Legislature will appropriate to the SLPP Account, subject to adjustment based on the final appropriation in the Budget Act.

4. Project nominations. The Commission will include in the annual program of projects each project nominated by an eligible applicant for a formula funding share provided that the Commission finds that the nomination meets the requirements of statute and that the project has a commitment of the required match and any supplementary funding needed for full funding. Each applicant should submit its nomination by August 15. The Commission's program of projects shall not include a project nomination that exceeds the applicant's formula funding share. A nomination shall include the signature of the Chief Executive Officer or other officer authorized by the applicant's governing board. Where the project is to be implemented by an agency other than the applicant, the nomination shall also include the signature of the Chief Executive Officer or other authorized officer of the implementing agency. Applicants for funding from a formula share should submit three hard copies of each nomination. The nominations should be addressed or delivered to:

Bimla G. Rhinehart, Executive Director
California Transportation Commission
Mail Station 52, Room 2231
1120 N Street
Sacramento, CA 95814

A project nomination may be for supplemental funding of a project that was allocated SLPP funding in a prior year, provided that the supplemental SLPP funding and the match for that supplemental funding will not be expended until after the allocation of the supplemental funding. The supplemental SLPP funding may be to replace local funding already committed to the project, subject to the required one-to-one match.

For each nominated project, the applicant should submit project information using the Project Programming Request form in use for STIP projects. The nomination should identify the implementing agency, which may be different from the applicant agency. As specified in statute, the nomination shall include:

- A description of the nominated project, including its cost and scope and the specific improvements and benefits it is anticipated to serve. The description should identify the project's useful life.
- A description of the project's current status, including the current phase of delivery, and the schedule for the completion of construction or acquisition.
- A description of how the project would support transportation and land use planning goals within the region.
- The amount and source of matching funds.

- The amount of SLPP funds requested.

An eligible applicant may adopt and submit a multiyear program for SLPP funding, either in addition to or in lieu of project nominations for the program year. As described in section 2, the Commission's acknowledgement of an applicant's multiyear program will not constitute a Commission programming commitment of future year SLPP funding.

5. Balance of funding share. If the program of projects adopted by the Commission does not program the full amount of an applicant's formula funding share, the balance will remain available for later program amendments supported by eligible project nominations. A balance not programmed in one fiscal year will carry over and be available in the following fiscal year.

Competitive Grant Program to Match Uniform Developer Fees

6. Project selection. The Commission will select projects from among eligible project nominations for the competitive grant program from the Uniform Developer Fees Subaccount pursuant to Government Code Section 8879.73. No single competitive grant for the SLPP may exceed \$1 million. The Commission will consider approval of a competitive grant only when it finds that the grant request meets the requirements of statute and that the project has a commitment of the required match and any supplementary funding needed for full funding. The selected projects will be included in the Commission's annual program of projects for the SLPP. The Commission will consider only projects for which five hard copies of a complete nomination are received in the Commission office by August 15. A nomination shall include the signature of the Chief Executive Officer or other officer authorized by the applicant's governing board. Where the project is to be implemented by an agency other than the applicant, the nomination shall also include the signature of the Chief Executive Officer or other authorized officer of the implementing agency. The nominations should be addressed or delivered to:

Bimla G. Rhinehart, Executive Director
California Transportation Commission
Mail Station 52, Room 2231
1120 N Street
Sacramento, CA 95814

7. Project applications. For each project nominated for the competitive grant program, the applicant should submit project information using the Project Programming Request form in use for STIP projects. The nomination should identify the implementing agency, which may be different from the applicant agency. As specified in statute, the nomination shall include:
 - A description of the nominated project, including its cost and scope and the specific improvements and benefits it is anticipated to serve. The description should identify the project's useful life.

- A description of the project's current status, including the current phase of delivery, and the schedule for the completion of construction or acquisition.
- A description of how the project would support transportation and land use planning goals within the region.
- The amount and source of matching funds.
- The amount of SLPP funds requested.

In addition, the grant request should include a copy of the ordinance or resolution adopted by a city, county or city and county that establishes the uniform developer fee to be matched by the grant.

An agency may apply for supplemental funding of up to \$1 million for a project that was allocated SLPP funding in a prior year or years, provided that the supplemental SLPP funding and the match for that supplemental funding will not be expended until after the allocation of the supplemental funding. The supplemental SLPP funding may be to replace local funding already committed to the project, subject to the required one-to-one match. Prior year funding of a project under the SLPP discretionary grant program is not a selection criterion for funding in a subsequent year. The Commission will evaluate applications competitively in each year.

8. Project selection criteria. In approving grants for inclusion in the program of projects, the Commission will give consideration to geographic balance and to demonstrated project cost-effectiveness. The Commission will give higher priority to projects that are more cost-effective, that can commence construction or implementation earlier, that leverage more uniform developer fees and other funds per program dollar, and that can demonstrate quantifiable air quality improvements, including a significant reduction in vehicle-miles traveled. **In addition, the Commission intends to give higher priority to projects nominated by agencies that are located in areas without formula funding shares.**
9. Balance of grant program funds. If the program of projects adopted by the Commission does not program the full amount of the share for the competitive grant program, the balance will remain available for later program amendments supported by eligible project grant requests. A balance not programmed in one fiscal year will carry over and be available for the competitive grant program in the following fiscal year.

Project Allocations and Delivery

10. Amendments to program of projects. The Commission may approve an amendment of the SLPP program of projects at any time. An amendment need only appear on the agenda published 10 days in advance of the Commission meeting. It does not require the 30-day notice that applies to a STIP amendment.

11. Allocations from the SLPP Account. The Commission will consider the allocation of funds from the SLPP Account for a project when it receives an allocation request and recommendation from the Department of Transportation, in the same manner as for the STIP (see section 64 of the STIP guidelines). The recommendation will include a determination of the availability of appropriated funding from the SLPP Account and the availability of all identified and committed matching and supplementary funding. The Commission will approve the allocation if the funds are available, the allocation is necessary to implement the project as included in the adopted SLPP program, and the project has the required environmental clearance.
12. Timely Use of Funds. Under statute, projects receiving an SLPP allocation shall encumber the funds no later than two years after the end of the fiscal year in which the Commission makes the allocation. Commission policy, however, is that SLPP allocations **are requested in the fiscal year of project programming, and** are valid for encumbrance for six months from the date of approval unless the Commission approves an extension. Applicants may submit and the Commission will evaluate extension requests in the same manner as for STIP projects (see section 66 of the STIP guidelines).
13. Semiannual delivery reports: As a condition of the project allocation, the Commission will require the implementing agency to submit semiannual reports on the activities and progress made toward implementation of the project.

As mandated by Government Code Section 8879.50, the Commission shall forward these reports to the Department of Finance. The purpose of the reports is to ensure that the project is being executed in a timely fashion and is within the scope and budget identified when the decision was made to fund the project. If it is anticipated that project costs will exceed the approved project budget, the implementing agency shall provide a plan to the Commission for achieving the benefits of the project by either downscoping the project to remain within budget or by identifying an alternative funding source to meet the cost increase. The Commission may either approve the corrective plan or direct the implementing agency to modify its plan.

14. Final delivery report. Within six months of the project becoming operable, the implementing agency shall provide a final delivery report to the Commission on the scope of the completed project, its final costs as compared to the approved project budget, its duration as compared to the project schedule in the project baseline agreement, and performance outcomes derived from the project as compared to those described in the project baseline agreement. The Commission shall forward this report to the Department of Finance as required by Government Code Section 8879.50.

For the purpose of this section, a project becomes operable when the construction contract is accepted or acquired equipment is received.

15. Audit of project expenditures and outcomes. The Department of Transportation will ensure that project expenditures and outcomes are audited. For each SLPP project, the Commission expects the Department to provide a semi-final audit report within 6 months

after the final delivery report and a final audit report within 12 months after the final delivery report. The Commission may also require interim audits at any time during the performance of the project.

Audits will be performed in accordance with Generally Accepted Government Auditing Standards promulgated by the United States Government Accountability Office. Audits will provide a finding on the following:

- Whether project costs incurred and reimbursed are in compliance with the executed project baseline agreement or approved amendments thereof; state and federal laws and regulations; contract provisions; and Commission guidelines.
- Whether project deliverables (outputs) and outcomes are consistent with the project scope, schedule and benefits described in the executed project baseline agreement or approved amendments thereof.

STATE-LOCAL PARTNERSHIP PROGRAM TAX/TOLL REVENUES USED TO DETERMINE FUNDING SHARES FOR 2009-10

Voter-Approved Tolls, Parcel/Property Taxes	Annual Revenue
Bay Area Transportation Authority (BATA)	248,310,707
Alameda-Contra Costa Transit District	77,524,530
Bay Area Rapid Transit District	50,188,155
Total toll/parcel/property tax	376,023,392

N/S	BOE Code	Voter-Approved Transportation Sales Taxes	Total	Quarterly Gross Receipts (reported by Bd of Equalization)			
				4th Q, 2008	3rd Q, 2008	2nd Q, 2008	1st Q 2008
N	002	San Mateo County Transit District	67,254,536.22	16,163,382.02	17,442,318.58	17,908,857.86	15,739,977.76
N	003	Santa Clara County Transit District	156,437,507.42	36,648,642.26	39,568,097.81	41,384,693.65	38,836,073.70
N	004	Santa Cruz Metropolitan Transit District	16,435,502.54	3,898,403.45	4,386,491.36	4,297,705.06	3,852,902.67
S	005	Los Angeles County Transportation Commission	670,834,052.99	159,719,526.94	171,066,181.59	175,157,001.49	164,891,342.97
N	006	Santa Clara County Traffic Authority	9,762.84	-1,224.10	9,323.33	493.76	1,169.85
N	010	Alameda County Transportation Authority	(24,642.47)	-30,984.71	2,178.42	2,971.52	1,192.30
N	012	Fresno County Transportation Authority	58,133,622.22	14,382,709.09	14,777,368.25	15,295,021.74	13,678,523.14
S	013	San Diego County Regional Transportation Commission	236,439,002.82	57,186,474.53	60,537,121.24	61,285,587.42	57,429,819.63
N	018	San Mateo County Transit Authority	67,257,272.91	16,164,534.48	17,442,435.89	17,909,825.27	15,740,477.27
N	023	Sacramento Transportation Authority	98,269,567.69	23,564,952.88	25,498,953.18	25,949,786.44	23,255,875.19
N	024	Contra Costa Transportation Authority	71,173,434.33	17,596,504.86	18,060,501.74	18,348,390.01	17,168,037.72
S	026	Riverside County Transportation Commission	135,096,907.34	32,169,244.84	32,838,330.36	35,493,852.28	34,595,479.86
N	027	San Francisco County Transportation Authority	78,950,174.40	19,792,660.88	20,099,452.08	20,059,657.20	18,998,404.24
S	029	Imperial County Local Transportation Authority	12,012,878.84	3,039,261.77	2,932,863.08	3,182,519.28	2,858,234.71
S	030	Santa Barbara County Local Transportation Authority	31,650,571.74	7,479,573.99	8,416,265.49	8,272,920.31	7,481,811.95
S	031	San Bernardino County Transportation Authority	131,187,140.98	30,569,211.85	33,042,749.19	34,847,717.82	32,727,462.12
N	034	Madera County Transportation Authority	14,403.56	-4,441.62	-832.15	7,199.80	12,477.53
S	035	Los Angeles County Transportation Commission	671,018,002.60	159,746,491.49	171,130,205.78	175,159,366.55	164,981,938.78
S	037	Orange County Transportation Authority	252,218,101.61	60,753,890.11	64,707,905.80	65,412,729.49	61,343,576.21
N	038	San Joaquin Transportation Authority	44,167,934.53	10,404,729.15	11,427,574.64	11,838,750.27	10,496,880.47
N	068	Town of Truckee Road Maintenance Tax	1,824,349.96	425,257.39	552,713.27	452,324.33	394,054.97
N	079	Alameda County Transportation Improvement Authority	112,395,784.89	27,398,157.23	28,591,380.99	29,335,583.77	27,070,662.90
N	084	City of Willits Road System Tax	779,755.72	184,983.63	200,242.48	210,344.44	184,185.17
N	085	City of Point Arena	45,611.94	10,799.82	13,151.18	12,589.85	9,071.09
N	094	City of Fort Bragg Maintain City Streets	846,206.24	192,139.98	248,989.35	215,786.47	189,290.44
N	102	Transportation Authority Marin County	21,598,213.49	5,347,992.28	5,540,438.30	5,553,796.09	5,155,986.82
N	115	Sonoma County Transportation Authority	18,402,159.81	4,530,452.30	4,814,051.05	4,800,018.84	4,257,637.62
N	123	Santa Clara County Valley Transportation Authority	157,399,916.81	38,577,017.00	39,802,561.75	41,030,036.31	37,990,301.75
N	144	Madera County Transportation Authority, 2006	7,676,337.56	1,778,013.49	2,064,747.83	2,078,213.97	1,755,362.27
N	146	Nevada City Street Improvements Tax	591,569.64	146,901.40	164,800.41	158,439.50	121,428.33
S	162	Tulare County Transportation Authority	25,064,259.15	5,875,123.13	6,371,412.01	6,835,852.47	5,981,871.54
N	174	City of El Cerrito Streets Improvements Tax (eff 7-1-08)	674,424.72	366,233.46	308,191.26	0.00	0.00
N	193	Sonoma Marin Area Rail Transit District (eff 4-1-09)	0.00	0.00	0.00	0.00	0.00
Total, Voter-Approved Sales Tax			3,145,834,325.04	754,076,615.27	802,058,165.54	822,498,033.26	767,201,510.97
Voter-Approved Sales Tax, North			980,313,406.97	237,537,816.62	251,015,131.00	256,850,486.15	234,909,973.20
Voter-Approved Sales Tax, South			2,165,520,918.07	516,538,798.65	551,043,034.54	565,647,547.11	532,291,537.77

	Distribution Factor	Percentage
Total, voter-approved tolls + taxes	3,521,857,717.04	100.00000%
Tolls + parcel/property tax	376,023,392.00	10.67685%
North sales tax	980,313,406.97	27.83512%
South sales tax	2,165,520,918.07	61.48803%

STATE-LOCAL PARTNERSHIP PROGRAM FUNDING SHARES, 2009-10

(Funding Shares in \$1,000's)

Funding Distribution of Appropriation				
Program Categories		Amount		
Total Annual Program Appropriation		200,000		
Take-off for Bond administration (2%)		4,000	08-09	Tot. Share
Subtotal		196,000	Balance	Available
Discretionary grant program (5%)		9,800	186	9,986
Formula share program (95%)	100.00000%	186,200		
Tolls + parcel/property tax	10.67685%	19,880		
North sales tax	27.83512%	51,829		
South sales tax	61.48803%	114,491		

Funding Shares Based on Voter-Approved Tolls & Parcel/Property Taxes			08-09	Tot. Share
Applicant Agency	Revenue Factor	Funding Share	Balance	Available
Bay Area Transportation Authority	248,310,707	13,128	0	13,128
Alameda-Contra Costa Transit District	77,524,530	4,099	3959	8,058
Bay Area Rapid Transit District	50,188,155	2,653	0	2,653
Total	376,023,392	19,880		23,839

Funding Shares Based on Voter-Approved Sales Taxes - North			08-09	Tot. Share
County/City	Population Factor	Funding Share	Balance	Available
Alameda	1,556,657	7,811	0	7,811
Contra Costa	1,060,435	5,321	0	5,321
Fresno	942,298	4,728	4715	9,443
Madera	152,331	764	0	764
Marin	258,618	1,298	261	1,559
Mendocino - Fort Bragg	6,868	34	35	69
Mendocino - Point Arena	492	2	2	4
Mendocino - Willits	5,080	26	25	51
Nevada - Nevada City	3,043	15	16	31
Nevada - Truckee	16,241	82	0	82
Sacramento	1,433,187	7,191	7214	14,405
San Francisco	845,559	4,243	4176	8,419
San Joaquin	689,480	3,460	3472	6,932
San Mateo	745,858	3,743	3745	7,488
Santa Clara	1,857,621	9,321	1303	10,624
Santa Cruz	268,637	1,348	1350	2,698
Sonoma	486,630	2,442	1253	3,695
Total	10,329,035	51,829		79,396

Funding Shares Based on Voter-Approved Sales Taxes - South			08-09	Tot. Share
County	Population Factor	Funding Share	Balance	Available
Imperial	179,254	936	929	1,865
Los Angeles	10,393,185	54,270	14625	68,895
Orange	3,139,017	16,391	4287	20,678
Riverside	2,107,653	11,005	11007	22,012
San Bernardino	2,060,950	10,762	10836	21,598
San Diego	3,173,407	16,570	16583	33,153
Santa Barbara	431,312	2,252	2259	4,511
Tulare	441,481	2,305	0	2,305
Total	21,926,259	114,491		175,017



METROPOLITAN
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Memorandum

TO: Programming and Delivery Working Group

DATE: June 15, 2009

FR: Kenneth Kao

RE: 2010 STIP Overview and Schedule

Every two years, MTC adopts the Regional Transportation Improvement Program (RTIP) and submits it to the California Transportation Commission for inclusion into the State Transportation Improvement Program (STIP). MTC relies on the Congestion Management Agencies to develop the project list for inclusion into the RTIP.

