



AGENDA

<u>Item</u>	<u>Estimated Time</u>
1. Introductions and Announcements	3 min
2. Review of Minutes from the October 27, 2008 Working Group Meeting*	2 min
3. Working Group Standing Items	
A. STP/CMAQ Program Monitoring Update* (<i>Marcella Aranda</i>) (<i>MTC staff will report on the STP/CMAQ Program monitoring status for FFY 2008-09 as well as additional program monitoring issues.</i>)	10 min
B. Federal Inactive Obligations* (<i>Marcella Aranda</i>) (<i>MTC staff will discuss the projects on the federal inactive obligations dated September 30, 2008, and look ahead lists for the next two quarters.</i>)	3 min
C. STIP Project Delivery Monitoring Update* (<i>Kenneth Kao</i>) (<i>MTC staff will report on allocation status of projects programmed in FY 2008-09 of the STIP.</i>)	5 min
D. CTC Update* (<i>Kenneth Kao</i>) (<i>MTC staff will report on the latest from the California Transportation Commission (CTC) with regards to new or revised policies, procedures, guidance and direction.</i>)	10 min
4. Discussion Items	
A. Federal Listing of Obligated Projects, FFY 2007-08** (<i>Kenneth Kao</i>) (<i>MTC staff will present the annual listing of federally obligated projects for federal fiscal year 2007-08</i>)	
B. CMIA Amendment and Proposition 1B Update* (<i>Kenneth Kao / Judy Li</i>) (<i>MTC and Caltrans staff will provide an update on the pending CMIA Amendments, as well as other information regarding Proposition 1B.</i>)	10 min
C. Status of Federal Economic Recovery Legislation (<i>Ross McKeown</i>)	10 min
D. Local Street & Road Performance Measure and Allocation Formula (<i>Theresa Romell</i>) (<i>MTC staff will present the Local Street & Road performance measure methodology and allocation formula.</i>)	10 min
E. CY 2009 Programming & Delivery Working Group Chair/ Vice-Chair Nominations and Election (<i>Matt Todd</i>)	10 min
F. CY 2009 Tentative PDWG Meeting Schedule* (<i>Matt Todd</i>)	10 min
5. Informational Items	
A. TIP Update* (<i>Memo Only</i>) (<i>The current TIP and subsequent TIP Amendments are available online at: http://www.mtc.ca.gov/funding/tip</i>)	
6. Caltrans Items	
A. Federal Programs Update (<i>Sylvia Fung, Caltrans D4</i>) (<i>Caltrans will present updates on various federal program- related changes, including solicitations and announcements.</i>)	15 min

- i. Environmental Enhancement and Mitigation Program (EEMP) Call for Projects*
(Caltrans has announced a call for projects for its Environmental Enhancement and Mitigation Program (EEMP). Submissions are due by December 10, 2008.)

7. Workshop Items

There is no Workshop Item this month.

8. Recommended Agenda Items for Future Meetings

The next PDWG meeting:

****(NOTE: Due to conflicts with the Presidents' Day (1/19) and Martin Luther King, Jr.'s Birthday (2/16) holidays, the next PDWG session may be rescheduled to a mutually agreed upon date between the two normally scheduled meeting dates.)*

TBD***

10:30 a.m. – 12:30 p.m.

MTC MetroCenter, **ROOM TBD**

101 Eighth Street, Oakland 94607

* = Attachment in Packet ** = Handouts Available at Meeting

Contact MTC staff liaison, Kenneth Kao at (510) 817-5768 or kkao@mtc.ca.gov if you have questions about this session.

PROGRAMMING AND DELIVERY WORKING GROUP MINUTES

October 27, 2008

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1. Introductions and Announcements

In attendance:

County	Jun -08	Sep - 08	Oct - 08	Dec - 08	Jan-09	Feb-09	Mar-09	Apr-09	May-09
Alameda	X	X	X						
Caltrans	X	X	X						
Contra Costa	X	X	X						
Marin	X	X	X						
Napa									
San Francisco	X	X	X						
San Mateo	X	X	X						
Santa Clara	X	X	X						
Solano	X	X	X						
Sonoma	X	X	X						

2. Review of Minutes from the September 15, 2008 Meeting

The minutes from the September 15, 2008 meeting were approved. Janet Adams (STA) introduced Kenny Wan as a new addition to STA's staff.

3. Working Group Standing Items

A. STP/CMAQ Program Monitoring Update

Marcella Aranda (MTC) provided the STP/CMAQ Program Monitoring status report for FFY 2007-08 as of October 16, 2008. The statewide list shows the region delivered ~\$189M or 99.4% of federal funds. The deadline for FFY 2007-08 obligation deadline was May 31, 2008. Sponsors were reminded that all unobligated projects should have been carried over to FY 2008-09 for inclusion in the FY 2008-09 Annual Obligation plan.

B. Federal Inactive Obligations List

Marcella Aranda (MTC) reported that the June 2008 Inactive List was released on July 31, 2008 and updated inactive project information was provided as of September 3, 2008 and noted that the September and December 2008 Look Ahead reports were included in the packet. Jurisdictions were advised to review the reports and to check the status of their invoice(s) via LPAMS, <http://lpams.dot.ca.gov>. MTC staff encourages all CMAs to contact their local agencies to make sure that all inactive obligation justifications and deobligation requests have been submitted to the Caltrans' District Local Assistance Engineer (DLAE) as well as complete justification forms with backup documentation for projects that do not have eligible expenditures. Sylvia Fung (Caltrans L.A.) reported that effective with the June 2008 Quarterly Review report, FHWA moved the review meeting date three weeks earlier to allow Caltrans sufficient time to deobligate funds prior to end of the following quarter for projects that do not have completed financial transaction or do not have FHWA approved justifications.

3bi. Marcella reported on the Cooperative Work Agreement (CWA) Extension – Cycle 6, stating that projects on the list would lose budget authority on June 30, 2009 should an invoice for the remaining funds or a CWA Extension Request not be submitted by the deadline. Sponsors were advised to submit what course of action would be taken for each project on the list by November 17 to Caltrans Local Assistance, with final responses being due to Lisa Laforet-Favilla at Caltrans by C.O.B. December 10.

3bii. The group reviewed the list of projects that were to be deobligated as of October 15. Sponsors were advised to check with Caltrans Local Assistance on the next steps to avoid deobligation, if any.

PROGRAMMING AND DELIVERY WORKING GROUP MINUTES

October 27, 2008

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C. STIP Project Delivery Monitoring Update

Kenneth Kao (MTC) provided an update on the STIP project delivery for FY 2007-08 and FY 2008-09 projects who received allocations at the September CTC meeting. The deadline to submit January CTC allocation requests is November 17, 2008. STIP Expenditure Reports for Caltrans-sponsored projects the period ending June 30, 2008 were provided.

D. CTC / Budget Update

Kenneth Kao (MTC) provided an update on the September CTC meeting including: the TCRP allocation criteria. The October CTC meeting is scheduled for October 29-30 in Sacramento. The agenda includes a Trade Corridors Infrastructure Fund (TCIF) item to make the amendment process easier. Sponsors may submit a letter of support to be included in the agenda on consent. The December CTC meeting will be held on December 10-11 in Oakland. MTC will be hosting a reception on December 10, after the meeting.

