



METROPOLITAN
TRANSPORTATION
COMMISSION

PROGRAMMING AND DELIVERY WORKING GROUP MEETING
Monday, April 20, 2009
10:30 a.m. – 12:30 p.m.
MTC MetroCenter, 2nd Floor, Claremont
101-8th Street, Oakland, CA 94607

AGENDA

<u>Item</u>	<u>Estimated Time</u>
1. Introductions and Announcements	3 min
2. Review of Minutes from the March 16, 2009 Working Group Meeting*	2 min
3. Working Group Standing Items	
A. STP/CMAQ Program Monitoring Update* (<i>Marcella Aranda</i>) (<i>MTC staff will report on the STP/CMAQ Program monitoring status for FFY 2008-09 as well as additional program monitoring issues. The STP/CMAQ obligation deadline is April 30, 2009</i>)	10 min
B. Federal Inactive Obligations* (<i>Marcella Aranda</i>) (<i>MTC staff will discuss the projects on the federal inactive obligations dated December 31, 2008, updated as of February 18, 2009, look-ahead list for the next quarter, and final list of projects to be deobligated as of March 12, 2009.</i>)	3 min
C. STIP Project Delivery Monitoring Update* (<i>Kenneth Kao</i>) (<i>MTC staff will report on allocation status of projects programmed in FY 2008-09 of the STIP.</i>)	5 min
D. CTC Update* (<i>Kenneth Kao</i>) (<i>MTC staff will report on the latest from the California Transportation Commission (CTC) with regards to new or revised policies, procedures, guidance and direction.</i>)	10 min
4. Discussion Items	
A. State Budget Update* (<i>Kenneth Kao</i>) (<i>MTC staff will provide an update on the State Budget.</i>)	10 min
B. American Recovery and Reinvestment Act Update* (<i>Ross McKeown/ Sylvia Fung</i>) (<i>MTC staff will provide an update on the current activities related to the federal American Recovery and Reinvestment Act of 2009.</i>)	20 min
i. Adjustments at time of project award	
ii. Steps required to fully utilize the federal funds	
iii. Transportation Enhancement element update	
C. 2010 STIP Development* (<i>Kenneth Kao</i>) (<i>The Group will discuss preliminary 2010 STIP development issues.</i>)	5 min
5. Informational Items	
A. TIP Update* (<i>Memo Only</i>) (<i>The current TIP and subsequent TIP Amendments are available online at: http://www.mtc.ca.gov/funding/tip</i>)	
B. PMP Certification Status* (<i>Memo Only</i>) (<i>Current PMP Certification status is available online at: http://www.mtcpms.org/ptap/cert.html</i>)	

6. Caltrans Items

- A. Federal Programs Update (*Sylvia Fung, Caltrans D4*) 10 min
(*Caltrans will present updates on various federal program- related changes, including solicitations and announcements.*)
- i. FY 2009 Disadvantaged Business Enterprise (DBE) Program*
(*Caltrans staff will discuss the new race-conscious DBE requirements, and what that means for projects seeking E-76's after May 31, 2009.*)

7. Workshop Items

There is no Workshop Item this month.

8. Recommended Agenda Items for Future Meetings

The next PDWG meeting:

Monday, May 18, 2009

10:30 a.m. – 12:30 p.m.

MTC MetroCenter, **2nd Floor, Claremont**

101 Eighth Street, Oakland 94607

* = Attachment in Packet ** = Handouts Available at Meeting

Contact MTC staff liaison, Kenneth Kao at (510) 817-5768 or kkao@mtc.ca.gov if you have questions about this session.

PROGRAMMING AND DELIVERY WORKING GROUP MINUTES

March 16, 2009

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1. Introductions and Announcements

In attendance:

County	Sep - 08	Oct - 08	Dec - 08	Feb-09	Mar-09	Apr-09	May-09	Jun-09	Jul-09
Alameda	X	X	X	X	X				
Caltrans - Prog Mgmt	X	X	X	X	X				
Caltrans - DLA	X	X			X				
Contra Costa	X	X	X	X	X				
Marin	X	X	X	X	X				
Napa				X	X				
San Francisco	X	X	X	X	X				
San Mateo	X	X	X	X	X				
Santa Clara	X	X	X	X	X				
Solano	X	X	X	X	X				
Sonoma	X	X	X	X	X				

2. Review of Minutes from the February 2, 2009 Meeting

The minutes from the February 2, 2009 meeting were approved. Judy Li (Caltrans) introduced Osama Elhamshary to the Group, stating that he will be acting as the new liaison to PDWG while Judy is on a temporary assignment. Osama's contact information: osama_elhamshary@dot.ca.gov, (510) 286- 5876.

3. Working Group Standing Items

A. STP/CMAQ Program Monitoring Update

Marcella Aranda (MTC) provided the STP/CMAQ Program Monitoring status report for FFY 2008-09 as of February 28, 2009. The statewide list shows the region delivered approximately \$69.5M or 39.7% of federal funds. Obligated Authority (OA) is expected to run out in early-April. Sponsors with regional STP/CMAQ funds programmed in FY 2008-09 of the federal TIP were reminded that the project obligation/ transfer requests were due to Caltrans by February 1, 2009 and the regional obligation deadline is April 30, 2009. Since the region is ahead in State obligations, we are likely to receive additional funds after May 1. Sponsors were advised to submit earlier than later due to the backlog at Local Assistance resulting from the American Recovery and Reinvestment Act (ARRA).

B. Federal Inactive Obligations List

Marcella Aranda (MTC) reported that the December 2008 Inactive List was released on January 23, 2009 and updated as of February 27, 2009 and was included in the packet along with the March 2009 Look Ahead report. Projects on the December list should have submitted an invoice by March 2, 2009 or justification and appropriate documentation by February 23, 2009. Jurisdictions were advised to review the reports as well as Caltrans changes to the Inactive Obligation List procedures identified in Attachment A. Staff reported that Caltrans is requesting more due diligence in monitoring inactive obligations and is expected to request project prioritization as well as MPO monitoring prior to reporting. MTC staff encourages all CMAs to contact their local agencies to make sure that all inactive obligation justifications and deobligation requests have been submitted to the Caltrans' District Local Assistance Engineer (DLAE) as well as complete justification forms with backup documentation for projects that do not have eligible expenditures. Invoice status can be checked online via LPAMS, <http://lpams.dot.ca.gov>. The Quarterly Review of Inactive Obligations report is online at: <http://www.dot.ca.gov/hq/LocalPrograms/InactiveProjects.htm>.

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C. STIP Project Delivery Monitoring Update

Kenneth Kao (MTC) provided an update on the STIP project delivery for FY 2007-08 and FY 2008-09 projects. Staff reported that the deadline for any STIP amendments is March 16, 2009, and includes projects that need to be moved out of FY 2009-10. Sponsors were reminded that all amendments need to go on the May CTC agenda for Notice and on the June agenda for action. The final CTC meeting to request STIP allocations for FY 2008-09 is June 2009; the deadline to submit your request is April 2009. As a reminder, all project sponsors should be present at the CTC meeting when seeking action or requesting an extension from the CTC.

D. CTC / Budget Update

Kenneth Kao (MTC) reported that the CTC met on March 11-12 in Sacramento. The Commission received updates on G12; the State-Local Partnership Program (SLPP); TCIF; and a 2nd quarter CMIA delivery report, of which the Commissioners were satisfied with the new format. The CTC has scheduled a special meeting on April 1 in Sacramento to take action related to the American Recovery and Reinvestment Act, with the next regularly scheduled meeting taking place on April 15-16 in Sacramento.

4. Discussion Items

A. State Budget Update

Kenneth Kao (MTC) summarized the impacts the new State Budget has on transportation funds. The proposed State Budget was approved on February 20 and revises the FY 2008-09 budget and approves the new FY 2009-10 budget. The budget closes a \$42B shortfall. Transportation highlights include the suspension of new STA funds for FY 2008-09 and all STA through FY 2012-13 and fully funds Prop 42. Kenneth summarized additional highlights. Regarding PMIA, BATA purchased \$194M in private placement bonds for 10 projects. The Pooled Money Investment Board (PMIB) meets on March 16 to discuss future expenditures for projects not yet awarded. The Board wants to begin selling bonds in September 2009 to allow for future reimbursements. The new budget suspends all funds transfers from tribal gaming to TCRP projects; therefore, funding for Tier 2 projects is not optimistic. Prop 42 funds have been over-committed for STIP, the only available funds are bond funds, but those have been suspended. SHOPP and TE projects may have limited allocations in April. The next CTC meeting is scheduled for April 15-16 in Sacramento. Projects on hold will not be awarded unless ARRA is applied or sponsors submit an SB184 letter and use local funds.

B. American Recovery and Reinvestment Act (ARRA) Update

Ross McKeown (MTC) provided an update on the American Recovery and Reinvestment Act (ARRA) and summarized the current proposals for the State Discretionary funds. The sub-allocated regional funds (\$154B) have been fully programmed. Tier 1 projects have been approved by the Commission. Tier 2 Safety and Highway projects have been approved with conditions. The Safety projects are currently being added to the TIP. TIP Amendment 09-07 (LS&R projects) has been tentatively approved, MTC is awaiting the final approval letter. Sponsors were advised not to expect Tier 2 funds. The Governor has decided not to certify sub-allocated funds. Regarding the State Discretionary funds proposals, the CTC does not favor the RTIP process; however, the proposals will not affect the county shares until FY 2009-10. Staff reminded the project sponsors to submit TIP amendments, particularly for Tier 1 funds. If a project in Tier 1 fails, funds will go back to the County. Sylvia Fung (Caltrans) noted that there are four projects that will not meet the regional deadlines and the CMAs should consider substituting projects now, instead of waiting for the deadline of April 30. Sponsors were also reminded that the required Resolution of Local Support should be forwarded to Marcella Aranda prior to obligation.

Sylvia Fung (Caltrans) reported that the field reviews for 114 projects have been completed. Ten CEs were issued as of March 12 and Local Assistance is awaiting technical documents as requested by the Environmental team. With the implementation to the new Disadvantaged Business Enterprise (DBE) program, the ARRA projects are likely to be race-conscious unless an E-76 is submitted by March 31, in which case the project is still eligible to be race-neutral. Sylvia noted that due to ADA requirements, ramps are required for new sidewalks, if not already in place, resulting in a critical ROW issue that will not meet the required deadlines. Upgrades can be done in the transition plan. Encroachment permits are being turned around quickly. No ROW certification is required if the

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permit includes Caltrans signage; an application for permit is all that is needed to get a ROW certification for some projects. Projects with existing Cooperative Agreements and are adding funds need to amend the agreement. Group members inquired as to what to do if the project scope changes between award and closeout and proposed that the discussion be added to a future PDWG agenda. Sylvia responded stating that the sponsor should call the Caltrans Department of Local Assistance (CDLA) prior to award as CDLA does not review a project's scope between award and closeout. The CMA or sponsor should contact CDLA to provide bid information, etc. prior to award should there be potential issues or adjustments. April 30, 2009 is the deadline to obtain ROW & ENV certifications from Caltrans. Caltrans is asking the CMAs to screen final PS&E packages prior to sending to CDLA.

MTC staff noted that they will be responding to the RTPA Request for Information (RFI) and stated that the Oberstar RFI is due April 4, 2009.

C. STIP 6-month Award Deadline Update

Kenneth Kao (MTC) requested feedback on whether or not there have been any issues with meeting the shortened STIP award deadline. Analysis shows that the region has been able to meet the tighter deadline; however other agencies around the state have experienced issues, particularly with TE funding. Representatives from Sonoma County reported that they experienced some issues with the new deadline.

D. 2010 STIP Development

Kenneth Kao (MTC) advised jurisdictions to begin the statutory schedule for FY 2009-10 STIP development. The CMAs should announce their Calls for Projects in summer 2009 with the policies and procedures to be adopted in either July or September 2009.

5. Informational Items

A. TIP Amendment Update

The current TIP and subsequent TIP Amendments are available online at: <http://www.mtc.ca.gov/funding/tip>.

B. PMP Certification Status

The updated PMP Certification status for local jurisdictions could be found online at www.mtcpsms.org/P-TAP.

6. Caltrans Items

A. Federal Programs Update (Informational Only as included in the packet)

i. State-legislated Safe Routes to School (SR2S) Program Cycle 8 Call for Projects

Caltrans announced a call for projects for Cycle 8 State-legislated Safe Routes to School (SR2S) Program on January 15, 2009 with an application submittal deadline of April 15, 2009.

ii. Implementation of the FY 2009 Disadvantaged Business Enterprise (DBE) Program

Art Duffly (CDLA) summarized the new race-conscious FY 2009 Disadvantaged Business Enterprise (DBE) program. As of March 4, 2009, the DBE program has moved to a race-conscious program. Originally, there was going to be a 90-day grace period for authorization; however, that has changed to date of award. Important notes include: 1) Agencies that have an approved FY2008-09 Annual Anticipated DBE Participation Level (AADPL) will not need to resubmit until the FY 2009-10 submittal is due in June. 2) Agencies that had their Race-Neutral (RN) FY 2008-09 AADPL submittals in Caltrans' offices on March 4th, may continue to seek approval of the RN AADPL. They will not have to resubmit until the FY 2009-10 submittal in June. 3) Agencies that sign and return the new Race-Conscious Implementation Agreement (RCIA) before June 2nd will immediately be Race-Conscious (RC). You are either RN or RC, once you sign; you're RC from then on. After June 2nd, all agencies are RC. 4) After June 2nd, all contracts awarded must have RC specifications and goals where appropriate. Most ARRA contracts should be considered to be eligible for contract goals so as not to risk funding. Any ARRA project not listed as RC should now be rejected. 5) All contract awarded after your agency signs the RCIA, or June 2nd (whichever occurs first), must meet goals or show good faith effort. 6) AADPLs will be calculated using all groups and broken into RC/RN. 7) Contract goals will be calculated using Under-Utilized DBEs (UDBEs) which include four (of

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six) protected classes, namely: Asian Pacific Islander; African-American; Native-American; and Women. Hispanic and Intercontinental Asian (e.g. Indian, Pakistan, etc.) are not included, though they will count toward the RN portion of the goals.

Training sessions have been scheduled in April with an information session being held at CDLA on Friday, March 20. Additional information, including new DBE templates and the training schedule can be found online at: http://www.dot.ca.gov/hq/LocalPrograms/DBE_CRLC.html#NEW_DBE.

7. Workshop Items

There were no workshop items this month.

8. Recommended Agenda Items for Future Meetings

Recommended agenda items include:

- i. Local Street and Road Eligibility Requirements Discussion (April)

Please forward recommended agenda items for the next PDWG meeting to Kenneth Kao at kkao@mtc.ca.gov.

Next Meeting:

Monday, April 20, 2009

10:30 am – 12:30 pm

MTC MetroCenter, **2nd Floor, Claremont**

Oakland, CA 94607



**METROPOLITAN
TRANSPORTATION
COMMISSION**

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Memorandum

TO: Programming and Delivery Working Group

DATE: April 20, 2009

FR: Marcella Aranda

RE: FY 2008-09 STP/CMAQ Obligation Status

Background

AB 1012 (Chapter 783, Statutes of 1999 - Torlakson) established strict timely use of funds and project delivery requirements for transportation projects. Under AB 1012, Surface Transportation Program (STP) and Congestion Mitigation Air Quality Improvement (CMAQ) funds must be obligated within three years of the apportionment. The obligation requirement applies to the aggregate programmed amounts of STP and CMAQ amounts for a given fiscal year. Funds not obligated by the deadline are lost to the region. Furthermore, Obligation Authority (OA) is assigned to the STP/CMAQ apportionments on an annual basis. Regional OA not used by May 1 of each year is made available to other regions on a first-come first-served basis, with any remaining OA not used by the end of each federal fiscal year taken by the state; with no guarantee the funds will be returned.

In addition to the state requirements, MTC’s regional project delivery policy (MTC Resolution 3606) requires the obligation of STP and CMAQ funds on a project-by-project basis for established regional deadlines that are earlier than those required by AB 1012. This is to ensure that no funds are lost to the region due to missed state and federal requirements and to facilitate project delivery. Funds not obligated by the regional deadlines are returned to MTC for reprogramming within the region.

On November 21, 2008, MTC submitted the required FY 2008-09 annual obligation plan to Caltrans. The original plan identified over 118 STP/CMAQ projects totaling \$166.8 million in committed STP/CMAQ obligations for FY 2008-09. As of March 31, 2009, approximately \$96.5 million or 55.1% of the STP/CMAQ funds have been obligated. The obligations by fund source are summarized below.

STP/CMAQ Obligation Status for FY 2008-09

Fund Source	FY 2008-09 Obligation Plan (as submitted)	FY 2008-09 Obligation Plan (as of 3/31/09)	Obligations through March 31, 2009	% Obligated	Balance Remaining	% Remaining
STP	\$58,459,360	\$61,847,865	\$54,459,146	88.1 %	\$7,388,719	11.9 %
CMAQ	\$108,373,000	\$113,456,827	\$42,073,603	37.1 %	\$71,383,224	62.9 %
Total	\$166,832,360	\$175,304,692	\$96,532,749	55.1 %	\$78,771,943	44.9 %

FY 2008-09 Obligation Status

MTC staff continuously monitors the delivery of STP/CMAQ funded projects, and has been informing members of the Bay Area Partnership on a regular basis of the project delivery requirements and pending deadlines. Sponsors with regional STP/CMAQ funds programmed in FY 2008-09 of the federal TIP were required to submit the obligation/ transfer request to Caltrans by February 1, 2009, and to receive

FY 2008-09 STP/CMAQ Obligation Status

April 20, 2009

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obligation (an E-76 / federal authorization to proceed) by April 30, 2009. Sponsors should continue to work with their Caltrans Local Assistance Engineer to conduct field reviews and obligate their funds as soon as possible.

Any funding changes to projects in the Plan must be added to FY 2008-09 of the TIP through a TIP Revision approved by MTC, before the change is incorporated into the Obligation Plan. Attached is a listing of the STP/CMAQ funds programmed in FY 2008-09 and must be submitted to Caltrans Local Assistance by February 1, 2009, and obligated **by April 30, 2009**. Funds that do not meet these deadlines are subject to reprogramming.

Attachment

A – FY 2008-09 STP/CMAQ Obligation Status Report, April 7, 2009

J:\COMMITTEE\Partnership\Partnership PDWG_2008 PDWG\08 PDWG Memos\12_December\03a_0_STP-CMAQ_Oblig_Monitoring Memo.doc

Metropolitan Transportation Commission

STP/CMAQ Obligation Status Report

Fiscal Years: FY 08/09

April 07, 2009

Sponsor	TIP ID	Ver	Fund Code	Federal Proj Info			Appn FY	Prog FY	Fund Programming Information			Obligation Information			
				Prefix	Proj ID	Project Name			STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount
Alameda County															
AC Transit	ALA050017	7	CMAQ-T3-3B-3434			Enhanced Bus - Telegraph/Int/East 14th (CON)	08/09	08/09		35,000,000	35,000,000				35,000,000
ACCMMA	ALA010032	10	STP-T3-3-TCP-SF	STPL	6273052	I-580 San Leandro Estudillo Noise Barrier (CON)	08/09	08/09	7,262,000		7,262,000	03/30/2009	7,262,000		7,262,000
ACCMMA	ALA050036	9	CMAQ-T3-1-AQ	CML	6273047	Alameda SMART Corridors Operations & Management (CON)	08/09	08/09		283,000	283,000	01/28/2009		283,000	283,000
ACCMMA	ALA070020	7	CMAQ-T3-1-AQ	HPLUL	6204080	I-580 (TriValley) Corridor - EB HOV/HOT Lane (CON)	08/09	08/09		1,000	1,000	12/20/2008			1,000
ACCMMA	ALA070020	7	CMAQ-T3-1-AQ	HPLUL	6204071	I-580 (TriValley) Corridor - EB HOV/HOT Lane (CON)	08/09	08/09		160,000	160,000	12/20/2008			160,000
ACCMMA	ALA070020	7	CMAQ-T3-3B-SYS-SFTY-SWAP	HPLUL	6204071	I-580 (TriValley) Corridor - EB HOV/HOT Lane (CON)	08/09	08/09		2,000,000	2,000,000	12/20/2008			2,000,000
ACCMMA	ALA070020	7	CMAQ-T3-3B-SYS-SFTY-SWAP	HPLUL	6204071	I-580 (TriValley) Corridor - EB HOV/HOT Lane (CON)	08/09	08/09		4,000,000	4,000,000	12/20/2008			4,000,000
ACCMMA	ALA991084	13	CMAQ-T3-1-AQ			I-680 Sunol Grade - Alameda SB HOV Final Phase (CON)		08/09							
Alameda County	ALA050072	5	STP-T3-3-LSR-SF			Alameda County - Castro Valley Blvd Rehabilitation (CON)	08/09	08/09	758,000		758,000				758,000
Alameda County	ALA070040	4	CMAQ-T3-1-AQ			Hampton Rd Streetscape Improvements (CON)	08/09	08/09		257,000	257,000				257,000
Alameda County	ALA070040	4	CMAQ-T3-1-TROC-LIFE			Hampton Rd Streetscape Improvements (CON)	08/09	08/09		159,000	159,000				159,000
Alameda County	ALA070040	4	CMAQ-T3-2-TROC-LIFE			Hampton Rd Streetscape Improvements (CON)	08/09	08/09		1,841,000	1,841,000				1,841,000
Alameda County	ALA070040	4	CMAQ-T3-2-TROC-LIFE			Hampton Rd Streetscape Improvements (ROW)		08/09							
Alameda County	ALA070040	4	CMAQ-T3-3-RBP-CO			Hampton Rd Streetscape Improvements (CON)	08/09	08/09		742,000	742,000				742,000
BART	ALA070051	2	CMAQ-T3-1-AQ			BART Station Electronic Bike Lockers, Ph. 2 (CON)	08/09	08/09		130,000	130,000				130,000
Berkeley	ALA050073	4	STP-T3-3-LSR-SF	STPL	5057030	Berkeley - University Ave Rehabilitation (CON)	08/09	08/09	630,000		630,000	02/05/2009	630,000		630,000
Caltrans	ALA050059	4	STP-T3-2-TLC-SAP	STPL	6204063	SR 13 Median Landscaping (CON)	08/09	08/09	99,765		99,765	01/13/2009	99,765		99,765
Caltrans	ALA070042	4	CMAQ-T3-1-AQ			I-880 SB HOV Lanes - Marina Blvd to Hegenberger (PE)	08/09	08/09		24,000	24,000				24,000
Caltrans	ALA070042	4	CMAQ-T3-3B-SYS-SFTY-SWAP			I-880 SB HOV Lanes - Marina Blvd to Hegenberger (PE)	08/09	08/09		2,757,000	2,757,000				2,757,000
Caltrans	ALA070042	4	STP-T3-2-TCP-SF			I-880 SB HOV Lanes - Marina Blvd to Hegenberger (PSE)		08/09							
Caltrans	ALA070042	4	STP-T3-3-TCP-SF			I-880 SB HOV Lanes - Marina Blvd to Hegenberger (PE)	08/09	08/09	198,000		198,000				198,000
Dublin	ALA050082	6	CMAQ-T3-1-AQ	CML	5432013	E. Dublin BART Station Corridor Bike/Ped Enh. (CON)	08/09	08/09		76,000	76,000	03/10/2009		76,000	76,000
Dublin	ALA050082	6	CMAQ-T3-2-TLC-HIP	CML	5432013	E. Dublin BART Station Corridor Bike/Ped Enh. (CON)	08/09	08/09	1,459,000		1,459,000	03/10/2009	1,459,000		1,459,000
Dublin	ALA050083	5	CMAQ-T3-2-TLC-HIP			W. Dublin BART Station Corridor Bike/Ped Enh. (CON)	08/09	08/09	1,052,000		1,052,000				1,052,000
Fremont	ALA070037	3	CMAQ-T3-3-TLC-REG	CML	5322029	Bay Street Streetscape & Parking Project (CON)	08/09	08/09		1,570,000	1,570,000	01/16/2009		1,570,000	1,570,000
Livermore	ALA070038	2	CMAQ-T3-3-TLC-REG	CML	5053016	Downtown Livermore Pedestrian Transit Connection (CON)	08/09	08/09	1,060,000		1,060,000	03/31/2009	43,309	43,309	1,016,691
Livermore	ALA070059	3	CMAQ-T3-3-TLC-HIP	CML	5053016	Livermore Downtown Pedestrian Improvements (CON)	08/09	08/09	845,000		845,000	03/31/2009	845,000		845,000
MTC	ALA050060	5	CMAQ-T3-3-TLC-HIP			Emeryville - San Pablo/MacArthur Bike/Ped Imps. (CON)	08/09	08/09		128,000	128,000				128,000

Metropolitan Transportation Commission

STP/CMAQ Obligation Status Report

Fiscal Years: FY 08/09

April 07, 2009

Sponsor	TIP ID	Ver	Fund Code	Federal Proj Info			Appn FY	Prog FY	Fund Programming Information			Obligation Information					
				Prefix	Proj ID	Project Name			STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining	
Alameda County																	
Oakland	ALA050061	5	CMAQ-T3-2-TLC-HIP			Oakland - Latham & Telegraph Pedestrian Imps. (CON)		08/09									
Oakland	ALA050080	6	CMAQ-T3-3-TLC-HIP	CML	5012082	7th Street,W. Oakland Transit Village Improvements (CON)	08/09	08/09		750,000	750,000	01/22/2009		750,000			
Oakland	ALA050080	6	CMAQ-T3-3-TLC-REG	CML	5012082	7th Street,W. Oakland Transit Village Improvements (CON)	08/09	08/09		1,580,000	1,580,000	01/22/2009		1,580,000			
Oakland	ALA070011	4	CMAQ-T3-2-TLC-HIP	CML	5012087	Coliseum Gardens Phase 3-66th Avenue Streetscape (CON)	08/09	08/09		1,230,000	1,230,000	03/31/2009		1,230,000			
Oakland	ALA070057	4	CMAQ-T3-3-TLC-HIP	CML	5012088	Fruitvale Ave Streetscape & Ped. Improvements (CON)	08/09	08/09		2,320,000	2,320,000	03/10/2009		2,320,000			
Oakland	ALA070057	4	CMAQ-T3-3-TLC-HIP			Fruitvale Ave Streetscape & Ped. Improvements (PE)	08/09	08/09		300,000	300,000			300,000			
San Leandro	ALA050078	5	CMAQ-T3-3-RBP-CO		5041025	Bay Trail Bridge at Oyster Bay Slough (CON)	08/09	08/09		750,000	750,000	12/20/2008		750,000			
Union City	ALA050070	3	STP-T3-3-LSR-SF	STPL	5354024	Union City - Alvarado-Niles Road Rehabilitation (CON)	08/09	08/09		421,000	421,000	01/28/2009	421,000	421,000			
Alameda County Totals										9,368,765	60,474,000	69,842,765		8,412,765	10,906,309	19,319,074	50,523,691

Metropolitan Transportation Commission

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				Prefix	Proj ID	Project Name	Appn FY	Prog FY	STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Contra Costa County																
BART	CC-030003	9	STP-T3-2-BF	FTASTPL	6000039	Richmond BART Parking Structure (CON)	08/09	08/09	4,320,000		4,320,000	01/21/2009	4,320,000		4,320,000	
CC County	CC-990046	10	CMAQ-T3-3-RBP-CO	CML	5928048	Iron Horse Trail Over-crossing at Treat (CON)	08/09	08/09		1,520,000	1,520,000	02/19/2009		1,520,000	1,520,000	
CC County	CC-990046	10	CMAQ-T3-3-TLC-CO	CML	5928048	Iron Horse Trail Over-crossing at Treat (CON)	08/09	08/09		754,000	754,000	02/19/2009		754,000	754,000	
CC County	CC-990046	10	CMAQ-T3-3-TLC-HIP	CML	5928048	Iron Horse Trail Over-crossing at Treat (CON)	08/09	08/09		2,522,000	2,522,000	02/19/2009		2,522,000	2,522,000	
Concord	CC-070030	3	CMAQ-T3-3-RBP-CO			Concord Blvd. Gap Closure, Phase 2 (CON)	08/09	08/09		820,000	820,000				820,000	
Concord	CC-070083	2	CMAQ-T3-3-TLC-REG			Monument Blvd & Meadow Ln Pedestrian Improvements (CON)	08/09	08/09		1,200,000	1,200,000				1,200,000	
El Cerrito	CC-070074	4	CMAQ-T3-3-TLC-CO	CML	5239010	San Pablo Avenue Streetscape (CON)	08/09	08/09		506,000	506,000	01/30/2009		506,000	506,000	
El Cerrito	CC-070074	4	CMAQ-T3-3-TLC-REG	CML	5239010	San Pablo Avenue Streetscape (CON)	08/09	08/09		1,800,000	1,800,000	01/30/2009		1,800,000	1,800,000	
Martinez	CC-070085	3	CMAQ-T3-3-TLC-HIP			Martinez - Marina Vista Streetscape (CON)	08/09	08/09		1,600,000	1,600,000				1,600,000	
Moraga	CC-050069	4	STP-T3-3-LSR-SF	STPL	5415008	Moraga - Moraga Road Rehabilitation (CON)	08/09	08/09	375,000		375,000	04/01/2009	375,000		375,000	
Pinole	CC-050073	3	STP-T3-3-LSR-SF	STPL	5126009	Pinole - Appian Way Rehab: Phase II (CON)	08/09	08/09	540,000		540,000	01/16/2009	540,000		540,000	
Richmond	CC-070066	4	CMAQ-T3-3-RBP-CO	CML	5137035	Central Richmond Greenway (East Segment) (CON)	08/09	08/09		20,000	20,000	03/25/2009		20,000	20,000	
Richmond	CC-070080	3	CMAQ-T3-3-TLC-HIP			Richmond Downtown Bike & Ped Improvements (CON)	08/09	08/09		1,100,000	1,100,000				1,100,000	
St. Rte. 4 BA	CC-070067	3	CMAQ-T3-3-RBP-CO			Mokelumne Trail Bike/Ped Overcrossing (CON)	08/09	08/09		1,520,000	1,520,000				1,520,000	
Contra Costa County Totals									5,235,000	13,362,000	18,597,000		5,235,000	7,122,000	12,357,000	6,240,000

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Marin County																
San Rafael	MRN070016	4	CMAQ-T3-2-TROC-LIFE			San Rafael Canal Street Pedestrian Access (CON)	06/07	08/09		288,000	288,000					288,000
Marin County Totals									0	288,000	288,000		0	0	0	288,000