The 2010 STIP effort has begun. The CTC received an overview of the 2010 STIP Fund Estimate Development at its February meeting. CTC is scheduled to adopt the STIP Fund Estimate Assumptions at the June meeting. CTC is expected to adopt the 2010 STIP Fund Estimate in August. The timeline for adoption may shift; in previous years, the STIP Fund Estimate adoption was delayed due to the state budget not being adopted on time. However, based on current statute, MTC would have to adopt the final RTIP at its November meeting, for submittal to CTC in December 2009.

Based on this schedule, the CMAs release the call for projects process for the 2010 RTIP this summer. MTC plans on presenting draft policies and procedures in the summer for adoption shortly after the adoption of the STIP Fund Estimate. The draft schedule is attached.

Note that MTC expects the CMAs to do a call for projects for “freed” STIP TE capacity resulting from ARRA during the same timeframe as the 2010 STIP call for projects. Note that programming the ARRA/ STIP-TE backfill may be subject to the effort to program new funds in the new Federal Act (see item 4B).

Also attached is a letter from the California Arts Council (CAC), encouraging the use of various local arts councils to work on some aspects of TE-eligible projects. Please share this with your project sponsors.

Attachments

A – Draft 2010 RTIP Development Schedule, dated June 8, 2009

B – Letter from the California Arts Council, dated April 30, 2009

**METROPOLITAN TRANSPORTATION COMMISSION
2010 Regional Transportation Improvement Program
Draft Development Schedule
June 8, 2009**

April 15, 2009	Caltrans presentation of draft STIP Fund Estimate Assumptions (CTC Meeting – Sacramento)
June 10, 2009	CTC adoption of STIP Fund Estimate Assumptions (CTC Meeting – Sacramento)
June 15, 2009	Partnership Technical Advisory Committee (PTAC) / Programming and Delivery Working Group (PDWG) discussion and review of initial issues and schedule for 2010 RTIP
July 8, 2009	Caltrans presentation of the draft STIP Fund Estimate and draft STIP Guidelines (CTC Meeting – San Jose)
July 20, 2009	PTAC and PDWG review of proposed RTIP Policies and Procedures
August 12, 2009	CTC adopts STIP Fund Estimate and STIP Guidelines (CTC Meeting – Sacramento)
September 2, 2009	Transit Finance Working Group (TFWG) review of proposed RTIP Policies and Procedures
September 4, 2009	Local Streets and Roads Working Group (LS&RWG) review of proposed RTIP Policies and Procedures
September 9, 2009	MTC Programming and Allocations Committee (PAC) review and recommendation of final proposed RTIP Policies and Procedures
September 21, 2009	PTAC and PDWG review of final proposed RTIP Policies and Procedures
September 23, 2009	MTC Commission adopts RTIP Policies and Procedures
October 12, 2009	CMAAs submit to MTC, RTIP projects summary listings and identification of projects requiring project-level performance measure analysis. Deadline to submit Routine Accommodations Checklist for new projects.
October 19, 2009	PTAC review of draft RTIP
October 23, 2009	Final Project Programming Request (PPR) forms due to MTC. Final RTIP project listing and performance measure analysis due to MTC. Final PSR (or PSR Equivalent), Resolution of Local Support and Certification of Assurances due to MTC (Final Complete Applications due)
November 4, 2009	Draft RTIP available for public review
November 11, 2009	PAC review of RTIP and referral to Commission for approval
November 25, 2009	MTC Commission approves 2010 RTIP
December 15, 2009	2010 RTIP due to CTC
February 2010	CTC 2010 STIP Hearing – Northern California (CTC Meeting - Sacramento)
February 2010	CTC 2010 STIP Hearing – Southern California (Los Angeles)
March 2010	CTC Staff Recommendations on 2010 STIP released
April 2010	CTC adopts 2010 STIP (CTC Meeting – Sacramento)

Shaded Area – Actions by Caltrans or CTC



CALIFORNIA ARTS COUNCIL

ARNOLD SCHWARZENEGGER, GOVERNOR

CELEBRATING EXCELLENCE IN THE ARTS SINCE 1976

MURIEL JOHNSON, DIRECTOR

REC'D

MAY 7 2009

MJD

April 30, 2009

Mr. Steve Heminger
Executive Director
Metropolitan Transportation Commission
Joseph P. Bort Metro Center
101 8th Street
Oakland, California 94607-4700

Re: The American Recovery and Reinvestment Act (ARRA) Department of Transportation (Caltrans) Enhancement Funds

Dear Mr. Heminger:

In a recent conversation with Director of Caltrans Will Kempton, he explained that the stimulus' transportation "enhancement" funds have been designated to 44 regional transportation agencies, including yours. He encouraged me, on behalf of the California Arts Council (CAC), a state agency, to contact all MPO's and RTPA's to bring attention to local arts agencies that might partner with you to fulfill ARRA enhancement efforts and provide needed jobs.

Many local arts councils manage public arts programs working with artists to provide murals, sculpture, landscape art and other types of projects that complement transportation projects. They are skilled at contracts and facilitation with artists and are a community resource. I am also sending your contact information to the local arts agency, hoping that a dialogue will follow.

If you have questions about what I am suggesting, please call me at (916) 322-6335 or email mjohanson@cac.ca.gov. Thank you for your consideration.

Sincerely,

Muriel Johnson
Muriel Johnson
Director

cc: Your local arts agency:
see attached sheet

STATE-LOCAL PARTNERS DIRECTORY

County	Partner	Address	Telephone	FAX	E-mail	Web site
Alameda	Alameda County Arts Commission Rachel Osajima, ED Lisa Piatetsky, Commissioner	Mail: P.O. Box 29004 Oakland, CA 94604-9004 Office: 1401 Lakeside Dr., Rm. 603 Oakland, CA 94612	(510) 208-9646 (510) 271-5162	(510) 271-5192	artcommission@acqov.org	www.acqov.org/arts or www.co.alameda.ca.us/arts
*Amador	Amador County Arts Council Sue Duncan, Program Administrator Lynda Cook, President	21 Main Street P.O. Box 1722 Jackson, CA 95642	(209).223.9038	(209).223.9035	acac@acaonline.com	www.acaonline.com
Butte	Friends of the Arts/Butte Co. Debra Lucero, ED	805 Whispering Winds Lane Chico, CA 95928	(530) 228-2860	(530) 896-5451	deblucero@sbcglobal.net	www.artoberfest.org
Calaveras	Calaveras County Arts Council Penny West, Co-ED Mary Jane Genochio, Co-ED	Mail: P.O. Box 250 Office: 22 North Main Street San Andreas, CA 95249	(209) 754-1774	(209) 754-4624	arts@goldrush.com	www.calaverasarts.org
Contra Costa	Arts & Culture Commission of Contra Costa County Robin Moore, Managing Director Sarah Michael, Commissioner	Morrow House 1236 Escobar Street Martinez, CA 94553	(925) 646-2278	(925) 646-2078	ac5@ac5.org	www.ac5.org
Colusa	Colusa County Arts Council Jan Highman, President	Mail: P.O. Box 1409 Colusa, CA 95932	(530) 458-4144 (530) 219-5219		ettrick@citlink.net	
Del Norte	Del Norte Association for Cultural Awareness (DNACA) Holly Austin, ED	Mail: P.O. Box 1480 Office: 501-B H Street Crescent City, CA 95531	(707) 464-1336	(707) 464-4842	dnaca@harborside.com	www.dnaca.net
El Dorado	El Dorado Arts Council Marianne Uhl, Prog. Administrator Vicki Kennedy, Co-President Jody Franklin, Co-President	Mail: P.O. Box 2400 Office: 451 Main Street, Suite 6 Placerville, CA 95667	(530) 295-3496	(530) 295-3497	edac@eldoradoartscouncil.org	www.eldoradoarts.com
Fresno	Fresno Arts Council Carol Hartman, Board President Maria Franco, Acting ED	1245 Van Ness Fresno, CA 93721	(559) 237-9734	(559) 237-9814	mfranco@fresnoartscouncil.org	www.fresnoartscouncil.org
Humboldt	Humboldt Arts Council Sally Arnot, Bd. Pres. & Acting ED Jemima Harr, Museum Dir.-Curator Sally Biggin, Board Member	636 F Street Eureka, CA 95501	(707) 442-0278	(707) 442-2040	artmail@humboldtarts.org sally@humboldtarts.org jemima@humboldtarts.org	www.humboldtarts.org
Inyo	Inyo Council for the Arts Lynn Cooper, ED	137 South Main Street Bishop, CA 93514	(760) 873-8014	(760) 873-5518	inyoarts@inyo.org lynn@inyo.org	www.inyo.org
Kern	Arts Council of Kern Jeanette Richardson, ED	2000 K Street, Suite 110 Bakersfield, CA 93301	(661) 324-9000	(661) 324-1136	kernarts@gmail.com	www.kernarts.org
*Lake	Lake County Arts Council Shelby Posada, ED	Mail: P.O. Box 247 Office: 325 N. Main St. Lakeport, CA 95453	(707) 263-6658	(707) 263-3933	esp352@aol.com	www.lakecountyartscouncil.com
Lassen	Lassen County Arts Council Michelle Noonburg, ED	Mail: P.O. Box 91 Office: 807 Cottage St. Susanville, CA 96130	(530) 257-5222		lc_arts@frontiernet.net	(None)

*Not currently a SLPP grantee
 Submit changes or corrections to Rob Lautz: rlautz@caartscouncil.com



METROPOLITAN
TRANSPORTATION
COMMISSION

Joseph P. Bort MetroCenter
101 Eighth Street
Oakland, CA 94607-4700
TEL 510.817.5700
TDD/TTY 510.817.5769
FAX 510.817.5848
E-MAIL info@mtc.ca.gov
WEB www.mtc.ca.gov

Memorandum

TO: Programming and Delivery Working Group

DATE: June 15, 2009

FR: Sri Srinivasan

RE: TIP Update

2009 TIP Revisions

TIP Revision 09-20 - Pending

TIP Amendment 09-20 makes revisions to 17 projects with a net decrease in funding of approximately \$5.5 million. The amendment adds seven new projects into the TIP: three transit projects (scheduled to receive part of the \$15.3 million in American Recovery and Reinvestment Act (ARRA) funds), two new planning projects (funded with Other Local funds), one grade crossing design project (funded with TCSP earmarks funds) and one pavement overlay project (funded with SLPP funds and Other Local funds). The amendment also updates funding plans of the ARRA funded SHOPP projects to reflect actual obligations, at the request of Caltrans and among other changes, the amendment also changes the funding plan for the Doyle Drive Replacement Project as follows: it moves approximately \$35 million from FY 2007-08 to FY 2008-09 for the Doyle Drive Replacement Project and changes the fund source for \$80 million from Other Local funds to AB1171 funds. The changes made with this revision will not affect the air quality conformity or conflict with the financial constraint requirements.

Revision 09-20 is expected to be approved by the MTC Commission on June 24, 2009. Caltrans approval is expected July 10, 2009 and final federal approval is expected in mid-August 2009.

TIP Revision 09-18 - Pending

Revision 09-18 is an amendment that makes revisions to a total of 34 projects with a net change in funding of \$76.5 million. It adds 12 new projects into the TIP, including the SR 12/29/221 Soscol Junction Interchange (Flyover) Study project with \$6.3 million in State funds; 10 regional planning projects; and a new TIP listing for the Pavement Technical Assistance Program (P-TAP). These were split from existing projects to mark the start of the new federal authorization period. One significant change in this amendment is the increase in costs of the Golden Gate Bridge - Suicide Deterrent System project, with \$5 million in FY 2010-11 and \$45 million in uncommitted funds in FY 2012-13. The changes made with this revision will not affect the air quality conformity or conflict with the financial constraint requirements.

Revision 09-17 was approved by the MTC Commission on May 27, 2009. Caltrans approval is expected June 12, 2009 and final federal approval is expected in mid-July 2009.

TIP Revision 09-17 - Pending

Revision 09-17 is an amendment that makes revisions to a total of 28 projects with a net decrease in funding of \$7.7 million. The amendment is the Annual All Transit or Program of Projects (POP) TIP amendment that makes revisions to transit projects to reflect the FY 2008-09 appropriations that were enacted in March as part of the omnibus appropriations act and the apportionments of the FTA 5307 and 5309 Fixed Guideway programs to urbanized areas that were released by FTA on April 27. One significant change in this amendment is the addition of \$12.6 million to the BART Car Replacement project. The changes made with this revision will not affect the air quality conformity or conflict with the financial constraint requirements.

Revision 09-17 was approved by the MTC Commission on May 27, 2009. Caltrans approval is expected June 12, 2009 and final federal approval is expected in mid-July 2009.

TIP Revision 09-16 - Approved

Revision 09-16 is an administrative modification that makes revisions to 8 American Recovery and Reinvestment Act (ARRA) funded grouped listings with a net increase in funding of approximately \$6.8 million. The back-up lists and costs of grouped listings are updated with this revision. The changes made with this revision will not affect air quality conformity or conflict with financial constraint requirements.

Revision 09-16 was approved by the MTC Director on May 22, 2009. Final Caltrans approval was received on May 27, 2009.

TIP Revision 09-15 – Approved

Revision 09-15 is an administrative modification that makes revisions to 41 projects with a net increase in funding of approximately \$2 million. Among other changes, it updates project lists and costs of three Caltrans managed SHOPP Grouped listings. Most of the modifications reflect adjustments to transit projects reconciling programmed amounts to actual appropriations. The changes made with this revision will not affect the air quality conformity or conflict with the financial constraint requirements.

Revision 09-15 was approved by the Deputy Executive Director on June 3, 2009. Final Caltrans approval was received on June 4, 2009.

TIP Revision 09-14 - Pending

Revision 09-14 is an amendment that revisions to a total of 27 projects with a net increase in funding of approximately \$6.6 million. The amendment serves to update projects to reflect the revised Urban Partnership Program Agreement (UPA). Among other changes, the UPP Pre-Implementation project (SF-070044) was deleted from the TIP and the new Congestion Pricing Study and Coordination project (SF-090028) was added into the TIP. The revision also adds three other new projects into the TIP, two that program the new State Local Partnership Program funds and one that programs the FLHP funds received. The amendment deletes the Santa Rosa City Bus Route 19 /12 (SON070014) project as it was duplicated in the TIP and the Caltrain Fare Equipment Replacement project (SM-030029) as all the funds within the TIP period are being transferred to the Signal/Communication Rehabilitation & Upgrades project (SM-050041). The funding plan of the I-680 Sunol Grade - Alameda project (ALA991084) was updated to include \$72 million in Proposition 1B SHOPP funds that were originally listed under the Grouped Listing - SHOPP - Mandated and Prop IB (VAR991009). The changes made with this revision will not affect the air quality conformity or conflict with the financial constraint requirements.

Revision 09-14 was approved by the MTC Commission on April 22, 2009. Caltrans approval was received on May 28, 2009 and final federal approval is expected in mid-June 2009.