4. Discussion Items

A. FFY 2008-09 STP/CMAQ Funding Status and Annual Obligation Plan

Craig Goldblatt (MTC) presented the final proposed FFY 2008-09 Annual Obligation Plan. The Plan is to be presented to the Partnership Technical Advisory Committee (PTAC) at its October meeting for approval. Stakeholders were reminded that the revised Regional Obligation Policy (Resolution 3606) with the new deadlines for submittals and obligations take effect in FY 2008-09. Craig reported that with the end of SAFETEA, the plan is limited to how much apportionment the region can deliver, as there is a projected \$100M obligation authority shortfall. Sponsors are encouraged to deliver projects as early as possible and advised that projects in the Plan should already have had a field review.

B. STIP End of Year Allocation Listing for FY 2007-08

Kenneth Kao (MTC) summarized the FY 2007-08 State Transportation Improvement Program (STIP) End-of-Year Allocation Listing, which includes all project allocation given to projects programmed in FY 2007-08 through the September 2008 CTC meeting.

C. CMIA Amendment and Proposition 1B Update

Kenneth Kao (MTC) announced that the CTC proposed a new bond accountability program to establish a joint CTC-Caltrans Bond Accountability effort and to address shortfalls in program administration. Sponsors were reminded that their CMIA local agency expenditures should have been updated online. Kenneth summarized the Prop 1B FY 2008-09 Local Street and Road appropriations, which amounts to \$63M for the region; the full amount remaining for each entity may be claimed this year – first come, first served. Before cities can claim new funds, they must submit an annual report for last year. Additional information about all Bond related programs can be found at Caltrans' website at <http://www.dot.ca.gov/hq/transprog/ibond.htm>.

D. Traffic Congestion Relief Program (TCRP) Update

Kenneth Kao (MTC) reported that the CTC approved a Traffic Congestion Relief Program (TCRP) Criteria and Allocation Plan at its September meeting. The remaining program is now divided into two tiers. Tier 1 will receive priority for funds and is reserved for projects that can spread cash flow needs over multiple years; all other projects will be placed in Tier 2 and will be accommodated on a first-come, first-served basis. Current FY funding is already fully allocated. Sponsors are reminded that all TCRP funds must be programmed in the TIP.

Staff sought feedback from the group in trying to identify projects that are amenable to HOT planning. Sponsors should review their project for potential HOT opportunities. Group members identified projects in the SHOPP that might be eligible for HOT design & planning. The Group agreed to agendize this item at a future meeting.

5. Informational Items

A. Revised Regional Project Funding Delivery Policy – Resolution 3606

PROGRAMMING AND DELIVERY WORKING GROUP MINUTES

October 27, 2008

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Ross McKeown (MTC) reviewed the final approved revised Regional Project Funding Delivery Policy – Resolution 3606, and stated that the revised policy is effective with the new FFY 2008-09 Annual Obligation Plan.

B. TIP Amendment Update

Sri Srinivasan (MTC) reported that the 2009 TIP (Amendment 09-00) should be approved by mid-November. All changes included in Amendments 07-26 through 07-28 should be resubmitted for inclusion in Amendment 09-01. The deadline to submit projects for Amendment 09-01 is October 31.

Sri distributed a portion of draft Amendment 09-06, the Air Quality Conformity amendments that conform all projects to the Transportation 2035 (T2035) and advised sponsors to submit complete amendment requests, including an accurate funding tree. The deadline to submit projects for Amendment 09-06 is October 31.

6. Caltrans Items

A. Federal Programs Update

Sylvia Fung (Caltrans D4) provided updates on various federal programs: 1) Caltrans is updating its boilerplate information on online special provisions; 2) Effective 1/1/09, the Cognizant Agency Audit Program (CAAP) takes effect for State Department of Transportation and local government agencies who contract for engineering or design-related services using Federal-aid highway funds. Questionnaires and examples/templates can be found online at <http://www.caltrans-opac.ca.gov/aeinfo.htm> or <http://www.dot.ca.gov/hq/LocalPrograms/>.

B. Environmental Clearance Procedure Update

Boris Deunert (Caltrans D4) provided an update on environmental procedures, stating that the procedures outlined are existing procedures that are now being enforced. Boris addressed jurisdictions' concerns with issues involving the PES forms, stating that PES forms need to be completed in a manner that those reviewing feel comfortable forwarding on for processing. Boris added that a field review is not a requirement of a PES form. Caltrans staff stated that more Categorical Exemptions (CE) have been issued within the last two months than the previous six months, which is indicative of improved turnaround time. The Caltrans Environmental Procedures (*rev May 2008*) is available online at <http://svctwww1.dot.ca.gov/hq/LocalPrograms/lpp/lpp1r1.htm>.

7. Workshop Items

There were no workshop items this month.

8. Recommended Agenda Items for Future Meetings

Recommended agenda items include:

-HOT planning discussion

Please forward recommended agenda items for the October PDWG meeting to Kenneth Kao at kkao@mtc.ca.gov.

9. New Business

A. Economic Stimulus Plan

Ross McKeown (MTC) distributed a call for information for potential economic stimulus-eligible projects and summarized the current proposed plan. The deadline to submit information is October 31, 2008.

Next Meeting:

(NOTE: THE NOVEMBER PDWG MEETING HAS BEEN CANCELED)

Monday, December 15, 2008

10:30 am – 12:30 pm

MTC MetroCenter, **2nd Floor, Claremont**

Oakland, CA 94607



**METROPOLITAN
TRANSPORTATION
COMMISSION**

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Memorandum

TO: Programming and Delivery Working Group

DATE: December 15, 2008

FR: Marcella Aranda

RE: FY 2008-09 STP/CMAQ Obligation Status

Background

AB 1012 (Chapter 783, Statutes of 1999 - Torlakson) established strict timely use of funds and project delivery requirements for transportation projects. Under AB 1012, Surface Transportation Program (STP) and Congestion Mitigation Air Quality Improvement (CMAQ) funds must be obligated within three years of the apportionment. The obligation requirement applies to the aggregate programmed amounts of STP and CMAQ amounts for a given fiscal year. Funds not obligated by the deadline are lost to the region. Furthermore, Obligation Authority (OA) is assigned to the STP/CMAQ apportionments on an annual basis. Regional OA not used by May 1 of each year is made available to other regions on a first-come first-served basis, with any remaining OA not used by the end of each federal fiscal year taken by the state; with no guarantee the funds will be returned.

In addition to the state requirements, MTC’s regional project delivery policy (MTC Resolution 3606) requires the obligation of STP and CMAQ funds on a project-by-project basis for established regional deadlines that are earlier than those required by AB 1012. This is to ensure that no funds are lost to the region due to missed state and federal requirements and to facilitate project delivery. Funds not obligated by the regional deadlines are returned to MTC for reprogramming within the region.

On November 21, 2008, MTC submitted the required FY 2008-09 annual obligation plan to Caltrans. This plan identified over 118 STP/CMAQ projects totaling \$166.8 million in committed STP/CMAQ obligations for FY 2008-09. As of December 2, 2008, \$13.2 million or 7.9% of the STP/CMAQ funds have been obligated. The obligations by fund source are summarized below.