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Napa County																
American Canyon	NAP050011	4	STP-T3-2-LSR-SF			American Canyon - Elliott Street Rehabilitation (CON)	08/09	08/09	48,000		48,000					48,000
American Canyon	NAP070004	2	STP-T3-3-LSR-SF			West American Canyon Road Rehabilitation (CON)	08/09	08/09	281,000		281,000					281,000
NCTPA	NAP070008	2	CMAQ-T3-3-RBP-CO			East Avenue Sidewalk Project (CON)	08/09	08/09		284,000	284,000					284,000
Napa	NAP070003	3	STP-T3-3-LSR-SF			Napa - Browns Valley Road Rehabilitation (CON)	08/09	08/09	664,000		664,000					664,000
Napa	NAP070006	3	STP-T3-3-LSR-SF			Napa - Soscol Avenue Rehabilitation (CON)	08/09	08/09	221,000		221,000					221,000
Napa	NAP070007	3	STP-T3-3-LSR-SF			Napa - Soscol Road Rehabilitation Phase 2 (CON)	08/09	08/09	574,000		574,000					574,000
Napa County	NAP070005	2	STP-T3-3-LSR-SF			Deer Park Road Rehabilitation (CON)	08/09	08/09	46,581		46,581					46,581
Napa County Totals									1,834,581	284,000	2,118,581		0	0	0	2,118,581

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				Prefix	Proj ID	Project Name			STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Regional Totals																
BART	REG050020	6	STP-T3-3-TCP-SF	FTASTPL	6000039	BART Car Exchange (Preventive Maintenance) (CON)	08/09	08/09	22,683,000		22,683,000	01/21/2009	22,683,000		22,683,000	
MTC	MTC030003	12	CMAQ-T3-3-RO			Freeway Operations TOS (CON)	08/09	08/09		934,000	934,000				934,000	
MTC	MTC030003	12	CMAQ-T3-3-RO			Freeway Operations TOS (CON)	08/09	08/09		1,000,000	1,000,000				1,000,000	
MTC	MTC030003	12	CMAQ-T3-3-RO			Freeway Operations TOS (PE)	08/09	08/09		266,000	266,000				266,000	
MTC	MTC030005	7	STP-T3-3-TLC-PL			TLC/HIP Planning Grants (ENV)		08/09								
MTC	REG050008	5	STP-T3-3-TLC-PL	STPL	6084146	Station Area Planning Program (PE)	08/09	08/09	855,000		855,000	11/14/2008	855,000		855,000	
MTC	REG050008	5	STP-T3-3-TLC-SAP	STPL	6084146	Station Area Planning Program (PE)	08/09	08/09	9,200,000		9,200,000	11/14/2008	9,200,000		9,200,000	
Regional Totals									32,738,000	2,200,000	34,938,000		32,738,000	0	32,738,000	2,200,000

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Sponsor	TIP ID	Ver	Fund Code	Prefix	Proj ID	Project Name	Appn FY	Prog FY	STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
San Francisco County																
MUNI	SF-010037	14	CMAQ-T3-3-RBP-CO	FTASTPL	6328027	SF Muni Third St LRT Phase 2 - New Central Subway (PSE)	08/09	08/09		2,025,000	2,025,000	02/24/2009		2,025,000	2,025,000	
SF DPW	SF-070031	2	CMAQ-T3-3-TLC-REG	HPLUL	5934133	Valencia Streetscape Improvements (CON)	08/09	08/09		2,600,000	2,600,000	03/20/2009		2,600,000	2,600,000	
SF DPW	SF-070032	3	CMAQ-T3-3-TLC-HIP	RPSTPLE	5934140	Leland Avenue Streetscape Improvements (CON)	08/09	08/09		1,640,000	1,640,000	03/20/2009		1,640,000	1,640,000	
SF DPW	SF-070039	4	CMAQ-T3-3-TLC-HIP			Divisadero Streetscape and Ped. Improvements (CON)	08/09	08/09		2,614,000	2,614,000					2,614,000
San Francisco County Totals									0	8,879,000	8,879,000		0	6,265,000	6,265,000	2,614,000

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				Prefix	Proj ID	Project Name			STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
San Mateo County																
Belmont	SM-050011	5	STP-T3-2-LSR-SF	STPL	5268014	Belmont - Old County Road Rehabilitation (CON)	08/09	08/09	120,000		120,000	12/24/2008	120,000		120,000	
CCAG	SM-070037	3	CMAQ-T3-3-RO	CML	6419007	San Mateo County Traffic Incident Management (PE)	08/09	08/09		367,000	367,000	01/28/2009		367,000	367,000	
CCAG	SM-070043	2	CMAQ-T3-2-RO			San Mateo County Ramp Metering Study (PE)		08/09								
Colma	SM-070042	4	CMAQ-T3-3-RBP-CO	CML	5264002	Colma - 'D' Street Pedestrian Enhancements (CON)	08/09	08/09		235,000	235,000	01/16/2009		180,743	180,743	54,257
Colma	SM-070042	4	CMAQ-T3-3-TLC-HIP	CML	5264002	Colma - 'D' Street Pedestrian Enhancements (CON)	08/09	08/09		250,000	250,000	01/16/2009		250,000	250,000	
Daly City	SM-050046	8	CMAQ-T3-2-TLC-HIP	CML	5196030	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		47,000	47,000	03/10/2009		47,000	47,000	
Daly City	SM-050046	8	CMAQ-T3-3-RBP-CO	CML	5196030	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		499,000	499,000	03/10/2009		499,000	499,000	
Daly City	SM-050046	8	CMAQ-T3-3-TLC-CO	CML	5196030	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		293,000	293,000	03/10/2009		293,000	293,000	
Daly City	SM-050046	8	CMAQ-T3-3-TLC-HIP	CML	5196030	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		123,000	123,000	03/10/2009		123,000	123,000	
Daly City	SM-050046	8	CMAQ-T3-3-TLC-REG	CML	5196030	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		900,000	900,000	03/10/2009		900,000	900,000	
Foster City	SM-070012	2	STP-T3-3-LSR-SF			Foster City - Shell Boulevard Rehabilitation (CON)		08/09								
MTC	SM-070036	3	CMAQ-T3-3-TLC-HIP			Colma HIP Streetscape & Pedestrian Improvements (CON)	08/09	08/09								
Pacifica	SM-070027	6	CMAQ-T3-3-RBP-CO		5350015	San Pedro Terrace Multi-Purpose Trail (CON)	08/09	08/09		150,000	150,000				150,000	
Pacifica	SM-070027	6	CMAQ-T3-3-RBP-CO	CML	5350015	San Pedro Terrace Multi-Purpose Trail (CON)	08/09	08/09		450,000	450,000	01/13/2009		450,000	450,000	
Pacifica	SM-070027	6	CMAQ-T3-3-RBP-CO		5350015	San Pedro Terrace Multi-Purpose Trail (PE)	08/09	08/09		50,000	50,000	10/22/2008		200,000	200,000	-150,000
Redwood City	SM-070001	7	CMAQ-T3-2-TLC-HIP	CML	5029019	Redwood City - El Camino Real/Broadway Streetscape (CON)	08/09	08/09		8,000	8,000	01/15/2009		8,000	8,000	
Redwood City	SM-070001	7	CMAQ-T3-3-TLC-HIP			Redwood City - El Camino Real/Broadway Streetscape (CON)	08/09	08/09		251,000	251,000				251,000	
Redwood City	SM-070001	7	CMAQ-T3-3-TLC-HIP	CML	5029019	Redwood City - El Camino Real/Broadway Streetscape (CON)	08/09	08/09		380,000	380,000	01/15/2009		380,000	380,000	
San Mateo	SM-070026	5	CMAQ-T3-3-RBP-CO	CML	5102032	San Mateo - Delaware Street Improvement (CON)	08/09	08/09		70,000	70,000	01/16/2009		70,000	70,000	
San Mateo Co	SM-070028	4	CMAQ-T3-3-RBP-CO	CML	5935044	Mirada Surf Coastal Bike and Pedestrian Trail (CON)	08/09	08/09		181,000	181,000	02/06/2009		181,000	181,000	
San Mateo Co	SM-070038	4	CMAQ-T3-3-TLC-HIP			'F' Street Sidewalk Imps. and Streetscape (CON)	08/09	08/09								
San Mateo Co	SM-070039	5	CMAQ-T3-3-RBP-CO	CML	5935046	Menlo Park - Santa Cruz Avenue Pedestrian Imps. (CON)	08/09	08/09		27,000	27,000	01/28/2009		27,000	27,000	
San Mateo Co	SM-070040	4	CMAQ-T3-3-RBP-CO	CML	5935045	Westborough Blvd. Bicycle Lanes Improvements (CON)	08/09	08/09		18,000	18,000	01/22/2009		15,900	15,900	2,100
San Mateo Co	SM-070046	2	CMAQ-T3-3-TLC-HIP	CML	5935048	Install Permanent Traffic Calming Advisory Signs (CON)	08/09	08/09		40,000	40,000	01/15/2009		40,000	40,000	
San Mateo County Totals									120,000	4,339,000	4,459,000		120,000	4,031,643	4,151,643	307,357

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				Prefix	Proj ID	Project Name			STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount
Santa Clara County															
Caltrans	SCL030008	7	STP-T3-2-BF	STPL	6024067	SR 87 Guadalupe Freeway Corridor (CON)	08/09	08/09	1,211,400		1,211,400	10/23/2008	1,211,400		1,211,400
Caltrans	SCL030008	7	STP-T3-2-BF	STPL	6204067	SR 87 Guadalupe Freeway Corridor (CON)	08/09	08/09	208,600		208,600				208,600
Caltrans	SCL030008	7	STP-T3-2-BF			SR 87 Guadalupe Freeway Corridor (PSE)	08/09	08/09	60,000		60,000				60,000
Gilroy	SCL070010	5	CMAQ-T3-2-TROC-LIFE	CML	5034015	Gilroy Pedestrian Improvements (CON)	08/09	08/09		323,000	323,000	10/23/2008		323,000	323,000
Gilroy	SCL070039	3	CMAQ-T3-3-TLC-CO	CML	5034017	Gilroy 6th Street Streetscape West/East (CON)	08/09	08/09		459,000	459,000	01/15/2009		459,000	459,000
Gilroy	SCL070039	3	CMAQ-T3-3-TLC-HIP			Gilroy 6th Street Streetscape West/East (CON)	08/09	08/09		515,000	515,000				515,000
Los Altos Hills	SCL070025	3	CMAQ-T3-3-RBP-CO	CML	5324004	Los Altos Hills - El Monte Road Bike/Ped Path (CON)	08/09	08/09		440,000	440,000	10/23/2008		440,000	440,000
Los Gatos	SCL050029	5	STP-T3-3-LSR-SF	STPL	5067013	Los Gatos - Various Streets Rehabilitation (CON)	08/09	08/09	272,000		272,000	10/22/2008	272,000		272,000
Milpitas	SCL070037	3	CMAQ-T3-3-TLC-CO			So. Abel & So. Main Streetscape Imps. - Phase 1 (CON)	08/09	08/09		850,000	850,000				850,000
Morgan Hill	SCL070014	3	CMAQ-T3-3-TLC-REG	CML	5152016	Morgan Hill - Third Street Promenade (CON)	08/09	08/09		1,520,000	1,520,000	01/28/2009		1,520,000	1,520,000
San Jose	SCL050061	5	CMAQ-T3-3-TLC-HIP	CML	5005093	San Jose State Univ. / Japantown Pedestrian Imps. (CON)	08/09	08/09		1,555,000	1,555,000	01/28/2009		1,393,654	1,393,654
San Jose	SCL050081	7	CMAQ-T3-3-RBP-CO	HPLUL	5005084	Lower Guadalupe River Trail (PSE)	08/09	08/09		1,377,000	1,377,000	01/31/2009		1,377,000	1,377,000
San Jose	SCL070040	4	CMAQ-T3-3-RBP-CO	CML	5005094	San Jose - Jackson Street Pedestrian Imps. (CON)	08/09	08/09		435,000	435,000	02/05/2009		435,000	435,000
San Jose	SCL070040	4	CMAQ-T3-3-TLC-CO	CML	5005094	San Jose - Jackson Street Pedestrian Imps. (CON)	08/09	08/09		865,000	865,000	02/05/2009		865,000	865,000
Santa Clara Co	SCL050072	5	STP-T3-3-LSR-SF	STPL	5937125	Santa Clara Co. - Capitol Expwy. Rehabilitation (CON)	08/09	08/09	819,919		819,919	02/19/2009	728,860		728,860
Santa Clara Co	SCL050072	5	STP-T3-3-LSR-SF			Santa Clara Co. - Capitol Expwy. Rehabilitation (ENV)		08/09							
Santa Clara Co	SCL050072	5	STP-T3-3-LSR-SF	STPL	5937125	Santa Clara Co. - Capitol Expwy. Rehabilitation (PE)	08/09	08/09	75,081		75,081	10/22/2008	75,081		75,081
Santa Clara Co	SCL050075	6	STP-T3-3-LSR-SF	STPL	5937126	Santa Clara Co. - Oregon/Page Mill Expwy Rehab (CON)	08/09	08/09	1,180,972		1,180,972	03/05/2009	1,181,000		1,181,000
Santa Clara Co	SCL050075	6	STP-T3-3-LSR-SF			Santa Clara Co. - Oregon/Page Mill Expwy Rehab (ENV)	08/09	08/09							
Santa Clara Co	SCL050075	6	STP-T3-3-LSR-SF		5937126	Santa Clara Co. - Oregon/Page Mill Expwy Rehab (PE)	08/09	08/09	75,028		75,028	10/22/2008	75,028		75,028
Santa Clara Co	SCL050076	6	STP-T3-1A-LSR-SF			Santa Clara Co. - Various Non-Expressway Rehab (CON)	08/09	08/09	850,000		850,000				850,000
Santa Clara Co	SCL050076	6	STP-T3-3-LSR-SF			Santa Clara Co. - Various Non-Expressway Rehab (PE)		08/09							
Santa Clara Co	SCL070042	2	CMAQ-T3-1-AQ-SWAP	CML	5937127	San Tomas Bicycle Shoulder Delineation - Phase 2 (CON)	08/09	08/09		216,000	216,000	01/28/2009		216,000	216,000
Santa Clara Co	SCL070042	2	CMAQ-T3-1-AQ-SWAP	CML	5937127	San Tomas Bicycle Shoulder Delineation - Phase 2 (CON)	08/09	08/09		34,000	34,000	01/28/2009		34,000	34,000
Santa Clara Co	SCL070051	2	CMAQ-T3-3-RBP-CO	CML	5937130	Foothill Expressway Loyola Bridge Bicycle Imp. (CON)	08/09	08/09		320,000	320,000	03/10/2009		320,000	320,000
Saratoga	SCL070026	3	CMAQ-T3-1-AQ-SWAP	CML	5332012	Saratoga - DeAnza Bike/Ped Trail (CON)	08/09	08/09		1,231,000	1,231,000	08/13/2008		1,400,000	1,400,000
Saratoga	SCL070026	3	CMAQ-T3-1-AQ-SWAP	CML	5332012	Saratoga - DeAnza Bike/Ped Trail (ROW)	08/09	08/09		169,000	169,000				169,000
Saratoga	SCL070038	2	CMAQ-T3-3-TLC-CO	CML	5332013	Saratoga Village Pedestrian Enhancements (CON)	08/09	08/09		425,000	425,000	03/05/2009		425,000	425,000
Saratoga	SCL070050	2	CMAQ-T3-2-RBP-REG		5332011	Highway 9 Safety Improvements (PE)	08/09	08/09		462,000	462,000	02/27/2009		462,000	462,000

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				Prefix	Proj ID	Project Name			STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Santa Clara County																
Sunnyvale	SCL050027	6	STP-T3-3-LSR-SF	STPL	5213030	Sunnyvale - Various Streets Rehabilitation (CON)	08/09	08/09	530,234		530,234	10/22/2008	530,234		530,234	
Sunnyvale	SCL070036	4	CMAQ-T3-3-TLC-CO	CML	5213028	Sunnyvale-Murphy Ave Streetscape Revitalization (CON)	08/09	08/09		397,000	397,000	01/23/2009		397,000	397,000	
Sunnyvale	SCL070036	4	CMAQ-T3-3-TLC-HIP	CML	5213028	Sunnyvale-Murphy Ave Streetscape Revitalization (CON)	08/09	08/09		1,300,000	1,300,000	01/23/2009		1,300,000	1,300,000	
Sunnyvale	SCL070036	4	CMAQ-T3-3-TLC-HIP			Sunnyvale-Murphy Ave Streetscape Revitalization (PE)	08/09	08/09								
VTA	SCL090031	1	CMAQ-T3-1-AQ-SWAP	FTACML	6264039	Grade-Separated Pedestrian Crossing (PSE)		08/09		257,827	257,827				257,827	
VTA	SCL090031	1	CMAQ-T3-3-RBP-CO	FTACML	6264039	Grade-Separated Pedestrian Crossing (PSE)		08/09		1,210,000	1,210,000				1,210,000	
VTA	SCL990046	21	STP-T3-3-TCP-SF	FTASTPL	6264038	VTA Preventive Maintenance (CON)	08/09	08/09	1,199,780		1,199,780	01/21/2009	1,199,778		1,199,778	
Santa Clara County Totals									6,483,014	14,360,827	20,843,841		5,273,381	11,366,654	16,640,035	4,203,806

Metropolitan Transportation Commission

STP/CMAQ Obligation Status Report

Fiscal Years: FY 08/09

April 07, 2009

Sponsor	TIP ID	Ver	Fund Code	Federal Proj Info			Fund Programming Information			Obligation Information						
				Prefix	Proj ID	Project Name	Appn FY	Prog FY	STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Solano County																
Benicia	SOL070045	2	CMAQ-T3-3-RBP-CO			State Park Road Bridge Widening (CON)	08/09	08/09		1,311,000	1,311,000			1,311,000		
Benicia	SOL070045	2	CMAQ-T3-3-TLC-CO			State Park Road Bridge Widening (CON)	08/09	08/09		40,000	40,000			40,000		
Dixon	SOL070046	2	CMAQ-T3-3-AQ-SOL			SR113 Pedestrian Improvements (CON)	08/09	08/09		90,000	90,000			90,000		
Fairfield	SOL070027	2	CMAQ-T3-3-RBP-CO			W. Texas St. Gateway Project Phase I & II (CON)	06/07	08/09		85,000	85,000			85,000		
STA	SOL991066	13	CMAQ-T3-3-AQ-SOL	CML	6249015	Eastern Solano / SNCI Rideshare Program (PE)	08/09	08/09		195,000	195,000	02/27/2009	195,000	195,000		
Solano County	SOL050024	5	CMAQ-T3-3-AQ-SOL	CML	5923086	Vacaville-Dixon Bicycle Route (CON)	08/09	08/09		337,000	337,000	01/16/2009	337,000	337,000		
Solano County	SOL050046	6	CMAQ-T3-3-TLC-CO	RPSTPLE	5923073	Old Town Cordelia Enhancements (CON)	08/09	08/09		500,000	500,000	02/24/2009	499,998	499,998	2	
Vacaville	SOL050013	5	CMAQ-T3-2-AQ-SOL			Vacaville Intermodal Station (CON)	08/09	08/09		900,000	900,000			900,000		
Vacaville	SOL050013	5	CMAQ-T3-3-TLC-HIP			Vacaville Intermodal Station (CON)	08/09	08/09		2,128,000	2,128,000			2,128,000		
Vacaville	SOL070028	2	CMAQ-T3-3-AQ-SOL	CML	5094047	Vacaville Downtown Creekwalk Extension (CON)	08/09	08/09		694,000	694,000	02/24/2009	693,999	693,999	1	
Vacaville	SOL070028	2	CMAQ-T3-3-AQ-SOL	CML	5094047	Vacaville Downtown Creekwalk Extension (PSE)	08/09	08/09		53,000	53,000	01/13/2009	53,000	53,000		
Vacaville	SOL070029	2	CMAQ-T3-3-AQ-SOL	CML	5094051	Ulatis Creek Bike Path - Allison to I-80 (ENV)	08/09	08/09		169,000	169,000	01/28/2009	169,000	169,000		
Vacaville	SOL070047	2	CMAQ-T3-2-AQ-SOL			Peabody Rd & Marshall Rd Pedestrian Improvements (CON)	08/09	08/09		120,000	120,000			120,000		
Vacaville	SOL070047	2	CMAQ-T3-3-AQ-SOL			Peabody Rd & Marshall Rd Pedestrian Improvements (CON)	08/09	08/09		28,000	28,000			28,000		
Vallejo	SOL010027	6	STP-T3-3-LSR-SF	STPL	5030045	Vallejo - Lemon Street Rehabilitation (CON)	08/09	08/09	672,000		672,000	03/05/2009	672,000	672,000		
Vallejo	SOL050048	4	CMAQ-T3-2-TLC-HIP			Downtown Vallejo Pedestrian Enhancements.- Ph I (CON)	08/09	08/09		580,000	580,000			580,000		
Solano County Totals									672,000	7,230,000	7,902,000		672,000	1,947,997	2,619,997	5,282,003

Metropolitan Transportation Commission

STP/CMAQ Obligation Status Report

Fiscal Years: FY 08/09

April 07, 2009

Sponsor	TIP ID	Ver	Fund Code	Federal Proj Info			Fund Programming Information			Obligation Information						
				Prefix	Proj ID	Project Name	Appn FY	Prog FY	STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Sonoma County																
Cotati	SON050032	3	STP-T3-3-LSR-SF			Cotati - Old Redwood Highway South Rehab (CON)		08/09								
Healdsburg	SON050017	4	CMAQ-T3-3-RBP-CO			Healdsburg Foss Creek Bicycle/Ped Pathway (PE)	08/09	08/09		149,000	149,000				149,000	
MTC	SON050025	4	CMAQ-T3-3-TLC-HIP			SMART Regional Bike/Ped Path: Ph. III (CON)		08/09								
Santa Rosa	SON050036	3	STP-T3-3-LSR-SF	STPL	5028051	Santa Rosa - Various Streets Rehabilitation (CON)	08/09	08/09	2,008,000		2,008,000	03/30/2009	2,008,000		2,008,000	
Santa Rosa	SON070006	3	CMAQ-T3-3-TLC-HIP		5028044	Santa Rosa Courthouse Square Enhancements (CON)	08/09	08/09		434,000	434,000	01/16/2009		434,000	434,000	
Santa Rosa	SON070017	2	CMAQ-T3-3-RBP-CO			Piner Road Pathway/Stony Circle Sidewalk (CON)	08/09	08/09		235,000	235,000				235,000	
Sebastopol	SON070015	2	CMAQ-T3-3-RBP-CO			Street Smart Sebastopol Phase 2 (CON)	08/09	08/09		485,000	485,000				485,000	
Sonoma County	SON050011	8	STP-T3-3-LSR-SF			Sonoma County - Various Streets Rehabilitation (CON)	06/07	08/09	3,388,505		3,388,505				3,388,505	
Sonoma County	SON070018	2	CMAQ-T3-3-RBP-CO			Western Avenue Bike Ped. Project (CON)	08/09	08/09		429,000	429,000				429,000	
Windsor	SON070019	2	CMAQ-T3-3-RBP-CO			Windsor Road Ped & Bike Gap Closure (CON)	08/09	08/09		308,000	308,000				308,000	
Sonoma County Totals									5,396,505	2,040,000	7,436,505		2,008,000	434,000	2,442,000	4,994,505
Report grand									61,847,865	113,456,827	175,304,692		54,459,146	42,073,603	96,532,749	78,771,943



METROPOLITAN
TRANSPORTATION
COMMISSION

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Memorandum

TO: Programming and Delivery Working Group

DATE: April 20, 2009

FR: Marcella Aranda

RE: Federal Inactive Obligations List – December 2008 List and March 2009 Look Ahead

Federal regulations require that agencies receiving federal funds invoice against their obligations at least once every six months. Projects that do not have invoicing activity over a six-month period are placed on the Inactive Obligation list, and those projects are at risk of deobligation of federal funds if Caltrans and the Federal Highways Administration (FHWA) do not receive either an invoice or a valid justification for inactivity. A current list projects to be deobligated is included as Attachment E.

Previous memos included additional information on how to justify project delays to Caltrans and FHWA, as well as how to check the status of your invoice (via LPAMS, <http://lpams.dot.ca.gov>). Caltrans Local Assistance posts the quarterly inactive list, as well as future at-risk look-ahead reports online at <http://www.dot.ca.gov/hq/LocalPrograms/Inactiveprojects.htm>.

The December 2008 Inactive List was released on January 23, 2008 (attached). An updated list as of February 27, 2009 has been included as Attachment C. Projects on the December list should have submitted an invoice by **March 2, 2009**, or justification and appropriate documentation by **February 23, 2009**. Projects that did not meet these deadlines will be deobligated by FHWA. The March 2009 Inactive List is expected to be released by the end of April and will be distributed via email to the group members shortly thereafter.

Attached for review is the Look Ahead report for March 2009, which includes projects that will become inactive if these projects do not have an invoice paid in time. Note that if there has been invoicing activity on these projects since December, they may no longer be in jeopardy. Please ensure that your jurisdictions' projects do not end up on this list. FHWA is required to deobligate federal funds if they do not receive an invoice or justification within the prescribed timeframe.

If you have any questions regarding inactive obligations and invoicing, please contact MTC or Caltrans Local Assistance staff.

Attachments:

- A. Letter from Denix Anbiah re: December 2008 Inactive Obligation Listing, January 23, 2009
- B. Bay Area Region Inactive Project Listing for December 2008, January 23, 2009
- C. Bay Area Region Inactive Project Listing for December 2008, *revised February 27, 2009*
- D. Bay Area Region Inactive Project Listing Look Ahead for March 2009
- E. Bay Area Region List of Projects to be Deobligated, *revised March 12, 2009*

DEPARTMENT OF TRANSPORTATION

DIVISION OF LOCAL ASSISTANCE – M.S. 1

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SACRAMENTO, CA 94274-0001

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TTY 711



*Flex your power!
Be energy efficient!*

January 23, 2009

To: Metropolitan Planning Organizations
Regional Transportation Planning Agencies
Local Transportation Commissions
Public Works Directors of All Cities and Counties in California

Dear Executive Director:

Subject: Potential loss of Federal Funds
Quarterly Review of Inactive Obligations – Quarter Ending December 31, 2008

The California Department of Transportation (Caltrans) has started the quarterly review process of inactive obligations for the quarter period from September 1, 2008 to December 31, 2008 as required under 23CFR 630.106 (http://www.access.gpo.gov/nara/cfr/waisidx_06/23cfr630_06.html). To prevent the potential deobligation and/or loss of unexpended federal funds, the local agencies must do the following:

1. Review the list of currently inactive obligations available on the Division of Local Assistance website at <http://www.dot.ca.gov/hq/LocalPrograms/Inactiveprojects.htm>. This list will be updated regularly as to the status of each of these projects. A summary of the inactive projects listed by local agency is enclosed for your reference.
2. Submit a complete and correct invoice for eligible expenditures to Caltrans Local Program Accounting (LPA) by **March 2, 2009**. For any invoices submitted to LPA after that date (*but before March 31, 2009*), please send an electronic copy to chris_jensen@dot.ca.gov.
3. Identify unneeded project funds and submit deobligation requests to the District Local Assistance Engineer (DLAE) by **February 23, 2009**. Process project final closeout, if applicable.
4. Submit a complete justification form (available on the website) along with all backup documentation to DLAE by **February 23, 2009** for projects that do not have eligible expenditures to be invoiced or do not have unneeded funds to be deobligated.

In addition to the procedure changes implemented for June 2008 quarterly review (see [letter dated July 31, 2008](#)), the Federal Highway Administration (FHWA) has implemented the following changes immediately:

1. FHWA will only consider justifications in the following categories:
 - right of way delay
 - environmental approval
 - litigation
 - final invoice
 - program specific issue that are related to special projects

"Caltrans improves mobility across California"

Metropolitan Planning Organizations, et al.
January 23, 2009
Page 2

2. After FHWA reviews all the inactive projects, FHWA will direct Caltrans to deobligate unexpended federal funds for any inactive projects that do not have a valid financial transaction, valid invoice or approved justification.
3. Caltrans shall complete the deobligation of unexpended federal funds within 90 days after the review period. For the current review period, deobligations must be completed by March 31, 2009.

Local Agencies that have an indirect cost rate proposal awaiting approval by the Caltrans' Division of Audits should continue to submit invoices for eligible direct cost until the indirect cost rate proposal is approved.

In addition to the currently inactive local projects, "Look Ahead Reports" are also available on the website. The Look Ahead Reports include projects that will become inactive by the end of March 2009 (3-Month Look Ahead Report), the end of June 2009 (6-Month Look Ahead Report), and by the end of September 2009 (9-Month Look Ahead Report), if no expenditures are billed. These reports provide an opportunity for local agencies to be proactive in preventing projects from becoming inactive and from potential loss of federal funds. Projects on the 3-Month Look Ahead Report are being monitored for financial transactions to reduce the number of projects that would become inactive for the next quarterly review.

Please work with your district and local agencies to ensure that all the inactive projects are made active by either invoicing or deobligating excess funds. Our goal is to help local agencies prevent their projects from becoming inactive. We do not want to depend on FHWA's approval of a justification to keep projects from being deobligated.

If you have any questions regarding the quarterly review process, please contact your DLAE. A list of the DLAEs is enclosed for your reference.