TIP Revision 09-13 - Pending

Revision 09-13 is an amendment that makes revisions to 6 projects receiving American Recovery and Reinvestment Act (ARRA) funds with a net change in funding of approximately \$17.1 million. Among other changes, the amendment adds three new projects (Yountville SR 29 Bicycle Safety Improvements project - NAP090001, Pedestrian Signal Upgrades - SF-090029, and McGary Road Safety Improvements Project - SOL090004). The amendment also adds \$10 million in ARRA funds to the Vasco Road Safety Improvements - Contra Costa project (CC-050030). The changes made with this revision will not affect the air quality conformity or conflict with the financial constraint requirements.

Revision 09-13 was approved by the MTC Commission on April 22, 2009. Caltrans approval was received on May 27, 2009 and final federal approval is expected in mid-June 2009.

TIP Revision 09-12 – Approved

Revision 09-12 is an administrative modification that makes revisions to 21 projects receiving American Recovery and Reinvestment Act (ARRA) funds with a net increase in funding of approximately \$1.9 million. One significant change in this revision is the creation of the San Mateo County: Install TMS Elements (SM-090023) project by splitting the project originally listed in the SHOPP – Mobility Grouped Listing in the TIP (MTC050006) to allow for easier reporting and tracking of ARRA funds. The funding plan for the SR 24 - Caldecott Tunnel 4th Bore project was also updated by adding \$104.957 million in State ARRA funds in FY09 in place of \$31 million in RIP funds and \$73.957 million in CMIA funds programmed in the TIP. The changes made with this revision will not affect the air quality conformity or conflict with the financial constraint requirements.

Revision 09-12 was approved by the Director on April 22, 2009. Caltrans approval was received on April 24, 2009.

TIP Revision 09-11 – Approved

Revision 09-11 is an administrative modification that makes revisions to two projects receiving State American Recovery and Reinvestment Act (ARRA) funds with a net increase in funding of approximately \$4.1 million. The changes reflect the actions taken at the April California Transportation Commission meeting. The Marin US 101 HOV Gap Closure project (MRN990001) received \$2.1 million in State ARRA-TE funds and \$2 million in RIP funds in FY09. The funding plan for the SR 24 - Caldecott Tunnel 4th Bore project was updated by adding \$92.7 million in State ARRA funds in FY09 in place of \$2.7 million in IIP funds and \$90 million in CMIA funds programmed in the TIP. Changes made with this revision do not affect the air quality conformity or conflict with the financial constraint requirements.

Revision 09-11 was approved by the Director on April 16, 2009. Caltrans approval was received on April 16, 2009.

TIP Revision 09-10 – Approved

Revision 09-10 is an administrative modification that makes revisions to 13 American Recovery and Reinvestment Act (ARRA) funded grouped listings with a net decrease in funding of approximately \$7.8 million. One significant change in this revision is the creation of a Grouped Listing for Highway Maintenance Projects receiving ARRA funds (REG090034) by splitting the project originally listed in the SHOPP – Roadway Preservation Grouped Listing in the TIP (MTC050009) to allow for easier reporting and tracking of ARRA funds. All other changes primarily updated the back-up lists and costs of grouped listings. Changes made with this revision do not affect the air quality conformity or conflict with the financial constraint requirements.

Revision 09-10 was approved by the Director on April 10, 2009. Caltrans approval was received on April 13, 2009.

TIP Revision 09-09 – Approved

Revision 09-09 is an administrative modification that makes revisions to 17 projects with a net increase in funding of approximately \$13.7 million. One significant change in this revision is the addition of four million dollars in FTA 5309 New Starts funds to AC Transit's Enhanced Bus - Telegraph/International/East 14th project (ALA050017). The Caltrans managed Grouped Listing for State Highway Operations and Protection Program (SHOPP) – Emergency Response was updated to include three new projects in FY 2008-09 and one in FY 2009-10 with a net increase in cost of \$2.4 million. Changes made with this revision do not affect the air quality conformity or conflict with the financial constraint requirements.

Revision 09-09 was approved by the Director on April 9, 2009. Caltrans approval was received on April 13, 2009.

TIP Revision 09-08 – Approved

Revision 09-08 is an administrative modification that makes revisions to 6 projects with a net change in funding of \$3.15 million. The revision programs \$132,298,000 in federal American Recovery and Reinvestment Act (ARRA) funds in place of existing funding and adds Highway Maintenance projects. Among other changes, the US-101 Doyle Drive Replacement project in San Francisco (SF-991030) received \$50,000,000 in federal ARRA-SHOPP funding instead of later local funds to allow a segment of the project to be delivered sooner. Changes made with this revision do not affect the air quality conformity determination or conflict with financial constraint requirements.

Revision 09-08 was approved by the Deputy Executive Director on March 17, 2009. Caltrans approval was received on March 18, 2009.

TIP Revision 09-07 – Approved

Revision 09-07 was approved by the MTC Commission on February 25, 2009. Caltrans approval was received on March 2, 2009 and final federal approval was received on March 17, 2009.

TIP Revision 09-06 - Approved

Revision 09-06 is an amendment being processed by MTC. The 2009 TIP is presently a reflection of the 2030 Regional Transportation Plan (T-2030). MTC is in the process of developing and adopting an updated Regional Transportation Plan (T-2035). Amendment 09-06 serves to conform the 2009 TIP to the new Transportation 2035 Plan for air quality conformity purposes. TIP Amendment 09-06 modifies approximately 17 projects and adds approximately 134 non-exempt projects with a net increase in funding of roughly \$12.5 billion, including the addition of new projects as a result of recently approved voter initiatives, such as the Bay Area Rapid Transit District's (BART's)- Warm Springs to Silicon Valley with a total cost of \$7.587 billion and Sonoma Marin Area Rail Corridor (SMART) project for \$646 million; it adds the San Jose International Airport People Mover project for \$512 million; adds the Freeway Performance Initiative for \$222 million and adds the Bayview Transportation Improvements project for \$126 million. The amendment also adds various Proposition 1B Trade Corridors Improvement Fund (TCIF) projects recently adopted by the California Transportation Commission (CTC); adds new projects approved by the CTC in the 2008 State Transportation Improvement Program (STIP), reconciles project costs to the new RTP (T-2035), and adds or deletes other air quality non-exempt projects consistent with the new RTP. The conformity analysis done for the Transportation 2035 Plan also serves to reconform the entire 2009 TIP.

Revision 09-06 was approved by the MTC Commission on April 22, 2009. Caltrans approval was received on May 12, 2009 and final federal approval was received on May 29, 2009.

TIP Revision 09-05 - Approved

Revision 09-05 was approved by the MTC Commission on February 25, 2009. Caltrans approval was received on February 26, 2009 and final federal approval was received on March 17, 2009.

TIP Revision 09-04 - Approved

Revision 09-04 was approved by the MTC Commission on February 25, 2009. Caltrans approval was received on March 3, 2009 and final federal approval was received on March 17, 2009.

TIP Revision 09-03 - Approved

Final Caltrans approval for TIP Revision 09-03 was received on February 10, 2009.

TIP Revision 09-02 - Approved

Revision 09-02 was approved by the MTC Commission on December 17, 2008. Caltrans approval was received on January 7, 2009 and final federal approval was received on January 23, 2009.

TIP Revision 09-01 - Approved

Final Caltrans approval for TIP Revision 09-01 was received on December 16, 2008.

Projects in all the revisions can be viewed at: <http://www.mtc.ca.gov/funding/tip/revisions.htm> The FMS system has also been updated to reflect the approvals received. If you have any questions regarding any TIP project, please contact Sri Srinivasan at (510) 817-5793 or ssrini@mtc.ca.gov.



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Pavement Management Program Certification Listing

In accordance with section 2108.1 of the Streets and Highway Code, MTC requires cities and counties submitting pavement maintenance and rehabilitation projects for funding to utilize a Pavement Management Program (PMP).

Section 2108.1 of the Streets and Highway Codes says:

By July 1, 1990, the City, County, State Cooperation Committee in the department shall develop and adopt a pavement management program to be utilized on local streets or highways that receive funding under the state transportation improvement program. The pavement management program shall be transmitted to every county or city for possible adoption or incorporation into an existing pavement management program. The City, County, State Cooperation Committee shall solicit recommendations from transportation planning agencies and any other entity the committee deems appropriate.

Based on the recommendation of the joint City, County, State Cooperation Committee, the MTC will grant certification to a jurisdiction when all of the following applies:

1. The Pavement Management Program used by the jurisdiction is capable of completing all the following:
 - Storing inventory data for all roads within the jurisdiction
 - Assessing the pavement condition based on distress information
 - Identifying all pavement sections that need rehabilitation or replacement
 - Calculating budget needs for rehabilitating or replacing deficient pavement sections
2. The jurisdiction completes all the following:
 - Reviews and updates the inventory information for all roads every two years. The review will include checking for road network completeness along with checking for the accuracy of the existing management sections.
 - Completes inspection of pavement sections for arterial and collector routes in the system every two years, and residential routes every 5 years.
 - Calculates budget needs for rehabilitating or replacing deficient pavement sections for the current year and the next three years.

To be certified please submit the following to MTC:

1. Your jurisdiction's latest updated pavement management database. If you are not using MTC PMP, please submit items #2 and #3 only. If you are using an MTC PMP software program please submit all files associated with the version of StreetSaver you are using. If you need assistance in accessing these files, please contact your [PMP coordinator](#).
2. The following 3 budget scenarios reports: 1) a report showing sections selected for treatment over the next five years based on your jurisdiction's annual budget estimates, 2) a report showing what would need to be done to maintain your jurisdiction's existing PCI, and 3) a scenario depicting a five-point increase of your jurisdiction's current PCI over the next five years. *(These types of reports are typically generated as part of the Pavement Management Technical Assistance Program (P-TAP) projects.)*
3. A signed letter by the Public Works Director, or equivalent department head, stating that all of the requirements in parts 1 and 2 above have been met. "[Sample letter](#)"

MTC will post certification status updates of Bay Area jurisdictions on this page the first day of every month. The updated certification will have an expiration date two years from the date when the last inspection of arterials and collectors in your network was completed.

Temporary exemptions from the certification process

* A jurisdiction may apply for a one-year extension if the department head submits a letter stating that reinspection will occur within one year. Extensions may not continue beyond three years from the last major inspection date.

** A jurisdiction, whose certification is expiring, may apply for pending status if it is in the process of inspecting its network. You must

notify the MTC in writing of your request for pending status, and include a reasonable date when inspections will be completed, or your certification will be considered expired. Jurisdictions who received a pending status because of their participation with the **P-TAP project (Rounds 7 & 8) had until February 15, 2008** to submit their documentation or be considered expired. **Round-9 participants had until December 31, 2008** to submit their documentation or be considered expired. **Round-10 participants have until September 30, 2009** to submit their documentation or be considered expired.

NOTE: Failure to submit your PMP Certification letter and/or extension request by the above deadlines and/or your Certification Expiration Date will result in a lapse in compliance and any Pending status will revert back to its original expiration date.

The information should be forwarded to your [PMP Contact](#).

Last Updated: June 1, 2009

[Alameda County](#) [Contra Costa County](#) [Marin County](#) [Napa County](#) [San Francisco County](#)

[San Mateo County](#) [Santa Clara County](#) [Solano County](#) [Sonoma County](#)

Note: An *italicized* status represents a certification expected to expire in ~ 45 days.

Alameda County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
County of Alameda	12/31/2005	Pending	P-TAP 10
Alameda*	03/31/2007	Yes	04/01/2010*
Albany*	07/31/2004	Pending	Under contract*
Berkeley	10/31/2008	Yes	11/01/2010
Dublin	09/30/2007	Yes	10/01/2009
Emeryville	01/31/2000	No	02/01/2002
Fremont	10/31/2006	Pending	P-TAP 10
Hayward*	10/31/2006	Pending	Under contract*
Livermore	04/30/2008	Yes	05/01/2010
Newark	05/30/2007	No	06/01/2009
Oakland	07/31/2008	Yes	08/01/2010
Piedmont	03/31/2009	Yes	04/01/2010
Pleasanton*	12/31/2006	Yes	01/01/2010*
San Leandro	03/31/2008	Pending	P-TAP 10
Union City	11/30/2007	Yes	12/01/2009

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Contra Costa County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
Contra Costa County	10/31/2006	Pending	P-TAP 10
Antioch	05/30/2007	No	06/01/2009
Brentwood	03/31/2007	No	04/01/2009
Clayton	04/30/2008	Yes	05/01/2010
Concord	03/31/2008	Yes	04/01/2010
Danville	12/31/2008	Yes	01/01/2011
El Cerrito	12/31/2007	Yes	01/01/2010
Hercules	09/30/2007	Yes	10/01/2009
Lafayette	01/31/2008	Yes	02/01/2010
Martinez	07/31/2007	Yes	08/01/2009
Moraga	06/30/2007	Yes	07/01/2009
Oakley	06/30/2007	Yes	07/01/2009
Orinda	07/31/2008	Yes	08/01/2010
Pinole	03/31/2008	Yes	04/01/2010
Pittsburg	09/30/2007	Yes	10/01/2009
Pleasant Hill	01/31/2008	Pending	P-TAP 10
Richmond	03/31/2008	Yes	04/01/2010

San Pablo	12/31/2007	Yes	01/01/2010
San Ramon	09/30/2007	Pending	P-TAP 10
Walnut Creek	06/30/2006	Pending	P-TAP 10

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Marin County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
Marin County	05/31/2007	No	06/01/2009
Belvedere	10/31/2005	No	11/01/2007
Corte Madera	09/30/2008	Yes	10/01/2010
Fairfax	04/30/2007	No	05/01/2009
Larkspur	06/30/2006	Pending	P-TAP 10
Mill Valley	12/31/2007	Yes	01/01/2010
Novato	12/31/2008	Yes	01/01/2011
Ross	04/30/2007	Pending	P-TAP 10
San Anselmo	02/28/2007	No	03/01/2009
San Rafael	02/28/2009	Yes	03/01/2011
Sausalito	09/30/2008	Yes	10/01/2010
Tiburon	09/30/2008	Yes	10/01/2010

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Napa County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
Napa County	12/31/2008	Yes	01/01/2011
American Canyon*	01/31/2007	Yes	02/01/2010*
Calistoga	12/31/2008	Yes	01/01/2011
Napa*	06/30/2004	Pending	Under contract*
St. Helena	06/30/2007	Yes	07/01/2009
Yountville	03/31/2009	Yes	04/01/2011

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San Francisco County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
San Francisco	08/31/2007	Yes	09/01/2009
Presidio Trust		Pending	P-TAP 10

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San Mateo County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
San Mateo County	11/30/2008	Yes	12/01/2010
Atherton	09/30/2008	Yes	10/01/2010
Belmont	07/31/2007	Yes	08/01/2009
Brisbane	01/31/2008	Yes	02/01/2010
Burlingame	09/30/2008	Yes	10/01/2010
Colma	03/31/2008	Yes	04/01/2010
Daly City	11/30/2005	Pending	P-TAP 10
East Palo Alto	06/30/2007	Yes	07/01/2009
Foster City	10/31/2008	Yes	11/01/2010
Half Moon Bay	04/30/2008	Yes	05/01/2010
Hillsborough	08/31/2007	Yes	09/01/2009
Menlo Park	04/30/2007	Pending	P-TAP 10
Millbrae	11/30/2003	Pending	P-TAP 10
Pacifica	12/31/2008	Yes	01/01/2011
Portola Valley	05/31/2006	No	06/01/2009
Redwood City	09/30/2008	Yes	10/01/2010
San Bruno	12/31/2005	Pending	P-TAP 10
San Carlos	08/31/2008	Yes	09/01/2010
San Mateo	04/30/2008	Yes	05/01/2010
South San Francisco	08/31/2008	Yes	09/01/2010