STP/CMAQ Obligation Status for FY 2008-09

Fund Source	FY 2008-09 Obligation Plan (as submitted)	Obligations through December 2, 2008	%	Balance Remaining	%
STP	\$58,459,360	\$12,218,743	20.9 %	\$46,240,617	79.1 %
CMAQ	\$108,373,000	\$963,000	.9 %	\$107,410,000	99.1 %
Total	\$166,832,360	\$13,181,743	7.9 %	\$153,650,617	92.1 %

FY 2008-09 STP/CMAQ Obligation Status

December 15, 2008

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FY 2008-09 Obligation Status

MTC staff continuously monitors the delivery of STP/CMAQ funded projects, and has been informing members of the Bay Area Partnership on a regular basis of the project delivery requirements and pending deadlines. Sponsors with regional STP/CMAQ funds programmed in FY 2008-09 of the federal TIP are required to submit the obligation/ transfer request to Caltrans by February 1, 2009, and to receive obligation (an E-76 / federal authorization to proceed) by April 30, 2009. Sponsors should continue to work with their Caltrans Local Assistance Engineer to conduct field reviews and obligate their funds as soon as possible.

Attached is a listing of the STP/CMAQ funds programmed in FY 2008-09 and must be submitted to Caltrans Local Assistance by February 1, 2009, and obligated by April 30, 2009. Funds that do not meet these deadlines are subject to reprogramming.

Attachment

A – FY 2008-09 STP/CMAQ Obligation Status Report, December 2, 2008

J:\COMMITTEE\Partnership\Partnership PDWG_2008 PDWG\08 PDWG Memos\12_December\03a_0_STP-CMAQ_Oblig_Monitoring Memo.doc

Metropolitan Transportation Commission

STP/CMAQ Obligation Status Report

Fiscal Years: FY 08/09

December 02, 2008

Co	Sponsor	Federal Proj ID	Fund Code	TIP ID	Ver	Project Name	Appn FY	Prog FY	Fund Programming Information			Obligation Information			
									STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount
Alameda County															
ALA	ACCMA		STP-T3-3-TCP-SF	ALA010032	10	I-580 San Leandro Estudillo Noise Barrier (CON)	08/09	08/09	7,262,000		7,262,000				7,262,000
ALA	ACCMA		CMAQ-T3-1-AQ	ALA070020	6	I-580 (TriValley) Corridor - EB HOV Lane (CON)	08/09	08/09		161,000	161,000				161,000
ALA	ACCMA		CMAQ-T3-3B-SYS-SFTY-SWAP	ALA070020	6	I-580 (TriValley) Corridor - EB HOV Lane (CON)	08/09	08/09		6,000,000	6,000,000				6,000,000
ALA	ACCMA		CMAQ-T3-1-AQ	ALA991084	13	I-680 Sunol Grade - Alameda SB HOV Final Phase (CON)	08/09	08/09							
ALA	Alameda County		STP-T3-3-LSR-SF	ALA050072	5	Alameda County - Castro Valley Blvd Rehabilitation (CON)	08/09	08/09	758,000		758,000				758,000
ALA	Alameda County		CMAQ-T3-1-AQ	ALA070040	4	Hampton Rd Streetscape Improvements (CON)	08/09	08/09		257,000	257,000				257,000
ALA	Alameda County		CMAQ-T3-1-TROC-LIFE	ALA070040	4	Hampton Rd Streetscape Improvements (CON)	08/09	08/09		159,000	159,000				159,000
ALA	Alameda County		CMAQ-T3-2-TROC-LIFE	ALA070040	4	Hampton Rd Streetscape Improvements (CON)	08/09	08/09		1,841,000	1,841,000				1,841,000
ALA	Alameda County		CMAQ-T3-2-TROC-LIFE	ALA070040	4	Hampton Rd Streetscape Improvements (ROW)		08/09							
ALA	Alameda County		CMAQ-T3-3-RBP-CO	ALA070040	4	Hampton Rd Streetscape Improvements (CON)	08/09	08/09		742,000	742,000				742,000
ALA	BART	6000037	CMAQ-T3-3-TLC-HIP	ALA050065	8	Ed Roberts Campus (CON)	07/08	08/09		544,000	544,000	09/03/2008		544,000	544,000
ALA	BART	6000037	CMAQ-T3-3-TLC-REG	ALA050065	8	Ed Roberts Campus (CON)	07/08	08/09		2,000,000	2,000,000	09/03/2008		2,000,000	2,000,000
ALA	BART	6000037	CMAQ-T3-3B-TROC	ALA050065	8	Ed Roberts Campus (CON)	07/08	08/09		4,500,000	4,500,000	09/03/2008		4,500,000	4,500,000
ALA	BART	6000037	CMAQ-T3-1-TROC-LIFE	ALA070034	2	Ashby BART Station/Ed Roberts Campus (CON)	07/08	08/09		1,386,000	1,386,000	09/03/2008		1,385,760	1,385,760
ALA	BART		CMAQ-T3-1-AQ	ALA070051	2	BART Station Electronic Bike Lockers, Ph. 2 (CON)	08/09	08/09		130,000	130,000				130,000
ALA	Berkeley		STP-T3-3-LSR-SF	ALA050073	4	Berkeley - University Ave Rehabilitation (CON)	08/09	08/09	630,000		630,000				630,000
ALA	Caltrans	6204063	STP-T3-2-TLC-SAP	ALA050059	4	SR 13 Median Landscaping (CON)	08/09	08/09	99,765		99,765				99,765
ALA	Caltrans		CMAQ-T3-1-AQ	ALA070042	4	I-880 SB HOV Lanes - Marina Blvd to Hegenberger (PE)	08/09	08/09		24,000	24,000				24,000
ALA	Caltrans		CMAQ-T3-3B-SYS-SFTY-SWAP	ALA070042	4	I-880 SB HOV Lanes - Marina Blvd to Hegenberger (PE)	08/09	08/09		2,757,000	2,757,000				2,757,000
ALA	Caltrans		STP-T3-2-TCP-SF	ALA070042	4	I-880 SB HOV Lanes - Marina Blvd to Hegenberger (PSE)		08/09							
ALA	Caltrans		STP-T3-3-TCP-SF	ALA070042	4	I-880 SB HOV Lanes - Marina Blvd to Hegenberger (PE)	08/09	08/09	198,000		198,000				198,000
ALA	Dublin		CMAQ-T3-1-AQ	ALA050082	6	E. Dublin BART Station Corridor Bike/Ped Enh. (CON)	08/09	08/09		76,000	76,000				76,000
ALA	Dublin		CMAQ-T3-2-TLC-HIP	ALA050082	6	E. Dublin BART Station Corridor Bike/Ped Enh. (CON)	08/09	08/09		1,459,000	1,459,000				1,459,000
ALA	Dublin		CMAQ-T3-2-TLC-HIP	ALA050083	5	W. Dublin BART Station Corridor Bike/Ped Enh. (CON)	08/09	08/09		1,052,000	1,052,000				1,052,000
ALA	Fremont		CMAQ-T3-3-TLC-REG	ALA070037	3	Bay Street Streetscape & Parking Project (CON)	08/09	08/09		1,570,000	1,570,000				1,570,000
ALA	Livermore		CMAQ-T3-3-TLC-REG	ALA070038	2	Downtown Livermore Pedestrian Transit Connection (CON)	08/09	08/09		1,060,000	1,060,000				1,060,000
ALA	MTC		CMAQ-T3-3-TLC-HIP	ALA050060	4	Emeryville - San Pablo/MacArthur Bike/Ped Imps. (CON)	08/09	08/09		128,000	128,000				128,000
ALA	MTC		CMAQ-T3-3-TLC-HIP	ALA070059	2	Livermore Downtown Pedestrian Improvements (CON)	08/09	08/09		845,000	845,000				845,000
ALA	Oakland		CMAQ-T3-2-TLC-HIP	ALA050061	5	Oakland - Latham & Telegraph Pedestrian Imps. (CON)	08/09	08/09							
ALA	Oakland		CMAQ-T3-3-TLC-HIP	ALA050080	6	7th Street,W. Oakland Transit Village Improvements (CON)	08/09	08/09		750,000	750,000				750,000
ALA	Oakland		CMAQ-T3-3-TLC-REG	ALA050080	6	7th Street,W. Oakland Transit Village Improvements (CON)	08/09	08/09		1,580,000	1,580,000				1,580,000
ALA	Oakland		CMAQ-T3-2-TLC-HIP	ALA070011	4	Coliseum Gardens Phase 3-66th Avenue Streetscape (CON)	08/09	08/09		1,230,000	1,230,000				1,230,000