Sincerely,



DENIX D. ANBIAH
Chief
Division of Local Assistance

Enclosure

cc: District Local Assistance Engineers
Deputy District Directors for Local Assistance

**Inactive List for December 2008 Quarterly Review
(Review Period 10/1/08 - 12/31/08)
Local and State Administered Locally Funded and Rail Projects
District 4**

Project No	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds		First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F= In Final Voucher Process R= Rejected Invoice	FMIS Action: C= Closed D=De Obligated E=FMIS Error I=Invoiced W=Withdrawn
4430001	Ala	Alameda County	NORRIS CANYON @ MM 0.280 . , EMERGENCY OPENING .	12/19/2005		\$5,100.00	\$5,100.00	\$0.00	\$5,100.00		2008-12	I	N/A
4430005	Ala	Alameda County	PALOMARES RD. @ MM 8.94 . , EMERGENCY OPENING & PRE. RESTORATIO	12/19/2005	01/06/09	\$38,500.00	\$35,541.00	\$10,169.00	\$25,372.00		2008-12	I	I
4430005	Ala	Alameda County	PALOMARES RD. @ MM 8.94 . , EMERGENCY OPENING & PRE. RESTORATIO	12/19/2005	01/06/09	\$0.00	\$0.00	\$0.00	\$0.00		2008-12	I	I
5933026	Ala	Alameda County	OAKLAND ESTUARY (HIGH ST) (BR NO 33C-0026) , SEISMIC RETROFIT	10/17/2008	07/05/06	\$25,000.00	\$22,132.00	\$20,260.78	\$1,871.22		2008-12	N/A	N/A
5933026	Ala	Alameda County	OAKLAND ESTUARY (HIGH ST) (BR NO 33C-0026) , SEISMIC RETROFIT	10/17/2008	07/05/06	\$1,394,528.00	\$1,234,574.00	\$0.00	\$1,234,574.00		2008-12	N/A	N/A
5933027	Ala	Alameda County	OAKLAND ESTUARY (PARK ST) (BR NO 33C-0027) , SEISMIC RETROFIT	11/3/2008	07/07/06	\$35,000.00	\$22,132.00	\$15,818.23	\$6,313.77		2008-12	N/A	N/A
5933027	Ala	Alameda County	OAKLAND ESTUARY (PARK ST) (BR NO 33C-0027) , SEISMIC RETROFIT	11/3/2008	07/07/06	\$848,156.00	\$750,871.00	\$0.00	\$750,871.00		2008-12	N/A	N/A
6084091	Ala	Metropolitan Transportation Commission	VARIOUS MTC COUNTIES . , TRAVELER INFORMATION FY 04/05 .	8/10/2004	09/26/06	\$5,986,672.00	\$5,300,000.00	\$5,050,537.31	\$249,462.69		2008-09	N/A	N/A
5041029	Ala	San Leandro	E. 14TH ST. , MEDIAN IMPROVEMENT & LIGHTING	11/27/2007		\$858,615.00	\$623,629.00	\$0.00	\$623,629.00		2008-12	N/A	N/A
5135003	CC	Concord	04-CC-242-R1.2/2.4, CITY OF CONCORD, CONCORD , AVE TO GRANT, MOD. I/C, ADD AUX. LN	3/1/1994	10/16/06	\$8,034,920.00	\$6,000,000.00	\$5,899,828.48	\$100,171.52		2008-12	N/A	N/A
5024015	CC	Martinez	VINE HILL WAY - LINTON TERRACE & CENTER AVE , PAVEMENT OVERLAY,INSTALL GUARD RAIL	9/1/2002	07/28/06	\$60,000.00	\$54,000.00	\$9,435.78	\$44,564.22		2008-09	N/A	N/A

**Inactive List for December 2008 Quarterly Review
(Review Period 10/1/08 - 12/31/08)
Local and State Administered Locally Funded and Rail Projects
District 4**

Project No	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds		First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F= In Final Voucher Process R= Rejected Invoice	FMIS Action: C= Closed D=De Obligated E=FMIS Error I=Invoiced W=Withdrawn
5024015	CC	Martinez	VINE HILL WAY - LINTON TERRACE & CENTER AVE , PAVEMENT OVERLAY,INSTALL GUARD RAIL	9/1/2002	07/28/06	\$10,000.00	\$9,000.00	\$1,573.20	\$7,426.80		2008-09	N/A	N/A
4433020	CC	Orinda	CAMINO PABLO APPROX 300' N OF LOS AMIGOS DR. , STABILIZE DOWN SLOPE LANDSLIDE	12/19/2005		\$131,500.00	\$119,857.00	\$0.00	\$119,857.00		2008-09	N/A	N/A
4433020	CC	Orinda	CAMINO PABLO APPROX 300' N OF LOS AMIGOS DR. , STABILIZE DOWN SLOPE LANDSLIDE	12/19/2005		\$0.00	\$0.00	\$0.00	\$0.00		2008-09	N/A	N/A
5137021	CC	Richmond	BART/AMTRAK STATION, CONSTRUCT NEW PEDESTRIAN PLAZA	3/1/2000	12/09/05	\$847,171.00	\$750,000.00	\$646,269.00	\$103,731.00		2007-12	N/A	N/A
5137025	CC	Richmond	VARIOUS LOCATIONS , INSTALL PED. CROSS WALK LIGHT	9/10/2002	10/11/06	\$203,000.00	\$182,700.00	\$675.00	\$182,025.00		2008-12	N/A	N/A
5137035	CC	Richmond	CENTRAL RICHMOND GREENWAY EAST SEGMENT , CONSTRUCT PEDESTRIAN BIKE PATH	3/6/2007		\$1,129,561.00	\$1,000,000.00	\$0.00	\$1,000,000.00		2008-03	I	N/A
5437016	CC	San Ramon	ON SAN RAMON BLVD. AT VARIOUS INTERSECTIONS , MODIFY TURNING LANES	4/27/2008		\$700,000.00	\$630,000.00	\$0.00	\$630,000.00		2008-12	N/A	N/A
5277016	Mrn	Fairfax	SFD BLVD. --OAK MANOR TO JUNE COURT. , CONSTRUCT SIDEWALK, PATHWAYS	6/1/2004	08/18/06	\$164,917.00	\$146,000.00	\$7,312.70	\$138,687.30		2008-09	N/A	I
5277019	Mrn	Fairfax	SFDB -- OAK MANOR TO MARIN, SHADOWCK TO ALAMI , REPAIR PVMT, SLURRY SEAL	8/11/2007		\$116,346.00	\$103,000.00	\$0.00	\$103,000.00		2008-09	I	N/A
5470003	Nap	American Canyon	ELLIOTT ST FR DONALDSON SOUTH TO CITY LIMITS , REHABILITATION	8/23/2006		\$619,550.00	\$200,000.00	\$0.00	\$200,000.00		2008-09	N/A	N/A

**Inactive List for December 2008 Quarterly Review
(Review Period 10/1/08 - 12/31/08)
Local and State Administered Locally Funded and Rail Projects
District 4**

Project No	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds		First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F= In Final Voucher Process R= Rejected Invoice	FMIS Action: C= Closed D=De Obligated E=FMIS Error I=Invoiced W=Withdrawn
4436001	Nap	Napa County	8 DAFS ON VARIOUS LOCATIONS.SEE STATE COMMENT , EMERGENCY OPENING	12/19/2005		\$170,811.00	\$170,811.00	\$0.00	\$170,811.00		2007-12	I	N/A
4436002	Nap	Napa County	7 DAFS ON VARIOUS LOCATIONS.SEE STATE COMMENT , EMERGENCY OPENING	12/19/2005	01/06/09	\$1,266,086.00	\$1,230,235.00	\$68,917.00	\$1,161,318.00		2007-09	I	I
6204047	SCI	Caltrans	1KM WEST OF LAWRENCE EXWY &1KM EAST OF 1ST ST , TRAFFICMONITOR & SURVEILANCE SYSTEM	07/12/05	05/17/06	\$338,870.00	\$300,000.00	\$0.00	\$300,000.00		2008-06	N/A	W
6204047	SCI	Caltrans	1KM WEST OF LAWRENCE EXWY &1KM EAST OF 1ST ST , TRAFFICMONITOR & SURVEILANCE SYSTEM	07/12/05	05/17/06	\$87,183.00	\$77,183.00	\$0.00	\$77,183.00		2008-06	N/A	W
5309010	SCI	Los Altos	GRANT,SPRINGER,HOMESTEAD,POR TLAND, ALMOND , IN-PAVEMENT XWALK IMPROVEMENT	7/8/2008		\$498,000.00	\$448,200.00	\$0.00	\$448,200.00		2008-12	N/A	N/A
5100006	SCI	Palo Alto	VARIOUS LOCATIONS THROUGHOUT PALO ALTO CITY , UPGRADE & REPLACE SIGNAL SYSTEM	8/20/2002	01/06/09	\$1,538,500.00	\$685,000.00	\$641,400.72	\$43,599.28		2008-06	N/A	N/A
5213020	SCI	Sunnyvale	TASMAN LIGHT RAIL VICINITY , PEDESTRIAN ACCESS IMPROVEMENT	9/6/2002	11/28/06	\$533,007.00	\$471,870.00	\$397,446.08	\$74,423.92		2008-12	N/A	N/A
L089804	SF	San Francisco County	METRO EAST LINE RAIL MAINT/STORAGE, PE	6/1/1993		\$15,000,000.00	\$1,288,050.00	\$0.00	\$1,288,050.00		2006-03	N/A	N/A
6342003	SF	University of California at San Francisco	STATEWIDE PUBLIC EDUCATIONAL PROGRAM , PEDESTRIAN AND BICYCLE EDUCATION	12/13/2007		\$885,000.00	\$783,000.00	\$0.00	\$783,000.00		2008-12	N/A	N/A
5132023	Sol	Fairfield	TRAVIS BLVD. FROM OLIVER RD. TO N. TEXAS ST. , SIGNAL UPGRADE, TRAFFIC SIGN INSTAL	6/26/2005	10/06/06	\$400,000.00	\$360,000.00	\$189,462.19	\$170,537.81		2008-12	N/A	N/A

**Inactive List for December 2008 Quarterly Review
(Review Period 10/1/08 - 12/31/08)
Local and State Administered Locally Funded and Rail Projects
District 4**

Project No	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds		First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F= In Final Voucher Process R= Rejected Invoice	FMIS Action: C= Closed D=De Obligated E=FMIS Error I=Invoiced W=Withdrawn
5920020	Son	Sonoma County	GRANGE ROAD, FR BENNETT VLY RD TO 1000' SOUTH , BRIDGE REPLACEMENT, ROAD REALIGNMNT	6/11/2004	01/27/06	\$183,000.00	\$146,400.00	\$146,400.00	\$0.00		2008-09	N/A	N/A
5920020	Son	Sonoma County	GRANGE ROAD, FR BENNETT VLY RD TO 1000' SOUTH , BRIDGE REPLACEMENT, ROAD REALIGNMNT	6/11/2004	01/27/06	\$343,539.00	\$274,830.00	\$0.00	\$274,830.00		2008-09	N/A	N/A
5920020	Son	Sonoma County	GRANGE ROAD, FR BENNETT VLY RD TO 1000' SOUTH , BRIDGE REPLACEMENT, ROAD REALIGNMNT	6/11/2004	01/27/06	\$3,373,114.00	\$2,698,490.00	\$2,685,343.30	\$13,146.70		2008-09	N/A	N/A
5920036	Son	Sonoma County	SONOMA CREEK (WATMAUGH ROAD) (BR NO 20C-0017) , SEISMIC RETROFIT	8/27/2002	12/28/05	\$25,000.00	\$22,132.00	\$22,132.00	\$0.00		2008-12	N/A	N/A
5920036	Son	Sonoma County	SONOMA CREEK (WATMAUGH ROAD) (BR NO 20C-0017) , SEISMIC RETROFIT	8/27/2002	12/28/05	\$115,000.00	\$92,000.00	\$43,999.99	\$48,000.01		2008-12	N/A	N/A
5920050	Son	Sonoma County	LAMBERT BRIDGE RD & DRY CREEK, REPLACE BRIDGE	4/1/1998	12/27/05	\$100,000.00	\$88,530.00	\$60,179.17	\$28,350.83		2008-12	I	I
5920087	Son	Sonoma County	VALLEY FORD ROAD OVER STEMPLE CREEK , BARRIER RAIL REPLACEMENT	5/15/2003	12/28/05	\$14,455.00	\$12,797.00	\$1,582.50	\$11,214.50		2008-12	N/A	N/A
5920088	Son	Sonoma County	BODEGA HWY OVER NOLAN CREEK , BARRIER RAIL REPLACEMENT	5/15/2003	12/28/05	\$11,626.00	\$10,292.00	\$2,962.15	\$7,329.85		2008-12	N/A	N/A
5920089	Son	Sonoma County	SKILLMAN LANE OVER WILSON CREEK , BARRIER RAIL REPLACEMENT	5/15/2003	12/28/05	\$8,900.00	\$7,879.00	\$1,578.57	\$6,300.43		2008-12	N/A	N/A
Regionwide Total						\$46,100,627.00	\$26,356,235.00	\$15,923,283.15	\$10,432,951.85				

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**Inactive List for December 2008 Quarterly Review
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Project No	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds	First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F= In Final Voucher Process R= Rejected Invoice A = Approved Invoice (as of 02/27/09)	FMIS Action: C= Closed D=De-Obligated E=FMIS Error I=Invoiced W=Withdrawn (as of 02/27/09)	Documentation Received I=Progress Invoice D=De-obligation J=Justification N/A=Docs. not req'd. X=Docs. Needed (as of 02/27/09)	Agency Action Required (as of 2/27/09)
4430001	Ala	Alameda County	NORRIS CANYON @ MM 0.280 , , EMERGENCY OPENING	12/19/2005		\$5,100.00	\$5,100.00	\$0.00	\$5,100.00	2008-12	A	I	N/A	No further action req'd.
4430005	Ala	Alameda County	PALOMARES RD. @ MM 8.94 EMERGENCY OPENING & PRE. RESTORATIO	12/19/2005	1/6/09	\$38,500.00	\$35,541.00	\$10,169.00	\$25,372.00	2008-12	A	I	N/A	No further action req'd.
4430005	Ala	Alameda County	PALOMARES RD. @ MM 8.94 EMERGENCY OPENING & PRE. RESTORATIO	12/19/2005	1/6/09	\$0.00	\$0.00	\$0.00	\$0.00	2008-12	A	I	N/A	No further action req'd.
5933026	Ala	Alameda County	OAKLAND ESTUARY (HIGH ST) (BR NO 33C-0026) , SEISMIC RETROFIT	10/17/2008	7/5/06	\$25,000.00	\$22,132.00	\$20,260.78	\$1,871.22	2008-12	N/A	N/A	X	No documentation rec'd; submit invoice by 3/2 or justification form by 2/23.
5933026	Ala	Alameda County	OAKLAND ESTUARY (HIGH ST) (BR NO 33C-0026) , SEISMIC RETROFIT	10/17/2008	7/5/06	\$1,394,528.00	\$1,234,574.00	\$0.00	\$1,234,574.00	2008-12	N/A	N/A	X	No documentation rec'd; submit invoice by 3/2 or justification form by 2/23.
5933027	Ala	Alameda County	OAKLAND ESTUARY (PARK ST) (BR NO 33C-0027) , SEISMIC RETROFIT	11/3/2008	7/7/06	\$35,000.00	\$22,132.00	\$15,818.23	\$6,313.77	2008-12	N/A	N/A	X	No documentation rec'd; submit invoice by 3/2 or justification form by 2/23.
5933027	Ala	Alameda County	OAKLAND ESTUARY (PARK ST) (BR NO 33C-0027) , SEISMIC RETROFIT	11/3/2008	7/7/06	\$848,156.00	\$750,871.00	\$0.00	\$750,871.00	2008-12	N/A	N/A	X	No documentation rec'd; submit invoice by 3/2 or justification form by 2/23.
6084091	Ala	Metropolitan Transportation Commission	VARIOUS MTC COUNTIES TRAVELER INFORMATION FY 04/05	8/10/2004	9/26/06	\$5,986,672.00	\$5,300,000.00	\$5,050,537.31	\$249,462.69	2008-09	N/A	N/A	J	Justification form submitted and reviewed; will present to FHWA at 3/5 mtg.
5041029	Ala	San Leandro	E. 14TH ST. , MEDIAN IMPROVEMENT & LIGHTING	11/27/2007		\$858,615.00	\$623,629.00	\$0.00	\$623,629.00	2008-12	N/A	N/A	X	No documentation rec'd; submit invoice by 3/2 or justification form by 2/23.
5135003	CC	Concord	04-CC-242-R1.2/2.4, CITY OF CONCORD, CONCORD , AVE TO GRANT, MOD. I/C, ADD AUX. LN	3/1/1994	10/16/06	\$8,034,920.00	\$6,000,000.00	\$5,899,828.48	\$100,171.52	2008-12	N/A	N/A	X	No documentation rec'd; submit invoice by 3/2 or justification form by 2/23.
5024015	CC	Martinez	VINE HILL WAY - LINTON TERRACE & CENTER AVE , PAVEMENT OVERLAY,INSTALL GUARD RAIL	9/1/2002	7/28/06	\$60,000.00	\$54,000.00	\$9,435.78	\$44,564.22	2008-09	N/A	I	N/A	No further action req'd.
5024015	CC	Martinez	VINE HILL WAY - LINTON TERRACE & CENTER AVE , PAVEMENT OVERLAY,INSTALL GUARD RAIL	9/1/2002	7/28/06	\$10,000.00	\$9,000.00	\$1,573.20	\$7,426.80	2008-09	N/A	I	N/A	No further action req'd.
4433020	CC	Orinda	CAMINO PABLO APPROX 300' N OF LOS AMIGOS DR. , STABILIZE DOWN SLOPE LANDSLIDE	12/19/2005		\$131,500.00	\$119,857.00	\$0.00	\$119,857.00	2008-09	I	N/A	N/A	Invoice rec'd by LPA; awaiting approval. Monitor progress.
4433020	CC	Orinda	CAMINO PABLO APPROX 300' N OF LOS AMIGOS DR. , STABILIZE DOWN SLOPE LANDSLIDE	12/19/2005		\$0.00	\$0.00	\$0.00	\$0.00	2008-09	I	N/A	N/A	Invoice rec'd by LPA; awaiting approval. Monitor progress.
5137021	CC	Richmond	WESTSIDE OF RICHMOND BART/AMTRAK STATION, CONSTRUCT NEW PEDESTRIAN PLAZA	3/1/2000	12/9/05	\$847,171.00	\$750,000.00	\$646,269.00	\$103,731.00	2007-12	N/A	N/A	X	No documentation rec'd; submit invoice by 3/2 or justification form by 2/23.
5137025	CC	Richmond	VARIOUS LOCATIONS INSTALL PED. CROSS WALK LIGHT	9/10/2002	10/11/06	\$203,000.00	\$182,700.00	\$675.00	\$182,025.00	2008-12	N/A	N/A	X	No documentation rec'd; submit invoice by 3/2 or justification form by 2/23.
5137035	CC	Richmond	CENTRAL RICHMOND GREENWAY EAST SEGMENT , CONSTRUCT PEDESTRIAN BIKE PATH	3/6/2007		\$1,129,561.00	\$1,000,000.00	\$0.00	\$1,000,000.00	2008-03	A	I	N/A	No further action req'd.
5437016	CC	San Ramon	ON SAN RAMON BLVD. AT VARIOUS INTERSECTIONS , MODIFY TURNING LANES	4/27/2008		\$700,000.00	\$630,000.00	\$0.00	\$630,000.00	2008-12	I	N/A	N/A	Invoice rec'd by LPA; awaiting approval. Monitor progress.

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5277016	Mrn	Fairfax	SFD BLVD. --OAK MANOR TO JUNE COURT. , CONSTRUCT SIDEWALK, PATHWAYS	6/1/2004	8/18/06	\$164,917.00	\$146,000.00	\$7,312.70	\$138,687.30	2008-09	A	I	N/A	No further action req'd.
5277019	Mrn	Fairfax	SFDB -- OAK MANOR TO MARIN, SHADOWCK TO ALAMI , REPAIR PVMT, SLURRY SEAL	8/11/2007		\$116,346.00	\$103,000.00	\$0.00	\$103,000.00	2008-09	A	I	N/A	No further action req'd.
5470003	Nap	American Canyon	ELLIOTT ST FR DONALDSON SOUTH TO CITY LIMITS , REHABILITATION	8/23/2006		\$619,550.00	\$200,000.00	\$0.00	\$200,000.00	2008-09	N/A	N/A	X	No documentation rec'd; submit invoice by 3/2 or justification form by 2/23.
4436001	Nap	Napa County	8 DAFS ON VARIOUS LOCATIONS SEE STATE COMMENT , EMERGENCY OPENING	12/19/2005		\$170,811.00	\$170,811.00	\$0.00	\$170,811.00	2007-12	A	I	N/A	No further action req'd.
4436002	Nap	Napa County	7 DAFS ON VARIOUS LOCATIONS SEE STATE COMMENT , EMERGENCY OPENING	12/19/2005	1/6/09	\$1,266,086.00	\$1,230,235.00	\$68,917.00	\$1,161,318.00	2007-09	A	I	N/A	No further action req'd.
6204047	SCI	Caltrans	1KM WEST OF LAWRENCE EXWY & 1KM EAST OF 1ST ST , TRAFFICMONITOR & SURVEILLANCE SYSTEM	7/12/05	5/17/06	\$338,870.00	\$300,000.00	\$0.00	\$300,000.00	2008-06	N/A	W	N/A	No further action req'd.
6204047	SCI	Caltrans	1KM WEST OF LAWRENCE EXWY & 1KM EAST OF 1ST ST , TRAFFICMONITOR & SURVEILLANCE SYSTEM	7/12/05	5/17/06	\$87,183.00	\$77,183.00	\$0.00	\$77,183.00	2008-06	N/A	W	N/A	No further action req'd.
5309010	SCI	Los Altos	GRANT, SPRINGER, HOMESTEAD, PORTLAND, ALMOND , IN-PAVEMENT XWALK IMPROVEMENT	7/8/2008		\$498,000.00	\$448,200.00	\$0.00	\$448,200.00	2008-12	A	N/A	N/A	Invoice rec'd by LPA; awaiting approval. Monitor progress.
5100006	SCI	Palo Alto	VARIOUS LOCATIONS THROUGHOUT PALO ALTO CITY , UPGRADE & REPLACE SIGNAL SYSTEM	8/20/2002	1/6/09	\$1,538,500.00	\$685,000.00	\$641,400.72	\$43,599.28	2008-06	A	I	N/A	No further action req'd.
5213020	SCI	Sunnyvale	TASMAN LIGHT RAIL VICINITY PEDESTRIAN ACCESS IMPROVEMENT	9/6/2002	11/28/06	\$533,007.00	\$471,870.00	\$397,446.08	\$74,423.92	2008-12	N/A	N/A	X	No documentation rec'd; submit invoice by 3/2 or justification form by 2/23.
L089804	SF	San Francisco County	METRO EAST LINE RAIL MAINT/STORAGE, PE	6/1/1993		\$15,000,000.00	\$1,288,050.00	\$0.00	\$1,288,050.00	2006-03	F	N/A	N/A	In Final Voucher process; awaiting decision if more docs req'd; monitor progress.
6342003	SF	University of California at San Francisco	STATEWIDE PUBLIC EDUCATIONAL PROGRAM , PEDESTRIAN AND BICYCLE EDUCATION	12/13/2007		\$885,000.00	\$783,000.00	\$0.00	\$783,000.00	2008-12	N/A	N/A	J	Justification form submitted and reviewed; will present to FHWA at 3/5 mtg.
5132023	Sol	Fairfield	TRAVIS BLVD. FROM OLIVER RD. TO N. TEXAS ST. , SIGNAL UPGRADE, TRAFFIC SIGN INSTAL	6/26/2005	10/6/06	\$400,000.00	\$360,000.00	\$189,462.19	\$170,537.81	2008-12	N/A	N/A	X	No documentation rec'd; submit invoice by 3/2 or justification form by 2/23.
5920020	Son	Sonoma County	GRANGE ROAD, FR BENNETT VLY RD TO 1000' SOUTH , BRIDGE REPLACEMENT, ROAD REALIGNMNT	6/11/2004	1/27/06	\$183,000.00	\$146,400.00	\$146,400.00	\$0.00	2008-09	I	N/A	J	Justification form submitted. Under review. Invoice rec'd by LPA; awaiting approval. Monitor progress.
5920020	Son	Sonoma County	GRANGE ROAD, FR BENNETT VLY RD TO 1000' SOUTH , BRIDGE REPLACEMENT, ROAD REALIGNMNT	6/11/2004	1/27/06	\$343,539.00	\$274,830.00	\$0.00	\$274,830.00	2008-09	I	N/A	J	Justification form submitted. Under review. Invoice rec'd by LPA; awaiting approval. Monitor progress.
5920020	Son	Sonoma County	GRANGE ROAD, FR BENNETT VLY RD TO 1000' SOUTH , BRIDGE REPLACEMENT, ROAD REALIGNMNT	6/11/2004	1/27/06	\$3,373,114.00	\$2,698,490.00	\$2,685,343.30	\$13,146.70	2008-09	I	N/A	J	Justification form submitted. Under review. Invoice rec'd by LPA; awaiting approval. Monitor progress.

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Project No	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds	First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F= In Final Voucher Process R= Rejected Invoice A = Approved Invoice (as of 02/27/09)	FMS Action: C= Closed D=De-Obligated E=FMIS Error I=Invoiced W=Withdrawn (as of 02/27/09)	Documentation Received I=Progress Invoice D=De-obligation J=Justification N/A=Docs. not reqd. X=Docs. Needed (as of 02/27/09)	Agency Action Required (as of 2/27/09)
5920036	Son	Sonoma County	SONOMA CREEK (WATMAUGH ROAD) (BR NO 20C-0017) , SEISMIC RETROFIT	8/27/2002	12/28/05	\$25,000.00	\$22,132.00	\$22,132.00	\$0.00	2008-12	N/A	N/A	J	Justification form submitted. Under review.
5920036	Son	Sonoma County	SONOMA CREEK (WATMAUGH ROAD) (BR NO 20C-0017) , SEISMIC RETROFIT	8/27/2002	12/28/05	\$115,000.00	\$92,000.00	\$43,999.99	\$48,000.01	2008-12	N/A	N/A	J	Justification form submitted. Under review.
5920050	Son	Sonoma County	LAMBERT BRIDGE RD & DRY CREEK, REPLACE BRIDGE	4/1/1998	12/27/05	\$100,000.00	\$88,530.00	\$60,179.17	\$28,350.83	2008-12	A	I	N/A	No further action req'd.
5920087	Son	Sonoma County	VALLEY FORD ROAD OVER STEMPLE CREEK , BARRIER RAIL REPLACEMENT	5/15/2003	12/28/05	\$14,455.00	\$12,797.00	\$1,582.50	\$11,214.50	2008-12	N/A	N/A	J	Justification form submitted. Under review.
5920088	Son	Sonoma County	BODEGA HWY OVER NOLAN CREEK , BARRIER RAIL REPLACEMENT	5/15/2003	12/28/05	\$11,626.00	\$10,292.00	\$2,962.15	\$7,329.85	2008-12	N/A	N/A	J	Justification form submitted. Under review.
5920089	Son	Sonoma County	SKILLMAN LANE OVER WILSON CREEK , BARRIER RAIL REPLACEMENT	5/15/2003	12/28/05	\$8,900.00	\$7,879.00	\$1,578.57	\$6,300.43	2008-12	N/A	N/A	J	Justification form submitted. Under review.
Regionwide Total						\$46,100,627.00	\$26,356,235.00	\$15,923,283.15	\$10,432,951.85					

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Project Number	Prog Code	County	Responsible Agency	Description	Last Billed	Auth Date	Total Cost	Federal Funds	AC Funds	Expended	Unexpended
5933074	H240	Ala	Alameda County	VASCO RD BETWEEN LIVERMORE AND CC COUNTY LINE , ROADWAY ALIGNMENT AND ROW	02/22/08	6/13/2008	\$5,400,000.00	\$3,900,000.00	\$0.00	\$3,900,000.00	\$0.00
5933074	HY10	Ala	Alameda County	VASCO RD BETWEEN LIVERMORE AND CC COUNTY LINE , ROADWAY ALIGNMENT AND ROW	02/22/08	6/13/2008	\$286,382.00	\$136,876.00	\$0.00	\$0.00	\$136,876.00
5933074	L240	Ala	Alameda County	VASCO RD BETWEEN LIVERMORE AND CC COUNTY LINE , ROADWAY ALIGNMENT AND ROW	02/22/08	6/13/2008	\$19,562,764.00	\$9,350,000.00	\$0.00	\$0.00	\$9,350,000.00
5933074	LY10	Ala	Alameda County	VASCO RD BETWEEN LIVERMORE AND CC COUNTY LINE , ROADWAY ALIGNMENT AND ROW	02/22/08	6/13/2008	\$1,190,926.00	\$433,202.00	\$136,000.00	\$0.00	\$433,202.00
5322025	Q400	Ala	Fremont	AT VARIOUS SPOT INTERSECTIONS, TRAFFIC SIGNAL CONTROLLERS	01/03/06	7/1/2001	\$720,000.00	\$312,000.00	\$0.00	\$282,779.45	\$29,220.55
5317012	Q210	Ala	Newark	THORNTON AVENUE/CEDAR BLVD INTERSECTION , GROOVE PAVEMENT		3/29/2006	\$22,000.00	\$19,800.00	\$0.00	\$0.00	\$19,800.00
5137027	H400	CC	Richmond	RICHMOND TRANSIT STATION/NEVIN PLAZA , CONSTRUCT TRANSIT STATION	02/05/08	9/9/2002	\$3,690,250.00	\$1,579,000.00	\$0.00	\$532,701.73	\$1,046,298.27
5137027	Q400	CC	Richmond	RICHMOND TRANSIT STATION/NEVIN PLAZA , CONSTRUCT TRANSIT STATION	02/05/08	9/9/2002	\$2,073,750.00	\$575,000.00	\$0.00	\$172,708.41	\$402,291.59
5225019	L230	CC	Walnut Creek	TREAT BLVD - SHEPPARD ROAD TO BANCROFT ROAD , ROADWAY REHABILITATION		3/22/2008	\$1,097,709.00	\$540,000.00	\$0.00	\$0.00	\$540,000.00
5927024	4130	Mrn	Marin County	SR 101 BRDGE WYI/C TO TIB I/C&RTE1 TOPM 13 , DEVELOP TRANS.MGM/T PLAN	03/18/08	7/27/1999	\$1,500,000.00	\$1,500,000.00	\$0.00	\$1,500,000.00	\$0.00
5927024	F130	Mrn	Marin County	SR 101 BRDGE WYI/C TO TIB I/C&RTE1 TOPM 13 , DEVELOP TRANS.MGM/T PLAN	03/18/08	7/27/1999	\$510,876.00	\$510,876.00	\$0.00	\$0.00	\$510,876.00
5927024	H680	Mrn	Marin County	SR 101 BRDGE WYI/C TO TIB I/C&RTE1 TOPM 13 , DEVELOP TRANS.MGM/T PLAN	03/18/08	7/27/1999	\$991,307.00	\$991,307.00	\$0.00	\$702,622.79	\$288,684.21
5042026	L240	Nap	Napa	SEMINARY ST. BRIDGE OVER NAPA CREEK. , BRIDGE RAIL REPLACEMENT	01/30/07	7/1/2000	\$63,500.00	\$56,216.00	\$0.00	\$0.00	\$56,216.00
5042026	Q240	Nap	Napa	SEMINARY ST. BRIDGE OVER NAPA CREEK. , BRIDGE RAIL REPLACEMENT	01/30/07	7/1/2000	\$75,000.00	\$66,397.00	\$0.00	\$66,396.85	\$0.15
5034012	H400	SCI	Gilroy	MONTEREY STREETSCAPE BETWEEN 4TH & 6TH STREET , STREETSCAPES IMPROVEMENT	01/29/07	8/16/2005	\$2,823,902.00	\$2,500,000.00	\$0.00	\$2,450,000.00	\$50,000.00
5005084	HY10	SCI	San Jose	LOWER GUADALUPE RIVER TRAIL(1880 TO GOLD ST) , BIKE TRAIL		3/8/2008	\$1,368,756.00	\$1,095,005.00	\$0.00	\$0.00	\$1,095,005.00
5005084	LY10	SCI	San Jose	LOWER GUADALUPE RIVER TRAIL(1880 TO GOLD ST) , BIKE TRAIL		3/8/2008	\$333,744.00	\$266,995.00	\$0.00	\$0.00	\$266,995.00
5019020	L230	SCI	Santa Clara	TASMAN DR * HOMESTEAD RD (SEE STATE REMARK) , ROAD REHABILITATION		2/1/2008	\$914,433.00	\$653,000.00	\$0.00	\$0.00	\$653,000.00
5937097	Q120	SCI	Santa Clara County	AT VARIOUS LOCATIONS IN SANTA CLARA COUNTY , BIENNIAL BRIDGE INSPECT./MANAGEMENT	02/08/07	10/14/2004	\$352,650.00	\$282,120.00	\$0.00	\$176,634.09	\$105,485.91