Woodside 07/31/2007 Yes 08/01/2009

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Santa Clara County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
Santa Clara County	05/31/2007	No	06/01/2009
Campbell	04/30/2009	Yes	05/01/2011
Cupertino	04/30/2008	Yes	05/01/2010
Gilroy	10/31/2008	Yes	11/01/2010
Los Altos	10/31/2007	Pending	P-TAP 10
Los Altos Hills	01/31/2008	Yes	02/01/2010
Los Gatos	11/30/2005	Pending	P-TAP 10
Milpitas	09/30/2007	Yes	10/01/2009
Monte Sereno	08/31/2008	Yes	09/01/2010
Morgan Hill	09/30/2008	Yes	10/01/2010
Mountain View	05/31/2008	Yes	06/01/2010
Palo Alto	11/30/2008	Yes	12/01/2010
San Jose	12/31/2007	Yes	01/01/2010
Santa Clara	07/31/2008	Yes	08/01/2010
<i>Saratoga</i>	<i>06/30/2007</i>	Yes	<i>07/01/2009</i>
Sunnyvale	05/31/2009	Yes	06/01/2011

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Solano County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
Solano County	09/30/2007	Yes	10/01/2009
Benicia	04/30/2008	Yes	05/01/2010
Dixon	05/30/2007	No	06/01/2009
Fairfield	03/31/2008	Yes	04/01/2010
Rio Vista	03/31/2006	Pending	Under contract*
Suisun City	03/31/2007	No	04/01/2009
Vacaville	06/30/2006	Pending	P-TAP 10
Vallejo	09/30/2008	Yes	10/01/2010

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Sonoma County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
Sonoma County	05/25/2005	Pending	Under contract*
Cloverdale	07/31/2008	Yes	07/31/2010
Cotati	11/30/2008	Yes	12/01/2010
Healdsburg	01/31/2008	Yes	02/01/2010
Petaluma	01/30/2007	Pending	P-TAP 10
Rohnert Park	02/28/2008	Yes	03/01/2010
Santa Rosa	05/31/2007	Pending	P-TAP 10
<i>Sebastopol</i>	<i>06/30/2007</i>	Yes	<i>07/01/2009</i>
Sonoma*	11/01/2002	Pending	Under contract*
Windsor	09/30/2008	Yes	10/01/2010

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(*) *Indicates Extended Date*

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PMP is sponsored by the Metropolitan Transportation Commission

As presented to the Legislation Committee on June 5, 2009



METROPOLITAN
TRANSPORTATION
COMMISSION

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Memorandum

TO: Legislation Committee

DATE: June 5, 2009

FR: Executive Director

RE: FY 2009-10 State Budget Update

California voters' resounding rejection of the May 19 special election measures has forced Sacramento legislators back to the drawing board to solve what is now estimated to be a \$21 billion budget shortfall. Approximately \$9.5 billion of this shortfall is due to the rejection of the measures, while roughly \$12.5 billion is due to lower revenue projections in the current year and FY 2009-10.

The Administration's May Revise of the FY 2009-10 Budget includes \$5.5 billion in borrowing with revenue anticipation warrants (RAWs), \$5.3 billion in cuts to K-14 education, and \$2 billion in local government revenue loans to be repaid with interest in three years under the provisions of Proposition 1A (2004). With regard to transportation, the Administration proposes:

- For FY 2009-10, transferring \$986 million in local gas tax subventions (otherwise available for local streets and roads) to the General Fund to offset transportation debt service costs pursuant to Article XIX, Section 5 of the Constitution, which the Administration believes allows up to 25 percent of the state's *total* fuel tax revenue (including the local share) to be used for this purpose. For FY 2010-11 and beyond, diverting about \$750 million annually from local gas tax subventions to offset transportation bond debt service.
- Transferring an additional \$336 million in unanticipated spillover funds (due to higher gasoline prices) to the General Fund to cover transportation debt service costs.

The Legislative Analyst's Office recommended an alternative approach whereby the state would borrow, rather than simply redirect, local gas tax subvention funds and repay them with interest within three years. The LAO also recommended partial suspension of Proposition 42 in FY 2009-10.

The proposed redirection of local gas tax subvention funds would result in a \$184 million loss in funding for Bay Area cities and counties in FY 2009-10 and almost \$140 million in FY 2010-11 and beyond, as shown in Attachment 1. Staff will closely monitor the budget negotiations, particularly the proposal to reduce local streets and road funding, and work to minimize the impact on projects already underway, particularly those that are partially funded by federal American Recovery and Reinvestment Act funds, and thus subject to strict deadlines in order to retain access to the funds.

//Steve Heminger//

Steve Heminger
Executive Director

Item 5, Attachment A

Bay Area Impact of Proposed Diversion of Local Gas Tax Subvention Funds

(Dollars in thousands)

TOTAL BAY AREA LOCAL STREET & ROAD FUNDS AT RISK	FY2009-10	FY2010-11 & Beyond
Alameda	(36,922)	(27,897)
Contra Costa	(26,076)	(19,703)
Marin	(6,808)	(5,144)
Napa	(4,271)	(3,227)
San Francisco	(18,874)	(14,261)
San Mateo	(19,616)	(14,822)
Santa Clara	(45,387)	(34,293)
Solano	(11,924)	(9,009)
Sonoma	(14,066)	(10,628)
Bay Area Subtotal	(183,945)	(138,984)
State Total	(986,000)	(745,000)

ALAMEDA	FY2009-10	FY2010-11
ALAMEDA	(1,150)	(869)
ALBANY	(256)	(194)
BERKELEY	(1,625)	(1,228)
DUBLIN	(667)	(504)
EMERYVILLE	(140)	(106)
FREMONT	(3,234)	(2,443)
HAYWARD	(2,259)	(1,707)
LIVERMORE	(1,266)	(956)
NEWARK	(668)	(504)
OAKLAND	(6,348)	(4,796)
PIEDMONT	(169)	(128)
PLEASANTON	(1,050)	(794)
SAN LEANDRO	(1,245)	(940)
UNION CITY	(1,105)	(835)
COUNTY (UNINCORPORATED)	(15,743)	(11,895)
COUNTY TOTAL	(36,922)	(27,897)
CONTRA COSTA		
ANTIOCH	(1,583)	(1,196)
BRENTWOOD	(773)	(584)
CLAYTON	(170)	(129)
CONCORD	(1,953)	(1,475)
DANVILLE	(673)	(509)
EL CERRITO	(367)	(277)
HERCULES	(379)	(286)

Contra Costa Cont'd

Item 5, Attachment A

LAFAYETTE	(379)	(286)
MARTINEZ	(572)	(432)
MORAGA	(256)	(193)
OAKLEY	(504)	(381)
ORINDA	(277)	(209)
PINOLE	(304)	(230)
PITTSBURG	(996)	(753)
PLEASANT HILL	(524)	(396)
RICHMOND	(1,641)	(1,240)
SAN PABLO	(489)	(370)
SAN RAMON	(917)	(693)
WALNUT CREEK	(1,034)	(781)
COUNTY (UNINCORPORATED)	(12,285)	(9,282)
COUNTY TOTAL	(26,076)	(19,703)
MARIN		
BELVEDERE	(36)	(27)
CORTE MADERA	(157)	(119)
FAIRFAX	(123)	(93)
LARKSPUR	(202)	(152)
MILL VALLEY	(230)	(174)
NOVATO	(872)	(659)
ROSS	(40)	(30)
SAN ANSELMO	(208)	(157)
SAN RAFAEL	(966)	(730)
SAUSALITO	(124)	(94)
TIBURON	(148)	(112)
COUNTY (UNINCORPORATED)	(3,702)	(2,797)
COUNTY TOTAL	(6,808)	(5,144)
NAPA		
AMERICAN CANYON	(266)	(201)
CALISTOGA	(88)	(67)
NAPA	(1,279)	(966)
ST HELENA	(100)	(75)
YOUNTVILLE	(55)	(41)
COUNTY (UNINCORPORATED)	(2,484)	(1,877)
COUNTY TOTAL	(4,271)	(3,227)
SAN FRANCISCO		
SAN FRANCISCO CITY AND COUNTY	(18,874)	(14,261)
COUNTY TOTAL	(18,874)	(14,261)
SAN MATEO		

Item 5, Attachment A

ATHERTON	(117)	(89)
BELMONT	(409)	(309)
BRISBANE	(60)	(45)
BURLINGAME	(453)	(342)
COLMA	(25)	(19)
DALY CITY	(1,678)	(1,268)
EAST PALO ALTO	(516)	(390)
FOSTER CITY	(478)	(361)
HALF MOON BAY	(204)	(154)
HILLSBOROUGH	(176)	(133)
MENLO PARK	(492)	(372)
MILLBRAE	(331)	(250)
PACIFICA	(620)	(469)
PORTOLA VALLEY	(73)	(55)
REDWOOD CITY	(1,217)	(920)
SAN BRUNO	(666)	(503)
SAN CARLOS	(453)	(342)
SAN MATEO	(1,510)	(1,141)
SOUTH SAN FRANCISCO	(990)	(748)
WOODSIDE	(88)	(66)
COUNTY (UNINCORPORATED)	(9,059)	(6,845)
COUNTY TOTAL	(19,616)	(14,822)
SANTA CLARA		
CAMPBELL	(606)	(458)
CUPERTINO	(840)	(635)
GILROY	(756)	(572)
LOS ALTOS	(428)	(324)
LOS ALTOS HILLS	(131)	(99)
LOS GATOS	(448)	(339)
MILPITAS	(1,014)	(766)
MONTE SERENO	(54)	(41)
MORGAN HILL	(585)	(442)
MOUNTAIN VIEW	(1,116)	(843)
PALO ALTO	(954)	(721)
SAN JOSE	(14,836)	(11,210)
SANTA CLARA	(1,741)	(1,315)
SARATOGA	(478)	(362)
SUNNYVALE	(2,068)	(1,563)
COUNTY (UNINCORPORATED)	(19,330)	(14,605)
COUNTY TOTAL	(45,387)	(34,293)
SOLANO		
BENICIA	(432)	(326)

Item 5, Attachment A

DIXON	(273)	(206)
FAIRFIELD	(1,630)	(1,231)
RIO VISTA	(121)	(91)
SUISUN CITY	(432)	(327)
VACAVILLE	(1,491)	(1,127)
VALLEJO	(1,877)	(1,418)
COUNTY (UNINCORPORATED)	(5,668)	(4,283)
COUNTY TOTAL	(11,924)	(9,009)
SONOMA		
CLOVERDALE	(147)	(111)
COTATI	(130)	(98)
HEALDSBURG	(201)	(152)
PETALUMA	(981)	(741)
ROHNERT PARK	(739)	(559)
SANTA ROSA	(2,719)	(2,054)
SEBASTOPOL	(134)	(101)
SONOMA	(171)	(129)
WINDSOR	(455)	(344)
COUNTY (UNINCORPORATED)	(8,391)	(6,340)
COUNTY TOTAL	(14,066)	(10,628)
BAY AREA TOTAL	(183,945)	(138,984)

2009 LS&R NEEDS/REVENUE SURVEY RESPONSES

As of May 26, 2009

ALAMEDA	Survey	"X" = Data Useable		
		Part 2	Part 3	Part 4
Alameda County	3/16/2009	X	X	X
Alameda	3/10/2009	X	X	X
Albany	3/16/2009	X	X	X
Berkeley	3/10/2009	X	X	X
Dublin	3/10/2009	X	X	X
Emeryville	3/16/2009	X	X	X
Fremont	3/23/2009	X	X	X
Hayward	3/10/2009	X	X	X
Livermore	5/11/2009	X	X	X
Newark	3/10/2009	X	X	X
Oakland	4/2/2009	X	X	X
Piedmont	3/24/2009	X	X	X
Pleasanton	3/26/2009	X	X	X
San Leandro	3/10/2009	X	X	X
Union City	3/10/2009	X	X	X
TOTAL	15	15	15	15

NAPA	Survey	"X" = Data Useable		
		Part 2	Part 3	Part 4
Napa County	3/13/2009	X	X	X
American Canyon	5/21/2009	X	X	X
Calistoga	5/22/2009	X	X	X
Napa	3/9/2009	X	X	X
St. Helena	5/22/2009	X	X	X
Yountville	5/18/2009	X	X	X
TOTAL	6	6	6	6

*Requested Napa surveys by 3/31/09 - emailed Diana Vargas - no reply

SANTA CLARA	Survey	"X" = Data Useable		
		Part 2	Part 3	Part 4
Santa Clara County	3/13/2009	X	X	X
Campbell	3/20/2009	X	X	X
Cupertino	3/23/2009	X	X	X
Gilroy	4/2/2009	X	X	X
Los Altos	3/13/2009	X	X	X
Los Altos Hills	3/13/2009	X	X	X
Los Gatos	3/13/2009	X	X	X
Milpitas	3/13/2009	X	X	X
Monte Sereno	3/23/2009	X	X	X
Morgan Hill	4/3/2009	X	X	X
Mountain View	3/13/2009	X	X	X
Palo Alto	2/3/2009	X	X	X
San Jose	3/13/2009	X	X	X
Santa Clara	3/6/2009	X	X	X
Saratoga	3/13/2009	X	X	X
Sunnyvale	3/13/2009	X	X	X
TOTAL	16	16	16	16

Part 2 = Unit Cost Data
 Part 3 = Non-Pavement Data
 Part 4 = Revenue Data

CONTRA COSTA	Survey	"X" = Data Useable		
		Part 2	Part 3	Part 4
Contra Costa County	3/9/2009	X	X	X
Antioch	5/15/2009	X	X	X
Brentwood	3/9/2009	X	X	X
Clayton	3/9/2009	X	X	X
Concord	3/9/2009	X	X	X
Danville	3/24/2009	X	X	X
El Cerrito	4/1/2009	X	X	X
Hercules	3/19/2009	X	X	X
Lafayette	3/6/2009	X	X	X
Martinez	5/15/2009	X	X	X
Moraga	3/19/2009	X	X	X
Oakley	3/9/2009	X	X	X
Orinda	3/9/2009	X	X	X
Pinole	3/30/2009	X	X	X
Pittsburgh	3/16/2009	X	X	X
Pleasant Hill	5/15/2009	X	X	X
Richmond	3/20/2009	X	X	X
San Pablo	5/6/2009	X	X	X
San Ramon	3/9/2009	X	X	X
Walnut Creek	3/17/2009	X	X	X
TOTAL	20	20	20	20

SAN FRANCISCO	Survey	"X" = Data Useable		
		Part 2	Part 3	Part 4
San Francisco	3/25/2009	X	X	X
TOTAL	1	1	1	1

SOLANO	Survey	"X" = Data Useable		
		Part 2	Part 3	Part 4
Solano County	3/2/2009	X	X	X
Benicia	3/19/2009	NA	X	X
Dixon	3/11/2009	X	X	X
Fairfield	3/11/2009	X	X	X
Rio Vista*				
Suisun City	3/19/2009	X	X	X
Vacaville	3/24/2009	X	X	X
Vallejo	3/19/2009	X	X	X
TOTAL	7	7	7	7

SONOMA	Survey	"X" = Data Useable		
		Part 2	Part 3	Part 4
Sonoma County	3/13/2009	X	X	X
Cloverdale	3/20/2009	X	X	X
Cotati	5/7/2009	X	X	X
Healdsburg	3/26/2009	X	X	X
Petaluma	3/10/2009	X	X	X
Rohnert Park	3/9/2009	X	X	X
Santa Rosa	3/12/2009	X	X	X
Sebastapol	4/1/2009	X	X	X
Sonoma	3/16/2009	X	X	X
Windsor	3/9/2009	X	X	X
TOTAL	10	10	10	10

MARIN	Survey	"X" = Data Useable		
		Part 2	Part 3	Part 4
Marin County	3/9/2009	X	X	X
Belvedere	5/20/2009	X	X	X
Corte Madera	3/9/2009	X	X	X
Fairfax	3/9/2009	X	X	X
Larkspur	3/9/2009	X	X	X
Mill Valley	3/18/2009	X	X	X
Novato	3/9/2009	X	X	X
Ross	3/9/2009	X	X	X
San Anselmo	3/9/2009	X	X	X
San Rafael	3/9/2009	X	X	X
Sausalito	3/11/2009	X	X	X
Tiburon	3/9/2009	X	X	X
TOTAL	12	12	12	12

SAN MATEO	Survey	"X" = Data Useable		
		Part 2	Part 3	Part 4
San Mateo County	3/9/2009	X	X	X
Atherton	3/9/2009	X	X	X
Belmont	3/9/2009	X	X	X
Brisbane	3/9/2009	X	X	X
Burlingame	3/9/2009	X	X	X
Colma	3/9/2009	X	X	X
Daly City	3/9/2009	X	X	X
East Palo Alto	4/1/2009	X	X	X
Foster City	3/11/2009	X	X	X
Half Moon Bay	3/27/2009	X	X	X
Hillsborough	3/16/2009	X	X	X
Menlo Park	3/26/2009	X	X	X
Millbrae	3/25/2009	X	X	X
Pacifica	3/13/2009	X	X	X
Portola Valley	4/3/2009	X	X	X
Redwood City	4/2/2009	X	X	X
San Bruno	3/9/2009	X	X	X
San Carlos	3/9/2009	X	X	X
San Mateo	3/9/2009	X	X	X
S. San Francisco	3/9/2009	X	X	X
Woodside	3/18/2009	X	X	X
TOTAL	21	21	21	21

REGION	#	%	Part 2	Part 3
Alameda County	15	100%	100%	100%
Contra Costa County	20	100%	100%	100%
Marin County	12	100%	100%	100%
Napa County	6	100%	100%	100%
San Francisco	1	100%	100%	100%
San Mateo County	21	100%	100%	100%
Santa Clara County	16	100%	100%	100%
Solano County	7	88%	88%	88%
Sonoma County	10	100%	100%	100%
TOTAL	108	100%	100%	100%

DEPARTMENT OF TRANSPORTATION

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TDD (510) 286-4454



*Flex your power!
Be energy efficient!*

May 21, 2009

Mr. Steve Heminger
Executive Director
Metropolitan Transportation Commission
101 Eighth Street
Oakland, CA 94607

Attention: Kenneth Kao

Dear Mr. Heminger:

Attached for your information is the third quarter expenditure/status report for 2008/09 FY for the Regional Improvement Program (RIP) projects on the State Highway System. The project listing consists of projects with 2008 STIP dollars (RIP funds) in any component and where Caltrans was designated the responsible agency.