Metropolitan Transportation Commission

STP/CMAQ Obligation Status Report

Fiscal Years: FY 08/09

December 02, 2008

Co	Sponsor	Federal Proj ID	Fund Code	TIP ID	Ver	Project Name	Appn FY	Prog FY	Fund Programming Information			Obligation Information				
									STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Alameda County																
ALA	Oakland		CMAQ-T3-3-TLC-HIP	ALA070057	4	Fruitvale Ave Streetscape & Ped. Improvements (CON)	08/09	08/09		2,320,000		2,320,000			2,320,000	
ALA	Oakland		CMAQ-T3-3-TLC-HIP	ALA070057	4	Fruitvale Ave Streetscape & Ped. Improvements (PE)	08/09	08/09		300,000		300,000			300,000	
ALA	San Leandro		CMAQ-T3-3-RBP-CO	ALA050078	4	Bay Trail Bridge at Oyster Bay Slough (CON)	08/09	08/09		750,000		750,000			750,000	
ALA	Union City		STP-T3-3-LSR-SF	ALA050070	3	Union City - Alvarado-Niles Road Rehabilitation (CON)	08/09	08/09	421,000			421,000			421,000	
Alameda County Totals									9,368,765	33,621,000	42,989,765		0	8,429,760	8,429,760	34,560,005

Metropolitan Transportation Commission

STP/CMAQ Obligation Status Report

Fiscal Years: FY 08/09

December 02, 2008

Co	Sponsor	Federal Proj ID	Fund Code	TIP ID	Ver	Project Name	Appn FY	Prog FY	Fund Programming Information			Obligation Information				
									STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Contra Costa County																
CC	BART		STP-T3-2-BF	CC-030003	9	Richmond BART Parking Structure (CON)	08/09	08/09	4,320,000		4,320,000				4,320,000	
CC	CC County		CMAQ-T3-3-RBP-CO	CC-990046	9	Iron Horse Trail Over-crossing at Treat (CON)	08/09	08/09		1,520,000	1,520,000				1,520,000	
CC	CC County		CMAQ-T3-3-TLC-CO	CC-990046	9	Iron Horse Trail Over-crossing at Treat (CON)	08/09	08/09		754,000	754,000				754,000	
CC	CC County		CMAQ-T3-3-TLC-HIP	CC-990046	9	Iron Horse Trail Over-crossing at Treat (CON)	08/09	08/09		2,522,000	2,522,000				2,522,000	
CC	Concord		CMAQ-T3-3-RBP-CO	CC-070030	3	Concord Blvd. Gap Closure, Phase 2 (CON)	08/09	08/09		820,000	820,000				820,000	
CC	Concord		CMAQ-T3-3-TLC-REG	CC-070083	2	Monument Blvd & Meadow Ln Pedestrian Improvements (CON)	08/09	08/09		1,200,000	1,200,000				1,200,000	
CC	El Cerrito		CMAQ-T3-3-TLC-CO	CC-070074	3	San Pablo Avenue Streetscape (CON)	08/09	08/09		506,000	506,000				506,000	
CC	El Cerrito		CMAQ-T3-3-TLC-REG	CC-070074	3	San Pablo Avenue Streetscape (CON)	08/09	08/09		1,800,000	1,800,000				1,800,000	
CC	Martinez		CMAQ-T3-3-TLC-HIP	CC-070085	3	Martinez - Marina Vista Streetscape (CON)	08/09	08/09		1,600,000	1,600,000				1,600,000	
CC	Moraga		STP-T3-3-LSR-SF	CC-050069	4	Moraga - Moraga Road Rehabilitation (CON)	08/09	08/09	375,000		375,000				375,000	
CC	Pinole		STP-T3-3-LSR-SF	CC-050073	3	Pinole - Appian Way Rehab: Phase II (CON)	08/09	08/09	540,000		540,000				540,000	
CC	Richmond		CMAQ-T3-3-RBP-CO	CC-070066	4	Central Richmond Greenway (East Segment) (CON)	08/09	08/09		20,000	20,000				20,000	
CC	Richmond		CMAQ-T3-3-TLC-HIP	CC-070080	3	Richmond Downtown Bike & Ped Improvements (CON)	08/09	08/09		1,100,000	1,100,000				1,100,000	
CC	St. Rte. 4 BA		CMAQ-T3-3-RBP-CO	CC-070067	3	Mokelumne Trail Bike/Ped Overcrossing (CON)	08/09	08/09		1,520,000	1,520,000				1,520,000	
Contra Costa County Totals									5,235,000	13,362,000	18,597,000		0	0	0	18,597,000

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									STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Marin County																
MRN	Caltrans	6204043	CMAQ-T3-3-RBP-CO	MRN990001	28	Marin US 101 HOV Gap Closure (CON)	07/08	08/09		880,000	880,000	06/13/2008		880,000	880,000	
MRN	Caltrans	6204043	CMAQ-T3-3B-EXCH-3842	MRN990001	28	Marin US 101 HOV Gap Closure (CON)	07/08	08/09		12,500,000	12,500,000	06/13/2008		12,500,000	12,500,000	
MRN	Marin County	5927038	CMAQ-T3-2-TLC-REG	MRN030003	8	Cal-Park Hill Tunnel Improvements (CON)	07/08	08/09		1,500,000	1,500,000	06/05/2008		1,500,000	1,500,000	
MRN	San Rafael		CMAQ-T3-2-TROC-LIFE	MRN070016	3	San Rafael Canal Street Pedestrian Access (CON)	06/07	08/09		288,000	288,000				288,000	
MRN	San Rafael	5043029	CMAQ-T3-2-TROC-LIFE	MRN070016	3	San Rafael Canal Street Pedestrian Access (PE)	06/07	08/09		48,000	48,000	08/13/2008		48,000	48,000	
Marin County Totals									0	15,216,000	15,216,000		0	14,928,000	14,928,000	288,000