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6003031	HY10	SF	Golden Gate Bridge, Highway And Transportation District	GOLDEN GATE BRIDGE , SEISMIC RETROFIT-PHASE 2	02/26/08	9/8/2006	\$1,505,631.00	\$1,505,631.00	\$0.00	\$214,172.26	\$1,291,458.74
6003031	LY10	SF	Golden Gate Bridge, Highway And Transportation District	GOLDEN GATE BRIDGE , SEISMIC RETROFIT-PHASE 2	02/26/08	9/8/2006	\$751,462.00	\$751,462.00	\$0.00	\$105,487.84	\$645,974.16
6084141	L400	SF	Metropolitan Transportation Commission	SAN FRANCISCO BAY AREA FY 07/08 , FWY OPERATION/ TOS PLANNING STUDY		3/21/2008	\$2,051,283.00	\$1,816,000.00	\$0.00	\$0.00	\$1,816,000.00
5268009	Q240	SM	Belmont	RALSTON AVE - HWY 101 TO GRANADA STREET, ROAD REHABILITATION	01/18/06	8/1/2001	\$165,172.00	\$105,000.00	\$0.00	\$94,500.00	\$10,500.00
5376001	H100	SM	Brisbane	TUNNEL AVENUE BR (BR. NO. 35C-0124) , BRIDGE REPLACEMENT	01/02/08	7/22/2005	\$12,025,000.00	\$9,620,000.00	\$0.00	\$9,031,051.22	\$588,948.78
5376001	L1C0	SM	Brisbane	TUNNEL AVENUE BR (BR. NO. 35C-0124) , BRIDGE REPLACEMENT	01/02/08	7/22/2005	\$960,001.00	\$768,000.00	\$0.00	\$0.00	\$768,000.00
5376001	Q100	SM	Brisbane	TUNNEL AVENUE BR (BR. NO. 35C-0124) , BRIDGE REPLACEMENT	01/02/08	7/22/2005	\$1,140,000.00	\$912,000.00	\$0.00	\$892,250.54	\$19,749.46
5376001	Q120	SM	Brisbane	TUNNEL AVENUE BR (BR. NO. 35C-0124) , BRIDGE REPLACEMENT	01/02/08	7/22/2005	\$60,000.00	\$48,000.00	\$0.00	\$48,000.00	\$0.00
5350013	L230	SM	Pacifica	PALMETTO AVE FR ESPLANADE-BELLA VISTA , AC OVERLAY		3/26/2007	\$512,300.00	\$405,000.00	\$0.00	\$0.00	\$405,000.00
5920041	33D0	Son	Sonoma County	STONY PT.-PEPPER RD TO MECHAM RD., RECONSTRUCTION & WIDENING	01/11/06	8/1/1997	\$2,433,790.00	\$1,772,371.00	\$0.00	\$1,772,371.00	\$0.00
5920041	Q240	Son	Sonoma County	STONY PT.-PEPPER RD TO MECHAM RD., RECONSTRUCTION & WIDENING	01/11/06	8/1/1997	\$2,843,912.00	\$2,517,716.00	\$0.00	\$2,508,863.02	\$8,852.98
Regionwide Total											
							\$67,426,500.00	\$44,988,974.00	\$136,000.00	\$24,450,539.20	\$20,538,434.80

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**FHWA Deobligation List Dated March 12, 2009
(December 2008 Review)**

Project No	Prefix	County	Responsible Agency	Description	Auth Date	Total Proj Cost	Expended	Unexpended Funds	First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F=In Final Voucher Process R= Rejected Invoice A = Approved Invoice	FMIS Action: C= Closed D=De-Obligated E=FMIS Error I=Invoiced W=Withdrawn	Documentation Received I=Progress Invoice D=De-obligation J=Justification N/A=Docs. not reqd. X=Docs. Needed	Results from FHWA meeting (03/05/09)
5135003	STPLN	CC	Concord	04-CC-242-R1.2/2.4, CITY OF CONCORD, CONCORD , AVE TO GRANT, MOD. I/C, ADD AUX. LN	3/1/1994	\$8,034,920.00	\$5,899,828.48	\$100,171.52	2008-12	N/A	NA	J	Agency to submit invoice to LPA and provide a copy to DLA by 3/23/09 or District to deobligate by 3/27/09.
5137021	CML	CC	Richmond	WESTSIDE OF RICHMOND BART/AMTRAK STATION, CONSTRUCT NEW PEDESTRIAN PLAZA	3/1/2000	\$847,171.00	\$646,269.00	\$103,731.00	2007-12	N/A	NA		No action/justification. Deobligate immediately.
5137025	STPLHSR	CC	Richmond	VARIOUS LOCATIONS INSTALL PED. CROSS WALK LIGHT	9/10/2002	\$203,000.00	\$675.00	\$182,025.00	2008-12	N/A	NA		Agency to submit invoice to LPA and provide a copy to DLA by 3/23/09 or District to deobligate e by 3/27/09.
5213020	CML	SCI	Sunnyvale	TASMAN LIGHT RAIL VICINITY PEDESTRIAN ACCESS IMPROVEMENT	9/6/2002	\$533,007.00	\$397,446.08	\$74,423.92	2008-12	N/A	NA		Agency to submit invoice to LPA and provide a copy to DLA by 3/23/09 or District to deobligate by 3/27/09.



METROPOLITAN
TRANSPORTATION
COMMISSION

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Memorandum

TO: Programming and Delivery Working Group

DATE: April 20, 2009

FR: Kenneth Kao

RE: STIP Project Delivery Update for FY 2007-08 and FY 2008-09 Projects

Background

Senate Bill 45 (Chapter 622, Statutes 1997) established strict timely use of funds and project delivery requirements for transportation projects. Projects programmed in the State Transportation Improvement Program (STIP) must receive an allocation from the California Transportation Commission (CTC) or Caltrans by the end of the fiscal year in which the funds are programmed. Funds not allocated or extended by the CTC within this deadline are deleted from the STIP with the funds returned to the county in the next county share period. MTC staff monitors the delivery of STIP projects, and has been informing members of the Bay Area Partnership on a monthly basis of the project delivery requirements and pending deadlines.

FY 2007-08 Projects

Attachment A lists STIP projects programmed in FY 2007-08. Most projects have already been allocated by the CTC. This report is being included to keep track of project extensions and award deadlines. **Please review the award deadlines for allocated projects and the new allocation deadline for projects approved for allocation extension requests.**

FY 2008-09 Projects

Please review all FY 2008-09 STIP projects (including TE projects and TE Reserve); listed in Attachment A. Projects can no longer be shifted out of FY 2008-09. In accordance with SB 45, a project cannot be moved out in the year it is programmed. For projects programmed in FY 2008-09, please note:

- In order to receive an allocation at the June 2009 CTC meeting (the last meeting of the CTC in FY 2008-09), you should have submitted your allocation request to MTC and Caltrans D4 Local Assistance by April 13, 2009.
- In order to receive an allocation at the July 2009 CTC meeting (the first meeting of the CTC in FY 2009-10), you must submit your allocation request to MTC and Caltrans D4 Local Assistance by May 11, 2009. PPM requests should be submitted by this date.
- The deadline for the May 2009 CTC meeting has already passed (March 16).
- In accordance with recently adopted policy, all allocated construction funds must have a contract awarded within six months of allocation.
- Currently, the CTC is allocating state-only funds, with the exception of TE and pending American Recovery and Reinvestment Act (ARRA) funds distributed to the State via the

STIP Project Delivery Update

April 20, 2009

Page 2 of 2

- STIP formula. There is no guarantee that CTC will continue to allocate state-only funds after FY 2008-09.
- For federal projects, such as Transportation Enhancement projects, Caltrans requests that the request for the STIP allocation and request for federal authorization to proceed (E-76) be submitted to Caltrans at the same time. Check with Caltrans for what additional supporting documentation needs to be submitted with your allocation request. Remember to initiate TIP amendments through MTC's FMS.
 - For federal projects (i.e. TE projects), be sure the sponsor's Disadvantaged Business Enterprise (DBE) program is approved by Local Assistance (obligation of federal funds cannot occur without approved DBE program).

Please contact Kenneth Kao at (510) 817-5768 or kkao@mtc.ca.gov with questions or comments.

Attachments

A – FY 2007-08 STIP Project Delivery Status Report

B – FY 2008-09 STIP Project Delivery Status Report

J:\COMMITTEE\Partnership\Partnership PDWG\2009 PDWG\09 PDWG Memos\02_Mar-09 - PDWG\03c_0_STIP_Monitoring.doc

STIP Allocation Status Report for FY 2007-08 Programmed Projects

Projects with Allocation Deadline of 6/30/08 (All numbers in \$1,000s)

April 13, 2009

Regional Transportation Improvement Program (RTIP) and Transportation Enhancements (TE)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Alameda	ALA050029	ACCMA	16O	I-680 Smart Carpool Lane	26-Jun-08	23-Dec-08	CON	8,000	8,000	0	Allocated
Alameda	ALA050009	ACTIA	16U	I-580 Castro Valley Interchange Improvements	13-Mar-08	09-Sep-08	CON	7,315	7,315	0	Allocated
Alameda	ALA010006	Caltrans	1017	SR-84 HOV Lane Extension	05-Sep-07	03-Mar-08	CON+C-CT	2,490	2,490	0	Allocated
Alameda	ALA070020	Caltrans	112A	I-580 Aux and HOV lanes (Segments 1 & 2)	29-Oct-08	27-Apr-09	CON	9,274	9,274	0	Allocated (from deferment)
Alameda	ALA070020	Caltrans	112A	I-580 Aux and HOV lanes (Segments 1 & 2)	13-Mar-08	09-Sep-08	CON	8,395	8,395	0	Allocated
Alameda	ALA991084	Caltrans	A157D	I-680 Sunol Grade SB HOV Lanes Phase 3	10-Apr-08	07-Oct-08	CON	7,246	7,246	0	Allocated
Alameda	ALA991081	Oakland	1022	I-880 Access at 42nd Av/High St.	13-Dec-07	n/a	ROW	5,990	5,990	0	Allocated
Alameda	ALA030002	Alameda Co	2009L	Vasco Rd Safety Improvements (Segments 1 & 2)	14-Feb-08	12-Aug-08	CON	4,600	4,600	0	Allocated
Alameda	ALA990054	Alameda (City)	2009N	Tinker Ave Extension	25-Sep-08	24-Mar-09	CON	4,000	4,000	0	Allocated
Alameda	REG050014	MTC	2100	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	113	113	0	Allocated
Alameda	ALA99SA01	MTC/ACCMA	2179	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	1,311	1,311	0	Allocated
Alameda-PTA	ALA050065	BART Berkeley	2009Y	Ashby BART/Ed Roberts Concourse/Escalators	26-Jun-08	23-Dec-08	CON	2,000	2,000	0	Allocated
Alameda-PTA	ALA050081	Berkeley	2009W	Ashby BART/Ed Roberts Campus Improvements	26-Jun-08	23-Dec-08	CON	2,614	2,614	0	Allocated
Alameda-PTA	BRT050003	BART	1014	BART Tube Seismic Retrofit	05-Sep-07	03-Mar-08	CON	38,000	38,000	0	Allocated
Alameda-PTA	ALA990015	Union City	2110	Union City Intermodal Station	05-Sep-07	03-Mar-08	CON	4,600	4,600	0	Allocated
Alameda-PTA	REG070002	BART	2009P	Alameda County BART Station Renovation	05-Sep-07	n/a	PSE	248	248	0	Allocated
Alameda-PTA	REG070002	BART	2009P	Alameda County BART Station Renovation	11-Dec-08	09-Jun-09	CON	3,000	3,000	0	Allocated (from extension)
Alameda-PTA	ALA030001	AC Transit	2009I	AC Transit Rehabilitation Project	29-May-08	25-Nov-08	CON	7,738	7,738	0	Allocated
Alameda-PTA	ALA070046	AC Transit	2009X	AC Transit Zero-Emissions Bus Purchase	20-Sep-07	18-Mar-08	CON	7,810	7,810	0	Allocated
Alameda-TE	ALA050081	Berkeley BART	2009W	Ashby BART/Ed Roberts Campus Improvements	26-Jun-08	23-Dec-08	CON	1,200	1,200	0	Allocated
Alameda-TE	ALA050039	Oakland	2100C1	MacArthur Transit Hub/Village Improvements	26-Jul-07	22-Jan-08	CON	193	193	0	Allocated
Alameda RTIP Totals								126,137	126,137	0	
Contra Costa	ALA070041	ACCMA	62E	I-80 Integrated Corridor Mobility Project	05-Sep-07	n/a	ENV	954	954	0	Allocated
Contra Costa	CC-010002	Caltrans	57A	SR 24 - Caldecott Tunnel 4th Bore (SO)	01-Jul-07	n/a	PSE	2,000	2,000	0	Caltrans Lump-Sum Allocation
Contra Costa	CC-030028	CCTA	192F	SR 4 Widening from Somersville to SR 160	05-Sep-07	n/a	ROW	10,000	10,000	0	Allocated
Contra Costa	REG050014	MTC	2118	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	74	74	0	Allocated
Contra Costa	CC-070021	CCTA	2011O	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	300	300	0	Allocated
Contra Costa-TE	CC-070085	Martinez	183I	Marina Vista Streetscape	13-Mar-08	n/a	PSE	148	148	0	Allocated
Contra Costa-TE	CC-010031	CC County	2011K	Delta DeAnza Trail crossing of SR 4	01-Feb-07	n/a	PSE	60	60	0	Allocated in FY 06/07
Contra Costa-TE	CC-030010	CC County	2025C	Camino Tassajara Rd Bikeway Shoulders	20-Sep-07	18-Mar-08	CON	324	324	0	Allocated
Contra Costa RTIP Totals								13,860	13,860	0	
Marin	MRN050002	TAM	2127C	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	524	524	0	Allocated
Marin	REG050014	MTC	2127	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	21	21	0	Allocated
Marin RTIP Totals								545	545	0	
Napa	NAP010001	Caltrans	376	SR 12/29/221 Soscol Intersection - Separation	01-Jul-07	n/a	PSE	4,200	4,200	0	Caltrans Lump-Sum Allocation
Napa	NAP010008	Caltrans	367D	SR 12 - Jamieson Canyon Rd Widening	01-Jul-07	n/a	PSE/ROW+	13,900	13,900	0	Caltrans Lump-Sum Allocation
Napa	REG050014	MTC	2130	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	13	13	0	Allocated
Napa	NAP010009	MTC/Napa TPA	1003E	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	24	24	0	Allocated
Napa-TE	REG070005	MTC	2130B	TE reserve			N/A	414	0	414	Lapsed, return to county share
Napa RTIP Totals								18,551	18,137	414	
San Francisco-PTA	SF-050003	SF Muni	2134A	AB 3090 reimbursement (3rd St light rail mtnic fac)	26-Jul-07	n/a	CON	22,570	22,570	0	Allocated
San Francisco	SF-010020	San Francisco	2014D	Addison & Digby Traffic Circles	20-Sep-07	n/a	PSE	20	20	0	Allocated
San Francisco	SF-010008	MTC/SFCTA	2007	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	466	466	0	Allocated
San Francisco	REG050014	MTC	2131	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	58	58	0	Allocated
San Francisco-PTA	SF-030001	Golden Gate	2014J	SF Ferry Terminal Berth	13-Dec-07	n/a	ENV	1,000	1,000	0	Allocated
San Francisco-PTA	SF-010028	Peninsula JPB	2014I	Caltrain Electrification			PSE	4,000	0	4,000	To be delivered with CMAQ funds
San Francisco-PTA	SF-010015	TJPA	2133A	Caltrain Downtown Extension to Rebuild Transbay Terminal	10-Jan-08	n/a	ENV	4,000	4,000	0	Allocated
San Francisco-TE	SF-050031	San Francisco	2007Z	Stockton St Tunnel Lighting - Ped Improvements	29-May-08	25-Nov-08	CON	799	799	0	Allocated
San Francisco-TE	SF-070032	SF DPW	9098A	Leland Ave Streetscape Improvements Project	08-Nov-07	n/a	ENV	27	27	0	Allocated
San Francisco-TE	SF-070033	SF DPT	9098C	Inner Sunset Traffic Calming & Transit Enh	20-Sep-07	n/a	PSE	135	135	0	Allocated
San Francisco RTIP Totals								33,075	29,075	4,000	

STIP Allocation Status Report for FY 2007-08 Programmed Projects

Projects with Allocation Deadline of 6/30/08 (All numbers in \$1,000s)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
San Mateo	SM-010031	Caltrans	700C	Aux lanes landscaping/#700B, 6-08 vote	01-Jul-07	n/a	CON-CT	33	33	0	Caltrans Lump-Sum Allocation
San Mateo	SM-030001	Caltrans	658B	U.S. 101 Aux Lanes - SCL Co. Line to Marsh Rd	01-Jul-07	n/a	ENV	5,200	5,200	0	Caltrans Lump-Sum Allocation
San Mateo	SM-070003	Caltrans	645C	Menlo Park-Millbrae, interconnect signals	01-Jul-07	n/a	PSE	739	739	0	Caltrans Lump-Sum Allocation
San Mateo	REG050014	MTC	2140	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	60	60	0	Allocated
San Mateo	SM-030015	SM C/CAG	2140A	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	467	467	0	Allocated
San Mateo-PTA	SM-070030	BART	1003J	Daly City BART Station Improvements	9 Mo. Extension App'd		CON	900	0	900	Deferred Mar-09
San Mateo-TE	REG070005	MTC	2140C	TE reserve			N/A	1,690	0	1,690	Lapsed, return to county share
San Mateo-TE	SM-050026	South SF		BART Linear Park Ph. 2 and 3	29-May-08	25-Nov-08	CON	177	177	0	Allocated
San Mateo-TE	SM-070031	San Bruno		ECR Street Median & Grand Blvd Improvements			CON	779	0	779	Will allocate from reserve
San Mateo RTIP Totals								10,045	6,676	3,369	
Santa Clara	SCL030012	SCVTA	409C	GARVEE debt (I-880 Coleman)	01-Jul-07	n/a	CON	7,315	7,315	0	Allocated
Santa Clara	SCL030012	SCVTA	443N	GARVEE debt (SR 87 HOV North)	01-Jul-07	n/a	CON	3,968	3,968	0	Allocated
Santa Clara	SCL030012	SCVTA	443S	GARVEE debt (SR 87 HOV South)	01-Jul-07	n/a	CON	4,565	4,565	0	Allocated
Santa Clara	SCL991077	Caltrans	B157D	I-680 Sunol Grade SB HOV Phase 3	26-Jun-08	23-Dec-08	CON+C-CT	8,308	8,308	0	Allocated
Santa Clara	REG050014	MTC	2144	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	133	133	0	Allocated
Santa Clara	SCL010004	MTC/SCVTA	2255	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	230	230	0	Allocated
Santa Clara-TE	SCL070023	Palo Alto	9035B	Stanford Ave/EI Camino Real Intersection Project	13-Dec-07	n/a	ENV/PSE	124	124	0	Allocated
Santa Clara-TE	SCL070015	Sunnyvale	9035C	Tasman/Fair Oaks Area Enhancements	26-Jun-08	23-Dec-08	CON	1,809	1,809	0	Allocated
Santa Clara-TE	SCL070021	Milpitas	9035D	Midtown Transportation & Streetscape Imps	09-Apr-08	06-Oct-08	CON	1,500	1,500	0	Allocated
Santa Clara RTIP Totals								27,952	27,952	0	
Solano	SOL990004	Solano TA	5301	Jepson Parkway (I-80 reliever)	05-Sep-07	n/a	ENV	1,837	1,837	0	Allocated
Solano	REG050014	MTC	2152	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	35	35	0	Allocated
Solano	SOL010002	MTC/STA	2263	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	853	853	0	Allocated
Solano-PTA	SOL030001	Dixon	6046	Dixon Intermodal Facility	13-Dec-07	n/a	PSE	1,330	1,330	0	Allocated
Solano-TE	SOL030004	Suisun City	5152G	Driftwood Drive Pedestrian Way	26-Jul-07	22-Jan-08	CON	372	372	0	Allocated
Solano-TE	REG070005	MTC	5152A	TE reserve			N/A	333	0	333	Lapsed, return to county share
Solano RTIP Totals								4,760	4,427	333	
Sonoma	SON010024	Caltrans	775	U.S. 101 HOV Lanes from Petaluma to Rohnert Park	01-Jul-07	n/a	ROW	2,950	2,950	0	Caltrans Lump-Sum Allocation
Sonoma	SON010019	Caltrans	749A	US-101 HOV Lanes, Steele - Windsor (North)	29-May-08	25-Nov-08	CON-CT	3,500	3,500	0	Allocated (advanced)
Sonoma	REG050014	MTC	2156	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	43	43	0	Allocated
Sonoma	SON010017	MTC/SCTA	770E	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	1,055	1,055	0	Allocated
Sonoma-TE	SON070002	Regional Parks	5156B	Santa Rosa Creek Trail	26-Jun-08	23-Dec-08	ENV/CON	550	550	0	Allocated
Sonoma-TE	SON070005	Windsor	5156F	Old Redwood Hwy pedestrian enhancements	09-Apr-08	n/a	PSE	68	68	0	Allocated
Sonoma-TE	SON070005	Windsor	5156F	Old Redwood Hwy pedestrian enhancements	ARRA-TE		CON	270	0	270	To obligate ARRA-TE
Sonoma-TE	SON070008	Regional Parks	5156H	Bodega Bay Trail			PSE/CON	100	0	100	Lapse: will move all funds to fy10
Sonoma-TE	SON070001	Rohnert Park	5156D	City Center Drive	09-Apr-08	06-Oct-08	CON	550	550	0	Allocated
Sonoma RTIP Totals								9,086	8,716	370	
Total RTIP - Region								244,011	235,525	8,486	

Interregional Transportation Improvement Program (ITIP)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Santa Clara-PTA	SCL010013	Caltrans	2008	4th track, San Jose-Santa Clara			CON	20,600	0	20,600	Moved to FY 09-10 in 2008 STIP
Alameda	ALA991084	Caltrans	A157D	Sunol Grade SB HOV, phase 3 (IIP) 1st Con	10-Apr-08	07-Oct-08	CON+C-CT	30,824	30,824	0	Allocated
Alameda	ALA991084	Caltrans	A157D	Sunol Grade SB HOV, phase 3 (IIP) 1st Con	01-Jul-07	n/a	ROW	1,500	1,500	0	Caltrans Lump-Sum Allocation
Alameda	ALA991084	Caltrans	A157D	Sunol Grade SB HOV, phase 3 (IIP) 2nd Con	10-Apr-08	07-Oct-08	CON+C-CT	1,700	1,700	0	Allocated
Alameda/CC	CC-010002	Caltrans	57A	Caldecott Tunnel 4th bore (IIP)(TCRP #15)	01-Jul-07	n/a	PSE+R/W	5,200	5,200	0	Caltrans Lump-Sum Allocation
Contra Costa	CC-010003	Caltrans	261F	I-80 WB HOV Rt 4-Carquinez (supplemental)	26-Jul-07	n/a	CON	936	936	0	Allocated
Solano	SOL070014	Caltrans	5306	Rt 680, landscaping for mitigation (vote rev 1-04)	01-Jul-07	n/a	PSE	50	50	0	Caltrans Lump-Sum Allocation
Solano	SOL030006	Caltrans	5201F	Rt 37 Planting (Rt 29/37 interchange)	01-Jul-07	n/a	PSE	758	758	0	Caltrans Lump-Sum Allocation
Solano-PTA	SOL010030	Caltrans/CCJPA	2085	Bahia Benicia Crossover	13-Dec-07	10-Jun-08	CON	4,750	4,750	0	Allocated
Alameda-PTA	ALA010015	ACE	2021	Livermore Valley siding (TCRP #26)			CON	1,000	0	1,000	Lapsed
Alameda-PTA	BRT990002	BART	2103	Oakland Airport connector guideway (ITIP)			CON	10,000	0	10,000	Lapsed (transferred to BART)
Marin-ITIP-TE	MRN050003	Caltrans	1069	Wildlife crossing, Rt 1 (04S-55)			CON+C-CT	625	0	625	Lapsed
Santa Clara-ITIP-TE	SCL050011	Caltrans	1062	Water Quality Enhancements, Rt 152	26-Jun-08	23-Dec-08	R/W+CON	590	590	0	Allocated
Sonoma-ITIP-TE	SON070009	Caltrans	0748I	Kashaya Pomo Cultural Landscape	08-Nov-07	06-May-08	CON	573	573	0	Allocated
Total ITIP								79,106	46,881	32,225	

STIP Allocation Status Report for FY 2008-09 Programmed Projects

Projects with Allocation Deadline of 6/30/09 (All numbers in \$1,000s)
April 13, 2009

Regional Transportation Improvement Program (RTIP) and Transportation Enhancements (TE)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Alameda	ALA070060	Caltrans	96G	I-238 Replacement Landscaping	01-Jul-08	n/a	ENV	220	220	0	Caltrans Lump-Sum Allocation
Alameda	ALA070060	Caltrans	96G	I-238 Replacement Landscaping	01-Jul-08	n/a	PSE	339	339	0	Caltrans Lump-Sum Allocation
Alameda	REG050014	MTC	2100	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	113	113	0	Allocated
Alameda	ALA99SA01	MTC/ACCMA	2179	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	1,409	1,409	0	Allocated
Alameda-PTA	ALA050081	Berkeley	2009W	Ashby BART/Ed Roberts Campus Imps. (FY 09-10)	AB 3090 Approved		CON	1,500	0	1,500	AB 3090 approved Aug-08
Alameda-TE	ALA050080	Oakland	2100E	7th St / West Oakland TOD (FY 09-10)	ARRA-TE		CON	1,300	0	1,300	To obligate ARRA-TE
Alameda RTIP Totals								4,881	2,081	2,800	
Contra Costa	CC-010002	Caltrans	57A	SR 24 - Caldecott Tunnel 4th Bore			CON	31,000	0	31,000	To obligate ARRA-Regional
Contra Costa	CC-010009	Caltrans	192E	SR 4 Widening from Loweridge to Somersville			CON/C-CT	32,035	0	32,035	CT to submit for Jun-09 CTC vote
Contra Costa	REG050014	MTC	2118	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	74	74	0	Allocated
Contra Costa	CC-070021	CCTA	20110	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	1,358	1,358	0	Allocated
Contra Costa-TE	CC-070083	Concord	183G	Monument Blvd Pedestrian Improvements	ARRA-TE		CON	1,000	0	1,000	To obligate ARRA-TE
Contra Costa-TE	CC-070085	Martinez	183I	Marina Vista Streetscape	ARRA-TE		CON	127	0	127	To obligate ARRA-TE
Contra Costa-TE	CC-070084	Pittsburg	183H	Bailey Rd Transit Access Improvements	15-mo Extension Req		CON	989	0	989	Extension request to CT 3/16/09
Contra Costa-TE	CC-010031	CC County	2011K	Delta DeAnza Trail crossing of SR-4	11-Dec-08	09-Jun-09	CON	251	251	0	Allocated
Contra Costa-TE	CC-990046	CC County	2011U	Iron Horse Trail Overcrossing Over Treat Blvd.	11-Dec-08	09-Jun-09	CON	246	246	0	Allocated
Contra Costa-TE	CC-070086	Hercules	2025D	Refugio Bridge Bike/Ped/Vehicle Connectivity	16-mo Extension Req		CON	775	0	775	Extension request to CT 3/16/09
Contra Costa-TE	CC-070087	CC County	183K	Montalvin Manor Pedestrian Improvements			ENV	30	0	30	Submitted to CT Mar-09
Contra Costa-TE	CC-070087	CC County	183K	Montalvin Manor Pedestrian Improvements	20-mo Extension Req		CON	335	0	335	Extension request to CT 4/13/09
Contra Costa RTIP Totals								68,220	1,929	66,291	
Marin	MRN050034	Caltrans	A360F	US-101 Marin-Sonoma Narrows	01-Jul-08	n/a	PSE	7,200	7,200	0	Caltrans Lump-Sum Allocation
Marin	MRN050034	Caltrans	360G	US-101 San Antonio Curve Correction	01-Jul-08	n/a	PSE	1,900	1,900	0	Caltrans Lump-Sum Allocation
Marin	MRN050002	TAM	2127C	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	450	450	0	Allocated
Marin	REG050014	MTC	2127	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	21	21	0	Allocated
Marin RTIP Totals								9,571	9,571	0	
Napa	REG050014	MTC	2130	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	13	13	0	Allocated
Napa	NAP010009	MTC/Napa TPA	1003E	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	24	24	0	Allocated
Napa	NAP010008	Solano TA	0367D	SR-12 Jameson Canyon Widening	25-Sep-08	n/a	PSE	2,659	2,659	0	Allocated
Napa-TE	REG070005	MTC	2130B	TE reserve			N/A	352	0	352	Funds to Lapse
Napa RTIP Totals								3,048	2,696	352	
San Francisco	SF-010020	San Francisco	2014D	Addison & Digby Traffic Circle	30-Oct-08	28-Apr-09	CON	180	180	0	Allocated
San Francisco	SF-010008	MTC/SFCTA	2007	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	541	541	0	Allocated
San Francisco	REG050014	MTC	2131	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	58	58	0	Allocated
San Francisco-TE	SF-070032	SF DPW	9098A	Leland Ave Streetscape Improvements Project	11-Dec-08	09-Jun-09	CON	1,735	1,735	0	Allocated
San Francisco-TE	SF-070031	SF DPW	9098B	Renewed Valencia Streetscape, 15th-19th	11-Dec-08	09-Jun-09	CON	1,500	1,500	0	Allocated
San Francisco-TE	SF-070033	SF DPT	9098C	Inner Sunset Traffic Calming & Transit Enh	ARRA-TE		CON	343	0	343	To obligate ARRA-TE
San Francisco RTIP Totals								4,357	4,014	343	
San Mateo	SM-030001	Caltrans	658B	U.S. 101 Aux Lanes - SCL Co. Line to Marsh Rd	01-Jul-08	n/a	PSE	8,525	8,525	0	Caltrans Lump-Sum Allocation
San Mateo	SM-030001	Caltrans	658B	U.S. 101 Aux Lanes - SCL Co. Line to Marsh Rd	01-Jul-08	n/a	ROW-CT	496	496	0	Caltrans Lump-Sum Allocation
San Mateo	SM-070037	SM C/CAG	2140F	San Mateo Smart Corridors ITS	30-Oct-08	n/a	PSE	2,000	2,000	0	Allocated
San Mateo	REG050014	MTC	2140	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	60	60	0	Allocated
San Mateo	SM-030015	SM C/CAG	2140A	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	460	460	0	Allocated
San Mateo-TE	REG070005	MTC	2140C	TE reserve			N/A	1,124	0	1,124	Funds to Lapse
San Mateo RTIP Totals								12,665	11,541	1,124	
Santa Clara	SCL030012	SCVTA	409C	GARVEE debt (I-880 Coleman)	01-Jul-08	n/a	CON	7,315	7,315	0	Allocated
Santa Clara	SCL030012	SCVTA	443N	GARVEE debt (SR 87 HOV North)	01-Jul-08	n/a	CON	3,968	3,968	0	Allocated
Santa Clara	SCL030012	SCVTA	443S	GARVEE debt (SR 87 HOV South)	01-Jul-08	n/a	CON	4,565	4,565	0	Allocated
Santa Clara	REG050014	MTC	2144	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	133	133	0	Allocated
Santa Clara	SCL010004	MTC/SCVTA	2255	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	547	547	0	Allocated
Santa Clara-TE	SCL070022	Campbell	9035A	East Campbell Ave Downtown Enhancements	ARRA-TE		CON	1,200	0	1,200	To obligate ARRA-TE
Santa Clara RTIP Totals								17,728	16,528	1,200	
Solano	SOL990004	Solano TA	5301	Jepson Parkway (I-80 reliever)			PSE	2,400	0	2,400	Submitted to CT 3/18/09
Solano	REG050014	MTC	2152	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	35	35	0	Allocated
Solano	SOL010002	MTC/STA	2263	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	589	589	0	Allocated
Solano-TE	SOL050057	Vacaville	5152E	Jepson Pkwy Gateway Enhancement			PSE	120	0	120	Submitted to CT 4/13/09
Solano-TE	SOL070045	Benicia	0156I	State Park Overcrossing, Rt 780	ARRA-TE		CON	320	0	320	To obligate ARRA-TE
Solano-TE	REG070005	MTC	5152A	TE reserve			N/A	381	0	381	Program to McGary Rd (ARRA-TE)
Solano RTIP Totals								3,845	624	3,221	