If you have any questions regarding the report, please contact Waddah Al-Zireeni at (510) 286-6256.

Sincerely,

A handwritten signature in blue ink, appearing to read "Stewart D. Ng".

STEWART D. NG
Deputy District Director
Program/Project Management

Attachment

Limited copies will be available at the meeting and/or electronic copies can be requested via email to Kenneth Kao at kkao@mtc.ca.gov.

From: Sylvia Fung <sylvia_fung@dot.ca.gov>
To: <maranda@mtc.ca.gov>
Date: 06/04/09 9:23 AM
Subject: Fw: Oberstar Award call for applications

Applications Open for 2009 Oberstar Safe Routes to School Award

CHAPEL HILL — The National Center for Safe Routes to School is now accepting applications for the 2009 James L. Oberstar Safe Routes to School Award. The deadline for applications is July 15, 2009. To access the award application and criteria, please visit www.saferoutesinfo.org/oberstar.

The Oberstar Award is given annually by the National Center for Safe Routes to School to an exemplary Safe Routes to School program in the United States. The 2009 Oberstar Award will recognize outstanding achievement by a school or community in conducting a SRTS program that benefited from the Federal SRTS funding awarded by its State. The Award specifically will recognize a school with a Safe Routes to School program that has achieved success while overcoming challenges in implementing and/or sustaining the program.

“We realize that programs with outstanding achievements have had to find ways to address local challenges,” says Lauren Marchetti, Director of the National Center for Safe Routes to School. “We want to recognize current issues affecting our schoolchildren and how schools are dealing with these issues. We ask the applicants to describe how Safe Routes to School addressed any type of adversity or challenge – in their own terms and within the realities of their own environments.”

The award is named for Congressman Oberstar (D-MN) to honor his dedication to American schoolchildren as the pioneer for the National Safe Routes to School Program. Oberstar, current Chairman of the U.S. House Transportation and Infrastructure Committee, sponsored the Safe Routes to School legislation that strives to create safe settings to enable more parents and children to walk and bicycle to school.

States have announced funding for more than 5,200 schools and communities throughout the U.S. to conduct Safe Routes to School programs as a way to meet the challenges posed by safety, health and environmental concerns.

Bear Creek Elementary School, in Boulder, Colorado, received the 2008 Oberstar SRTS Award for Outstanding Local Program. The school is one of the first in the country to have walking school buses throughout the school year. Parent volunteers keep track of students’ travel through monthly tallies, and Kent Cruger, principal at Bear Creek Elementary, challenges students daily with his own examples of car-free travel.

In 2007, the Oberstar Award recognized the efforts of State Departments of Transportation in implementing Safe Routes to School programs from the ground up. The Michigan Department of Transportation received the Oberstar SRTS Award for its quick start up of a statewide program, and Delaware DOT received a special recognition for its staff’s skillful problem-solving which allowed for smooth implementation by local communities.

Organizations that promote pedestrian and bicycle safety offer their expertise in reviewing the applications received by the National Center for Safe Routes to School. America Walks, the Safe Routes to School National Partnership, the American Association of State Highway and Transportation Officials, the Governors Highway Safety Association, the Institute of Transportation Engineers, the National Center

for Bicycling and Walking and Toole Design Group all were among the organizations that assisted the National Center for Safe Routes to School in the 2008 selection process.

In July 2005, Congress passed federal legislation that established a national Safe Routes to School program. The program dedicated a total of \$612 million towards Safe Routes to School from 2005 to 2009. These funds are made available to individual States to develop and Administer Safe Routes to School Programs through the Department of Transportation.

Established in May 2006 through funding from the Federal Highway Administration, the National Center for Safe Routes to School assists communities in enabling and encouraging children to safely walk and bicycle to school. The Center strives to equip Safe Routes to School programs with the knowledge and technical information to implement safe and successful strategies. The Center is located at the University of North Carolina Highway Safety Research Center.

For more information, contact Raquel Rivas at (919) 962-5835, rivas@hsrc.unc.edu or Pam Barth at (919)962-8717, barth@hsrc.unc.edu.

Please go to www.saferoutesinfo.org/oberstar to fill out an online application. Please pass this information along to local contacts who are implementing Safe Routes to School programs in your community.

From: Sylvia Fung <sylvia_fung@dot.ca.gov>
To: <maranda@mtc.ca.gov>
Date: 06/10/09 8:44 AM
Subject: Fw: Construction Oversight Information for ARRA projects
Attachments: Interim_Construction_Oversight_Plan.pdf; #09-02.pdf

Attached below for your information and action is the Interim Construction Oversight Plan and the second issue "#09-02" of the Division of Local Assistance "Construction Oversight Information Notice" or "COIN."

The Interim Construction Oversight Plan outlines the responsibilities of the local agency, Caltrans District Local Assistance Engineer (DLAE), and Caltrans HQ Division of Local Assistance (DLA) to ensure compliance of Local Agency Federal-Aid Programs to federal regulations.

The purpose of the COIN is to help increase Caltrans' oversight of local agency federal-aid projects by providing outreach information and guidance to local agencies on issues pertaining to the construction of federal-aid projects. This issue focuses particularly on local agency projects receiving funds from the "American Recovery and Reinvestment Act of 2009 (Recovery Act)" as these projects are considered high risk by FHWA and to require a significant increase in oversight by both Caltrans and FHWA.

(See attached file: Interim_Construction_Oversight_Plan.pdf)
(See attached file: #09-02.pdf)

Sylvia Fung
Chief, Office of Local Assistance
Caltrans - District 4
Phone: 510-286-5226
Fax: 510-286-5229

From: Frank Cao <frank_cao@dot.ca.gov>
To: <dla-website-updates-announce@lists.dot.ca.gov>
CC: Eugene Shy <eugene_shy@dot.ca.gov>, Henry Wells <henry_wells@dot.ca.gov>...
Date: 06/04/09 10:43 AM
Subject: [DLAWUA] Announcement of the "Interim Construction Oversight Plan" to the DLA Website

Announcement:

To bolster Local Assistance's oversight of Federal-aid construction projects, an "Interim Construction Oversight Plan" has been developed by Caltrans, in conjunction with FHWA, and has been placed on the Local Assistance website. It has been placed under the heading "Reports and Databases" and is available at: http://www.dot.ca.gov/hq/LocalPrograms/Reports_db.htm

Change:

The "Interim Construction Oversight Plan" will be incorporated into the Local Assistance Procedures Manual at a later date.

Impacts:

The implementation of the "Interim Construction Oversight Plan" will affect Caltrans Local Assistance, FHWA and local agencies participating in the Federal-aid Program.

Contact:

Questions or comments regarding this change should be directed to:

Eugene Shy, P.E; 916 651-6552; or
Henry Wells, P.E; 916 651-8991
Office of Policy Development and Quality Assurance
Division of Local Assistance - MS 1

DLA-Website-Updates-Announce mailing list
DLA-Website-Updates-Announce@lists.dot.ca.gov
<http://lists.dot.ca.gov/mailman/listinfo/dla-website-updates-announce>

FEDERAL HIGHWAY ADMINISTRATION (FHWA) & CALIFORNIA DEPARTMENT OF TRANSPORTATION (CALTRANS)



INTERIM CONSTRUCTION OVERSIGHT PLAN

This plan provides guidelines to local agencies and Caltrans for all Federal-aid projects off the State Highway System (SHS)

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APRIL 2009

INTERIM CONSTRUCTION OVERSIGHT PLAN

Outlined in this plan are the Local Agency's, the District Local Area Engineer's (DLAE), and Division of Local Assistance's (DLA) responsibilities to ensure that the Local Agency Federal-aid Program is being implemented in substantial compliance with federal regulations.

Program-Level Oversight

Construction Performance Measures:

Caltrans DLA will provide quarterly reports to FHWA on the following data (from LP2000):

- Total Cost at Authorization and Date
- Award Amount and Date
- Original and final number of working days for project completion
- Total Final Cost and Invoice Date

Construction Outreach Information Notice (COIN)

Periodic outreach bulletins to DLAEs and Local Agencies – bulletins will serve as reminders and highlight aspects of contract administration that need improvement. **Attachment #1** is an example of the COIN template to be used. The COIN will be posted on the DLA website, sent to FHWA and the DLAEs for distribution to our Local Agency partners, and sent to the DLA list server subscribers. DLA and FHWA will collaborate to provide local agencies information through web conferencing.

Project-Level Oversight

New and existing responsibilities and oversight procedures for Local Agencies and Caltrans, respectively, per the Local Assistance Procedure Manual (LAPM). All exhibits referred to herein are from the LAPM.

Chapter 4 – Agreements

Local Agency

1. Prepare "Local Agency Agreement Checklist" (Exhibit 4-A) and the "Field Review Form" (Exhibit 7-B), if not previously submitted, for project and send to DLAE.
2. Receive Program Supplement Agreement from DLA. If there is no Master Agreement with Local Agency, send concurrently with Program Supplement Agreement.
3. Agreement(s) is signed by designated signatory or passed by resolution. Agreement(s) is sent back to DLA.
4. Receives a copy of executed agreement from DLA.

Caltrans DLAE

1. DLAE is the local agency point of contact and the liaison between DLA and Local Agency.
2. Input date of local agency QAP into LP2000 with, or prior to the request for authorization of construction.

Caltrans DLA

1. Prepare the Program Supplement Agreement after receiving Exhibits 4-A and 7-B from Local Agency and funds for project have been obligated and/or vote allocated by CTC. If there is no Master Agreement with Local Agency, prepare concurrently with Program Supplement Agreement.
2. Send Program Supplement Agreement to Local Agency, after funds are encumbered by Local Program Accounting.
3. Review and sign the returned Program Supplement Agreement signed by the Local Agency.
4. Copies of the executed Program Supplement Agreement are sent back to Local Agency and Local Program Accounting.
5. DLA will not allow an Authorization for Construction to go forward without an approved QAP.

Chapter 15 – Advertise and Award Project

Outlined in this section are project roles and responsibilities for advertising and awarding the Federal –aid construction project.

Local Agency

1. Receives Caltrans approval of its construction administration procedures, prior to advertising a major NHS project.
2. Prepares the “Local Agency Construction Contract Administration Checklist” (Exhibit 15-A), prior to advertising a construction project, and submits to DLAE.
3. Take all reasonable steps to ensure that DBEs are able to compete for and perform contracts.
4. Fills out the “PS&E Certification” (Exhibit 12-C) and certifies that the project’s PS&E package meets all federal and state regulations.
5. Prepares a “Request for Authorization” and, along with other documents (see Section 3.3, “Request for Authorization,” of LAPM) send to Caltrans for approval.
6. Advertises a project only after FHWA issues an “Authorization for Construction.”
7. Provide the DLAE with one set (two sets for a bridge project) of “as advertised” plans and special provisions, as soon as project is advertised.
8. Advertises the project a minimum period of three weeks. Prepare a PIF and submit to the DLAE for approval, if the Local Agency proposes a shorter advertisement period.
9. Will follow its own established bid opening procedures, provided requirements described in Section 15.5, “Contract Bid Opening” are included.
10. After bid opening, complete the “Bid Tabulation Summary Sheet” (Exhibit 15-D) and the “Local Agency Bid Opening Checklist” (Exhibit 15-I) and place in project records. Also, place in the project records the “Local Agency Bidder – DBE (Construction Contracts) – Information” (Exhibit 15-G) and the “Noncollusion Affidavit (Exhibit 12-E, Attachment D), completed by the successful bidder. If the project is located on the NHS, complete the “Submission of Bid Tabulation” (Exhibit 15-E), and the “Bid Price Data” (Exhibit 15-F), if the project costs is \$500,000 or more, and forward both to the DLAE immediately following bid opening.
11. Assure that all bid proposals submitted include a completed addenda certification statement.
12. Must ensure with the DLAE and the RTPA/MPO that there are enough funds available to award the contract, if the bid amount exceeds the Engineer’s Estimate.

13. Should perform a bid analysis to justify the award or rejection of the bids for the project in accordance with Subsection "Bid Analysis Process." For a project on the NHS the Local Agency is required to perform a bid analysis.
14. Shall award the project to the lowest responsive and responsible bidder following its normal procedures. If the contract is awarded to other than the lowest bidder, written justification needs to be included in the project records. Also, retain in the project records the executed contract, document the award date and preconstruction meeting minutes. [15-13] (Exceptions to the competitive bid process are described Section 12-4, "Method of Construction," specifically force account.)
15. Conduct approximately every 2 years a post-award review of projects to see if any abnormal bid patterns exist.
16. Shall terminate and complete defaulted federal-aid contracts in accordance with Subsection "Termination of Contracts."
17. Will submit within 60 days of award and with the first invoice the following documents to the DLAE:
 - "Local Agency Contract Award Checklist" (Exhibit 15-L)
 - "Detail Estimate" (Exhibit 15-M)
 - "Finance Letter" (Exhibit 15-N)
 - "Resident Engineer's Construction Contract Administration Checklist" (Exhibit 15-B)
 - "Local Agency Bidder – DBE (Construction Contracts) Information" (Exhibit 15-G (2))

Caltrans DLAE

1. Approves PIF for contract advertisement period of less than 3 weeks.
2. Approves the construction administration procedures for major NHS projects.
3. Reviews and concurs with the Local Agency on terminating a contract for a FHWA High Profile project. Forwards the recommendation to DLA to FHWA for approval.
4. Review the "Local Agency Contract Award Checklist" (Exhibit 15-L) to determine if project is eligible for federal funds.
5. Reviews all documents sent from the Local Agency for format and reasonableness. Required documents are sent to DLA. If the documents include the first invoice also send to Local Program Accounting, after review and approval.
6. Will prepare a revised E-76 after receiving the "Detail Estimate" (Exhibit 15-M) from the Local Agency.

Caltrans DLA

1. Will review the award package supplied with the first invoice, and will track forms included or missing from the award package and ensure that the DBE information provided is entered into LP2000. If the DBE information supplied is not entered into LP2000, will enter it. A copy of the "Local Agency Bidder – DBE (Construction Contracts) Information" (Exhibit 15-G (2)) will be kept on file.