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									STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Napa County																
NAP	American Canyon		STP-T3-2-LSR-SF	NAP050011	4	American Canyon - Elliott Street Rehabilitation (CON)	08/09	08/09	48,000		48,000				48,000	
NAP	American Canyon		STP-T3-3-LSR-SF	NAP070004	2	West American Canyon Road Rehabilitation (CON)	08/09	08/09	281,000		281,000				281,000	
NAP	NCTPA		CMAQ-T3-3-RBP-CO	NAP070008	2	East Avenue Sidewalk Project (CON)	08/09	08/09		284,000	284,000				284,000	
NAP	Napa		STP-T3-3-LSR-SF	NAP070003	3	Napa - Browns Valley Road Rehabilitation (CON)	08/09	08/09	664,000		664,000				664,000	
NAP	Napa		STP-T3-3-LSR-SF	NAP070006	3	Napa - Soscol Avenue Rehabilitation (CON)	08/09	08/09	221,000		221,000				221,000	
NAP	Napa		STP-T3-3-LSR-SF	NAP070007	2	Napa - Imola Road Rehabilitation (CON)	08/09	08/09	574,000		574,000				574,000	
NAP	Napa County		STP-T3-3-LSR-SF	NAP070005	2	Deer Park Road Rehabilitation (CON)	08/09	08/09	46,581		46,581				46,581	
Napa County Totals									1,834,581	284,000	2,118,581		0	0	0	2,118,581

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									STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Regional Totals																
REG	BART		STP-T3-3-TCP-SF	REG050020	6	BART Car Exchange (Preventive Maintenance) (CON)	08/09	08/09	22,683,000		22,683,000				22,683,000	
REG	MTC		CMAQ-T3-3-RO	MTC030003	11	Freeway Operations TOS (CON)	08/09	08/09		2,200,000	2,200,000				2,200,000	
REG	MTC		STP-T3-3-TLC-PL	MTC030005	7	TLC/HIP Planning Grants (ENV)		08/09								
REG	MTC	6084146	STP-T3-3-TLC-PL	REG050008	5	Station Area Planning Program (PE)	08/09	08/09	855,000		855,000	11/14/2008	855,000		855,000	
REG	MTC	6084146	STP-T3-3-TLC-SAP	REG050008	5	Station Area Planning Program (PE)	08/09	08/09	9,200,000		9,200,000	11/14/2008	9,200,000		9,200,000	
REG	MTC	6084137	CMAQ-T3-3-RO	REG050017	6	511 Traveler Information (CON)	07/08	08/09		1,700,000	1,700,000	05/23/2008		1,700,000	1,700,000	
REG	MTC	6084137	STP-T3-3-RO	REG050017	6	511 Traveler Information (CON)	07/08	08/09	7,000,000		7,000,000	05/23/2008	7,000,000		7,000,000	
REG	MTC	6084137	STP-T3-3-RO	REG050017	6	511 Traveler Information (CON)	07/08	08/09	1,500,000		1,500,000	05/23/2008	1,500,000		1,500,000	
Regional Totals									41,238,000	3,900,000	45,138,000		18,555,000	1,700,000	20,255,000	24,883,000

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									STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
San Francisco County																
SF	MUNI		CMAQ-T3-3-RBP-CO	SF-010037	13	SF Muni Third St LRT Phase 2 - New Central Subway (PSE)	08/09	08/09		2,025,000	2,025,000				2,025,000	
SF	MUNI	6016019	CMAQ-T3-3B-SYS-SFTY-SWAP	SF-010037	13	SF Muni Third St LRT Phase 2 - New Central Subway (PSE)	07/08	08/09		4,000,000	4,000,000	08/06/2008		4,000,000	4,000,000	
SF	SF DPW		CMAQ-T3-3-TLC-REG	SF-070031	2	Valencia Streetscape Improvements (CON)	08/09	08/09		2,600,000	2,600,000				2,600,000	
SF	SF DPW		CMAQ-T3-3-TLC-HIP	SF-070032	3	Leland Avenue Streetscape Improvements (CON)	08/09	08/09		1,640,000	1,640,000				1,640,000	
SF	SF DPW		CMAQ-T3-3-TLC-HIP	SF-070039	3	Divisadero Streetscape and Ped. Improvements (CON)	08/09	08/09		2,614,000	2,614,000				2,614,000	
San Francisco County Totals									0	12,879,000	12,879,000		0	4,000,000	4,000,000	8,879,000

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									STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
San Mateo County																
SM	Belmont		STP-T3-2-LSR-SF	SM-050011	5	Belmont - Old County Road Rehabilitation (CON)	08/09	08/09	120,000		120,000				120,000	
SM	CCAG		CMAQ-T3-3-RO	SM-070037	2	San Mateo County Traffic Incident Management (PE)	08/09	08/09		367,000	367,000				367,000	
SM	CCAG		CMAQ-T3-2-RO	SM-070043	2	San Mateo County Ramp Metering Study (PE)	07/08	08/09								
SM	Colma		CMAQ-T3-3-RBP-CO	SM-070042	4	Colma - 'D' Street Pedestrian Enhancements (CON)	08/09	08/09		235,000	235,000				235,000	
SM	Colma		CMAQ-T3-3-TLC-HIP	SM-070042	4	Colma - 'D' Street Pedestrian Enhancements (CON)	07/08	08/09		250,000	250,000				250,000	
SM	Daly City		CMAQ-T3-2-TLC-HIP	SM-050046	8	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		47,000	47,000				47,000	
SM	Daly City		CMAQ-T3-3-RBP-CO	SM-050046	8	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		499,000	499,000				499,000	
SM	Daly City		CMAQ-T3-3-TLC-CO	SM-050046	8	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		293,000	293,000				293,000	
SM	Daly City		CMAQ-T3-3-TLC-HIP	SM-050046	8	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		123,000	123,000				123,000	
SM	Daly City		CMAQ-T3-3-TLC-REG	SM-050046	8	Daly City - Mission St. Pedestrian Imps.- Phase I (CON)	08/09	08/09		900,000	900,000				900,000	
SM	Foster City		STP-T3-3-LSR-SF	SM-070012	2	Foster City - Shell Boulevard Rehabilitation (CON)	08/09	08/09								
SM	MTC		CMAQ-T3-3-TLC-HIP	SM-070036	2	Colma HIP Streetscape & Pedestrian Improvements (CON)	08/09	08/09		842,000	842,000				842,000	
SM	Millbrae	5299010	STP-T3-3-LSR-SF	SM-070020	2	Millbrae - Skyline Boulevard Rehabilitation (CON)	07/08	08/09	124,000		124,000	09/06/2008	124,000		124,000	
SM	Pacifica		CMAQ-T3-3-RBP-CO	SM-070027	5	San Pedro Terrace Multi-Purpose Trail (CON)	08/09	08/09		450,000	450,000				450,000	
SM	Pacifica	5350015	CMAQ-T3-3-RBP-CO	SM-070027	5	San Pedro Terrace Multi-Purpose Trail (PE)	08/09	07/08		200,000	200,000	10/22/2008		200,000	200,000	
SM	Redwood City		CMAQ-T3-2-TLC-HIP	SM-070001	5	El Camino Blvd. Streetscape Improvements (CON)	08/09	08/09		8,000	8,000				8,000	
SM	Redwood City		CMAQ-T3-3-TLC-HIP	SM-070001	5	El Camino Blvd. Streetscape Improvements (CON)	08/09	08/09		380,000	380,000				380,000	
SM	San Mateo Co		CMAQ-T3-3-RBP-CO	SM-070028	4	Mirada Surf Coastal Bike and Pedestrian Trail (CON)	07/08	08/09		181,000	181,000				181,000	
SM	San Mateo Co		CMAQ-T3-3-TLC-HIP	SM-070038	3	Colma - 'F' Street Sidewalk Imps. and Streetscape (CON)	08/09	08/09		231,000	231,000				231,000	
SM	San Mateo Co		CMAQ-T3-3-TLC-HIP	SM-070046	2	Install Permanent Traffic Calming Advisory Signs (CON)	08/09	08/09		40,000	40,000				40,000	
San Mateo County Totals									244,000	5,046,000	5,290,000		124,000	200,000	324,000	4,966,000