STIP Allocation Status Report for FY 2008-09 Programmed Projects

Projects with Allocation Deadline of 6/30/09 (All numbers in \$1,000s)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Sonoma	SON010024	Caltrans	775	US-101 HOV Lanes from Petaluma to Rohnert Park (Central)			CON/C-CT	43,453	0	43,453	Deferred
Sonoma	SON070004	Caltrans	360F	US-101 Marin-Sonoma Narrows	01-Jul-08	n/a	ROW	7,020	7,020	0	Caltrans Lump-Sum Allocation
Sonoma	SON070004	Caltrans	360F	US-101 Marin-Sonoma Narrows	01-Jul-08	n/a	ROW-CT	700	700	0	Caltrans Lump-Sum Allocation
Sonoma	SON950005	Caltrans	781E	US-101 HOV Lanes, Rohnert Pk-Sta Rosa (Wilfred)	25-Sep-08	24-Mar-09	CON/C-CT	11,500	11,500	0	Allocated
Sonoma	SON990001	Caltrans	789A	US-101 HOV Lanes, SR-12 - Steele (supp)	28-Aug-08	n/a	CON	2,869	2,869	0	Allocated
Sonoma	REG050014	MTC	2156	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	43	43	0	Allocated
Sonoma	SON010017	MTC/SCTA	770E	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	607	607	0	Allocated
Sonoma-TE	SON070006	Santa Rosa	5156C	Santa Rosa Courthouse Square	11-Dec-08	09-Jun-09	CON	1,000	1,000	0	Allocated
Sonoma RTIP Totals								67,192	23,739	43,453	
Total RTIP - Region								191,507	72,723	118,784	

Interregional Transportation Improvement Program (ITIP)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Alameda/CC-IIP	CC-010002	Caltrans	57A	Caldecott Tunnel 4th bore (IIP)			CON-CT	4,800	0	4,800	To obligate ARRA-Regional
Marin-IIP	MRN050034	Caltrans	360G	US-101 San Antonio Rd Curve Correction	01-Jul-08	n/a	PSE	400	400	0	Caltrans Lump-Sum Allocation
Napa-IIP	NAP010008	Solano TA	0367D	SR-12 Jameson Canyon Widening	25-Sep-08	n/a	PSE	1,806	1,806	0	Allocated
Santa Clara-IIP		VTA	484G	New Alignment, SR-152, US-101-SR-156	11-Dec-08	n/a	ENV	5,000	5,000	0	Allocated
Solano-IIP	SOL030006	Caltrans	5201F	Rt 37 Planting (Rt 29/37 interchange)			CON/C-CT	3,769	0	3,769	Deferred
Solano-IIP	SOL050003	Caltrans	8273B	I-80 Recon Connectors, I-680 Landscaping	01-Jul-08	n/a	PSE	700	700	0	Caltrans Lump-Sum Allocation
Sonoma-IIP	SON990001	Caltrans	789A	US-101 HOV Lanes, SR-12 - Steele (supp)	28-Aug-08	n/a	CON	956	956	0	Allocated
Total ITIP								17,431	8,862	8,569	

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METROPOLITAN
TRANSPORTATION
COMMISSION

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Memorandum

TO: Programming and Delivery Working Group

DATE: April 20, 2009

FR: Kenneth Kao

RE: CTC Update

The CTC met twice since the last PDWG meeting, on April 1, 2009, and on April 15-16, 2009.

On April 1, the CTC approved the following:

- Accepted the US-101 Doyle Drive Replacement environmental document;
- Allocated \$50 million in ARRA-SHOPP funds for the US-101 Doyle Drive replacement project;
- Delegated allocation of all ARRA regional funds to Caltrans; and
- Selected Bimla Rhinehart to be the new CTC Executive Director (Bimla was in Caltrans HQ Right of Way).

On April 15-16, the CTC was scheduled to review the following:

- Adopt additional back up projects for ARRA-SHOPP;
- Review 2010 STIP Fund Estimate Assumptions;
- Update on Budget and Allocation Capacity;
- Adopt State-Local Partnership Program;
- Review outline of Proposition 1B Status Report to Legislature; and
- Vote supplemental funds to US-101 HOV Gap Closure project in Marin.

Questions regarding the CTC update should be directed to Kenneth Kao. Specific questions related to the State-Local Partnership Program should be directed to Kenneth Folan.

Attachments

A – State-Local Partnership Program Staff Report to CTC, April 15-16, 2009

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Memorandum

To: Chairman and Commissioners

Date: April 15-16, 2009

**From: Andre Boutros
Interim Executive Director**

**File No: 4.7
Action**

**Ref: PROPOSITION 1B STATE-LOCAL PARTNERSHIP PROGRAM ADOPTION
RESOLUTION SLP1B-P-0809-01**

RECOMMENDATION:

Staff recommends the California Transportation Commission (Commission) adopt Cycle 1 of the Proposition 1B State-Local Partnership Program (SLPP), in accordance with the attached Resolution SLP1B-P-0809-01 and staff recommendations for the program.

ISSUE:

Under the Commission's SLPP Guidelines, adopted on December 11, 2008, project applications were due February 17, 2009, with adoption of the initial program scheduled for April 2009.

BACKGROUND:

On November 7, 2006, the voters approved Proposition 1B, the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006. Proposition 1B authorized \$1 billion for the State-Local Partnership Program, to be available, upon appropriation by the Legislature, for allocation by the Commission over a five-year period to eligible transportation projects nominated by eligible transportation agencies. The program is split into two sub-programs – a formula program to match local sales tax, property tax and/or bridge tolls (95%) and a competitive program to match local uniform developer fees (5%). The Legislature enacted implementing legislation (AB 268) in 2008 to add Article 11 (commencing with Section 8879.66) to Chapter 12.491 of Division 1 of Title 2 of the Government Code, and appropriated \$200 million in Fiscal Year (FY) 2008-09 for the first cycle of this program. Of this appropriation, \$4,000,000 is available for administrative expenses, and \$196,000,000 is available for programming. The Commission is required by statute to adopt a program by April 2009, and allocations will be made when bond funds are available.

The Commission will, per Section 8879.72 of the Government Code, include in the annual program of projects each project nominated by an eligible applicant for a formula funding share provided that the Commission determines that the nomination meets the requirements of statute and that the project has a commitment of the required match and any supplementary funding needed for full funding. Eighteen projects were nominated for funding in FY 2008-09 under the formula share. Two of those were withdrawn due to the need to award contracts before funds will be available for allocation, leaving 16 projects totaling \$94,148,000 to be programmed. One project, BART Warm Springs Extension, is being funded by four agencies pooling their formula shares on this regionally significant project. The projects are shown on Attachment 1 of the Resolution.

Chairman and Commissioners
Book Item 4.7
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The Commission will, per Section 8879.73 of the Government Code, select projects from among eligible project nominations for the competitive grant program from the Uniform Developer Fees Sub-account. No single competitive grant may exceed \$1 million. The Commission considers approval of a competitive grant only when the request meets the requirement of the statute and the project has a commitment of the required match and any supplementary funding needed for full funding. Thirty-six project applications were received for primarily local road, traffic mitigation projects. In approving grants for inclusion in the program of projects, staff gave consideration to criteria specified in statute: geographic balance, cost-effectiveness, multimodal, safety, reliability, construction schedule, leverage of funding, and air quality improvements. Only projects that can begin construction prior to December 31, 2009 were considered for programming in Cycle 1 (FY 2008-09). Projects with construction scheduled after December 31, 2009 can apply for funding in the next cycle. Twelve projects are recommended for funding and are shown on Attachment 2 of the Resolution.

Any SLPP funds that are not programmed will remain available for future programming.

Attachments

CALIFORNIA TRANSPORTATION COMMISSION**Adoption of Proposition 1B
State-Local Partnership Program (SLPP) Cycle 1****RESOLUTION SLP1B-P-0809-01**

- 1.1 WHEREAS the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006, approved by the voters as Proposition 1B on November 7, 2006, includes \$1 billion for the State-Local Partnership Program (SLPP) to fund transportation capital improvement projects; and
- 1.2 WHEREAS the Bond Act provides that SLPP funds are available, upon appropriation by the Legislature, to Transportation Agencies, as allocated by the California Transportation Commission (Commission); and
- 1.3 WHEREAS the SLPP is subject to the provisions of Article 11 of the Government Code, Sections 8879.66 through 8879.76, as enacted in implementing legislation in 2008 (AB268) designating the Commission the administrative agency responsible for programming SLPP and the agency authorized to adopt guidelines for the program; and
- 1.4 WHEREAS the funds available in the SLPP account shall be made available for allocation by the Commission over a period of five years, with \$200 million appropriated by the Legislature for the first cycle in Fiscal Year (FY) 2008-09; and
- 1.5 WHEREAS ninety-five percent of the funds shall be available to be distributed by formula and five percent shall be available to be distributed through a competitive grant application process (as specified in Sections 8879.72 and 8879.73 of the Government Code); and
- 1.6 WHEREAS the Commission adopted SLPP Guidelines on December 11, 2008, that identified the Commission's policy and expectations for the SLPP, including program development timelines and requirements for project nomination; and
- 1.7 WHEREAS the Commission received 18 project nominations for the formula portion of the program requesting \$94,571,000 and 36 project applications for the competitive portion of the program requesting \$23,882,000 by the Cycle 1 deadline of February 17, 2009; and
- 1.8 WHEREAS any Cycle 1 funds that are not programmed remain available for later programming in future Cycles; and

- 1.9 WHEREAS Commission staff has reviewed and evaluated the project nominations consistent with the criteria set forth in the law and the adopted SLPP guidelines, and
- 1.10 WHEREAS Commission staff, when reviewing the project nominations for the competitive portion of the program, gave higher priority to projects that can commence construction by December 2009.
- 2.1 NOW THEREFORE, BE IT RESOLVED that the Commission adopts the attached list of 16 projects nominated for the formula portion (Attachment 1) and 12 projects selected for the competitive portion of the State-Local Partnership Program (Attachment 2) for Cycle 1, and
- 2.2 BE IT FURTHER RESOLVED that a project's approved SLPP funding is to be considered a "not to exceed amount" and that any increase in project cost is the responsibility of the nominating agency, and
- 2.3 BE IT FURTHER RESOLVED that the implementing agency will submit semiannual reports on the activities and progress made toward implementation of the project, and, within six months of the project becoming operable, a final delivery report on the scope of the completed project, its final costs as compared to the approved project budget, its duration as compared to the original project schedule and performance outcomes derived from the project, and
- 2.4 BE IT FURTHER RESOLVED that the Department of Transportation will ensure that project expenditures and outcomes are audited. For each SLPP project, the Commission expects the Department to provide a semi-final audit report within 6 months after the final delivery report and a final audit report within 12 months after the final delivery report.

Attachment

RESOLUTION SLP1B-P-0809-01
 Proposition 1B State-Local Partnership Program
 Formula (dollars in thousands)
 Staff Recommendations

Attachment 1
 April 15-16, 2009
 Item 4.7
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Applicant Agency Project Title	Implementing Agency	Cycle 1-08/09 \$		Information Only				
		Available	Requested	Cycle 2 09/10	Cycle 3 10/11	Cycle 4 11/12	Cycle 5 12/13	
TOLLS AND PROPERTY/PARCEL TAXES								
Bay Area Toll Authority								
BART Warm Springs Extension	BART	\$12,898	\$12,898	\$12,898	\$12,898	\$1,306		
Bay Area Rapid Transit District								
BART Warm Springs Extension	BART	\$2,563	\$2,563	\$2,563	\$2,563	\$2,563	\$2,563	
SALES TAXES - NORTH								
Alameda								
BART Warm Springs Extension	BART	\$7,814	\$7,814	\$7,814	\$7,814	\$6,558		
Contra Costa								
SR 4 East Liveridge to Somersville	Caltrans	\$5,326	\$5,326					
2009 RAC Overlay Program	El Cerrito		\$5,253					
			\$73					
Madera								
Measure T Street Improvement Project	Chowchilla	\$764	\$764					
Rehab, Resurface, Reconstruct and ADA	City of Madera		\$258					
Ave 12 Sidewalk between Rds 36 and 37	Madera County		\$356					
			\$150					
Marin								
Street Resurfacing Program	Marin County	\$1,304	\$1,043					
Street Resurfacing Program	San Rafael		\$835					
			\$208					
Nevada - Truckee								
Annual Slurry Seal Project	Truckee	\$82	\$82	\$82	\$82	\$82	\$82	
			\$82					
Santa Clara								
BART Warm Springs Extension	BART	\$9,303	\$8,000					
			\$8,000					
Sonoma								
Hybrid Bus Acquisition	Santa Rosa	\$2,453	\$1,200					
			\$1,200					
SALES TAXES - SOUTH								
Los Angeles								
I-5 North Carpool Lns SR 118- 170	Caltrans	\$54,625	\$40,000	\$54,700	\$82,650	\$35,150	\$52,600	
I-5 South Carmenita Interchange	Caltrans		\$40,000	\$40,000				
San Fernando Valley Transit Extension	LACMTA			\$14,700	\$34,100	\$25,150	\$2,600	
Metrolink Safety Improvements	SCRRA				\$10,000	\$10,000		
Metro Transit Capital - Bus Procurement	LACMTA				\$38,550			
I-5 South Orange Co Line to SR 605	Caltrans						\$50,000	
Orange								
Katella Ave Smart Street Humor to Jean	Anaheim	\$16,451	\$12,164					
Imperial Hwy and Assoc. Rd Smart Street	Brea		\$3,700					
Imperial Hwy Smart St - Co Ln to Harbor	La Habra		\$200					
Katella Ave Smart St - Stanton Ch to Jean	Orange County		\$5,965					
			\$2,299					
Tulare								
Road 80 Widening - Phase 1A	Tulare County	\$2,294	\$2,294					
			\$2,294					
SUB-TOTAL		\$115,877	\$94,148	\$78,057	\$106,007	\$45,659	\$55,245	

RESOLUTION SLP1B-P-0809-01
Proposition 1B State-Local Partnership Program
Formula (dollars in thousands)
Staff Recommendations

Attachment 1
April 15-16, 2009
Item 4.7
2 of 2

AGENCIES NOT REQUESTING FUNDS AT THIS TIME

Cycle 1 \$

	Cycle 1 \$	
	Available	Requested
Alameda-Contra Costa Transit District	\$3,959	\$0
Fresno	\$4,715	\$0
Mendocino - Fort Bragg	\$35	\$0
Mendocino - Point Arena	\$2	\$0
Mendocino - Willits	\$25	\$0
Nevada - Nevada City	\$16	\$0
Sacramento	\$7,214	\$0
San Francisco	\$4,176	\$0
San Joaquin	\$3,472	\$0
San Mateo	\$3,745	\$0
Santa Cruz	\$1,350	\$0
Imperial	\$929	\$0
Riverside	\$11,007	\$0
San Bernardino	\$10,836	\$0
San Diego	\$16,583	\$0
Santa Barbara	\$2,259	\$0
SUB-TOTAL	\$70,323	\$0
GRAND TOTAL	\$186,200	\$94,148

RESOLUTION SLP1B-P-0809-01
 Proposition 1B State-Local Partnership Program
 Competitive (\$9.8 million)
 Staff Recommendations

Attachment 2
 April 15-16, 2009
 Item 4.7
 1 of 2

State-Local Partnership Competitive Program - Projects considered for funding

Recommended for Funding

Co.	Project Applicant	Project Description	Construction Start Date	SLPP \$ Requested	TOTAL SCORE	Scoring Criteria (max 60 total points)					
						multimodal	safety	reliability	env benefit air quality	leverage	cost effective
SJ	City of Stockton	Thornton Road	6/15/2009	\$1,000,000	35	4	4	6	5	10	6
AMA	Amador County	Mission Blvd Gap	7/1/2009	\$800,000	35	6	5	8	4	4	8
PLA	Placer County	Tahoe City Transit	7/1/2009	\$226,000	34	7	3	4	5	10	5
ED	City of Placerville	Point View Dr	7/28/2009	\$750,000	33	4	4	8	3	7	7
MER	City of Merced	59/Cooper Ave Sig	10/1/2009	\$1,000,000	33	4	4	6	4	9	6
SAC	City of Elk Grove	Waterman/Grant Ln	6/1/2009	\$1,000,000	31	0	4	6	3	9	9
SLO	San Luis Obispo Co	Willow Rd Extension	8/1/2009	\$1,000,000	30	0	3	6	3	10	8
MER	City of Merced	Parsons Ave	11/1/2009	\$1,000,000	30	2	5	6	3	6	8
SD	San Diego County	S.Santa Fe Ave	12/1/2009	\$1,000,000	30	4	4	4	2	10	6
SAC	City of Elk Grove	Franklin/Elk Grove	6/1/2009	\$988,000	29	5	5	4	3	7	5
KIN	City of Hanford	Greenfield Ave Ext	7/15/2009	\$250,000	29	2	6	6	3	7	5
KIN	City of Hanford	12th Ave Widening	7/15/2009	\$600,000	28	2	5	4	3	7	7
Total Recommended				\$9,614,000							

Not Recommended for Funding

KIN	City of Hanford	11th Ave Widening	7/1/2009	\$500,000	26	2	5	4	3	6	6
ED	El Dorado	Silva Valley Pkwy	11/1/2009	\$1,000,000	26	3	3	4	3	6	7
ED	El Dorado	Durock Rd/Bus Dr	6/1/2009	\$1,000,000	23	0	3	4	3	7	6
TUL	City of Tulare	Traffic Sig Tulare/E	11/1/2009	\$90,000	22	3	4	4	2	4	5
SJ	City of Stockton	Ben Holt/Inglewood	7/6/2009	\$200,000	21	3	4	4	3	4	3
SAC	City of Elk Grove	EG Florin/Brown/Si	9/1/2009	\$150,000	19	2	5	4	1	4	3
Total Not Recommended				\$2,940,000							

RESOLUTION SLP1B-P-0809-01
 Proposition 1B State-Local Partnership Program
 Competitive (\$9.8 million)
 Staff Recommendations

Attachment 2
 April 15-16, 2009
 Item 4.7
 2 of 2

State-Local Partnership Competitive Program - Projects not considered for funding

CO	Project Applicant	Project Description	Construction Start Date	SLPP \$ Requested
<u>Projects with inadequate match funding</u>				
ORA	City of Fullerton	Hiltscher Trail	9/1/2009	\$263,000
MER	City of Merced	Yosemite Avenue	10/1/2009	\$700,000
<u>Projects with contracts already awarded:</u>				
ORA	City of Mission Viejo	Crown Valley Pkwy	3/20/2006	\$1,000,000
PLA	Placer County	SR 65/Sunset	3/1/2009	\$362,000
<u>Projects with future construction (may be appropriate for programming in future cycles)</u>				
LA	City of Torrance	Crenshaw Blvd	1/30/2010	\$225,000
ED	El Dorado	White Rock Rd	2/1/2010	\$1,000,000
SAC	City of Elk Grove	EG Florin/E Stockt	3/1/2010	\$240,000
ED	El Dorado	SR 49 widening	4/1/2010	\$1,000,000
ORA	City of Fullerton	N Harbor Blvd stab	4/1/2010	\$1,000,000
LA	City of Torrance	Storm Drain Imp	4/15/2010	\$121,000
ORA	City of Fullerton	N Harbor Blvd	6/1/2010	\$1,000,000
ORA	City of Fullerton	W Chapman Ave	6/1/2010	\$1,000,000
ORA	City of Garden Grove	West Str Signal	6/1/2010	\$112,000
SAC	City of Elk Grove	Grant Line	6/1/2010	\$1,000,000
SD	City of Vista	SR78/Sycamore ramp	9/1/2010	\$297,000
SLO	City Paso Robles	Vine Street	10/1/2010	\$1,000,000
FRE	City of Fresno	SR99ramp/Herndon	9/1/2011	\$565,000
FRE	City of Fresno	SR99ram/Ventura	9/1/2011	\$444,000
Total Not Considered For Funding				\$11,329,000



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TRANSPORTATION
COMMISSION

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Memorandum

TO: Programming and Delivery Working Group

DATE: April 20, 2009

FR: Kenneth Kao

RE: State Budget Update

In late March, the State was able to sell a greater amount of bonds than anticipated, and therefore was able to fund additional projects through bond funds. Please see the email text from Ross Chittenden, Caltrans Proposition 1B Manager, and the attached letter from Will Kempton, Caltrans Director.

Email from Ross Chittenden, sent April 13, 2009

Please find attached letter from Director Kempton addressed to all Prop 1B recipients. Due to the recent bond sale conducted by the State Treasurer's Office (STO), approximately \$900 million in new funding has been provided to Proposition 1B projects. This is very good news that removes risks associated with projects awarded prior to the December 17, 2008 suspension of PMIB loans, and also good news for the majority of the projects that had received an allocation from the CTC in December 2008 or earlier. With this action and funding from ARRA, only two projects with an allocation from the CTC are not now funded. We are optimistic that the two remaining projects, I-80 in Placer County and SR 70 in Butte County, as well as additional ready-to-go projects can be funded from a second bond sale planned in a few weeks.

As many of you are aware, the STO was able to sell \$6.54 billion in general obligations bonds last month. This sale exceeded expectations and will provide approximately \$492 million to continue construction on the 98 projects (see Attachment 1) awarded prior to December 17, 2008. This amount is sufficient to fund all projects through at least September 2009. We anticipate receiving additional funds from the next bond sale to fund these 98 projects to completion. Please continue to submit invoices for costs incurred on these projects.

In addition, the sale will also allow California Department of Transportation (Caltrans) and local agency sponsors to award an additional 49 projects worth approximately \$350 million. These projects (see Attachment 2) have already received allocations from the California Transportation Commission and can be ready to award within the next 30-60 days. Please proceed with the execution of final agreements with Caltrans, and the advertisement and award of the construction contracts.

An additional \$51 million is also available for Local Streets and Roads bond-funded projects that are being administered through the Department of Finance.

State Budget Update

April 20, 2009

Page 2 of 2

While this recent bond sale does not provide sufficient funding to fully restart the Proposition 1B Transportation program, this is good news for the State's economy and will quickly put people to work. STO is scheduled to execute another bond sale in late April or early May. Caltrans is optimistic that next bond sale will again be a success and that additional resources can be provided for transportation. I will continue to keep you informed as developments occur. I want to once again thank you for your patience during these uncertain times and your continued efforts to deliver these vital transportation projects.

Attachment

A – Letter from W. Kempton to Proposition 1B Recipients, dated April 9, 2009

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*Flex your power!
Be energy efficient!*

April 9, 2009

Dear Proposition 1B Recipients:

I would like to take this opportunity to update you on the State budget situation as it relates to the Proposition 1B Transportation program. As many of you are aware, the State Treasurer's Office (STO) was able to sell \$6.54 billion in general obligations bonds last month. This sale exceeded expectations and will provide approximately \$492 million to continue construction on the 98 projects (see Attachment 1) awarded prior to December 17, 2008. This amount is sufficient to fund all projects through at least September 2009. We anticipate receiving additional funds from the next bond sale to fund these 98 projects to completion. Please continue to submit invoices for costs incurred on these projects.

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Sincerely,

A handwritten signature in black ink that reads "Will Kempton".