Chapter 16 – Administer Construction Contract

Outlined in this section are project roles and responsibilities for administering the Federal-aid construction contract.

Local Agency

1. Ensures that force account work is in compliance with Section 12.4, "Contracting Method," of the LAPM.
2. Will provide adequate field staff and equipment to administer the construction project, including a qualified engineer, and fulfill all requirements of the contract. Names and titles of the staff members shall be kept in the project records.
3. Will employ field staff that are knowledgeable of safety rules and regulations, i.e., FHWA Form 1273 Section VIII, "Safety – Accident Prevention," and the California Division of Industrial Safety "Construction Safety Orders."
4. If using a consultant for construction engineering, will provide a full-time employee that is in responsible charge of the project. However, this requirement is waived if a consultant is being retained as the City Engineer.
5. Will ensure that the contractor designates, in writing, a person authorized to supervise the work and to act for the contractor.
6. Ensures that material samples are taken and testing performed in accordance with the Local Agency's Quality Assurance Program and contract special provisions.
7. Ensures that daily reports are kept by the engineer and inspectors of their and the Contractor's activities. Daily reports will follow the guidelines in Section 16.7, "Engineer's Daily Reports."
8. Resident Engineer may ask for help from the DLAE, if a construction problem arises.
9. Is encouraged to partner with the Contractor to maintain open communication and to mutually resolve field problems to the benefit of all parties.
10. Should schedule a preconstruction meeting with the Contractor, major subcontractors, DLAE and other affected agencies; local, state or federal authorities and utility companies.
11. Shall maintain a record of the contractor's progress and keep track of the number of working days.
12. Shall follow the subcontracting procedures outlined in Section 16.6, "Subcontracting," for federal-aid projects on the NHS. Specifically, minimum percentage of work that a Contractor must do with their work force and the substitution of first tier subcontractors.
13. Ensures job records are complete, in one location, and organized in accordance with subsection "Organization of Files."
14. Will prepare a progress invoice and submit to the DLAE and Local Program Accounting Branch for reimbursement. The progress invoice will be supported with documentation outlined in Section 16.9, "Construction Records and Accounting Procedures."
15. Will designate a labor compliance officer who will ensure that labor compliance regulations are met in accordance with the contract special provisions and Section 16.11, "Labor Compliance."
16. For labor compliance violations the Local Agency will notify DLAE and the US Department of Labor.
17. Will document the Contractor's compliance with EEO requirements in accordance with FHWA Form 1273. Will collect and submit to the DLAE FHWA form PR-1391 by August 25 of each year.
18. Shall prepare change orders for changes to the contract work. Change orders shall be executed by the Local Agency prior to the start of the change order work. Will not execute change orders that require authorization of additional federal funds, until receiving written confirmation from the DLAE and RTPA/MPO that funds are available. However, if the change order is for emergency work, verbal approval from the DLAE and RTPA/MPO is acceptable, to be followed up in writing. For additional information on change orders, see Section 16.13, "Contract Change Order," and Chapter 5, "Change Orders," of the Caltrans Construction Manual.

19. Will use the Dataquest Rental Rate Blue Book or Caltrans' Labor Surcharge & Equipment Rental Rate to determine change order costs for the Contractor's equipment.
20. Will determine if the change order work is participating or not, in regards to using federal funds. Questions regarding this matter may be directed to the DLAE.
21. Will have an updated Quality Assurance Program, for testing materials, that is in accordance with the Caltrans "Quality Assurance Program (QAP) Manual For Use by Local Agencies" Manual, as posted on the Local Assistance web site.
22. Will notify the DLAE and METS when independent assurance assistance is needed and will have METS review the local agency's QAP.
23. Will provide as needed source inspections for manufactured and prefabricated materials at locations other than the construction site. If the Local Agency is unable to perform source inspection and qualified consultants are unavailable, contact the DLAE and request that Caltrans perform the source inspection in accordance with subsection "Source Inspection."
24. Will incorporate into the job records all material records of samples and tests, material releases, certificate of compliance, weight slips/tags, and initial test reports.
25. Shall provide the DLAE a "Materials Certificate" after the project has been completed.
26. Will avoid claims by preparing contract documents that are accurate, current, and complete. However, if claims do occur the Local Agency will follow the administrative claims procedure in the contract special provisions. Complex claims should be brought to the attention of the DLAE.
27. Will provide the Contractor with all required posters to be posted on the job site.
28. Shall have a traffic control plan (TCP) for handling traffic through the construction work zone and a responsible person to assure that the TCP is followed.

Caltrans DLAE

1. DLAE will participate in and document pre-construction meetings on a periodic basis.
2. DLAE provides assistance to Local Agency Resident Engineers if staff is available.
3. Review all local agency invoices (progress/final) and support documentation and approve before sending to Local Program Accounting. See Chapter 5, "Accounting/Invoices" for detailed progress/final invoice format, support documentation and processing requirements
4. DLAE shall periodically perform inspections of the Local Agency's job records.
5. DLAE will keep track of labor compliance violations on projects.
6. DLAE and District Labor Compliance will monitor Local Agency labor compliance through a process review or mini process review.
7. DLAE will collect the FHWA form PR-1391 and forward to the Division of Local Assistance Civil Rights Coordinator no later than August 30 of each year.
8. Will help Local Agencies determine if change order work is participating or not, in regards to using federal funds.
9. Will conduct process reviews to monitor change orders annually.
10. Will assist the Local Agency in obtaining independent assurance (IA) services and source inspection from METS.
11. Will provide limited guidance to the Local Agency on contractor claims, depending on the complexity and size of the claim, and the availability of the DLAE's staff.

Caltrans DLA

1. Delegates responsibility of contract administration and construction inspection to Local Agencies by way of master agreements and program supplements.

Caltrans METS

1. Will perform independent assurance (IA) services, in accordance with the QAP Manual, for Local Agencies with Federal-aid projects on and off the NHS. Will certify Local Agency and consultant material labs, samplers and testers in the use of the California Test Methods. Will review the Local Agency's or consultant's submitted QAP and provide feedback to the Local Agency, consultant and DLAE.
2. While performing IA services, will interview R.E. with a set of questions, see **Attachment #2**, to test R.E.'s knowledge of the QAP and maintaining material records. Responses to question will be kept in a database and will help identify for METS changes needed to enhance future IA service to local agencies.
3. Will perform source inspections for Local Agencies, if resources are available.

Caltrans DLA - Construction Oversight Engineers

The construction oversight engineers will be located throughout California and will be responsible for monitoring local agency federal-aid construction projects off the state highway system taking place in a region or district.

Oversight engineers will be responsible for the following:

1. Visit 5 to 10 project sites per month.
2. Visit project site 3 times:
 - a) Start of construction, preferably at the pre-construction meeting or before the work has started. Will go over "Review Form," see **Attachment #3**, with R.E. and answer any questions he or she may have.
 - b) Mid-point of project or during active construction season. Will perform review in accordance with the "Review Form."
 - c) After job acceptance, perform another review.
3. "Review Form" will be graded on % of yes responses. Overall goal is 100%. The oversight engineer will also comment in writing on outstanding issues both good and bad.
4. "Review Form" will go to HQ and DLAE
5. DLAE will send to Local Agency and work with Local Agency on any shortcomings
6. Conflict between DLAE, Oversight Engineer and Local Agency will be decided by DLA.

Caltrans DLA - Construction Oversight Program Coordinator

A Senior Level Engineer will act as the Statewide Construction Oversight Program Coordinator in Division of Local Assistance Headquarters, and will be responsible for the following:

1. Will determine projects to be reviewed. This will be done using LP2000 and choosing projects at random.
2. Will work with oversight engineers to ensure consistency in evaluating local agencies. This may include traveling with oversight engineers and observing site reviews.
3. Will act as a liaison with FHWA and oversight engineers.
4. Prepare quarterly reports to FHWA:
 - a) List number and type of negative responses
 - b) Scores – Goal is 100%
 - c) Number of projects reviewed
 - d) Stage of construction, i.e., first or last inspection
 - e) Actions to correct problems – COIN, training or withholds
5. Ensure data from reviews is input into database.
6. Will input data from “Review Form” into LP2000.
7. Help resolve conflicts between parties, i.e., local agency, DLAE and oversight engineer and if conflicts can not be resolved to the satisfaction of all the parties, will make the final decision.
8. Work with other divisions within Caltrans/FHWA to keep up with construction practices.
9. Modify “Review Form” to keep current and proactive.
10. Prepare Construction Outreach Information Notice (COIN), a periodic outreach bulletin to DLAEs and Local Agencies that will serve as a reminder and highlight areas and aspects of contract administration needing improvement. The COIN will be posted on the DLA website, sent to FHWA and the DLAEs for distribution to our Local Agency Partners, and also sent to the DLA list server subscribers.

Division of Occupational Safety and Health

Will inspect construction site for worker safety and take action if unsafe or unsanitary conditions exist.

FHWA

Review job records in field.

Chapter 17 – Project Completion

Outlined in this section are project roles and responsibilities for closing a Federal-aid construction contract.

Local Agency

1. Shall document environmental mitigation commitments for the final inspection Report of Expenditures in accordance with Section 17.3, “Final Inspection Procedures for Federal-aid Projects.”
2. Will create punch list of work to be completed prior to contract acceptance. After all work has been finished and the construction contract has been accepted, will complete Item 1-10 of the “Local Agency Final Inspection Form” (Exhibit 17-C) and forward to the DLAE.
3. Shall provide “As-Built” plans of the structure work to the DLAE.

4. Will prepare the "Report of Expenditures" within 6 months after project completion and submit to the DLAE. It shall include the following:
 - a) Cover Letter and Report of Expenditures Checklist (Exhibit 17-A)
 - b) Local Agency Final Inspection Form (Exhibit 17-C)
 - c) Final Invoice (Exhibit 17-D)
 - d) Final Detail Estimate – see Section 15.7 "Award Package"
 - e) Change Order Summary (Exhibit 17-E)
 - f) Final Report, Utilization of Disadvantaged Business (DBE) and Woman-Owned Business Enterprise (Exhibit 17-F)
 - g) Disadvantaged Business Enterprises (DBE) Certification Status Change (Exhibit 17-O)
 - h) Materials Certificate (Exhibit 17-G)
 - i) Cover Letter and Report of Completion of Structures on Local Streets and Roads (Exhibit 17-I and 17-J)
 - j) Report of Completion of Right of Way Expenditures (Exhibit 17-K)
 - k) Report of Expenditures for Force Account Projects (Exhibit 17-L)
6. Ensure the Final Invoice and the Final Detail Estimate match.
7. Maintain project documentation for three years from the date of the final invoice.

Caltrans DLAE

1. Will review the job site, after receiving the "Local Agency Final Inspection Form" (Exhibit 17-C) from the Local Agency, and verify that the project was completed within scope of the work contemplated and in accordance with the contract plans. If the work is satisfactory, will complete Item 11-13 of the "Local Agency Final Inspection Form" (Exhibit 17-C), sending a copy to the Local Agency.
2. Will forward structure "As-Built" plans on to the Division of Construction, along with a copy of the "Report of Completion of Structures" (Exhibit 17-J).
3. DLAE will review the Report of Expenditures for correct format and obvious error and/or admissions. After the DLAE verifies the report shall forward to Local Program Accounting.
4. Will input final cost and final invoice date into LP2000.
5. Will receive the Cover Letter and Report of Completion of Structures on Local Streets and Roads (Exhibit 17-I and 17-J) from the Local Agency.

Caltrans Oversight Engineer

1. Will review FHWA Full Oversight (New or Reconstruction > \$1 Million) project site, after receiving the "Local Agency Final Inspection Form" (Exhibit 17-C) from the Local Agency, to ensure that the project has been completed in accordance with the plans and specifications. If all work is satisfactory, will forward the information to FHWA.
2. Coordinate final inspection with FHWA in accordance with Caltrans "Oversight Field Engineer Guidelines."

Caltrans DLA

Receive copy of the Final Report of Expenditure and close out project after Local Program Accounting submits final invoice to Local Agency.

FHWA

For "High Profile" Projects (for criteria see California Stewardship & Oversight Agreement signed (September 4, 2007) inspect to ensure that the project has been completed in accordance with the plans and specifications.

**FEDERAL-AID PROJECT RESPONSIBILITIES
FOR DELEGATED PROJECTS
OFF THE NATIONAL HIGHWAY SYSTEM¹**

(Pursuant to the 2007 FHWA and Caltrans - *Joint Stewardship and Oversight Agreement*)

Project Action/Activity	Local Agency	Caltrans DLAE	Caltrans DLA	FHWA
Project Authorization (Chapter 3)				
Construction Authorization [23 CFR 630.110]	Prepare	Recommend	Recommend	Approve ²
Obligate Funds	-	-	-	Approve
Vouchers	-	-	-	Approve
Advertise & Award Project (Chapter 15)				
Consultant Agreements [23 CFR 172.7-172.9]	Approve	-	-	-
Public Interest Finding (PIF) - Advertising Less than 3 Weeks [23 CFR 635.112]	Prepare	Approve	-	-
Advertise Project	Approve	-	-	-
Advertisement Addendum [23 CFR 635.1129(c)]	Approve	-	-	-
Award of Project [23 CFR 635.114]	Approve	-	-	-
Rejection of All Bids [23 CFR 635.114]	Approve	-	-	-
Award Package – Including DBE Reporting	Prepare	Accept	-	-
Administer Construction Contract (Chapter 16)				
Contract Administration and Inspection	Certify	Verify ³	-	Verify ²
Subcontracting Requirements [23 CFR635.114]	Certify	- ²	-	-- ²
Quality Assurance Program	Approve	Accept ²	-	-- ²
Contract Change Orders (no increase in federal funds)	Approve	-- ²	-	-- ²
E-76 Modification for CCO with increases in federal funds	Prepare	Recommend	Recommend	Approve ¹
Environmental Commitments	Implement	- ²	-	- ²
Contract Time Extensions [23 CFR635.120&121]	Approve	- ²	-	- ²
Termination of Construction Contract [23 CFR 635.125]	Approve	- ²	-	- ²
Settlement of Construction Contract Claims	Approve	- ²	-	- ²
Incentive/Disincentive Amount Justification [23 CFR 635.127]	Approve	- ²	-	- ²
Liquidated Damages [23 CFR 635.127]	Approve	- ²	-	- ²
Materials Certification [23 CFR 637.207]	Certify	Accept	-	-
Reimbursement Invoice	Prepare	Recommend	Recommend	Approve
Project Completion (Chapter 17)				
Final Inspection/Contract Acceptance [23 USC 114(a)]	Approve	-	-	-
Project Verification	Recommend	Approve	-	-

¹ These project responsibilities also apply to delegated activities on High Profile Projects.

² FHWA performs a cursory review of all authorizations, modifications and final vouchers; a more in-depth review is performed on sampled projects.

³ Verification is defined as routine, independent confirmation of local agency self-certifications for sampled projects. Caltrans and FHWA can verify any aspect of construction contract administration as part of construction field reviews.

Project Action/Activity	Local Agency	Caltrans DLAE	Caltrans DLA	FHWA
Final Report of Expenditures	Prepare	Approve	?	Approve ¹
Post-Project Audits			?	Verify ²

DIVISION OF LOCAL ASSISTANCE

**CONSTRUCTION
OVERSIGHT
INFORMATION
NOTICE**



DLA COIN Issue #09-01

Release date: April 28, 2009

Welcome to COIN!

This is the first issue of a new Caltrans Local Assistance Program publication — the **Construction Oversight Information Notice**, or “**COIN**” for short. These short, single-topic bulletins are intended to provide outreach information and guidance to local agencies on issues pertaining to the construction of Federal-aid projects. They will cover a wide variety of subjects, including discussions of findings resulting from process reviews by Caltrans and/or FHWA, changes in procedures or regulations, reminders of existing procedures or best practices, and other timely information. The goal is to ensure proper and timely delivery of Federal-aid projects. Please ensure that Resident Engineers on all Federal-aid projects receive copies of these notices.