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									STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount
Santa Clara County															
SCL	Caltrans	6204067	STP-T3-2-BF	SCL030008	7	SR 87 Guadalupe Freeway Corridor (CON)	08/09	08/09	208,600		208,600				208,600
SCL	Caltrans	6024067	STP-T3-2-BF	SCL030008	7	SR 87 Guadalupe Freeway Corridor (CON)	08/09	08/09	1,211,400		1,211,400	10/23/2008	1,211,400		1,211,400
SCL	Caltrans		STP-T3-2-BF	SCL030008	7	SR 87 Guadalupe Freeway Corridor (PSE)	08/09	08/09	60,000		60,000				60,000
SCL	Gilroy	5034015	CMAQ-T3-2-TROC-LIFE	SCL070010	5	Gilroy Pedestrian Improvements (CON)	08/09	08/09		323,000	323,000	10/23/2008		323,000	323,000
SCL	Gilroy		CMAQ-T3-3-TLC-CO	SCL070039	2	Gilroy 6th Street Streetscape West (CON)	08/09	08/09		459,000	459,000				459,000
SCL	Los Altos Hills	5324004	CMAQ-T3-3-RBP-CO	SCL070025	3	Los Altos Hills - El Monte Road Bike/Ped Path (CON)	08/09	08/09		440,000	440,000	10/23/2008		440,000	440,000
SCL	Los Gatos	5067013	STP-T3-3-LSR-SF	SCL050029	5	Los Gatos - Various Streets Rehabilitation (CON)	08/09	08/09	272,000		272,000	10/22/2008	272,000		272,000
SCL	Milpitas		CMAQ-T3-3-TLC-CO	SCL070037	3	So. Abel & So. Main Streetscape Imps. - Phase 1 (CON)	08/09	08/09		850,000	850,000				850,000
SCL	Morgan Hill		CMAQ-T3-3-TLC-REG	SCL070014	3	Morgan Hill - Third Street Promenade (CON)	08/09	08/09		1,520,000	1,520,000				1,520,000
SCL	Morgan Hill	5152017	CMAQ-T3-1-AQ-SWAP	SCL070027	2	West Little Llagas Creek Trail Phase II (CON)	07/08	08/09		260,000	260,000	08/15/2008		260,000	260,000
SCL	Morgan Hill	5152017	CMAQ-T3-3-RBP-CO	SCL070027	2	West Little Llagas Creek Trail Phase II (CON)	07/08	08/09		283,000	283,000	08/15/2008		283,000	283,000
SCL	San Jose		CMAQ-T3-3-TLC-HIP	SCL050061	5	San Jose State Univ. / Japantown Pedestrian Imps. (CON)	08/09	08/09		1,555,000	1,555,000				1,555,000
SCL	San Jose		CMAQ-T3-3-RBP-CO	SCL070040	4	San Jose - Jackson Street Pedestrian Imps. (CON)	08/09	08/09		435,000	435,000				435,000
SCL	San Jose		CMAQ-T3-3-TLC-CO	SCL070040	4	San Jose - Jackson Street Pedestrian Imps. (CON)	08/09	08/09		865,000	865,000				865,000
SCL	Santa Clara Co		STP-T3-3-LSR-SF	SCL050072	5	Santa Clara Co. - Capitol Expwy. Rehabilitation (CON)	08/09	08/09	819,919		819,919				819,919
SCL	Santa Clara Co		STP-T3-3-LSR-SF	SCL050072	5	Santa Clara Co. - Capitol Expwy. Rehabilitation (ENV)	08/09	08/09							
SCL	Santa Clara Co	5937125	STP-T3-3-LSR-SF	SCL050072	5	Santa Clara Co. - Capitol Expwy. Rehabilitation (PE)	07/08	07/08	75,081		75,081	10/22/2008	75,081		75,081
SCL	Santa Clara Co		STP-T3-3-LSR-SF	SCL050075	5	Santa Clara Co. - Oregon/Page Mill Expwy Rehab (CON)	08/09	08/09	1,181,000		1,181,000				1,181,000
SCL	Santa Clara Co		STP-T3-3-LSR-SF	SCL050075	5	Santa Clara Co. - Oregon/Page Mill Expwy Rehab (ENV)	08/09	08/09							
SCL	Santa Clara Co	5937126	STP-T3-3-LSR-SF	SCL050075	5	Santa Clara Co. - Oregon/Page Mill Expwy Rehab (PE)	08/09	08/09	75,000		75,000	10/22/2008	75,028		75,028
SCL	Santa Clara Co		STP-T3-1A-LSR-SF	SCL050076	5	Santa Clara Co. - Various Non-Expressway Rehab (CON)	08/09	08/09	850,000		850,000				850,000
SCL	Santa Clara Co	5937115	STP-T3-3-LSR-SF	SCL050076	5	Santa Clara Co. - Various Non-Expressway Rehab (CON)	07/08	08/09	136,000		136,000	05/23/2008	136,000		136,000
SCL	Santa Clara Co		STP-T3-3-LSR-SF	SCL050076	5	Santa Clara Co. - Various Non-Expressway Rehab (PE)	08/09	08/09							
SCL	Santa Clara Co		CMAQ-T3-1-AQ-SWAP	SCL070042	2	San Tomas Bicycle Shoulder Delineation - Phase 2 (CON)	08/09	08/09		34,000	34,000				34,000
SCL	Santa Clara Co		CMAQ-T3-1-AQ-SWAP	SCL070042	2	San Tomas Bicycle Shoulder Delineation - Phase 2 (CON)	08/09	08/09		216,000	216,000				216,000
SCL	Santa Clara Co		CMAQ-T3-3-RBP-CO	SCL070051	1	Foothill Expressway Loyola Bridge Bicycle Imp. (CON)	08/09	08/09		320,000	320,000				320,000
SCL	Saratoga	5332012	CMAQ-T3-1-AQ-SWAP	SCL070026	2	Saratoga - DeAnza Bike/Ped Trail (CON)	07/08	08/09		1,400,000	1,400,000	08/13/2008		1,400,000	1,400,000
SCL	Saratoga		CMAQ-T3-3-TLC-CO	SCL070038	2	Saratoga Village Pedestrian Enhancements (CON)	08/09	08/09		425,000	425,000				425,000
SCL	Sunnyvale	5213030	STP-T3-3-LSR-SF	SCL050027	6	Sunnyvale - Various Streets Rehabilitation (CON)	08/09	08/09	530,234		530,234	10/22/2008	530,234		530,234
SCL	Sunnyvale		CMAQ-T3-1-AQ-SWAP	SCL070015	3	Tasman/Fair Oaks Area Enhancements (CON)	07/08	08/09		257,827	257,827				257,827
SCL	Sunnyvale		CMAQ-T3-3-TLC-CO	SCL070036	4	Sunnyvale-Murphy Ave Streetscape Revitalization (CON)	08/09	08/09		397,000	397,000				397,000
SCL	Sunnyvale		CMAQ-T3-3-TLC-HIP	SCL070036	4	Sunnyvale-Murphy Ave Streetscape Revitalization (CON)	08/09	08/09		1,300,000	1,300,000				1,300,000
SCL	Sunnyvale		CMAQ-T3-3-TLC-HIP	SCL070036	4	Sunnyvale-Murphy Ave Streetscape Revitalization (PE)		08/09							