WILL KEMPTON
Director

Attachments

"Caltrans improves mobility across California"

Proposition 1B Allocations
(\$'s in thousands)

Caltrans Awarded Proposition 1B Contracts - Highways

County	Route	Description	Bond Funds Allocated	Total Construction Cost
Humboldt	US-101	US-101/SR-36 Interchange	\$ 15,990	\$ 15,990
Shasta	SR-44	Dana to Downtown Phase A & B	\$ 64,350	\$ 65,550
Nevada	I-80	Rehabilitate Roadway	\$ 63,780	\$ 63,780
Placer	SR-65	Lincoln Bypass	\$ 131,913	\$ 166,702
Placer	I-80	I-80 Capacity/Operational Improvement Phase 2	\$ 8,490	\$ 31,199
Sutter	SR-99	SR-99 Widen to 4 Lanes With a Median (Segment 4)	\$ 25,900	\$ 25,900
Alameda	SR-24	Traffic Detection	\$ 1,249	\$ 1,249
Alameda	I-580	Traffic Detection	\$ 1,611	\$ 1,611
Alameda	I-580	I-580 Eastbound HOV Lane Project (Segment #1)	\$ 44,560	\$ 47,410
Alameda	I-680	Sunol Grade HOV Corridor-Southbound	\$ 38,830	\$ 38,830
Marin	US-101	Traffic Detection	\$ 3,699	\$ 3,699
San Mateo / Santa Clara	US-101, I-880 - various	Traffic Detection	\$ 2,617	\$ 2,617
Solano	I-80	I-80 HOV lanes, I-80/I-680/SR-12 to Putah Creek	\$ 29,450	\$ 29,550
Solano / Napa	I-80 - various	Traffic Detection	\$ 1,691	\$ 1,691
Sonoma	US-101	US-101 HOV lanes between Santa Rosa-Windsor	\$ 57,932	\$ 86,106
San Luis Obispo	US-101	SLO Operational Improvements	\$ 6,702	\$ 6,702
Santa Barbara	US-101	Traffic Detection	\$ 733	\$ 733
Santa Barbara	US-101	Traffic Detection	\$ 826	\$ 826
Santa Barbara	US-101	Milpas-Cabrillo Operational Improvements	\$ 51,012	\$ 51,804
Santa Cruz	SR-1	Traffic Detection	\$ 680	\$ 680
Fresno	SR-180	SR-180-Clovis Ave. to Temperance Ave.	\$ 48,738	\$ 53,247
Tulare	SR-63	Mooney Blvd. Widening (widen to 6 lanes)	\$ 11,560	\$ 11,560
Los Angeles	I-10	Rehabilitate Roadway	\$ 18,400	\$ 18,400
Los Angeles	I-710	Rehabilitate Roadway	\$ 35,270	\$ 35,270
Riverside	I-10	Rehabilitate Roadway	\$ 3,299	\$ 3,299
Inyo	US-395	Independence 4-lane expressway	\$ 43,090	\$ 43,090
Calaveras	SR-4	Angels Camp Bypass	\$ 4,438	\$ 25,740
Merced	SR-99	Atwater Freeway	\$ 33,350	\$ 33,350
Stanislaus	SR-99	SR-99/Whitmore Ave. Interchange	\$ 18,055	\$ 18,055
Stanislaus	SR-219	SR-219 Expressway Phase 1	\$ 7,844	\$ 7,844
Imperial	SR-78	Brawley Bypass - Stage 2	\$ 79,690	\$ 79,790
San Diego	I-5	Install Vehicle Detection Systems (VDS)	\$ 2,006	\$ 2,006
Subtotal			\$ 857,755	\$ 974,280

Proposition 1B Allocations
(\$'s in thousands)

County	Route	Description	Bond Funds Allocated	Total Construction Cost
San Diego	I-5	I-5/I-805 North Coast Corridor - Stage 1A	\$ 24,500	\$ 36,190
San Diego	I-15	Managed Lanes (North/South Stages) South Segment	\$ 57,616	\$ 57,616
San Diego	I-15	Managed Lanes (North/South Stages) South Segment	\$ 79,026	\$ 79,026
San Diego	I-15	Managed Lanes (North/South Stages) South Segment	\$ 94,432	\$ 94,432
San Diego	SR-52	SR-52 Freeway - Magnolia Ave. to SR-67	\$ 71,870	\$ 71,970
San Diego	SR-52	New SR-52 Freeway - SR-125 to Cuyamaca-West end	\$ 63,810	\$ 63,810
San Diego	SR-905	New SR-905 Freeway - Otay Mesa	\$ 76,561	\$ 76,561
Subtotal			\$ 467,815	\$ 479,605

Local Agency Awarded Proposition 1B Contracts - Highways

County	Route	Project Title	Bond Funds Allocated	Total Construction Cost
El Dorado	US-50	HOV-lane - El Dorado Hills Blvd. to west of Bass Lake	\$ 20,000	\$ 41,368
Sacramento	SR-99	SR 99/Sheldon Rd. Interchange	\$ 10,962	\$ 38,749
Sutter / Yuba	SR-70	Sutter/Yuba SR 70 Corridor Project	\$ 12,202	\$ 15,991
Alameda	I-680	Sunol Grade HOT accomodations	\$ 8,000	\$ 8,000
Subtotal			\$ 51,164	\$ 104,108

Caltrans Awarded Proposition 1B Contracts - Intercity Rail

County	Route	Description	Bond Funds Allocated	Total Construction Cost
Alameda	Capitol	Emeryville Station and Track Improvements: 2	\$ 10,000	\$ 10,000
Solano	Capitol	Bahia Benicia Crossover	\$ 4,750	\$ 4,750
Kings	Surfliner	Kings Park Track and Signal Improvements	\$ 8,500	\$ 8,500
Los Angeles	Surfliner	New Station Track at LA Union Station	\$ 21,800	\$ 21,800
San Diego	Surfliner	Santa Margarita Bridge and Double Track	\$ 16,206	\$ 16,206
Various	Surfliner	SCRRA Sealed Corridor	\$ 3,000	\$ 3,000
Subtotal			\$ 64,256	\$ 64,256

Proposition 1B Allocations
(\$'s in thousands)

Local Agency Awarded Proposition 1B Contracts - Local Roads

County	Route	Project Title	Bond Funds Allocated	Total Construction Cost
Lake		Lakeshore Dr. SR-53 & Olympic Dr.	\$ 3,046	\$ 3,046
Shasta		Cypress St.	\$ 229	\$ 2,000
Alameda		Ballena Blvd.	\$ 62	\$ 542
Santa Clara		Citiwide Traffic Light Signalization (partial allocation)	\$ 9,277	\$ 12,369
Santa Clara		E. William St.	\$ 35	\$ 306
Santa Clara		Southwest Expressway	\$ 60	\$ 525
Santa Clara		E. Julian St.	\$ 62	\$ 542
Santa Clara		Shoreline Blvd.	\$ 87	\$ 758
Santa Clara		Aldercroft Heights Rd.	\$ 105	\$ 914
Santa Clara		Central Expressway	\$ 117	\$ 1,024
Sonoma		In Santa Rosa, on Steele Lane/Guerneville Rd.	\$ 1,100	\$ 1,100
San Benito		Lone Tree Rd.	\$ 195	\$ 1,699
San Luis Obispo		Moonstone Beach Dr.	\$ 69	\$ 600
Kern		Westside Parkway - Phase 1	\$ 69,200	\$ 69,200
Tulare		Road 80 - Ave. 304 to Ave. 328	\$ 7,855	\$ 7,855
Los Angeles		SR-70 intersection ATSAC-Reseda Phase 1	\$ 8,506	\$ 8,506
Los Angeles		First St.	\$ 13	\$ 111
Los Angeles		Irwindale Ave.	\$ 14	\$ 122
Los Angeles		Azusa Canyon Rd.	\$ 17	\$ 147
Los Angeles		First St.	\$ 19	\$ 163
Los Angeles		Oleander Ave.	\$ 21	\$ 184
Los Angeles		Willow St.	\$ 34	\$ 294
Los Angeles		Winnetka Ave.	\$ 45	\$ 395
Los Angeles		Alondra Blvd.	\$ 49	\$ 427
Los Angeles		Martin L. King Jr. Blvd.	\$ 53	\$ 460
Los Angeles		Garey Ave.	\$ 61	\$ 536
Los Angeles		Washington Blvd.	\$ 95	\$ 826
Los Angeles		Imperial Hwy.	\$ 143	\$ 1,243
Los Angeles		9th St.	\$ 143	\$ 1,243
Los Angeles		Oak Grove Dr.	\$ 240	\$ 2,092
Los Angeles		Queens Way N/B	\$ 262	\$ 2,289
Los Angeles		Queens Way S/B	\$ 262	\$ 2,289
Los Angeles		Oak Grove Dr.	\$ 286	\$ 2,493
Los Angeles		6th Street Off-ramp	\$ 390	\$ 3,403
Riverside		Columbia Ave.	\$ 6,000	\$ 16,500
Mariposa		Darrah Rd. Rehabilitation	\$ 1,085	\$ 1,050
San Joaquin		Airport Way	\$ 356	\$ 3,105
San Diego		North Harbor Dr.	\$ 1,901	\$ 16,574
San Diego		Park Blvd. at Harbor Dr./Pedestrian Bridge	\$ 6,000	\$ 29,400
San Diego		First Ave.	\$ 698	\$ 6,086
Subtotal			\$ 118,193	\$ 202,416

Proposition 1B Allocations
(\$'s in thousands)

County	Route	Description	Bond Funds Allocated	Total Construction Cost
San Diego		San Marcos Blvd. Smart Corridor	\$ 549	\$ 646
San Diego		In National City and Chula Vista – I-805 Corridor. Increase arterial operational efficiency.	\$ 640	\$ 790
San Diego		In the City of San Diego – At-grade Crossing Traffic Synchronization.	\$ 820	\$ 1,020
San Diego		In San Diego and Poway – Transit Signal Priority. Improve a key north inland connection.	\$ 951	\$ 2,947
San Diego		In San Diego – East/West Metro Corridor. Increase arterial operational efficiency and safety.	\$ 1,267	\$ 1,417
San Diego		In San Diego and Poway - I-15 Corridor. Increase arterial operational efficiency .	\$ 2,162	\$ 2,412
San Diego		North Santa Fe Ave. Install 3.55 miles of fiber optic cable.	\$ 161	\$ 201
San Diego		South Melrose Dr., install 2.74 miles of fiber optic cable.	\$ 267	\$ 321
Orange		Santiago Canyon Rd.	\$ 55	\$ 483
Subtotal			\$ 6,872	\$ 10,237
Total			\$ 1,566,055	\$ 1,834,902

* Note:

- I - Interstate
- US - U. S. Highway
- SR - State Route
- HOV - High-Occupancy Vehicle
- HOT - High-Occupancy Toll
- SCRRA - Southern California Regional Rail Authority
- ATSAC - Automated Traffic Surveillance and Control

Projects that can be awarded within 2 weeks

County	Route	E.A.	Description	Const. Cost (x 1,000)	Bond Amount (x 1,000)
Alameda	I-680	4A5204	Sunol Grade SB HOV Lane (Contract 2) - Phase 3	\$ 48,285	\$ 38,408
Alameda/ Santa Clara	I-680	4A5204	Sunol Contract 3 - Pavement Rehabilitation	\$ 41,600	\$ 21,000
			Totals	\$ 89,885	\$ 59,408

High-Priority Projects that can be awarded within 30 to 60 days

Caltrans Highway and Intercity Rail Projects

County	Route	E.A.	Description	Const. Cost (x 1,000)	Bond Amount (x 1,000)
Tehama	I-5	370704	South Ave. Interchange	\$ 7,500	\$ 8,663
Alameda	I-580	290834	E/B HOV, Segment 2	\$ 58,591	\$ 45,046
Madera	SR-99	407214	SR-99/SR-145 Interchange Improvements	\$ 7,500	\$ 6,100
Yolo	US-50	388004	Harbor Blvd. Interchange	\$ 27,810	\$ 28,450
Alameda	SR-84	171334	Isabel Ave./I-580 Interchange, Segment 3	\$ 52,200	\$ 39,500
Los Angeles/ Orange	Surfliner	R983BA	Commerce/Fullerton Triple Track	\$ 32,000	\$ 32,000
			Totals	\$ 185,601	\$ 159,759

Local Bridge Seismic Projects

County	Route	E.A.	Description	Const. Cost (x 1,000)	Bond Amount (x 1,000)
San Mateo			Departing Flight Traffic	\$ 7,200	\$ 823
Los Angeles			Cherry Ave.	\$ 78	\$ 9
Los Angeles			College Park Dr.	\$ 131	\$ 15
Los Angeles			Washington Blvd.	\$ 151	\$ 17
Los Angeles			Avalon Blvd.	\$ 205	\$ 23
Los Angeles			Anaheim St.	\$ 290	\$ 33
Los Angeles			Tampa Ave.	\$ 520	\$ 60
Los Angeles			7th St.	\$ 567	\$ 65
Los Angeles			Washington Blvd.	\$ 826	\$ 95
Los Angeles			Artesia Blvd.	\$ 864	\$ 99
Los Angeles			Queens Way	\$ 2,063	\$ 237
Los Angeles			Queens Way	\$ 2,063	\$ 237
Los Angeles			Avenue T	\$ 2,265	\$ 260
Los Angeles			Soto St.	\$ 3,287	\$ 377
Los Angeles			Azusa Ave.	\$ 4,059	\$ 466
Los Angeles			10th St.	\$ 6,636	\$ 761
Riverside			River Rd.	\$ 57,204	\$ 22
Riverside			S/B Van Buren Blvd.	\$ 21,585	\$ 1,885
Riverside			S/B Van Buren Blvd.	\$ 21,585	\$ 1,885
			Totals	\$ 131,579	\$ 7,368

Local Agency Bond Projects that can be awarded within 30 to 60 days

County	Route		Description	Const. Cost (x 1,000)	Bond Amount (x 1,000)
Alameda	I-580/ SR- 84	171324	I-580/Isabel Interchange, Segment 2	\$ 7,300	\$ 3,900
Alameda	I-580/ SR- 84	171314	I-580/Isabel Interchange, Segment 1	\$ 37,400	\$ 24,600
Alameda		074574	In San Leandro, Citywide ATMS Expansion	\$ 558	\$ 350
Contra Costa		746014	Ygnacio Valley Rd. Corridor	\$ 1,489	\$ 1,489
Kern		4C2704	Westside Pkwy.-Phase 2	\$ 62,000	\$ 62,000
Kings		4C2724	In Hanford, on 12th Ave.	\$ 150	\$ 90
Los Angeles		4U4204	ATCS - Echo Park / Silver Lake Project (partial allocation)	\$ 3,215	\$ 3,215
Los Angeles		4U4164	Advanced System Detection Expansion	\$ 500	\$ 500
Marin		745844	Sir Frances Drake Blvd.	\$ 260	\$ 208
Orange		402744	In Garden Grove – Traffic Management Center Upgrade	\$ 1,859	\$ 1,859
Placer		0L1914	Roseville, Eureka Way and Sierra College Blvd. Improve signal timing and coordination on 5 major arterials	\$ 1,165	\$ 1,165
Riverside		0G0404	TLSP ATMS Phase 2	\$ 4,488	\$ 4,488
Riverside		0G0404	City of Murrieta, on Murieta Hot Springs Rd.: Replace existing signal controllers	\$ 478	\$ 478
Sacramento		0L1934	In Citrus Heights, on Greenback Lane	\$ 218	\$ 180
Sacramento		0L1904	Near Citrus Heights, on Madison Ave.	\$ 627	\$ 142
Sacramento		0L1974	Florin Rd. TLSP	\$ 401	\$ 401
San Benito	SR-25	0K5204	SR-25 Safety and Operational Enhancements	\$ 10,825	\$ 10,825
San Bernardino		0G0384	In Rancho Cucamonga, on Foothill Blvd.: Upgrade traffic controllers	\$ 225	\$ 225
San Diego		212904	In Bonita, on Bonita Rd.	\$ 1,473	\$ 718
San Diego		956538	In Fallbrook, on South Mission Rd.: Implement coordination timing between four existing signals	\$ 100	\$ 78
San Diego		212924	Rancho Santa Fe Rd.	\$ 266	\$ 266
San Francisco		74614	Franklin, Gough, & Polk Sts.	\$ 10,220	\$ 5,110
Totals				\$ 145,217	\$ 122,287

Local Streets and Roads				\$ 51,000
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Total Bond Funding				\$ 399,822
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I - Interstate
 SR - State Route
 US - U.S. Highway
 ATMS - Advanced Transportation Management System
 ATCS - Adaptive Traffic Control System
 TLSP - Traffic Light Signalization Program
 HOV - High-Occupancy Vehicle
 N/B - Northbound
 S/B - Southbound
 E/B - Eastbound

As presented to the Programming and
Allocations Committee on April 8, 2009



**METROPOLITAN
TRANSPORTATION
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Memorandum

TO: Programming and Allocations Committee DATE: April 8, 2009

FR: Executive Director

RE: American Recovery and Reinvestment Act of 2009: Proposal for State Element

On March 25th, the Commission released for review a spending proposal for the state element of the American Recovery and Reinvestment Act (ARRA). Three days later, the Governor signed into law ABX3 20, which suballocated 62.5% of the federal highway formula revenues directly to the regions including MTC. As an urgency measure, the bill became law immediately.

Based on final apportionments released by Caltrans, which includes a take-down for their administration costs, the amount available to the Bay Area is slightly less than reported in the earlier memorandum and is summarized in the table below.

<i>All Figures in Millions</i> Bay Area Distribution	Amount Under ABX3 20
MTC Suballocated (Already Programmed):	154.0
Added Highway Element (non-TE):	157.3
Added Transportation Enhancement (TE) Element:	9.6
Total Suballocated	320.9

At its April 1 meeting, the California Transportation Commission (CTC) reaffirmed its earlier action of approving \$625 million in ARRA funds for the SHOPP, and allocated \$50 million for Doyle Drive. The CTC further indicated its intent to prioritize the backfilling of stalled Proposition 1B projects ready for construction, and the intent that 100 percent of the state funding, rather than just 50 percent be obligated by the July 2, 2009 obligation deadline.

In addition, the state has confirmed its intent to use the recent \$6.5 billion general obligation bond sales to advance several more ready-to-go Bay Area Proposition 1B projects to construction, including projects identified in staff's initial March 25th proposal for ARRA funding. The projects are the I-580 Eastbound HOV Segment 2 project, the I-680 Sunol Grade-Southbound HOV Contract 2 project, and the I-580/SR 84 (Isabel Avenue) Interchange project all in Alameda County. The use of bond proceeds for these projects creates additional programming opportunities for the ARRA funding coming to the region, and allows all of the stalled Proposition 1B CMIA projects except for the \$90 million Sonoma US-101 HOV Central Segment project to move forward with either bond or substitute funding.

State Element ARRA Funding Proposal (Non-TE)

As a result of these positive changes on the bond front, staff is recommending directing the \$157 million in state ARRA funding to the projects outlined below:

- Direct \$120.3 million to move stalled Proposition 1B projects to construction: Fund the next two Proposition 1B projects on the priority list – Caldecott Tunnel and Marin I-580/101 Connector. Both of these projects are ready for a CTC allocation within a few of months and contract award within 6 months. By providing funding to the Caldecott Tunnel, the region can leverage \$90 million in additional ARRA funding from the State. Further, \$120 million in “freed up” bond funds will be available for programming at a later date. A list of these projects are included in attachment A-1.
- Fully Fund the Tier 2 Local Streets and Roads System Preservation amount (additional \$23.4 million): Provide an additional \$23.4 million to fully fund the local streets and roads system preservation category bringing the total to roughly \$145.4 million including the regional ARRA funding approved in February. Counties will be given flexibility to select projects, which may differ from the February approved Tier 2 list given the advancement of some projects through field review. In addition, staff will revise MTC Resolution 3885 to reflect that the Tier 2 contingency list of projects have been funded and incorporate the projects into the appropriate resolution.
- Add High Occupancy Toll (HOT) Lane projects as a priority funding category (\$13.5 million): Fund the Alameda I-580 EB HOT Lane element and the Santa Clara SR I-880/SR 237 HOT Connector. Originally, the anticipated revenues for the Regional Element were expected to be higher. To adjust the earlier program priorities to the lower funding levels, the HOT lane projects were cut from the initial list. Staff now proposes to bring these projects back into the State Element. Staff also evaluated advancing additional Freeway Performance Initiative projects, but the state of readiness was not as far along.

State Element ARRA Transportation Enhancement Funding Proposal (TE)

An additional \$9.6 million of the state funding is designated as TE, with the state’s intent that 100 percent be obligated by June 30. This challenges our ability to deliver new TE-eligible projects that traditionally have a long lead time to develop. Staff is recommending the following approach for the ARRA TE funds. A list of these projects is included in attachment A-2.

- Direct roughly \$9.6 million to advance ready-to-go TE-eligible projects, with approximately 80% based on the State Transportation Improvement Program (STIP) county share formula, and 20% directed on a regional basis, similar to the split for the Regional ARRA system preservation funding.
- The capacity created by advancing ready-to-go projects would be used to deliver additional enhancement projects later totaling \$7.5 million regionally.
- Since ready-to-go projects may not conform exactly to available county shares, counties will be allowed to ‘over-program’ their ARRA share on condition of programming future TE backfill share in another county. Final total programming of the ARRA and the backfilled TE funds will meet the intent of the STIP formula share for TE funds.

- For TE projects, ABX3 20 requires that priority must be provided for projects that partner with a community or California conservation Corps to construct or undertake the project. Given that the aggressive delivery deadlines may limit the options available for TE funding, priority for any non-Corps projects must be provided for bicycle and pedestrian projects, prior to any other TE-eligible activity. Subsequent programming of the TE funds freed up by this proposal must provide priority in the same manner.

The table below outlines the delivery deadlines for the categories outlined above:

Delivery Deadlines	Obligation Deadline	Award Deadline
Proposition 1B/ RTIP Replacement and TE funds	June 30, 2009	December 31, 2009
All remaining funds including Smart Highways and LS&R	November 30, 2009	June 30, 2010

Next Steps

Within the next few months, staff will return to the Commission with a proposal to reprogram the Proposition 1B (Corridor Mobility Improvement Account, CMIA, and Regional Transportation Improvement Program, RTIP) and TE funding capacity made available by advancing existing projects with ARRA funds. Under AB3X 20, if an agency funds a project to which bond funds were previously programmed and thus displaces the need for those bond funds on the project, the CTC shall allocate the same amount of funding to a qualifying project in the jurisdiction of that agency. The \$120.3 million proposed for the Caldecott Tunnel and I-580/ US 101 Connector projects free up \$89.3 million in Proposition 1B CMIA and \$31.0 million in RTIP funding available for redirection within the region. Alameda’s and Contra Costa’s RTIP shares on the Caldecott Tunnel that are displaced by the ARRA funding will be made available to MTC for redirection within the region. The \$7.5 million in advanced TE funds would be programmed by each county CMA based on their STIP formula share for TE funds, after any adjustments for actual ARRA TE programming.

The total funding available for a future programming cycle is \$127.8 million, including:

- CMIA: \$89.3 million
- RTIP: \$31.0 million
- RTIP-TE: \$7.5 million

Recommendation

Staff recommends that the Committee refer MTC Resolution No. 3896 to the Commission for approval, with the companion revisions to MTC Resolution 3885 noted above to be prepared and presented to the Commission on April 22, 2009.

Steve Heminger

Attachments: A-1 – List of State Element Non-TE Projects
 A-2 – List of State Element TE Projects

American Recovery and Reinvestment Act

Attachment A-1

Non-TE State ARRA Funding Prioritization

(All numbers in millions)

		State Commitments			New ARRA Commitments		Total Funding	Shortfall	
		Total Need	Funded Other Bond	Funded SHOPP	Remaining Need	ARRA Regional			Requested State ARRA
1. SHOPP Projects With State ARRA SHOPP Funding Commitment									
SOL	Solano I-80 HOV Lanes Contract 3	29.5		29.5	0.0		29.5	0.0	
	<i>Totals:</i>	29.5	0.0	29.5	0.0	0.0	29.5	0.0	
2. SHOPP Projects with Excess Bond Sale Funding Commitments									
ALA	I-580 Eastbound HOV Segment 2	45.1	30.9	14.2	0.0		45.1	0.0	
ALA	I-580 Isabel Interchange, Contracts 1, 2, 3	68.0	68.0	0.0	0.0		68.0	0.0	
ALA	I-680 Sunol Grade SB HOV Contract 2	35.7	9.9	25.8	0.0		35.7	0.0	
ALA	I-680 Sunol Grade SB HOV Contract 3	14.5		14.5	0.0		14.5	0.0	
	<i>Totals:</i>	163.3	108.8	54.5	0.0	0.0	163.3	0.0	
3. Leverage Additional State Funds; Ready-To-Go Projects									
CC	SR-24 Caldecott Tunnel Fourth Bore (all)*	197.7			197.7	105.0	92.7	197.7	0.0
MRN	Marin I-580 / US-101 Connector	15.3			15.3	15.3		15.3	0.0
SON	US-101 HOV Lanes Central Segment	89.2			89.2			0.0	89.2
	<i>Totals:</i>	302.2	186.7	0.0	302.2	120.3	92.7	213.0	89.2
	Grand Totals	495.0	295.5	84.0	302.2	120.3	92.7	405.8	89.2

* CMIA will still provide \$11 million in unallocated contingency

STP Suballocation Reconciliation

Regional STP Available	157.3
RSTP Programming	120.3
Balance (Over) Under	37.0

CMIA/RTIP Funds to Return To Region for Reprogramming

CMIA Return to Region	89.3
RTIP Return to Region	31.0
Total Funds Returning to Region	120.3

American Recovery and Reinvestment Act

Attachment A-2

Transportation Enhancement State ARRA Funding Prioritization

(All numbers in thousands)

Project	Current Programming Need		New Programming Need	Total Need	ARRA TE	
	Existing RTIP-TE	RTIP-TE Advance	Cost Increases			
1. Existing Ready-To-Go TE Projects Currently Programmed in the STIP-TE Program						
AL	Oakland, 7th St / West Oakland TOD	1,300			1,300	1,300
CC	Concord, Monument Blvd Pedestrian Improvements	1,000			1,000	1,000
CC	Martinez, Marina Vista Streetscape	127			127	127
SF	MTA, Inner Sunset Traffic Calming, Transit Enhancements	343			343	343
SF	MTA, Pedestrian Signal Upgrade		589		589	589
SC	Campbell, E Campbell Ave Downtown Enhancements	1,200	960		2,160	2,160
SL	Benicia, State Park Overcrossing of I-780	320			320	320
SL	Solano Co., McGary Road Enhancements		640		640	640
SL	Solano Co., Old Town Cordelia Improvements, Ph 2		800		800	800
SN	Windsor, Old Redwood Hwy Pedestrian Enhancements	270			270	270
	<i>Totals:</i>	<i>4,560</i>	<i>2,989</i>	<i>0</i>	<i>7,549</i>	<i>7,549</i>
2. Other Ready-To-Go TE-eligible Projects in the STIP (Not Programmed in the STIP-TE)						
RG	Marin US-101 HOV Gap Closure (Supplemental)			2,100	2,100	2,100
	<i>Totals:</i>	<i>0</i>	<i>0</i>	<i>2,100</i>	<i>2,100</i>	<i>2,100</i>
	Totals	4,560	2,989	2,100	9,649	9,649

STP Suballocation Reconciliation

Regional STP-TE Available		9,649
RSTP-TE Programming		9,649
Balance (Over) Under		0

ARRA TE County/Regional Split Reconciliation

County	ARRA TE Share	ARRA TE Program	2010 STIP Credits and Advances	TE Adjustment	Net TE Backfill
Alameda - West Oakland TOD	1,557	1,300	1,300	257	1,557
Contra Costa - Monument Blvd and Marina Vista	1,009	1,127	1,127	(118)	1,009
Marin - None	294	0	0	294	294
Napa - None	183	0	0	183	183
San Francisco - Inner Sunset Traffic Calming, Ped Signals	797	932	932	(135)	797
San Mateo - None	827	0	0	827	827
Santa Clara - E Campbell Downtown Enhancements	1,824	2,160	2,160	(336)	1,824
Solano - State Park, McGary Road, Old Town Cordelia	477	1,760	1,760	(1,283)	477
Sonoma - Old Redwood Highway Enhancements	581	270	270	311	581
County Subtotal	7,549	7,549	7,549	0	7,549
Regional - Marin 101 Gap Closure	2,100	2,100	0	0	0
County + Regional Total	9,649	9,649	7,549	0	7,549

* Negative numbers indicate overprogramming of ARRA-TE share (in Contra Costa, San Francisco, Santa Clara, and Solano Counties). In the next TE call for projects, these four counties will give up the amount they overprogrammed and allow counties that under

Date: April 22, 2009
W.I.: 1512
Referred by: PAC

ABSTRACT

Resolution No. 3896

This resolution adopts the project selection criteria, policies and programming for the State Element of the American Recovery and Reinvestment Act (ARRA) Program. The policy contains the project categories that are to be funded with FY 2008-09 ARRA program funds for inclusion in the 2009 Transportation Improvement Program (TIP).

The resolution includes the following attachments:

- Attachment A - American Recovery and Reinvestment Act Policy and Programming
- Attachment B - State Element Project List

Further discussion of the American Recovery and Reinvestment Act Program is contained in the MTC Executive Director's Memorandum to the Commission dated April 8, 2009.

Date: April 22, 2009
W.I.: 1512
Referred By: PAC

RE: American Recovery and Reinvestment Act: Policies and Programming

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 3896

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 et seq.; and

WHEREAS, MTC is the designated Metropolitan Planning Organization for the nine-county San Francisco Bay Area region (the region) and is required to prepare and endorse a Transportation Improvement Program (TIP) which includes a list of American Recovery and Reinvestment Act of 2009 (ARRA) funded projects; and

WHEREAS, MTC developed and endorsed a set of Economic Recovery Principles in December 2008; and

WHEREAS, MTC, in cooperation with the Bay Area Partnership, transit operators and Caltrans, has developed a framework for the state element of the ARRA and adopted the regional element of the ARRA on February 25, 2009; and

WHEREAS, MTC took into consideration the Economic Recovery Principles, Transportation 2030 policies, and investment decisions going into Transportation 2035 to develop a proposed set of projects and program investment areas to be funded with ARRA funds;

WHEREAS, MTC is mindful of the timely use of funds provisions established in the ARRA and has included in Attachment A regional provisions and deadlines to ensure that no funds are lost to the region; and

WHEREAS, the ARRA includes a certification process and extensive reporting requirements for the states, regions and funding recipients; and

WHEREAS, using the principles and procedures and criteria set forth in Attachment A of this Resolution, MTC developed a program of projects to be funded with the state element of the ARRA for inclusion in the federal Transportation Improvement Program (TIP); and

MTC Resolution No. 3896

Page 2

WHEREAS, MTC has identified a set of Non-TE and TE projects for amendment into the Transportation Improvement Program (TIP), as set forth in Attachment B of this Resolution, and incorporated herein as though set forth at length; and

WHEREAS, the 2009 TIP is subject to public review and comment; now therefore be it

RESOLVED that MTC approves the project selection criteria, policies, procedures and programming for the regional portion of the state element of the American Recovery and Reinvestment Act, as set forth in Attachments A and B of this Resolution; and be it further

RESOLVED that the projects in Attachment B will be included in the 2009 TIP, subject to the final approval of the revision; and be it further

RESOLVED that jurisdictions receiving ARRA funding identified in Attachment B shall cooperate in a timely manner with the certification, monitoring and reporting requirements of the ARRA; and be it further

RESOLVED that the Executive Director or designee is authorized to revise Attachment B as necessary to reflect the programming of projects as the projects are revised in the TIP; and be it further

RESOLVED that the Executive Director is authorized to sign and submit certifications and reports as required by the ARRA, and shall forward a copy of this resolution, and such other documentation and information as may be required, to the Governor, Caltrans, and Federal Highway Administration, and to other such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

Scott Haggerty, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at the regular meeting of the Commission held in Oakland, California, on April 22, 2009.

Date: April 22, 2009
W.I.: 1512
Referred by: PAC

Attachment A
Resolution No. 3896
Page 1 of 9

**American Recovery and
Reinvestment Act**

**State Funding Element
Policy and Programming
For FY 2008-09**

American Recovery and Reinvestment Act Policies and Programming

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BACKGROUND

On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act (ARRA), which enacted a \$787 billion economic recovery package calling for significant new spending as well as tax cuts. The ARRA includes \$48 billion for the Department of Transportation. Specifically, the proposal includes \$27.5 billion in Federal Highway Administration funding and \$8.4 billion in Federal Transit Administration funds. MTC programmed \$495 million in regional ARRA formula distribution funds in February 2009. This consisted of the roughly \$154 million in sub-allocated Surface Transportation Program and \$341 million in Federal Transit Administration formula funds provided for under existing law.

This resolution addresses the state element of the ARRA, which was suballocated to the region under AB3X 20.

AMERICAN RECOVERY AND REINVESTMENT ACT—STATE ELEMENT

Under existing federal and state law, the ARRA includes roughly \$1.7 billion in FHWA funds that flow to the state of California, separate and apart from the amounts sub-allocated to the regions.

With the recent passage of AB3X 20, the remaining state element of the ARRA is to be directed 62.5 % to the regions and 37.5 % to Caltrans. This results in an additional \$165.5 million in federal highway funding available to MTC for programming.

AB3X 20 directs how the State’s share of the funding would be spent, with the entire 37.5% (\$935 million) for the SHOPP, \$310 million of which would be temporarily ‘loaned’ for use by Proposition 1B projects. Once the bond funds begin to flow, the ‘loaned’ funding would be repaid and used for SHOPP projects. At their March meeting, the CTC approved \$625 million in ARRA funds for the SHOPP, with the Bay Area receiving \$132.3 million, (21 percent) including \$50 million for Doyle Drive.

Furthermore, Caltrans has estimated the cost of oversight for the ARRA projects to be about \$13.9 million with 62.5% or \$8.7 million set aside from the regional portion to cover these costs (approximately \$1.7 million from the Bay Area).

Fund Estimate

The total ARRA funding available for the Bay Area is \$321 million, as shown in the chart below. As a reminder, MTC already programmed \$154 million, leaving roughly \$157.3 million in ARRA FHWA flexible funds and \$9.6 million in Transportation Enhancement (TE) funding for this programming action.

<i>All Figures in Millions</i> Bay Area Distribution – FHWA Funding	AB3X 20 Legislative Change
MTC Regional Element (already programmed):	154.0
MTC State Element (non-TE):	157.3
MTC State Element (TE):	9.6
MTC SubTotal:	165.5
Total Suballocated	320.9

With AB3X20 as the framework for the State Element of the ARRA, there is likely to be transportation funding in an amount equivalent to the bond commitment addressed available for the region to meet future transportation needs. These “freed up” funds will be available at some future date that should enable a much more extensive round of outreach with the public and other stakeholders prior to Commission action.