Our First Topic: Keeping Adequate Construction Records

The issue: A significant point made in the FHWA “Local Agency Program Review, Phase II” report, dated September 2008, relates to project records. Specifically, one of the most recurrent findings was that project files were incomplete, and that documentation problems were most often found in the construction phase. As the report states, “**complete and organized projects files are essential in demonstrating compliance with laws, regulations and policies.**” Important construction record keeping areas include:

- Project correspondence
- Materials data (including inspection and testing reports)
- Resident Engineer/Construction Inspector daily diaries
- Contract item pay quantity documents
- Contract Change Orders (CCO’s) and claims
- Labor Compliance/EEO records (including certified payrolls and employee interviews)
- DBE participation reports

Our advice: The best source of information for construction project record keeping requirements is the **Caltrans Local Assistance Procedures Manual (LAPM)**. In particular, **Exhibit 15-B, the “Resident Engineer’s Construction Contract Administration Checklist”**, and **Chapter 16, “Administer Construction Contracts”** contain valuable information on this subject. You can find these resources at our website, <http://www.dot.ca.gov/hq/LocalPrograms/lam/lapm.htm>. Your Caltrans District Local Assistance Engineer (DLAE) can also help you with any questions you may have.

The Construction Oversight Information Notice (COIN) is prepared by Caltrans, Division of Local Assistance, Office of Policy Development and Quality Assurance. Comments or suggestions should be directed to the COIN Editor: Tom_Glover@dot.ca.gov.

LOCAL ASSISTANCE CONSTRUCTION OVERSIGHT

ASSESSMENT NO. (example NO. IA09- 01David, or I IA09-02David, so on)
 FEDERAL AID NO.
 PROJECT:
 LOCAL AGENCY:
 RESIDENT ENGINEER: DATE:

A. QUESTIONS FOR RESIDENT ENGINEER	Unsatisfactory*	Satisfactory	Excellent*
1. Is there an approved Quality Assurance Program (QAP)?			
2. Is the QAP being followed?			
3. Are records of material tests organized and accessible?			
4. Is staffing available to perform materials testing as needed?			
5. Are samplers, testers, and laboratory certified and by whom?			
6. What materials are accepted by the RE by certification?			
7. Do records show failed tests and actions taken by RE?			
8. Are Certificates of Compliance being provided per the contract?			
9. Is source inspection occurring of material listed in LAPM Exh16-I?			
10. Are "Buy America" requirements met on invoices/certifications?			
* Provide written justification 4.17.09			

B. DISCUSSION

Discuss the following areas below with the local agency:

1. How is Independent Assurance (IA) now performed? _____
2. Is the local agency aware of METS IA services? _____
3. Is their present IA program satisfactory? _____
4. What are their material testing capabilities? _____
5. Is contractor paid only for acceptable materials? _____
6. Is a consultant being used for materials testing? _____
7. If so, how was the consultant selected? _____
8. Are California Test Methods used? If not, what are? _____

Distribution: Original: IA file, Copy: METS, DLAE (for action), DLA Oversight Engr

District Construction Monitor Review Form

Local Agency: _____ Project No. _____
 Reviewed by: _____
 Date: _____ Contract Amount \$ _____ % Work Complete _____
 Type of Work: _____ Location: _____

(LAPM Page #)

Project Staffing: (16-5)

Is there a list of names and titles of all staff assigned to the project?
 yes no Comments:

Who is the Resident Engineer, and is that person in responsible charge of the project?
 yes no Comments:

In Case of Emergency: (16-13)

Is there a list of names, addresses, and telephone numbers of responsible members of Local Agency/Consultant/Contractor's personnel?
 yes no Comments:

Is there a map showing the location of a neighborhood medical facility with their address, telephone number and office hours? (Review jobsite)
 yes no Comments:

Authorization: (15-6)

What is the date of the "Authorization to Proceed for Construction"?

What was the date the project was advertised?

What was the bid opening date?

Project Files: (16-10)

Are the files in an established order?
 yes no Comments:

Index used on this project is local agency's standard for all jobs
 Or, for Federal-aid jobs only
 Comments:

Resident Engineer's/Construction Inspectors Daily Diaries: (16-10 & 16)

Are they current, thorough, and neat?
 yes no Comments:

Construction Records and Accounting Procedures: (16-12)

Is the Detailed Estimate and Finance Letter in the project files?

yes no Comments:

Does the RE know the amount of Federal-aid funds encumbered for the project?

yes no Comments:

Is the Program Supplement Agreement on file?

yes no Comments:

Is the RE aware of the Program Supplement Agreement covenants?

yes no Comments:

Are there source documents supporting progress payments made to contractor?

yes no Comments:

Are there separate item sheets for each contract item paid? Randomly check.

yes no Comments:

Is there a procedure for Administrative or Labor Compliance deductions in the contract?

yes no Comments:

Is the State invoiced after progress payments are made to the contractor?

yes no Comments:**Contract Time: (16-8)**

Is there an established method to account for contract time?

yes no Comments:**Labor Compliance: (16-15)**

Are diaries spot-checked against certified payrolls?

yes no Comments:

What is the established method?

EEO/Wage Rate Posters: (16-16)

Are the Federal posters posted for every worker to see at, or near, the contractor's office at the construction site, or at the worker's central gathering point? (Review jobsite)
 yes no Comments:

Employee Interviews: (16-16)

Is the local agency conducting employee interviews?
 yes no Comments:

Have the interviews been signed and dated?
 yes no Comments:

OJT: (12-22 & 16-16)

Are OJT requirements included in the contract?
 yes no Comments:

Is there documentation to account for the apprentices on the job?
 yes no Comments:

DBE: (9-4)

Is a copy of the contract Bidder DBE Information Form in the project files?
 yes no Comments:

What was the contract DBE goal?

What is the contractor's DBE goal?

If the contractor's goal is less than the contract goal, is there a "good faith" statement in the project files?
 yes no Comments:

How is the local agency checking for DBE goal compliance?

Payrolls?
 yes no Comments:

Interviews?
 yes no Comments:

Diaries?

yes no Comments:

Additional Methods:

Prompt Payment: (9-9)

What does the RE know about the subcontractor prompt payment requirements in the contract?

yes no Comments:**CCOs: (16-18)**

Is there a process for CCO approval?

yes no Comments:

List of the approved CCOs.

yes no Comments:

Randomly check CCOs for Federal-aid eligibility, report on findings.

Comments:

Traffic Safety in Highway and Street Work Zones: (16-45)

(If the project involves work on a existing highway or roadway)

Is there a Traffic Control Plan (TCP)/Traffic Management Plan (TMP) in the PS&E?

yes no Comments:

Field review the project to see if the TCP/TMP agrees with the actual conditions, report on findings.

Comments:

Is the local agency analyzing construction work site traffic accidents for the purpose of correcting deficiencies, which might be found to exist on individual projects and to improve the content of future TCP/TMPs?

Materials Files: (16-23)

Is the Local Agency's Quality Assurance Program (QAP) in the RE's Office?
yes no Comments:

If local agency staff is performing acceptance testing, are copies of the local agency material tester's certification in the project files?
yes no Comments:

Has the local agency hired a consultant to do the materials testing for this project?
yes no Comments:

Is there a copy of the consultant's QAP in the project files?
yes no Comments:

Is the local agency adhering to their QAP?
yes no Comments:

Do the files back it up?
yes no Comments:

Does the local agency have a procedure for and filing of:

Notice of Materials to be used?
yes no Comments:

Certifications of Compliance?
yes no Comments:

Do all Certifications of Compliance contain the required information?
yes no Comments:

"Buy America" Requirements included on invoices and certifications?
yes no Comments:

Are there Acceptance Sampling and Testing Reports in the files?
yes no Comments:

Are trial batch test results properly identified and acceptable?

yes no Comments:

Is there a "Summary Log" of tests?

yes no Comments:

What is the frequency of tests?

Frequency tables used?

yes no Comments:

Is the frequency of tests being monitored?

yes no Comments:

Are failed tests documented in the files with cross references to re-tests?

yes no Comments:

Does the Resident Engineer see the test reports?

yes no Comments:

Environmental: (6-15)

Is the environmental document for this project in the RE's files?

yes no Comments:

Is the construction project adhering to the mitigation requirements in the document?

yes no Comments:

Bridge Projects (only)**Concrete records (16-23)**

Are all approved concrete mixes on file?

yes no Comments:

Was a letter of approval written for all approved mixes?

yes no Comments:

Are proper tests being recorded on pour record?

yes no Comments:

Are load slips for PCC being properly filled out?

yes no Comments:

Bridge CCO's (16-18)

For bridge design changes, has the bridge designer authorized the change?

yes no Comments:

Review set of approved falsework plans.(16-3)

Is the falsework log on file?

yes no Comments:

Properly stamped?

yes no Comments:

Plans for erection & striping provided?

yes no Comments:

Calculations complete?

yes no Comments:

Are the records of camber and falsework deflection calculations performed by the Engineer?

yes no Comments:

Are records of falsework soffit and deck grades supplied to the contractor by the Engineer which will accommodate falsework settlement, falsework deflection, and the bridge camber requirements?

yes no Comments:

Is there a letter from the contractor certifying that the erected falsework substantially meets the approved falsework plans **prior** to concrete pour of bridge soffit/deck ?

yes no Comments:

Are there any records of falsework settlement during and after the concrete pour?

yes no Comments:

Prestressing (16-3)

(If the contract requires prestressing.)

Are the initial shop drawings for prestressing (submitted by the contractor) on file?

yes no Comments:

Are they properly stamped?

yes no Comments:

Are the final set of shop drawings for prestressing on file?

yes no Comments:

Properly stamped?

yes no Comments:

Is there a record in the RE/inspector's diary to indicate that the prestressing ducts were checked for any obstruction after the soffit/deck concrete pour, and before placing the strands?

yes no Comments:

Are the records of actual prestressing in file?

yes no Comments:

Are the contractor's pressure gauges certified? Is the gauge certification still valid?

yes no Comments:

Is there a proper documentation of, i) Actual strand elongation v/s theoretical elongation?,
ii) Load cell readings v/s contractor's gauge readings?

yes no Comments:

Are there records of grouting in file? Is the certificate of compliance for the cement used in file?

yes no Comments:

Profilographs (16-23)

(If there is a new bridge deck, or if the existing deck has been modified)

Are the profilographs taken before and after the deck grinding? Are all the profilograph records in file?

Review set of approved shoring plans (16-3)

(If there is shoring on the project.)

Properly stamped?

yes no Comments:

Calculations complete?

yes no Comments:

Welding (16-23)

(If there is welding in the contract.)

Does the contract require the Contractor to have a Quality Control Plan for welding?

yes no Comments:

Is a copy on file?

yes no Comments:

Are all Welder qualification tests on file?

yes no Comments:

Are the Weld Procedures Specifications on file?

yes no Comments:

Are the Contractor's certified copies of test reports for electrodes on file?

yes no Comments:

Are "As built" changes being currently maintained on a set of plans or in a file? (17-4)

yes no Comments:

Additional comments:

DIVISION OF LOCAL ASSISTANCE



CONSTRUCTION OVERSIGHT INFORMATION NOTICE



DLA COIN Issue #09-02

Release date: June 10, 2009

Welcome to COIN!

This is the second issue of a new Caltrans Local Assistance Program publication — the **Construction Oversight Information Notice**, or “**COIN**” for short. These short, single-topic bulletins are intended to provide outreach information and guidance to local agencies on issues pertaining to the construction of Federal-aid projects. They will cover a wide variety of subjects, including discussions of findings resulting from process reviews by Caltrans and/or FHWA, changes in procedures or regulations, reminders of existing procedures or best practices, and other timely information. The goal is to ensure proper and timely delivery of Federal-aid projects.

Our Topic: FHWA Risk Management Plan for Recovery Act Projects

The Issue: The Federal Highway Administration (FHWA) has identified “Local Public Agency” projects funded with federal-aid “American Recovery and Reinvestment Act of 2009 (Recovery Act)” funds as being high risk and requiring a significant increase in oversight by Caltrans and FHWA.

Recently an “Interim Construction Oversight Plan” was jointly developed by Caltrans, FHWA, and local agency representatives which identifies local agency, District Local Assistance Engineer (DLAE), and Division of Local Assistance (DLA) responsibilities from chapters of the Local Assistance Procedures Manual associated with projects during construction. The “Interim Construction Oversight Plan” is available for your information on the Caltrans Division of Local Assistance website at:

http://www.dot.ca.gov/hq/LocalPrograms/Reports_db.htm

In addition to increased oversight for Recovery Act projects by Caltrans Local Assistance and FHWA California Division, FHWA will also be fielding “National Review” teams to evaluate Recovery Act projects. Since California is receiving in excess of 60 percent of the Recovery Act funds, a large number of local agencies with Recovery Act projects are expected to be reviewed by FHWA and/or Caltrans Local Assistance. To provide guidance, FHWA has made available the attached draft copy of a 7-page “Local Public Agency (LPA) Federal-Aid Projects Checklist”, a 2-page “Billing/Payment Process of State and Local Governments Division Office Checklist”, and a 2-page “Indirect Costs of State and Local Governments Division Office Checklist - March 10, 2009”. These have been specifically prepared for review of Recovery Act projects. Local agencies with Recovery Act projects should take time to examine these attachments to help ensure that their project will be in full compliance. Any questions regarding these attachments should be emailed to: **eugene.shy@dot.ca.gov**

The Construction Oversight Information Notice (COIN) is prepared by Caltrans, Division of Local Assistance, Office of Policy Development and Quality Assurance. Comments or suggestions should be directed to the COIN Editor: Tom_Glover@dot.ca.gov .



LOCAL PUBLIC AGENCY (LPA) FEDERAL-AID PROJECTS CHECKLIST

The FHWA Risk Management Plan identifies the major risk areas in implementing the American Recovery and Reinvestment Act of 2009 (Recovery Act). For Division Offices consideration, example checklists have been developed for use in "visible monitoring" efforts. The LPA Checklist should be completed by the Division Office in the review of LPA Recovery Act projects to ensure projects are being administered in accordance with all applicable Federal-aid laws, regulations, and policies.