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									STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Santa Clara County																
SCL	VTA	6264038	STP-T3-3-TCP-SF	SCL990046	20	VTA Preventive Maintenance (CON)	08/09	08/09	1,199,780		1,199,780				1,199,780	
SCL	VTA	6264038	STP-T3-3-TCP-SF	SCL990046	20	VTA Preventive Maintenance (CON)	07/08	08/09	5,048,220		5,048,220	09/09/2008	5,048,220		5,048,220	
Santa Clara County Totals									11,667,234	11,339,827	23,007,061		7,347,963	2,706,000	10,053,963	12,953,098

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									STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount
Solano County															
SOL	Benicia		CMAQ-T3-3-RBP-CO	SOL070045	1	State Park Road Bridge Widening (CON)	08/09	08/09		671,000		671,000			671,000
SOL	Benicia		CMAQ-T3-3-TLC-CO	SOL070045	1	State Park Road Bridge Widening (CON)	08/09	08/09		40,000		40,000			40,000
SOL	Dixon		CMAQ-T3-3-AQ-SOL	SOL070046	1	SR113 Pedestrian Improvements (CON)	08/09	08/09		90,000		90,000			90,000
SOL	Fairfield		CMAQ-T3-3-RBP-CO	SOL070027	2	W. Texas St. Gateway Project Phase I & II (CON)	06/07	08/09		85,000		85,000			85,000
SOL	Fairfield		CMAQ-T2-FY00-CM	SOL991068	11	Fairfield Transportation Center-Phase II (CON)	00/01	08/09		140,000		140,000			140,000
SOL	Solano County		CMAQ-T3-3-AQ-SOL	SOL050024	5	Vacaville-Dixon Bicycle Route (CON)	08/09	08/09		337,000		337,000			337,000
SOL	Solano County		CMAQ-T3-3-TLC-CO	SOL050046	6	Old Town Cordelia Enhancements (CON)	08/09	08/09		500,000		500,000			500,000
SOL	Vacaville		CMAQ-T3-2-AQ-SOL	SOL050013	5	Vacaville Intermodal Station (CON)	08/09	08/09		900,000		900,000			900,000
SOL	Vacaville		CMAQ-T3-3-TLC-HIP	SOL050013	5	Vacaville Intermodal Station (CON)	08/09	08/09		2,128,000		2,128,000			2,128,000
SOL	Vacaville		CMAQ-T3-3-AQ-SOL	SOL070028	2	Vacaville Downtown Creekwalk Extension (CON)	08/09	08/09		694,000		694,000			694,000
SOL	Vacaville		CMAQ-T3-3-AQ-SOL	SOL070028	2	Vacaville Downtown Creekwalk Extension (PSE)	08/09	08/09		53,000		53,000			53,000
SOL	Vacaville		CMAQ-T3-3-AQ-SOL	SOL070029	2	Ulatis Creek Bike Path - Allison to I-80 (ENV)	08/09	08/09		169,000		169,000			169,000
SOL	Vacaville		CMAQ-T3-2-AQ-SOL	SOL070047	1	Peabody Rd & Marshall Rd Pedestrian Improvements (CON)	08/09	08/09		122,000		122,000			122,000
SOL	Vacaville		CMAQ-T3-3-AQ-SOL	SOL070047	1	Peabody Rd & Marshall Rd Pedestrian Improvements (CON)	08/09	08/09		28,000		28,000			28,000
SOL	Vallejo		STP-T3-3-LSR-SF	SOL010027	6	Vallejo - Lemon Street Rehabilitation (CON)	08/09	08/09	672,000			672,000			672,000
SOL	Vallejo		CMAQ-T3-2-TLC-HIP	SOL050048	4	Downtown Vallejo Pedestrian Enhancements.- Ph I (CON)	08/09	08/09		580,000		580,000			580,000
Solano County Totals									672,000	6,537,000	7,209,000	0	0	0	7,209,000

Metropolitan Transportation Commission

STP/CMAQ Obligation Status Report

Fiscal Years: FY 08/09

December 02, 2008

Co	Sponsor	Federal Proj ID	Fund Code	TIP ID	Ver	Project Name	Appn FY	Prog FY	Fund Programming Information			Obligation Information				
									STP Amount	CMAQ Amount	Total Amount	Date	STP Amount	CMAQ Amount	Total Amount	Balance Remaining
Sonoma County																
SON	Colati		STP-T3-3-LSR-SF	SON050032	3	Colati - Old Redwood Highway South Rehab (CON)	08/09	08/09								
SON	Healdsburg		CMAQ-T3-3-RBP-CO	SON050017	4	Healdsburg Foss Creek Bicycle/Ped Pathway (PE)	08/09	08/09		149,000	149,000				149,000	
SON	MTC		CMAQ-T3-3-TLC-HIP	SON050025	4	SMART Regional Bike/Ped Path: Ph. III (CON)	08/09	08/09								
SON	Santa Rosa		STP-T3-3-LSR-SF	SON050036	3	Santa Rosa - Various Streets Rehabilitation (CON)	08/09	08/09	2,008,000		2,008,000				2,008,000	
SON	Santa Rosa		CMAQ-T3-3-TLC-HIP	SON070006	3	Santa Rosa Courthouse Square Enhancements (CON)	08/09	08/09		434,000	434,000				434,000	
SON	Santa Rosa	5028045	CMAQ-T3-2-RBP-REG	SON070016	2	Aston Avenue Pathway and traffic signal (CON)	07/08	08/09		487,000	487,000	08/09/2008		487,000	487,000	
SON	Santa Rosa		CMAQ-T3-3-RBP-CO	SON070017	2	Piner Road Pathway/Stony Circle Sidewalk (CON)	08/09	08/09		235,000	235,000				235,000	
SON	Sebastopol		CMAQ-T3-3-RBP-CO	SON070015	2	Street Smart Sebastopol Phase 2 (CON)	08/09	08/09		485,000	485,000				485,000	
SON	Sonoma County		CMAQ-T3-3-RBP-CO	SON070018	2	Western Avenue Bike Ped. Project (CON)	08/09	08/09		429,000	429,000				429,000	
SON	Windsor		CMAQ-T3-3-RBP-CO	SON070019	2	Windsor Road Ped & Bike Gap Closure (CON)	08/09	08/09		308,000	308,000				308,000	
Sonoma County Totals									2,008,000	2,527,000	4,535,000		0	487,000	487,000	4,048,000
Report grand totals									72,267,580	104,711,827	176,979,407		26,026,963	32,450,760	58,477,723	118,501,684