To put this much-needed funding capacity to best use, and meet the expedited delivery requirements, staff is recommending an approach, described below, that directs funds to existing bond projects, to the extent possible, fully funds the Tier 2 for Local Streets and Roads, and funds two Smart Highway projects that were unable to be funded in the Regional ARRA program approved earlier.

1. State Funding (Non-TE):

- **Direct \$120.3 million to move Proposition 1B projects to construction:** Consistent with information discussed during the circulation of the ARRA regional programming proposal, MTC staff is proposing to focus the ARRA State element funds that will come to the region on ready-to-go Proposition 1B bond highway projects that have been stalled and unable to move to construction given the state budget impasse and credit market uncertainty. The action by MTC/BATA in January to buy almost \$200 million in state debt kept several projects in construction and allowed for the award of one new project in Sonoma County. Recent favorable bond sales have allowed additional Proposition 1B funded projects to move forward. The region still needs an additional \$302 million to deliver all of the ready-to-go bond projects after considering bond and state SHOPP commitments. Staff is proposing that the region partner with Caltrans and leverage additional state funding with regional ARRA funding. The table below prioritizes the projects based on ready-to-go status and the ability to attract and leverage the maximum amount of state funds.
- **Fully Fund the Tier 2 Local Streets and Roads System Preservation amount (additional \$23 million):** Provide an additional \$23 million to fully fund the local streets and roads system preservation category bringing the total to roughly \$153 million. Counties will be given flexibility to select projects, which may differ from the February approved Tier 2 list given the advancement of some projects through field review.
- **Add HOT Lane projects as a priority funding category (\$13.5 million):** Fund the Alameda I-580 EB HOT Lane element and the Santa Clara SR I-880/SR 237 HOT Connector. Originally, the anticipated revenues for the Regional Element were expected to be higher. To adjust the earlier program priorities to the lower funding levels, the HOT lane projects were cut from the initial list. Staff now proposes to bring these projects back into the State Element. Staff also evaluated advancing additional Freeway Performance Initiative projects, but the state of readiness was not as far along.

(All numbers in millions)

	Total Need	State Commitments			New ARRA Commitments		Total Funding	Shortfall
		Funded Other Bond	Funded SHOPP	Remaining Need	ARRA Regional	Requested State ARRA		
1. SHOPP Projects With State ARRA SHOPP Funding Commitment								
Solano I-80 HOV Lanes Contract 3	29.5		29.5	0.0			29.5	0.0
<i>Totals:</i>	29.5	0.0	29.5	0.0	0.0	0.0	29.5	0.0
2. SHOPP Projects with Excess Bond Sale Funding Commitments								
I-580 Eastbound HOV Segment 2	45.1	30.9	14.2	0.0			45.1	0.0
I-580 Isabel Interchange, Contracts 1, 2, 3	68.0	68.0	0.0	0.0			68.0	0.0
I-680 Sunol Grade SB HOV Contract 2	35.7	9.9	25.8	0.0			35.7	0.0
I-680 Sunol Grade SB HOV Contract 3	14.5		14.5	0.0			14.5	0.0
<i>Totals:</i>	163.3	108.8	54.5	0.0	0.0	0.0	163.3	0.0
3. Leverage Additional State Funds; Ready-To-Go Projects								
SR-24 Caldecott Tunnel Fourth Bore (all)*	197.7			197.7	105.0	92.7	197.7	0.0
Marin I-580 / US-101 Connector	15.3			15.3	15.3		15.3	0.0
US-101 HOV Lanes Central Segment	89.2			89.2			0.0	89.2
<i>Totals:</i>	302.2	186.7	0.0	302.2	120.3	92.7	213.0	89.2
Grand Totals	495.0	295.5	84.0	302.2	120.3	92.7	405.8	89.2

* CMAA will still provide \$11 million in unallocated contingency

- State TE Funding:** An additional \$9.6 million of the state funding is designated as TE, with the state’s intent that 100 percent be obligated by June 30. This places challenges in delivering new TE-eligible projects that traditionally have a long lead time to develop. For the Transportation Enhancements (TE) program, staff is recommending that the funds be directed to TE-eligible projects that are ready-to-go now, with approximately 80% based on the STIP county share formula, and 20% directed on a regional basis, similar to the split for the Regional ARRA System Preservations funding. The additional capacity created by advancing ready-to-go projects that were expected to receive those funds could then be used to deliver additional enhancement projects later. Staff will work with the County Congestion Management Agencies (CMAs) on the specifics and timing of this process.

 - Direct roughly \$9.6 million by advancing TE-eligible projects that are ready-to-go now, with approximately 80% based on the STIP county share formula, and 20% directed on a regional basis, similar to the split for the Regional ARRA System Preservations funding.
 - The capacity created by advancing ready-to-go projects will be used to deliver additional enhancement projects later.
 - Since ready-to-go projects may not conform explicitly to available county shares, counties will be allowed to ‘over-program’ their ARRA share on condition of programming future TE backfill share in another county. Final total programming of the ARRA and the backfilled TE funds will meet the intent of the STIP formula share for TE funds.

The table below shows the priority of projects for programming of ARRA TE.

(All numbers in thousands)

Project	Current Programming Need		New Programming Need	Total Need	ARRA TE
	Existing RTIP TE	RTIP-TE Advance	Cost Increases		
1. Existing Ready-To-Go TE Projects Currently Programmed in the STIP-TE Program					
Oakland, 7th St / West Oakland TOD	1,300			1,300	1,300
Concord, Monument Blvd Pedestrian Improvements	1,000			1,000	1,000
Martinez, Marina Vista Streetscape	127			127	127
MTA, Inner Sunset Traffic Calming, Transit Enhancements	343			343	343
MTA, Pedestrian Signal Upgrade		589		589	589
Campbell, E Campbell Ave Downtown Enhancements	1,200	960		2,160	2,160
Benicia, State Park Overcrossing of I-780	320			320	320
Solano Co., McGary Road Enhancements		640		640	640
Solano Co., Old Town Cordelia Improvements, Ph 2		800		800	800
Windsor, Old Redwood Hwy Pedestrian Enhancements	270			270	270
<i>Totals:</i>	<i>4,560</i>	<i>2,989</i>	<i>0</i>	<i>7,549</i>	<i>7,549</i>
2. Other Ready-To-Go TE-eligible Projects in the STIP (Not Programmed in the STIP-TE)					
Marin US-101 HOV Gap Closure (Supplemental)			2,100	2,100	2,100
<i>Totals:</i>	<i>0</i>	<i>0</i>	<i>2,100</i>	<i>2,100</i>	<i>2,100</i>
Totals	4,560	2,989	2,100	9,649	9,649

- 3. Ensure Regional Success in Project Delivery:** Although the ARRA requires only 50% of the funds to be obligated by July 2, 2009 and the remaining funds obligated by March 2, 2010, the State expects all of the state element funds, including TE, to be obligated by July 2, 2009 and awarded by January 2, 2010. To ensure projects will be delivered in advance of the required state and federal deadlines, project sponsors must meet regional deadlines as outlined in the General Programming Policies.
- 4. Future RTIP/Bond Capacity Programming:** It is the intent that any additional capacity created by freeing up Proposition 1B bond-funded programs, including the Corridor Mobility Investment Account (CMIA) and Regional Transportation Improvement Program, would return to the region for reprogramming, once bond funds are made available again. MTC would initiate a subsequent programming cycle to identify projects to use these funds.

Under AB3X 20, if an agency funds a project to which bond funds were previously programmed and thus displaces the need for those bond funds on the project, the CTC shall allocate the same amount of funding to a qualifying project in the jurisdiction of that agency. The \$120.3 million provided to Caltrans for the Caldecott Tunnel and I-580/ US 101 Connector projects free up \$89.3 million in Proposition 1B CMIA and \$31.0 million in RTIP funding available for redirection within the region. Alameda’s and Contra Costa’s RTIP shares on the Caldecott Tunnel that are displaced by the ARRA funding will be made available to MTC for programming within the region.

- 5. Future TE Capacity Programming:** The capacity freed up by using ARRA TE to advance projects currently programmed with STIP TE will be used to deliver additional enhancement projects in a subsequent TE programming cycle, and will be directed back to the counties in accordance with their county share formulas to the extent possible. The \$7.5 million in advanced TE funds would be programmed by each county CMA based on their STIP formula share for TE funds, after any adjustments for actual ARRA TE programming.

GENERAL PROGRAMMING POLICIES

- 1. Public Involvement.** MTC is committed to a public involvement process that is proactive and provides comprehensive information, timely public notice, full public access to key decisions, and opportunities for continuing involvement. MTC provides many methods to fulfill this commitment, as outlined in MTC's Public Participation Plan, Resolution No. 3821. The Commission's adoption of the ARRA program, including policy and procedures, are similarly subject to the MTC Public Participation Plan. MTC's advisory committees and the Bay Area Partnership were consulted in the development of the region's ARRA funding program, including the framework on how to approach the State element of the ARRA. The Commission released the proposal for the state element for review and comment on April 22.
- 2. 2009 Transportation Improvement Program (TIP).** Projects approved as part of the ARRA Program must be included in the 2009 TIP. The federally required TIP is a comprehensive listing of all San Francisco Bay Area transportation projects that receive federal funds, and/or subject to a federally required action, such as federal environmental clearance, and/or are regionally significant for air quality conformity or modeling purposes. It is expected that funding for all of ARRA projects will be programmed in the TIP.
- 3. Air Quality Conformity.** In the Bay Area, it is the responsibility of MTC to make an air quality conformity determination for the TIP in accordance with federal Clean Air Act requirements and Environmental Protection Agency (EPA) conformity regulations. MTC evaluates the impact of the TIP on regional air quality during the biennial update of the TIP. Non-exempt projects that are considered for funding in the American Recovery and Reinvestment Act Program must be included in the existing TIP or part of the air quality conformity finding for Transportation 2035.
- 4. Environmental Clearance.** Project sponsors are responsible for compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.), and the National Environmental Protection Act (42 USC Section 4-1 et seq.) standards and procedures.
- 5. Application, Resolution of Local Support, and Opinion of Legal Counsel.** Project sponsors/ Implementing Agencies must submit a completed project application for each project proposed for funding. The project application consists of two parts: 1) an application submittal and/or TIP amendment request form to MTC staff, and 2) Resolution of Local Support approved by the project sponsor/ Implementing Agency's Board.
- 6. Project Screening and Compliance with Regional and Federal Requirements:** MTC staff has performed a preliminary review of projects proposed for American Recovery and Reinvestment Act Program to ensure 1) eligibility; 2) RTP consistency; 3) project readiness and 4) other requirements of the ARRA legislation. The projects are also subject to compliance with the following:
 - ♦ Federal Project Eligibility;

- RTP Consistency;
- Title VI Compliance;
- Accommodations for Bicyclists, Pedestrians and Persons with Disabilities (FHWA funds only);
- Local Resolution of Support; and
- Fully Funded Projects.

For TE projects, priority must be provided for projects that partner with a community or state conservation Corps to construct or undertake the project. Given that the aggressive delivery deadlines may limit the options available for TE funding, priority for any non-Corps projects must be provided for bicycle and pedestrian projects, prior to any other TE-eligible activity. Subsequent programming of the TE funds freed up by this proposal must provide priority in the same manner.

Finally, the following factors were considered in the project selection process as required by the ARRA:

- Funds can be obligated and contracts can be awarded within the deadlines of this Act.
- Inclusion status in an approved Statewide Transportation Improvement Program (STIP) and/or Metropolitan Transportation Improvement Program (TIP)
- Projection of project completion within a three-year time frame
- Location in economically distressed areas as defined by section 301 of the Public Works and Economic Development Act of 1965, as amended (42 U.S.C. 3161) for FHWA funding only.

- 7. Local Streets and Roads Tier 2 Funding:** The additional funding for Local Streets and Roads Tier 2 funding could place additional burdens on Caltrans Local assistance in delivering the other local projects for Fiscal Year 2008-09. To minimize impacts to Caltrans due to the additional workload, the obligation deadline for the Tier 2 funds have been extended to November 30, 2009. Furthermore, these funds should not be added to an existing Tier 1 project if it means the Tier 1 project would be delayed, or requires additional work or hardship for Caltrans Local assistance (such as requiring another field review, or revisiting the environmental clearance, if that has already occurred).
- 8. Certification and Reporting:** Project sponsors/ implementing agencies must comply with the certification and reporting requirements of the ARRA and cooperate with the state and region in responding to these requirements within established deadlines. Failure to meet the certification and reporting requirements and timelines could significantly delay the project or result in the project becoming ineligible for ARRA funding.
- 9. MTC Routine Accommodations of Pedestrians and Bicyclists Policy:** Applicable project sponsors need to complete the Routine Accommodations of Pedestrians and Bicyclists Accommodations checklist and make this information available to local bicycle advisory committees prior to project programming in the TIP as set forth by MTC Resolution 3765.
- 10. Project Delivery and Award Deadline Conditions:** A primary objective of the ARRA program is economic recovery and as a result, projects receiving grants are required to meet

stringent project delivery deadlines set forth by the legislation and by MTC. These deadlines ensure that ARRA funds will not be lost to the region. Specifically, 50% of the funds must be obligated by July 2, 2009, which is 120 days after the release of federal apportionments. Although the ARRA requires only 50% of the funds to be obligated by July 2, 2009 and the remaining funds obligated by March 2, 2010, the State expects all funds, to be obligated by July 2, 2009.

To ensure funds are not lost the region has established deadlines in advance and in addition to the ARRA deadlines. The Proposition 1B/RTIP backfill and TE funds must receive an obligation by June 30, 2009 and contract award by December 31, 2009. The remaining funds, including Smart Highways and LS&R preservation must receive an obligation by November 30, 2009 with award of a contract by June 30, 2009.

- 11. Fixed Program and Specific Project Selection.** The regional ARRA program is project specific and the ARRA funds programmed to projects are for those projects alone. Any changes must be accompanied by an amendment to the Transportation Improvement Program. The ARRA Program funding is fixed at the programmed amount, and therefore any cost increase cannot be expected to be funded with ARRA funds. Project sponsors are responsible for securing the necessary funds, in the case of cost increases or additional funding needed to complete the project including contingencies.
- 12. Local Match.** Projects may be funded with ARRA Program funding up to 100% of the total project cost, unless otherwise noted.
- 13. Technical Adjustment.** For full transparency, the ARRA includes extensive reporting requirements throughout the life of an ARRA-funded project. To facilitate in the reporting of the funds consistent with the specific federal funding programs and associated requirements, a few of the projects, including the additional Local Streets and Roads funding may need to be amended into the previously approved Regional ARRA program (MTC Resolution 3885) and an equal amount of funding amended back into the State element to facilitate funding accountability.

SCHEDULE

As noted previously, this supplementary funding is subject to very short project delivery deadlines. In order to ensure that the funds are not lost due to not meeting the obligation deadlines, the policy development and programming will be on an expedited timeline as outlined below.

American Recovery and Reinvestment Act (ARRA) Program: State Funding Element Programming Schedule	
February 6, 2009	Partnership Board meeting
February 10, 2009	MTC Joint Advisors meeting
February 11, 2009	Programming and Allocations Committee review of regional programming proposal
February 17, 2009	Enactment of the ARRA
February 22, 2009	Commission approval of Regional ARRA Program
March 2, 2009	FHWA release of apportionments
March 25, 2009	Commission release of state element proposal for review and comment
April 8, 2009	Programming and Allocations Committee review of state element proposal
April 22, 2009	Commission approval of ARRA State Element and TIP Amendment
June 30, 2009	Regional Obligation Deadline for Proposition 1B/RTIP Backfill and TE funds
July 2, 2009	AB3X 20 obligation deadline (Intent for 100% of state's portion)
July 2, 2009	ARRA obligation deadline for 50% of State Element funding (120 days)
November 30, 2009	Obligation Deadline for all remaining funds including Smart Hwys and LS&R
December 31, 2009	Regional Award Deadline for Proposition 1B/RTIP Backfill and TE funds
January 2, 2010	AB3X 20 award deadline (Intent for 100% of state's portion)
March 2, 2010	ARRA obligation deadline for remaining funds (1 year)
June 30, 2010	Award Deadline for all remaining funds including Smart Highways and LS&R

METROPOLITAN TRANSPORTATION COMMISSION
American Recovery and Reinvestment Act (ARRA)
State Element
April 22, 2009

Project Title	Category	Implementing Agency	Fund Source	ARRA Funding
State Element - Non-TE				\$157,237,410
Contra Costa SR-24 Caldecott Tunnel Fourth Bore	Prop 1B Backfill	Caltrans	ST-STP-ARRA	\$105,000,000
Marin I-580 / US 101 Connector	Prop 1B Backfill	Caltrans	ST-STP-ARRA	\$15,300,000
Alameda I-580 EB HOT Lane	Smart Hwys	Alameda County CMA	ST-STP-ARRA	\$7,500,000
Santa Clara - SR 237 - I-880 HOT Connector	Smart Hwys	Santa Clara VTA	ST-STP-ARRA	\$6,000,000
Alameda - Local Streets and Roads	LS&R Sys Pres	Various	ST-STP-ARRA	\$4,730,000
Contra Costa - Local Streets and Roads	LS&R Sys Pres	Various	ST-STP-ARRA	\$3,430,000
Marin - Local Streets and Roads	LS&R Sys Pres	Various	ST-STP-ARRA	\$920,000
Napa - Local Streets and Roads	LS&R Sys Pres	Various	ST-STP-ARRA	\$610,000
San Francisco - Local Streets and Roads	LS&R Sys Pres	Various	ST-STP-ARRA	\$2,187,410
San Mateo - Local Streets and Roads	LS&R Sys Pres	Various	ST-STP-ARRA	\$2,130,000
Santa Clara - Local Streets and Roads	LS&R Sys Pres	Various	ST-STP-ARRA	\$5,080,000
Solano - Local Streets and Roads	LS&R Sys Pres	Various	ST-STP-ARRA	\$1,870,000
Sonoma - Local Streets and Roads	LS&R Sys Pres	Various	ST-STP-ARRA	\$2,480,000
Total Non TE				\$157,237,410
State Element - Transportation Enhancements (TE)				\$9,649,295
Oakland - 7th St / West Oakland TOD	TE - Bike/Ped	City of Oakland	TE-ARRA	1,300,000
Concord - Monument Blvd Pedestrian Imps	TE - Bike/Ped	City of Concord	TE-ARRA	1,000,000
Martinez - Marina Vista Streetscape	TE - Bike/Ped	City of Martinez	TE-ARRA	127,000
Marin - US-101 HOV Gap Closure Bike Path	TE - Bike/Ped	Caltrans	TE-ARRA	2,100,000
San Francisco - Inner Sunset Traffic Calming and Enhancements	TE - Ped	San Francisco MTA	TE-ARRA	343,000
San Francisco - Pedestrian Signal Upgrade	TE - Ped	San Francisco MTA	TE-ARRA	589,295
Campbell - East Campbell Avenue Downtown Enhancements	TE - Bike/Ped	City of Campbell	TE-ARRA	2,160,000
Benicia - State Park Overcrossing of I-780	TE - Bike/Ped	City of Benicia	TE-ARRA	320,000
Fairfield - McGary Road Enhancements	TE - Bike/Ped	City of Fairfield	TE-ARRA	640,000
Solano County - Old Town Cordelia Enhancements	TE - Enhancements	County of Solano	TE-ARRA	800,000
Windsor - Old Redwood Hwy Pedestrian Enhancements	TE - Bike/Ped	City of Windsor	TE-ARRA	270,000
Total Transportation (TE)				\$9,649,295
ARRA - State Element Total				\$166,886,705

J:\SECTION\ALLSTAFF\Resolution\TEMP-RES\MTC\April PAC\[tmp-3896_Attachment B.xls]Resolution 3896 Attach B-1

**American Recovery and Reinvestment Act of 2009
Federal Economic Stimulus
for Developing Ready-To-Go Local Streets and Roads Projects
April 8, 2009
(in \$ thousands)**

LS&R	LS&R % Share	Previous ARRA Programming	Additional ARRA Programming	Total ARRA
County	100.0%	\$122,000	\$23,437	\$145,437
Alameda	20.2%	\$24,640	\$4,730	\$29,370
Contra Costa	14.6%	\$17,850	\$3,430	\$21,280
Marin	3.9%	\$4,800	\$920	\$5,720
Napa	2.6%	\$3,190	\$610	\$3,800
San Francisco	9.3%	\$11,350	\$2,187	\$13,537
San Mateo	9.1%	\$11,080	\$2,130	\$13,210
Santa Clara	21.7%	\$26,460	\$5,080	\$31,540
Solano	8.0%	\$9,730	\$1,870	\$11,600
Sonoma	10.6%	\$12,900	\$2,480	\$15,380
Total	100.0%	\$122,000	\$23,437	\$145,437



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Memorandum

TO: Programming and Delivery Working Group

DATE: April 20, 2009

FR: Kenneth Kao

RE: American Recovery and Reinvestment Act: Transportation Enhancements

MTC proposes to program ARRA TE funds to ready-to-go TE projects that are already programmed in the STIP and can obligate funds by June 30, 2009. It is MTC's intent that the CMAAs then hold a subsequent call for projects for the "freed" STIP TE capacity in conjunction with the 2010 STIP process in the summer of 2009.

The projects that will obligate ARRA TE funds must follow all existing rules, as well as new ones imposed by AB 3X 20. The attached letter details the rules. They include:

- All ARRA TE projects must be in the FTIP
- All ARRA TE projects must have an approved TE Application
- Projects with ARRA TE funds will follow the same obligation process as other locally administered federal funds (i.e. STP/CMAQ)
- Priority of ARRA TE funds must be given to projects partnering with Community or California Conservation Corps, then to bicycle and pedestrian projects, with last priority to all other project types (such as streetscaping).

MTC will provide Caltrans with the requested list of ARRA TE projects once the Commission adopts the final listing of projects at its April 22 meeting. MTC also expects the last bullet regarding prioritization of ARRA TE funds will also apply to STIP TE funds to be selected during the next call for projects, as required by SB 286.

MTC will request additional information from Caltrans Headquarters regarding the process to partner with CCC and will bring information to PDWG at its May meeting.

Attachment

A – Letter from D. Anbiah to all RTPAs/MPOs, dated April 10, 2009

DEPARTMENT OF TRANSPORTATION

DIVISION OF LOCAL ASSISTANCE

1120 N STREET, MS-49

SACRAMENTO, CA 95814-5680

PHONE (916) 653-1776

FAX (916) 654-2409

TTY 711

*Flex your power!
Be energy efficient!*

April 10, 2009

All Metropolitan Planning Organizations
Regional Transportation Planning Agencies:

This letter is to update you on recent legislation that affects the project selection process for federal Transportation Enhancement (TE) projects and provide guidance for TE projects funded through the American Recovery and Reinvestment Act of 2009 (ARRA). Please share this information with your member agencies.

The State of California has approved Senate Bill 286 (SB 286) and Assembly Bill X3-20 (ABX3-20). SB 286 requires regions and the California Department of Transportation (Caltrans) to give priority in the selection of TE projects to applicants that use either a community conservation corps (ccc) or the California Conservation Corps (CCC). Caltrans is currently working on the development of selection criteria that can be used by the regions and encourages the use of a ccc or CCC to accomplish the work. ABX3-20 modifies existing Streets and Highways Code. Chapter 9.5, Section 2423 (c) (1) (2) and (3) are reiterated below.

(1) In programming and allocating these funds, the department and the metropolitan planning organizations, county transportation commissions, and regional transportation agencies shall give priority to the sponsors of eligible projects that partner with, or commit to employ the services of, a community conservation corps or the California Conservation Corps to construct or undertake the project, provided those projects meet the requirements of the American Recovery and Reinvestment Act of 2009.

(2) After all eligible projects have been selected pursuant to paragraph (1), the department and the metropolitan planning organizations, county transportation commissions, and regional transportation agencies shall next give priority to projects that provide facilities for pedestrians and bicyclists, provided those projects meet the requirements of the American Recovery and Reinvestment Act of 2009.

(3) After all eligible projects have been selected pursuant to paragraph (2), the department and the metropolitan planning organizations, county transportation commissions, and regional transportation agencies may fund any project eligible in accordance with paragraph (35) of subdivision (a) of Section 101 of Title 23 of the United States Code.

Complete versions of these bills are available on the State of California's Legislative web site at <http://www.legislature.ca.gov/>.

"Caltrans improves mobility across California"

California MPO and RTPA Executive Directors
April 10, 2009
Page 2

ABX3-20 removes the requirement for TE projects funded through the ARRA from being programmed in the State Transportation Improvement Program (STIP). ARRA funded TE projects will be processed in the same manner as a federal-aid project receiving Regional Surface Transportation (RSTP) funds and must be programmed in the Federal Statewide Transportation Improvement Program (FSTIP). All TE projects will still require an application and eligibility determination. It is recommended that applications be forwarded to John Haynes at John_Haynes@dot.ca.gov for an eligibility determination prior to programming in the FSTIP. Eligibility must be verified prior to obligating funds. Guidance may be found on the Division of Local Assistance's website at <http://www.dot.ca.gov/hq/LocalPrograms>, or you may contact your district local assistance engineer. The TE application is also available on our website.

To ensure California obligates all of the TE funds made available through the ARRA, Caltrans requests your assistance developing a statewide list of regional TE projects. This list will be used to verify each region has TE projects identified and will obligate all apportioned ARRA TE funds prior to the March 2, 2010 deadline.

Each region is requested to complete the attached spreadsheet, scan, and email to John_Haynes@dot.ca.gov by May 4, 2009. Please list all TE projects identified to receive ARRA funds. If you have any questions, please contact Kevin Pokrajac 916-653-7409.



DENIX D. ANBIAH, Chief
Division of Local Assistance

Attachment

ARRA
TE Projects Identified for Obligation Prior to March 2, 2010

MPO / RTPA Name: _____
Caltrans District: _____

Project Title	Total Cost of Project	Federal TE Funds by Phase of Work and Obligation Date						Total TE Funds	Total Other Funds	Request Eligibility Review Date
		PE	Oblig. Date	RW	Oblig. Date	Const.	Oblig. Date			
(example) 1st Street Bike Lane	\$100,000	\$15,000	5/15/09	\$0	na	\$85,000	10/20/09	\$100,000	\$0	4/20/09



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Memorandum

TO: Programming and Delivery Working Group

DATE: April 20, 2009

FR: Kenneth Kao

RE: 2010 STIP Development

Every two years, MTC adopts the Regional Transportation Improvement Program (RTIP) and submits it to the California Transportation Commission for inclusion into the State Transportation Improvement Program (STIP). MTC relies on the Congestion Management Agencies to develop the project list for inclusion into the RTIP.

The 2010 STIP effort is now beginning. The CTC received an overview of the 2010 STIP Fund Estimate Development at its February meeting. CTC has delayed review of the STIP Fund Estimate Assumptions from the April meeting to the May or June meeting. CTC is expected to adopt the 2010 STIP Fund Estimate in July or August. The timeline for adoption may shift; in previous years, the STIP Fund Estimate adoption was delayed due to the state budget not being adopted on time. However, based on current statute, MTC would have to adopt the final RTIP at its November meeting, for submittal to CTC in December 2009.

Based on this schedule, the CMAs should be thinking about the call for projects process for the 2010 RTIP this summer. MTC plans on presenting draft policies and procedures in the summer for adoption shortly after the adoption of the STIP Fund Estimate (most likely September). A formal schedule will be presented at the next meeting.

Note that MTC plans for the CMAs to do a call for projects for “freed” STIP TE capacity resulting from ARRA at the same time as the 2010 STIP call for projects.

J:\COMMITTEE\Partnership\Partnership PDWG\2009 PDWG\09 PDWG Memos\03_Apr 09 - PDWG\04c_0_2010_STIP_Development.doc



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Memorandum

TO: Programming and Delivery Working Group

DATE: April 20, 2009

FR: Sri Srinivasan

RE: TIP Update

2009 TIP Revisions

TIP Revision 09-01 - Approved

Final Caltrans approval for TIP Revision 09-01 was received on December 16, 2008.

TIP Revision 09-02 - Approved

Revision 09-02 was approved by the MTC Commission on December 17, 2008. Caltrans approval was received on January 7, 2009 and final federal approval was received on January 23, 2009.

TIP Revision 09-03 - Approved

Revision 09-03 was approved by the Director on January 29, 2009. Caltrans approval was received on February 10, 2009.

TIP Revision 09-04 - Approved

TIP Amendment 09-04 makes revisions to 64 projects with a net increase in funding of \$303.5 million. Among other changes, it updates project lists and costs of several SHOPP Grouped listings. The amendment updates the funding plan to identify \$35 million in CMAQ funds and advances these funds from outside the TIP period for AC Transit's Enhanced Bus - Telegraph/International/East 14th project as part of the implementation of the Resolution 3434 Strategic Plan. It adds the I-880 High Street Bridge rehabilitation project back into the TIP and amends ten new projects into the TIP. Of these, four projects received FTA Transit in Parks Program (5320) grant funds and the revenues are accounted for as part of this revision.

The amendment programs the second cycle funds of the Safe Routes to School grants into the TIP, adds in FY 2010 and FY 2011 funds into the Highway Safety Improvement Program and archives seven projects. The amendment adds the State and Local Partnership Program revenues into the TIP. Changes made with this revision do not affect the air quality conformity determination or conflict with financial constraint requirements. The amendment was approved by the commission on February 25, 2009. Caltrans approval was received on March 3, 2009 and final federal approval was received on March 17, 2009.

TIP Revision 09-05 - Approved

TIP Amendment 09-05 amends in the funding revenues for the American Recovery and Reinvestment Act (ARRA) as shown in the table below. This amendment adds in additional revenues only, to

TIP revision Update as of March 23, 2009

April 20, 2009

Page 2 of 2

demonstrate financial constraint for the 2009 TIP. Several projects to be funded with ARRA funding are included in a companion Amendment 09-07.

ARRA Funding Revenues TIP Revision 09-05	
Fund Type	Amount
FHWA Administered Funds	\$845,000,000
FTA Administered Funds	\$343,148,640
Total	\$1,188,148,640

The changes made pursuant to this amendment will not change the air quality conformity finding or conflict with the financial constraint requirements of the TIP. Caltrans approval was received on February 26, 2009 and final federal approval was received on March 17, 2009.