Also refer to the **Financial Management Checklists:**

- Local Project Administration Policies and Procedures
- Billing/Payment Process of State and Local Governments
- Indirect Costs of State and Local Governments

PROJECT DATA

Item No.	
Federal Project Number	
County	
Route	
Engineer's Estimate	
Contract ID	
Letting Date	
Description	

GENERAL REFERENCES

Regulations and Guidance

<http://staffnet.fhwa.dot.gov/programadmin/localovr.cfm>

PLANNING

Checklist Item	Yes	No	N/A
1. Is the project programmed in the currently approved STIP? 23 CFR 450.216 Ref. _____			
2. Is this project in an MPO area and programmed in the MTP and TIP? 23 CFR 450.322 MTP Ref. _____ 23 CFR 450.324 TIP Ref. _____			

Comments:

ENVIRONMENT

Checklist Item	Yes	No	N/A
1. Has the environmental documentation for the project been approved? 23 CFR 771 <input type="checkbox"/> Programmatic Categorical Exclusion (PCE) Date Approved _____ <input type="checkbox"/> Categorical Exclusion Level 1 (CE-1) Date Approved _____ <input type="checkbox"/> Categorical Exclusion Level 2 (CE-2) Date Approved _____ <input type="checkbox"/> Categorical Exclusion Level 3 (CE-3) Date Approved _____ <input type="checkbox"/> Environmental Assessment (EA) / FONSI Date of FONSI _____ <input type="checkbox"/> Environmental Impact Statement (EIS) / ROD Date ROD Signed _____			
2. Is a reassessment or re-evaluation of the environmental document needed? 23 CFR 771.129			
3. Have environmental commitments been incorporated into the final design and contract documents? Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Historic Preservation 36 CFR 800 Prop./Plan Ref. _____ Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Stream/Wetland Mitigation 23 CFR 777 Prop./Plan Ref. _____ Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Noise Abatement 23 CFR 772 Prop./Plan Ref. _____ Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Section 4f 23 CFR 771.135 Prop./Plan Ref. _____ Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Endangered Species Act 50 CFR 402.12(c) Prop./Plan Ref. _____ Y <input type="checkbox"/> N <input type="checkbox"/> Other _____ Prop./Plan Ref. _____			
4. Have all permits for the project been secured?			
5. Is the contractor responsible for obtaining any permits or external agency approvals for this project? Permit/Agency Approval _____ Prop./Plan Ref. _____			

Comments:

RIGHT OF WAY & UTILITIES

Checklist Item	Yes	No	N/A
1. Has all Right-of-Way for the project been secured? 23 CFR 635.309 <input type="checkbox"/> Right-of-Way Certificate Date Approved _____ Proposal Ref. _____			
2. If the Right-of-Way will not be clear prior to authorization, are proper stipulations contained in the proposal? Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Restrictions on the contractor Proposal Ref. _____ Y <input type="checkbox"/> N <input type="checkbox"/> Estimate of when the Right-of-Way will be clear Prop. Ref. _____			

<p>3. Is the project located within 2 miles of an airport? 23 CFR 620.103 <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Coordinated with FAA <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Vertical clearance adequate</p>			
<p>4. Have utility agreements for all utilities affected by this project been completed and approved?</p>			
<p>5. Have all utilities affected by this project been relocated or will be relocated prior to advertisement? Utility Clearance Note Proposal Ref. _____</p>			
<p>6. If all utilities have not been relocated prior to advertisement, does the proposal include a special provision stipulating utility coordination with the prime contractor for each utility? For each utility relocation coordinated with the prime contractor, provide the utility name, relocation date specified in the utility agreement, and a proposal reference: Utility Co. Name: _____ Relocation Date: _____ Prop. Ref. _____ Utility Co. Name: _____ Relocation Date: _____ Prop. Ref. _____ Utility Co. Name: _____ Relocation Date: _____ Prop. Ref. _____</p>			
<p>7. Does the project require use of or adjustment of railroad facilities? 23 CFR 646 <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Railroad Agreement approved <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Liability Insurance requirements provided in proposal Proposal Ref. _____</p>			

Comments:

PLANS & SPECIFICATIONS

Checklist Item	Yes	No	N/A
<p>1. Have all comments and issues from previous review reports, meeting summaries, etc. been satisfactorily addressed?</p>			
<p>2. Do the contract plans describe the location and design features and the construction requirements in sufficient detail to facilitate construction and the estimation of construction costs of the project? 23 CFR 630.205 Do the contract plans contain the following: <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Title Sheet <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Typical Sections <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Summary of Quantities <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Plan Sheets <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Profile Sheets <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Drainage Sheets <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Cross Sections <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Traffic Control Plans <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Signing Plans <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Lighting Plans <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Traffic Signal Plans <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Special Detail Sheets</p>			

Y <input type="checkbox"/> N <input type="checkbox"/> Structure/Bridge Plans Y <input type="checkbox"/> N <input type="checkbox"/> Utility Relocation Plans Other _____			
3. Does the design conform to Federal-aid design standards for geometric and structural design of highways and/or STA policy and guidance manuals? 23 CFR 625			
4. Are any design exceptions incorporated into this project? 23 CFR 625.3(f) Design Exception: _____ Y <input type="checkbox"/> N <input type="checkbox"/> Reviewed and approved by the STA and FHWA (if applicable per current State DOT/FHWA Stewardship Plan) Date Approved _____			
5. Does the project involve new or revised Interstate Access? Y <input type="checkbox"/> N <input type="checkbox"/> Interchange Justification/Modification Study approved by FHWA Date Approved _____			
6. Are Right-of-Way, easement, and control of access lines shown on the plans?			
7. Is the Right-of-Way acquired adequate to facilitate construction of the project?			
8. Are all traffic control devices provided with this project consistent with the current edition of the Manual on Uniform Traffic Control Devices (MUTCD)? 23 CFR 655.603			
9. Is a temporary traffic control plan provided and consistent with regulations on Work Zone Safety & Mobility as described in 23 CFR 630 (J)? Prop./Plan Ref. _____ Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Transportation Management Plan (TMP) Approved by the STA and FHWA (if applicable per current STA/FHWA Stewardship Plan) Date Approved _____			
10. Are the clear zone and safety appurtenances provided for this project in accordance with the current edition of the AASHTO Roadside Design Guide?			
11. Are appropriate accommodations provided for bicyclists and pedestrians along the project and intersecting roadways? 23 CFR 652			
12. Are pedestrian facilities designed in accordance Americans with Disabilities Act requirements? http://www.access-board.gov/			
13. Does the project utilize the current version of Standard Drawings?			
14. Are local standard drawings, sepia drawings, or special details incorporated into the project? Prop./Plan Ref. _____ Y <input type="checkbox"/> N <input type="checkbox"/> Reviewed and approved by the STA and FHWA (if applicable per current STA/FHWA Stewardship Plan) Date Approved _____			
15. Does the project utilize the current version of the State Standard Specifications?			

<p>16. Are local specifications or supplemental specifications utilized on the project? Proposal Ref. _____ <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Reviewed and approved by the STA and FHWA (if applicable per current STA/FHWA Stewardship Plan) Date Approved _____</p>			
<p>17. Are all pay items covered by an appropriate specification that agrees with the plans for basis of payment?</p>			
<p>18. Are any materials to be supplied by the Local Public Agency or the State? 23 CFR 635.407 Material: _____ <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Public Interest Finding (PIF) approved by the STA and FHWA (if applicable per current STA/FHWA Stewardship Plan) Date Approved _____</p>			
<p>19. Are patented or proprietary materials shown in the plans or specifications? 23 CFR 635.411 Material/Product: _____ <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Use of Material approved by the STA and FHWA (if applicable per current STA/FHWA Stewardship Plan) Date Approved _____</p>			
<p>20. Is state or local force account construction work to be utilized on this project? 23 CFR 204 <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Cost Effective Determination approved by the STA and FHWA (if applicable per current STA/FHWA Stewardship Plan) Date Approved _____</p>			
<p>21. Are experimental features utilized on this project? (Federal-aid Policy Guide G 6042.4) Experimental Feature: _____ <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Work Plan approved by the STA and FHWA (if applicable per current STA/FHWA Stewardship Plan) Date Approved _____</p>			
<p>Comments:</p>			

ESTIMATE

Checklist Item	Yes	No	N/A
1. Does the estimate include a pay item for all work included in the plans?			
2. Are all estimated unit prices reasonable and comparable to average unit bid prices or construction industry trends?			
3. Are Federal-aid non-participating items included in this project? Y <input type="checkbox"/> N <input type="checkbox"/> Non-participating items separately listed in the estimate and/or plans items include: _____			
4. Is the amount of the estimate consistent with the amount of Federal-aid funding requested for the construction phase?			

Comments:

PROPOSAL

Checklist Item	Yes	No	N/A
1. Is the FHWA-1273 Required Contract Provisions for Federal-Aid Construction Contracts included? 23 CFR 633.102 Proposal Ref. _____			
2. Are Equal Employment Opportunity (EEO) special provisions included? Proposal Ref. _____			
3. Does the proposal contain a Disadvantaged Business Enterprise (DBE) goal? 23 CFR 635.107 & 49 CFR 26 DBE Goal: _____ Proposal Ref. _____			
4. Is a non-collusion provision included? 23 CFR 635.112(f) Proposal Ref. _____			
5. Are standardized changed condition clauses included? 23 CFR 635.109 Specification/Proposal Ref. _____			
6. Are training special provisions included? Proposal Ref. _____			
7. Are the minimum wage rates determined by the United State Department of Labor included? 23 CFR 117(f) Proposal Ref. _____			
8. Are the Buy America Act Provisions included? 23 CFR 635.410 Specification/Proposal Ref. _____			
9. Is the contract time/completion date realistic and adequately supported? 23 CFR 635.121 Proposal Ref. _____ Completion: Date / Work Days / Calendar Days (Circle One)			
10. Does the proposal contain incentive/disincentive clauses? Proposal Ref. _____			

Comments:

AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009 (RECOVERY ACT)

This category of items will ensure contract documents for projects utilizing Recovery Act funds satisfy additional requirements and include the necessary provisions as set forth in the Recovery Act. For additional guidance and information related to the Recovery Act, please visit www.fhwa.dot.gov/economicrecovery/index.htm

Checklist Item	Yes	No	N/A
1. Is this project included in Section 1511 Certification and is the information accurate?			
2. Does the contract package for the project include provisions regarding the special reporting requirements of the Recovery Act?			
3. Does the contract package for the project include a provision to override the general applicability provisions in form FHWA-1273, Section IV and V? Note that Davis-Bacon Prevailing Wage Rate requirements shall apply to all Recovery Act funded construction projects regardless of location / roadway classification. Proposal Ref. _____			
4. Does the contract package require installation of Recovery Act Project Construction Signing?			
5. Does the contract package for the project include a provision for Section 902 regarding U.S. Comptroller General authority?			
6. Does the contract package for the project include a provision for Section 1515 regarding Inspector General authority?			

Comments:

Billing/Payment Process of State and Local Governments Division Office Checklist

Division Offices: Please use the following checklist to assist you in assessing compliance related to claims for reimbursement and payment in your state. This checklist will also help prepare you in responding to questions raised during subsequent outside reviews of various agency-identified high risk areas. While these review team members will have some level of familiarity with the FHWA billing processes and procedures, the Division Offices are responsible for ensuring compliance with all applicable Federal requirements by State, local and other subrecipient entities receiving Federal-aid funds.

Review of State DOT billing/payment process

- Does the Division conduct periodic billing transaction reviews (other than the required IPIA review)?
 - If no, how does the Division verify billings submitted through RASP are accurate/supportable?
- If the Division performs periodic billing transaction reviews:
 - How current is the latest review?
 - Have all findings been satisfactorily resolved?
 - Have there been any changes to the billing system/process since last assessment?
 - What is the Division/State doing to address any concerns resulting from these changes?
 - Has resolution to concerns been tested?
 - Are results of tests conclusive? Sufficient?
 - Were the review, results, and follow-up documented?
- Does the Division insure credits have been applied when an Ineligibility Notice has been issued? Is documentation of the credits maintained?
- Is the State implementing a new accounting and billing system or billing module?
 - Has the Division been working with FHWA FST to mitigate risks of new system implementation?
 - What conclusions have been reached regarding evaluations of new billing modules?
 - Have all applicable concerns been satisfactorily resolved/mitigated?
 - Is resolution satisfactorily documented?

Review of State DOT Local Project Administration (LPA) billing/payment process

- Does the State DOT have written procedures for processing claims for reimbursement submitted by subrecipients of Federal funds?
- Does the State DOT reimburse the local agency according to their procedures and in a timely manner?
- Does the State DOT have a systematic process of auditing the claims submitted by local agencies? If so, please briefly describe the process.
- Does the LPA accounting and billing process comply with generally accepted accounting principles and standards of internal controls?
- Does the documentation submitted by the local agencies support the claims for reimbursement?

- Does the State or Local Agency have an audit function that reviews final claims for locally administered federal-aid projects?
 - Are these final claims supported with audit reports and do they accompany the claim?
 - Do persons with authority to take appropriate action receive and review the reports?
 - How does State or Local Agency handle the disposition of significant findings that impact the claim for Federal funds?
 - Has the quality of these audits been determined and what were the results of this review?
- Did the State or local agency have any Single Audit findings involving Federal-aid billing processes?
 - If so, were findings satisfactorily resolved and documented?

Review of Miscellaneous FAHP recipients not run through the State's billing system

- Does the Division periodically review claims for reimbursement from recipients of Federal Funds who do not use the current billing process RASP (Recreational Trails for example)?
- Periodic billing transaction reviews performed by the Division Office:
 - How current is the latest review?
 - Have all findings been satisfactorily resolved?
 - Have there been any changes to billing system/process since last assessment?
 - What is the Division/State doing to address any concerns resulting from these changes?
 - Has resolution to concerns been tested?
 - Are results of tests conclusive? Sufficient?
 - Were the review, results, and follow-up documented?
- Does the Division insure credits have been applied when an Ineligibility Notice has been issued? Is documentation of the credits maintained?
- Does the recipient's accounting and billing process comply with generally accepted accounting principles and standards of internal controls?
- Does the documentation submitted by the recipient support the claims for reimbursement?
- Does the recipient have an audit function that reviews final claims for federal-aid projects not processed through the state DOT's billing system?
 - Are these final claims supported with audit reports and do they accompany the claim?
 - Do persons with authority to take appropriate action receive and review the reports?
 - How does the recipient handle the disposition of significant findings that impact the claim for Federal funds?
 - Has the quality of these audits been determined and what were the results of this review?
- Was the recipient subject to the Single Audit requirements under OMB A-133?
 - Were there any findings involving Federal-aid funds?
 - Were the findings adequately resolved and documented?

**Indirect Costs of State and Local Governments
Division Office Checklist
March 10, 2009**

Division Offices: Please use the following checklist to ensure you are prepared to respond to questions related to Indirect Cost Allocation in your state.. There will likely be subsequent outside reviews of various agency-identified high risk areas, and they will be using the same checklists in their evaluations. While these review team members will have some level of familiarity with the various federal cost principles, the Division Offices, as cognizant agency for indirect cost allocation, are responsible for ensuring compliance with all applicable Federal requirements by State, local and other subrecipient entities receiving Federal-aid funds.

Annual approval of State DOT indirect cost allocation plan (ICAP) and indirect cost (IDC) rate(s) (if applicable)

- Has Division Office approved current ICAP and IDC rates for use by State DOT?
- Was the ICAP submitted and approved in a timely manner?
- Is there documented evidence of review of the ICAP submittal in the Division Office files?
- Did the Division utilize the Health and Human Services, FHWA ICAP Review Guide, or other appropriate checklist to determine that the State's ICAP conforms to the requirements of 2 CFR 225?
- Has an agreement been executed by both the State DOT and FHWA Division?
- Is the State DOT observing the FHWA prohibition on indirect cost projects? (see Indirect Cost Policy memo: FHWA Indirect Costs May 5 2004)

State's responsibilities for Local Public Agency (LPA) ICAP and IDC rate approvals

- Is the State aware of, and is it exercising its responsibilities for negotiation and monitoring LPA ICAPs, in accordance with 23 USC 106(g)(4) and 2 CFR 225 Appendix E(D)(1)(b)?
- Are there any LPAs for which the State is not exercising this responsibility?
 - If yes, identify steps being taken to ensure compliance.
 - Are the follow up steps tied to the Office of Infrastructure's LPA corrective action plan?

Other subrecipients with ICAPs

- Has the State exercised its responsibilities regarding non-profit ICAPs (per 23 U.S.C. 106(g)(4)(A)(ii)) and their compliance with 2 CFR 230?
- Is the State aware of the most recent approved ICAP for universities? (likely approved by either HHS or the Office of Naval Research, depending on who provides the most federal funding)?

Effects of infusion of ARRA funds on equity of indirect cost allocation to all benefiting cost objectives (2 CFR 225 Appendix A(F)(1), Appendix E(D)(2)(c) and (D)(3)(e))

- States – Has the Division discussed impacts of ARRA funding infusion on the continued equity of distribution of indirect costs to all benefiting cost objectives, including FAHP and ARRA funded projects?

- If applicable, have risks been appropriately mitigated? (This will likely involve either not allowing any charging of indirect costs to ARRA funded projects for the remainder of the State's FY 2009 or taking necessary steps to adjust rates for the remainder of FY 2009 to take into account the increased infusion of Federal funds and to avoid unnecessary over recovery of indirect costs)
- LPAs – Has the Division discussed potential impacts of ARRA funding on LPA administered projects where indirect costs are involved?
- Does the State have plans to adequately address risk of effects on FAHP and ARRA funded projects?

Periodic checking of rate application to Federal-aid projects

- Has the Division verified that IDC rates are properly charged to FAHP and ARRA funded projects, in accordance with current FHWA approval letter, and/or subsequently modified rates to mitigate effects of ARRA infusion of funding
 - For State administered projects?
 - For LPA administered projects?
- Is the State charging indirect costs to projects funded with Emergency Relief funds?
 - If so, has the Division ensured that such allocations do not result in a “windfall” of federal funding to the State?
 - Has the Division documented efforts to avoid over recovery of indirect costs to the ER program, such as special ER indirect cost rate(s) being developed?