TIP Revision 09-06 - In Process

Revision 09-06 is an amendment being processed by MTC. The 2009 TIP is presently a reflection of the 2030 Regional Transportation Plan (T-2030). MTC is in the process of developing and adopting an updated Regional Transportation Plan (T-2035). This amendment conforms the 2009 TIP to the new RTP (T-2035), revises existing projects and amends in new Air-Quality non-exempt projects.

The public comment period closed on February 9, 2009. Caltrans approval is expected in late May and final federal approval is expected in mid-June 2009.

TIP Revision 09-07 – Approved

Amendment 09-07 amends in 26 new grouped listings into the TIP with a net change in funding of \$485.3 million in American Recovery and Reinvestment Act (ARRA) and local funds. This revision programs \$395.1 million of the ARRA revenues amended into the TIP as part of Revision 09-05. The changes made pursuant to this amendment will not change the air quality conformity finding or conflict with the financial constraint requirements of the TIP.

Revision 09-07 was approved by the MTC Commission on February 25, 2009. Caltrans approval was received on March 2, 2009 and final federal approval and final federal approval was received on March 17, 2009.

TIP Revision 09-08– Approved

Revision 09-08 is an administrative modification that makes revisions to 6 projects with a net change in funding of \$3.15 million. The revision programs \$132,298,000 in federal American Recovery and Reinvestment Act (ARRA) funds in place of existing funding and adds Highway Maintenance projects. Among other changes, the US-101 Doyle Drive Replacement project in San Francisco (SF-991030) received \$50,000,000 in federal ARRA-SHOPP funding instead of later local funds to allow a segment of the project to be delivered sooner. Changes made with this revision do not affect the air quality conformity determination or conflict with financial constraint requirements.

Revision 09-08 was approved by the Director on March 17, 2009. Caltrans approval was received on March 18, 2009.

Projects in all the revisions can be viewed at: <http://www.mtc.ca.gov/funding/tip/revisions.htm>. The FMS system has also been updated to reflect the approvals received. If you have any questions regarding any TIP project, please contact Sri Srinivasan at (510) 817-5793.



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P-TAP

[P-TAP](#) [PMP Certification](#)

Pavement Management Program Certification Listing

In accordance with section 2108.1 of the Streets and Highway Code, MTC requires cities and counties submitting pavement maintenance and rehabilitation projects for funding to utilize a Pavement Management Program (PMP).

Section 2108.1 of the Streets and Highway Codes says:

By July 1, 1990, the City, County, State Cooperation Committee in the department shall develop and adopt a pavement management program to be utilized on local streets or highways that receive funding under the state transportation improvement program. The pavement management program shall be transmitted to every county or city for possible adoption or incorporation into an existing pavement management program. The City, County, State Cooperation Committee shall solicit recommendations from transportation planning agencies and any other entity the committee deems appropriate.

Based on the recommendation of the joint City, County, State Cooperation Committee, the MTC will grant certification to a jurisdiction when all of the following applies:

1. The Pavement Management Program used by the jurisdiction is capable of completing all the following:
 - Storing inventory data for all roads within the jurisdiction
 - Assessing the pavement condition based on distress information
 - Identifying all pavement sections that need rehabilitation or replacement
 - Calculating budget needs for rehabilitating or replacing deficient pavement sections
2. The jurisdiction completes all the following:
 - Reviews and updates the inventory information for all roads every two years. The review will include checking for road network completeness along with checking for the accuracy of the existing management sections.
 - Completes inspection of pavement sections for arterial and collector routes in the system every two years, and residential routes every 5 years.
 - Calculates budget needs for rehabilitating or replacing deficient pavement sections for the current year and the next three years.

To be certified please submit the following to MTC:

1. Your jurisdiction's latest updated pavement management database. If you are not using MTC PMP, please submit items #2 and #3 only. If you are using an MTC PMP software program please submit all files associated with the version of StreetSaver you are using. If you need assistance in accessing these files, please contact your [PMP coordinator](#).
2. The following 3 budget scenarios reports: 1) a report showing sections selected for treatment over the next five years based on your jurisdiction's annual budget estimates, 2) a report showing what would need to be done to maintain your jurisdiction's existing PCI, and 3) a scenario depicting a five-point increase of your jurisdiction's current PCI over the next five years. *(These types of reports are typically generated as part of the Pavement Management Technical Assistance Program (P-TAP) projects.)*
3. A signed letter by the Public Works Director, or equivalent department head, stating that all of the requirements in parts 1 and 2 above have been met. "[Sample letter](#)"

MTC will post certification status updates of Bay Area jurisdictions on this page the first day of every month. The updated certification will have an expiration date two years from the date when the last inspection of arterials and collectors in your network was completed.

Temporary exemptions from the certification process

* A jurisdiction may apply for a one-year extension if the department head submits a letter stating that reinspection will occur within one year. Extensions may not continue beyond three years from the last major inspection date.

** A jurisdiction, whose certification is expiring, may apply for pending status if it is in the process of inspecting its network. You must

notify the MTC in writing of your request for pending status, and include a reasonable date when inspections will be completed, or your certification will be considered expired. Jurisdictions who received a pending status because of their participation with the **P-TAP project (Rounds 7 & 8) had until February 15, 2008** to submit their documentation or be considered expired. **Round-9 participants had until December 31, 2008** to submit their documentation or be considered expired. **Round-10 participants have until September 30, 2009** to submit their documentation or be considered expired.

NOTE: Failure to submit your PMP Certification letter and/or extension request by the above deadlines and/or your Certification Expiration Date will result in a lapse in compliance and any Pending status will revert back to its original expiration date.

The information should be forwarded to your [PMP Contact](#).

Last Updated: April 7, 2009

[Alameda County](#) [Contra Costa County](#) [Marin County](#) [Napa County](#) [San Francisco County](#)

[San Mateo County](#) [Santa Clara County](#) [Solano County](#) [Sonoma County](#)

Note: An *italicized* status represents a certification expected to expire in ~ 45 days.

Alameda County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
County of Alameda	12/31/2005	Pending	P-TAP 10
Alameda*	03/31/2007	Yes	04/01/2010*
Albany*	07/31/2004	Pending	Under contract*
Berkeley	10/31/2008	Yes	11/01/2010
Dublin	09/30/2007	Yes	10/01/2009
Emeryville	01/31/2000	No	02/01/2002
Fremont	10/31/2006	Pending	P-TAP 10
Hayward*	10/31/2006	Pending	Under contract*
Livermore	04/30/2008	Yes	05/01/2010
Newark	05/30/2007	Yes	06/01/2009
Oakland	07/31/2008	Yes	08/01/2010
Piedmont	03/31/2009	Yes	04/01/2010
Pleasanton*	12/31/2006	Yes	01/01/2010*
San Leandro	03/31/2008	Pending	P-TAP 10
Union City	11/30/2007	Yes	12/01/2009

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Contra Costa County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
Contra Costa County	10/31/2006	Pending	P-TAP 10
Antioch	05/30/2007	Yes	06/01/2009
Brentwood	03/31/2007	No	04/01/2009
Clayton	04/30/2008	Yes	05/01/2010
Concord	03/31/2008	Yes	04/01/2010
Danville	12/31/2008	Yes	01/01/2011
El Cerrito	12/31/2007	Yes	01/01/2010
Hercules	09/30/2007	Yes	10/01/2009
Lafayette	01/31/2008	Yes	02/01/2010
Martinez	07/31/2007	Yes	08/01/2009
Moraga	06/30/2007	Yes	07/01/2009
Oakley	06/30/2007	Yes	07/01/2009
Orinda	07/31/2008	Yes	08/01/2010
Pinole	03/31/2008	Yes	04/01/2010
Pittsburg	09/30/2007	Yes	10/01/2009
Pleasant Hill	01/31/2008	Pending	P-TAP 10
Richmond	03/31/2008	Yes	04/01/2010

San Pablo	12/31/2007	Yes	01/01/2010
San Ramon	09/30/2007	Pending	P-TAP 10
Walnut Creek	06/30/2006	Pending	P-TAP 10

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Marin County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
Marin County	05/31/2007	Yes	06/01/2009
Belvedere	10/31/2005	No	11/01/2007
Corte Madera	09/30/2008	Yes	10/01/2010
Fairfax	04/30/2007	Yes	05/01/2009
Larkspur	06/30/2006	Pending	P-TAP 10
Mill Valley	12/31/2007	Yes	01/01/2010
Novato	12/31/2008	Yes	01/01/2011
Ross	04/30/2007	Pending	P-TAP 10
San Anselmo	02/28/2007	No	03/01/2009
San Rafael	09/30/2007	Pending	P-TAP 10
Sausalito	09/30/2008	Yes	10/01/2010
Tiburon	09/30/2008	Yes	10/01/2010

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Napa County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
Napa County	12/31/2008	Yes	01/01/2011
American Canyon*	01/31/2007	Yes	02/01/2010*
Calistoga	12/31/2008	Yes	01/01/2011
Napa*	06/30/2004	Pending	Under contract*
St. Helena	06/30/2007	Yes	07/01/2009
Yountville	11/30/2005	Pending	Under contract*

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San Francisco County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
San Francisco	08/31/2007	Yes	09/01/2009
Presidio Trust		Pending	P-TAP 10

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San Mateo County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
San Mateo County	11/30/2008	Yes	12/01/2010
Atherton	09/30/2008	Yes	10/01/2010
Belmont	07/31/2007	Yes	08/01/2009
Brisbane	01/31/2008	Yes	02/01/2010
Burlingame	09/30/2008	Yes	10/01/2010
Colma	03/31/2008	Yes	04/01/2010
Daly City	11/30/2005	Pending	P-TAP 10
East Palo Alto	06/30/2007	Yes	07/01/2009
Foster City	10/31/2008	Yes	11/01/2010
Half Moon Bay	04/30/2008	Yes	05/01/2010
Hillsborough	08/31/2007	Yes	09/01/2009
Menlo Park	04/30/2007	Pending	P-TAP 10
Millbrae	11/30/2003	Pending	P-TAP 10
Pacifica	12/31/2008	Yes	01/01/2011
Portola Valley*	05/31/2006	Yes	06/01/2009*
Redwood City	09/30/2008	Yes	10/01/2010
San Bruno	12/31/2005	Pending	P-TAP 10
San Carlos	08/31/2008	Yes	09/01/2010
San Mateo	04/30/2008	Yes	05/01/2010
South San Francisco	08/31/2008	Yes	09/01/2010

Woodside 07/31/2007 Yes 08/01/2009

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Santa Clara County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
<i>Santa Clara County</i>	05/31/2007	Yes	06/01/2009
<i>Campbell</i>	04/30/2007	Yes	05/01/2009
Cupertino	04/30/2008	Yes	05/01/2010
Gilroy	10/31/2008	Yes	11/01/2010
Los Altos	10/31/2007	Pending	P-TAP 10
Los Altos Hills	01/31/2008	Yes	02/01/2010
Los Gatos	11/30/2005	Pending	P-TAP 10
Milpitas	09/30/2007	Yes	10/01/2009
Monte Sereno	08/31/2008	Yes	09/01/2010
Morgan Hill	09/30/2008	Yes	10/01/2010
Mountain View	05/31/2008	Yes	06/01/2010
Palo Alto	11/30/2008	Yes	12/01/2010
San Jose	12/31/2007	Yes	01/01/2010
Santa Clara	07/31/2008	Yes	08/01/2010
Saratoga	06/30/2007	Yes	07/01/2009
Sunnyvale	03/30/2007	No	04/01/2009

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Solano County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
Solano County	09/30/2007	Yes	10/01/2009
Benicia	04/30/2008	Yes	05/01/2010
<i>Dixon</i>	05/30/2007	Yes	06/01/2009
Fairfield	03/31/2008	Yes	04/01/2010
Rio Vista	03/31/2006	Pending	Under contract*
Suisun City	03/31/2007	No	04/01/2009
Vacaville	06/30/2006	Pending	P-TAP 10
Vallejo	09/30/2008	Yes	10/01/2010

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Sonoma County

Jurisdiction	Last Major Inspection	Certified	Certification Expiration Date
Sonoma County	05/25/2005	Pending	Under contract*
Cloverdale	07/31/2008	Yes	07/31/2010
Cotati	03/31/2007	Pending	P-TAP 10
Healdsburg	01/31/2008	Yes	02/01/2010
Petaluma	01/30/2007	Pending	P-TAP 10
Rohnert Park	02/28/2008	Yes	03/01/2010
Santa Rosa	05/31/2007	Pending	P-TAP 10
Sebastopol	06/30/2007	Yes	07/01/2009
Sonoma*	11/01/2002	Pending	Under contract*
Windsor	09/30/2008	Yes	10/01/2010

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(*) *Indicates Extended Date*

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WEB www.mtc.ca.gov

Memorandum

TO: Programming and Delivery Working Group

DATE: April 20, 2009

FR: Marcella Aranda

RE: 2009 Disadvantaged Business Enterprise (DBE) Implementation Update

At the March Programming and Delivery Working Group meeting, MTC staff announced that the Federal Highway Administration (FHWA) had approved the California Department of Transportation's 2009 Disadvantaged Business Enterprise (DBE) Annual Overall Goal. FHWA's approval requires the immediate implementation of the new DBE Program that includes a Race Conscious component (RC DBE Program). Effective immediately, the California Department of Transportation (Caltrans) and local agencies receiving federal-aid funds must begin transitioning to the new RC DBE Program. Local agencies may have until June 2, 2009 to transition to the newly approved RC DBE Program.

As part of the newly approved RC DBE Program, Caltrans has posted new DBE forms, implementation guidelines, and submission timelines online at:

http://www.dot.ca.gov/hq/LocalPrograms/DBE_CRLC.html#NEW_DBE.

Jurisdictions are advised to fully review the site, particularly those highlighted with a revision date, to ensure systematic processing and program approval.

Attachments:

A – CT Director Will Kempton's Letter regarding Implementation and Training on RC DBE Program (03/26/09)

B – FHWA Approval of FFY 2009 Overall Statewide DBE Goal (04/02/09)

C – DBE Training Schedule for Local Agencies (04/02/09)

J:\COMMITTEE\Partnership\Partnership PDWG\2009 PDWG\09 PDWG Memos\03_Apr 09 - PDWG\06ai_0_FY DBE Program Update.doc

DEPARTMENT OF TRANSPORTATION

OFFICE OF THE DIRECTOR
1120 N STREET, MS-49
SACRAMENTO, CA 95814-5680
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March 26, 2009

Cities and Counties
Metropolitan Planning Organizations
Regional Transportation Planning Agencies

Dear Transportation Partner:

This is to follow up on the letter from the California Department of Transportation's (Department) Division of Local Assistance (DLA), dated March 4, 2009, regarding the mandatory Race Conscious (RC) Disadvantaged Business Enterprise (DBE) Program. The letter stated that effective immediately, local agencies receiving federal funds must begin transitioning to the new RC DBE Program. It further stated that all contracts awarded after June 2, 2009, shall include the RC DBE requirements.

On March 20, 2009, I met with 35 minority and women trade organizations as part of our regular Small Business Council (SBC) meeting. They were concerned that a huge portion of the American Recovery and Reinvestment Act funds may get awarded without RC DBE requirements prior to June 2, 2009. To respond to the concerns raised by the SBC and the legislature, I am encouraging all local agencies to implement the RC DBE provisions, to the greatest extent possible, within 60 days of apportionment. I have also directed the Department's DLA to aid local agencies in meeting this goal, and we will continue to provide detailed guidance as necessary to ensure success.

DLA has begun providing RC DBE workshops throughout the State. During the month of April, additional RC DBE training will be provided through the University of California, Berkeley, Institute of Transportation Studies (ITS) Tech Transfer Program. ITS will announce the details of this training in the next few days. I encourage you to participate in these workshops and trainings.

If you have any questions, please contact your District Local Assistance Engineer.

Sincerely,

A handwritten signature in black ink that reads "Will Kempton".

WILL KEMPTON
Director

"Caltrans improves mobility across California"



U.S. DEPARTMENT OF TRANSPORTATION
 FEDERAL HIGHWAY ADMINISTRATION
 CALIFORNIA DIVISION
 650 Capitol Mall, Suite 4-100
 Sacramento, CA. 95814
 April 2, 2009

IN REPLY REFER TO
 HDA-CA
 File #: 901
 Document #: S52465

Mr. Will Kempton, Director
 California Department of Transportation
 1120 N Street
 Sacramento, CA 95814

SUBJECT: FY 2009 Disadvantaged Business Enterprise (DBE) Goal Methodology Approval

Dear Mr. Kempton:

In accordance with the provisions of 49 CFR Part 26, the Federal Highway Administration has reviewed the California Department of Transportation's (Caltrans) revised FY 2009 DBE goal methodology submitted on February 26, 2009 that provided additional explanatory information to your goal originally submitted on August 20, 2008. For the Federal-aid highway program in FY 2009, Caltrans submitted an overall goal of 13.5% (6.75% of which it projects to meet through race neutral means and 6.75% through race conscious means). Our review considered the overall goal as well as the description of the data and methodology used in arriving at the overall goal, including the base figure calculation and evidence supporting the calculation; adjustments, if any, made to the base figure and the evidence supporting these adjustments; a summary of the relevant evidence in your jurisdiction; the projection of the portion of the overall goal that Caltrans will meet through race neutral as opposed to race conscious means and the basis for the projections; and the evidence of public participation in establishing the overall goal.

After reviewing this information, we have determined that the goal setting methodology you have employed is consistent with the requirements of 49 C.F.R. § 26.45 and that you have followed the requirements for public participation in establishing your overall goal as set forth in 49 C.F.R. § 26.45(g). Further, we have also approved your projection of the portions of your overall goal that you expect to meet through race neutral and race conscious means. That projection is subject to modification during the fiscal year in accordance with 49 C.F.R. § 26.51. The basis for our conclusions is set forth more fully in the attached document.

As you are aware, Caltrans still must submit separate overall DBE goal for programs funded by the Federal Transit Administration and the Federal Aviation Administration, based upon the goal setting approach outlined in the State's DBE program. Caltrans should contact its regional FTA and FAA offices for further guidance and assistance on these matters.

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Caltrans has determined that there is no need to submit any changes to its DBE program that was approved by the Federal Highway Administration on April 3, 2000 as modified by amendments to your plan approved on January 21, 2004. Your DBE program, as previously approved by FHWA, contains all of the elements required by 49 C.F.R. part 26 and therefore continues to be in compliance with the requirements for the DBE program.

I would like to take this opportunity to thank you and your staff for your efforts in getting this program to the approval stage.

Sincerely,



Walter C. Waidelich, Jr.
Division Administrator

cc: Randell Iwasaki, Chief Deputy Director
Olivia Fonseca, Civil Rights Deputy Director
Robert Padilla, DBE Program Manager

Explanation for Approval of California DBE Program Goal Setting Process for Fiscal Year 2009

This document sets forth the Federal Highway Administration's reasons for approving the California State Department of Transportation's (Caltrans') Disadvantaged Business Enterprise (DBE) goal methodology and the portion of the goal to be attained by race- and gender- neutral means for FY 2009.

Goal Setting Methodology Section 26.45

The regulations require recipients to set overall goals based on demonstrated evidence of the availability of ready, willing, and able DBEs relative to all businesses ready, willing, and able to participate on DOT-assisted contracts.

- **Step One: Base Figure Section 26.45(c)**

Under the DBE regulations, Caltrans must begin the process by determining the base figure for the relative availability of DBEs. Consistent with the regulations, Caltrans relied on the results of the June 2007 Availability and Disparity Study (hereafter Study) conducted by BBC Research & Consulting (hereafter BBC) to derive its base figure.

BBC used a modified version of the custom census approach used by the Illinois and Minnesota Departments of Transportation that was accepted by the Seventh and Eighth Federal Circuit Courts of Appeals, respectively, to estimate the relative availability of DBEs. BBC refined the availability data by weighting it by the type of work, contractor role (prime vs. subcontractor), location of work, size of the work element, contract date and whether a contract is a Caltrans or local agency contract. This weighting process is described in detail in Section II, Page 8 of the Study. It excluded from the DBE availability calculation (the numerator) minority- and women-owned construction and engineering firms not likely to qualify for DBE certification because of their size and likely personal net worth.

In the first steps of the BBC analysis, of roughly 3,398 firms, 32 percent were identified as minority- or woman-owned (MBE/WBE). Thirty percent of the construction firms are minority- or woman-owned prime contractors and 33 percent are minority- or woman-owned subcontractors. Among the engineering-related firms, 29 percent are minority- or woman owned prime consultants and 34 percent are minority- or woman-owned subconsultants.

BBC conducted a statistical analysis that examined thousands of prime contracts and subcontracts for California Federal-aid highway projects from 2002 through 2006. For each contract element, BBC estimated the number of minority- and woman-owned

firms and the total number of firms surveyed that were available for that work based on:

- Specialization of work;
- Prime contract versus subcontract role;
- Location of work;
- Size of contract or subcontract element;
- Contract date; and
- Caltrans versus local agency project.

BBC then weighted the relative MBE/WBE availability for each contract element by the dollars for that element. The details of this process are described in Appendix D of the Study.

The MBE/WBE relative availability was then weighted by the relative value of work done during the period of 2002 through 2006. The sum of the aggregate availability yields a 17.6 percent MBE/WBE availability for Federal-aid highway contracts. This means that, after considering type of work, contract role, location and size of work involved in federally-funded projects and the types, contract roles, locations and contract sizes for work performed by available firms, 17.6 percent of dollars on federally-funded contracts would go to minority- and women-owned firms (MBE/WBEs) if available MBE/WBEs received the same amount of work as similarly-situated majority-owned firms available for such work. Firms that would not qualify as DBEs because they exceed the size standard and are not likely to meet the personal net worth limit were excluded from the pool of available M/W/DBEs, resulting in a revised base figure of 13.5%.

• **Step 2 Adjustments Section 26.45(d)**

Once a base figure is calculated, Caltrans must examine all of the evidence available in its jurisdiction to determine if an adjustment is needed to the base figure to arrive at the overall goal. The Study looked at types of information described in the DBE regulations governing the Step 2 analysis.

- Current capacity of DBEs to perform work on FHWA-assisted contracts, as measured by the volume of work DBEs have performed in recent years.
- Barriers to entry such as education, training, employment, and advancement.
- Rates of business formation, closure, and earnings.
- Access to capital (including home ownership, home value, mortgage loan denials, sub prime loans, business loan denial rates, and business loan values), bonding, and insurance.

See the Study, Section III and Appendix F and H. Caltrans also considered in its Step 2 analysis the impact of the high number of potential DBEs that have not sought certification. Based on the evidence, Caltrans reached the qualitative decision that a Step 2 adjustment is not necessary.

Past volume of work performed

The Study reported that DBEs were awarded nine percent of contract dollars based on analysis of Caltrans and Local Assistance federally-funded contracts from 2002 through April 2006. However, it would not be appropriate to make a downward adjustment for past DBE participation as a measure of DBE capacity. In past goal submissions Caltrans has not made such an adjustment because a very small percentage of the DBEs are awarded contracts. Thus, DBE capacity is greater than that reflected in the volume of work performed by a select few DBEs. Under the circumstances we agree with Caltrans that past participation is not an adequate measure of DBE capacity.

Current DBE certification of minority-and women-owned firms

The 13.5 percent base figure includes minority- and women-owned firms that could potentially be certified as DBEs. BBC reports that about one-fifth (or 20%) of minority-and women-owned firms identified in the availability analysis were DBE-certified in 2006. Consequently, according to Caltrans, a downward adjustment could be justified on this basis. We disagree. The consideration of this factor as part of the step two analysis is misplaced; it bears no relation to a determination of the level of DBE participation one would expect absent the effects of discrimination.

Possible Quantifiable Adjustments

BBC was able to quantify disparities in business ownership rates, which was some of the evidence examined in considering a potential Step 2 adjustment. The overall DBE goal would have been 18.8 percent if Caltrans made this adjustment. However, Caltrans decided to not make an upward adjustment at this time, choosing instead “a lower bound estimate” of DBE participation in a discrimination free transportation contracting market.

Other evidence examined in the Study, while important, did not yield quantifiable adjustments to the base figure. For example, barriers to obtaining financing may affect current availability of DBEs, but the impact is complex and not readily quantified.

- **Public Participation Section 26.45(g)**

The regulations require that Caltrans provide for public participation when establishing its overall goal. Caltrans consulted with its Statewide Small Business

Council whose members represent over 30 contractor associations representing minority and women contracting firms in all major types of work done in the State's Federal-aid Highway Program. It consulted with seven different non-DBE contractor associations and four different minority chambers of commerce.

The overall goal methodology was released or made available to the public on June 9, 2008. Also, on June 9, 2008, Caltrans published a public notice requesting comments on its FY 2009 DBE goal in nine general circulation newspapers and eleven construction industry and minority-focused publications. At the conclusion of its 45-day public comment period Caltrans received numerous comments, including that the DBE goal violates Article I, Section 31(a) of the California Constitution. Caltrans determined that the federal funding exception in Section 31 does apply to the Caltrans' implementation of the federal DBE program.

Caltrans has carefully considered all of the comments and determined that no changes to the overall goal are warranted.

- **Race and Gender-Neutral and Conscious Measures Section 26.51**

The regulations require Caltrans to meet the maximum feasible portion of its overall goal by using race- and gender-neutral means of facilitating DBE participation and to submit its projection of that portion of the goal it expects to meet through race-neutral means.

Race-Neutral and Race-Conscious Division: Caltrans anticipates that it can achieve 6.75% of its DBE participation through race and gender neutral means and 6.75% through race and gender conscious means. The race and gender neutral projection is based on the race and gender neutral DBE participation it achieved during F.Y. 2007 under a race-neutral program that involved no use of contract goals (6.6%) and it anticipated that it would get about the same amount of race neutral DBE participation in F.Y. 2008.

On August 7, 2008, Caltrans received a DBE program waiver to narrowly tailor the implementation of its program based on the existence of statistically significant disparities documented in the Study for some but not all of the presumptive groups. The waiver permits the use of DBE contract goals of limited application.

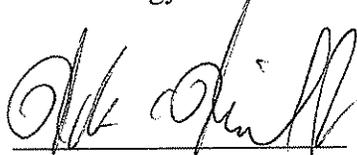
Race-Neutral Measures Implemented by the State: Caltrans has identified numerous race and gender-neutral measures or initiatives it is implementing or plans to implement to meet its goal. Some of the measures include:

- Technical training and one-on-one assistance to small business firms provided through ten Small Business Development Centers located throughout the state.
- Focused outreach such as local procurement fairs and small business events coordinated by Small Business Liaisons in each of Caltrans' 12 district offices.
- Expanding Caltrans' web site for easier access to information needed by small businesses with links to other supportive services, local agencies, and technical assistance.
- Establishing relationships with financial institutions, surety companies, and insurance companies to market Caltrans contracting opportunities to small businesses.

Caltrans must secure FHWA approval before implementing any initiative (e.g., mentor-protégé program) that represents a substantial change to its DBE program as determined by FHWA.

Conclusion

For the above reasons, the California Department of Transportation goal setting methodology and race-neutral/race-conscious projections for FY 2009 are approved.



 Division Administrator

4/2/09
 Date

Attachment: copy of goal methodology approved

From: <techtransfernews@berkeley.edu>
To: <ttp-news@lists.berkeley.edu>
Date: 04/03/09 1:50 PM
Subject: Training on New Race Conscious DBE Program

TECH TRANSFER

Mandatory Race Conscious DBE Program
 Required of All Federal-Aid Funded Projects

 Disadvantaged Business Enterprise (DBE)
 Free Training for California Public Agencies

REGISTER NOW at:

<http://www.techtransfer.berkeley.edu/dbetraining/#classes>

The Federal Highway Administration (FHWA) has approved the California Department of Transportation's 2009 Disadvantaged Business Enterprise (DBE) Annual Overall Goal. FHWA's approval **REQUIRES THE IMMEDIATE IMPLEMENTATION** of the new DBE Program that includes a Race Conscious component (RC DBE Program). Effective immediately, the California Department of Transportation (Caltrans) and local agencies receiving federal-aid funds must begin transitioning to the new RC DBE Program. Local agencies may have until June 2, 2009 to transition to the newly approved RC DBE Program. However, all local agencies (i.e., Cities and Counties, Metropolitan Transportation Organizations, and Regional Transportation Planning Agencies) are strongly encouraged to **BEGIN THEIR TRANSITION TO THE NEW RC DBE PROGRAM IMMEDIATELY**. Under the new RC DBE Program, local agencies must incorporate the new race conscious contract specifications into all federal-aid consultant and construction contracts.

Tech Transfer is hosting a series of free training seminars in April, 2009 throughout the State to help train local agencies administer the new RC DBE Program for their Federal-Aid funded projects. Training sessions will be conducted by DBE experts from GCAP Services. Staff from local agencies can go to any of the training sessions convenient to them. However, due to space limitations, we would like to limit attendance to one person per agency, so that we can give as many agencies as possible a chance to participate.

Here's the typical agenda for the training sessions:

Program Overview

- Purpose, objective and topics
- Background: Why a Race Conscious DBE Program?
- New DBE Program requirements for Underutilized DBEs (UDBEs)
- How the Program impacts federal-aid projects
- Effect on Annual Anticipated DBE Percentage Levels

Calculating Annual Anticipated DBE Percentage Levels (AADPL)

- Identifying work items and applying the NAICS
- Navigating the Census Bureau County Business Pattern database
- Navigating the California Unified Certification Program (CUCP)
- Calculating the base figure to determine DBE availability
- AADPL calculations using UDBEs

Evaluating Good Faith Efforts (GFE)

- * Information necessary to establish adequate GFE
- * GFE procedures when there are contract goals
- * Additional guidance concerning GFEs

DBE Reporting

- * DBE Implementation Agreement
- * Notice to Bidders/Proposers
- * Standard Agreement for Subcontracts – DBE Participation

DBE Contract Administration

- Understanding the DBE requirements after contract award
- Preconstruction Conference – Identifying subcontractors
- Prompt Payment to subcontractors
- DBE Records, Substitutions and Reports
- Administering the New DBE Program

April 2009 Classes

To register, please go to:

<http://www.techtransfer.berkeley.edu/dbetraining/#classes>

- April 8, Sacramento
- April 9, Stockton
- April 15, Los Angeles
- April 15, San Jose
- April 16, Oakland
- April 20, Irvine
- April 22, Redding
- April 22, San Luis Obispo
- April 23, Eureka
- April 23, Bishop
- April 24, Bakersfield
- April 27, Riverside
- April 28, Fresno
- April 29, San Bernardino
- April 30, San Diego
- *Date to be announced, Ventura*

(Tech Transfer is currently searching for a location to hold this training. If your agency or another agency you know of has an auditorium or meeting room that can accommodate at least 40 people, is available on April 16 or 17, and can be provided at no charge, please call Laura Melendy at 510-665-3608.)