METROPOLITAN
TRANSPORTATION
COMMISSION

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Memorandum

TO: Programming and Delivery Working Group

DATE: December 15, 2008

FR: Marcella Aranda

RE: Federal Inactive Obligations List – September List and December 2008 and March 2009 Look Ahead

Federal regulations require that agencies receiving federal funds invoice against their obligations at least once every six months. Projects that do not have invoicing activity over a six-month period are placed on the Inactive Obligation list, and those projects are at risk of deobligation of federal funds if Caltrans and the Federal Highways Administration (FHWA) do not receive either an invoice or a valid justification for inactivity.

Previous memos included additional information on how to justify project delays to Caltrans and FHWA, as well as how to check the status of your invoice (via LPAMS, <http://lpams.dot.ca.gov>). Caltrans Local Assistance posts the quarterly inactive list, as well as future at-risk look-ahead reports online at <http://www.dot.ca.gov/hq/LocalPrograms/Inactiveprojects.htm>.

The September 2008 Inactive List was released on October 27, 2008 (attached). Please note, in Attachment A, Caltrans outlines a few changes to the Inactive Obligation List procedure. Projects on the September list should have submitted an invoice by November 17, or justification and appropriate documentation by December 1. Projects that do not meet these deadlines will be deobligated by FHWA. The December 2008 Inactive List is expected to be released by the end of January and will be distributed via email to the group members shortly thereafter.

Attached for review is the Look Ahead report for December 2008 and March 2009, which includes projects that will become inactive if these projects do not have an invoice paid in time. Note that if there has been invoicing activity on these projects since September, they may no longer be in jeopardy. Please ensure that your jurisdictions' projects do not end up on this list. FHWA is required to deobligate federal funds if they do not receive an invoice or justification within the prescribed timeframe.

If you have any questions regarding inactive obligations and invoicing, please contact MTC or Caltrans Local Assistance staff.

Attachments:

- A. Letter from E. Seaberg re: September 2008 Inactive Obligation Listing, October 27, 2008
- B. Bay Area Region Inactive Project Listing for September 2008, November 26, 2008
- C. Bay Area Region Inactive Project Listing Look Ahead for December 2008
- D. Bay Area Region Inactive Project Listing Look Ahead for March 2009

DEPARTMENT OF TRANSPORTATION

DIVISION OF LOCAL ASSISTANCE – M.S. 1

1120 N STREET

P. O. BOX 942874

SACRAMENTO, CA 94274-0001

PHONE (916) 653-1776

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*Flex your power!
Be energy efficient!*

October 27, 2008

To: Metropolitan Planning Organizations
Regional Transportation Planning Agencies
Local Transportation Commissions
Public Works Directors of All Cities and Counties in California

Dear Executive Director:

Subject: Potential loss of Federal Funds
Quarterly Review of Inactive Obligations – Quarter Ending September 30, 2008

The California Department of Transportation (Caltrans) has started the quarterly review process of inactive obligations for the quarter period from July 1, 2008 to September 30, 2008 as required under 23CFR 630.106 (http://www.access.gpo.gov/nara/cfr/waisidx_06/23cfr630_06.html). To prevent deobligation and/or loss of unexpended federal funds, the local agencies must do the following:

1. Review the list of currently inactive obligations available on the Division of Local Assistance website at <http://www.dot.ca.gov/hq/LocalPrograms/Inactiveprojects.htm>
2. Submit complete and correct invoice for eligible expenditure to Caltrans Local Program Accounting by **November 17, 2008**.
3. Identify unneeded project funds and submit deobligation requests to the District Local Assistance Engineer (DLAE) by **December 1, 2008**. Process project final closeout, if applicable.
4. Submit complete justification form (available on the website) along with all backup documentation to DLAE by **December 1, 2008** for projects that do not have eligible expenditures to be invoiced or do not have unneeded funds to be deobligated.

A summary of the inactive projects listed by local agencies is enclosed for your reference.

In addition to the procedure changes implemented for June 2008 quarterly review (see letter dated July 31, 2008), the Federal Highway Administration (FHWA) has implemented the following changes immediately:

1. FHWA would only consider justifications in the following categories:
 - right of way delay
 - environmental approval
 - litigation
 - final invoice
 - program specific issues that are related to special funds

Metropolitan Planning Organizations, et al.
October 27, 2008
Page 2

2. After FHWA reviews all the inactive projects, FHWA will direct Caltrans to deobligate unexpended federal funds for any inactive projects that do have valid financial transaction, valid invoices or approved justification.
3. Caltrans shall complete the deobligation of unexpended federal funds by the 15th of following month.
4. FHWA will provide an updated list of inactive projects monthly to Caltrans. Caltrans will share the project list with the DLAEs and the local agencies so the local agencies can submit invoices, close out the project or deobligate unneeded federal funds for these projects.

Local Agencies that have indirect cost rate proposal awaiting approval by the Caltrans' Division of Audits should continue to submit invoices for eligible direct cost until the indirect cost rate proposal is approved.

In addition to the currently inactive local projects, "[Look Ahead Reports](#)" are also available on the website. The Look Ahead Reports include projects that will become inactive by the end of December 2008 (3-Month Look Ahead Report), the end of March 2009 (6-Month Look Ahead Report), and by the end of June 2009 (9-Month Look Ahead Report), if no expenditures are billed. These reports provide an opportunity for local agencies to be proactive in preventing projects from becoming inactive and the potential loss of federal funds. Starting with the March 2008 quarterly review, projects on the 3-Month Look Ahead Report will be monitored for financial transactions to reduce the number of projects that would become inactive for the next quarterly review.

Please work with your district and local agencies to ensure all the inactive projects are made active by either invoicing or deobligating excess funds. Our goal is to prevent projects from becoming inactive and not have to depend on FHWA approval of a justification to keep the project from being deobligated.

If you have any questions regarding the quarterly review process, please contact your DLAE. A list of the DLAEs is enclosed for your reference.

Sincerely,

ORIGINAL SIGNED BY

EARL R. SEABERG
Acting Chief
Division of Local Assistance

Enclosure

cc: District Local Assistance Engineers
Deputy District Directors for Local Assistance

**Inactive List for September 2008 Quarterly Review
(Review Period 7/1/08 - 9/30/08)
Local and State Administered Locally Funded and Rail Projects
Sorted by County/Agency**

Project No	Prefix	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds	First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F=In Final Voucher Process R= Rejected Invoice (As of 11/26/08)	FMIS Action: C= Closed D=De-Obligated E=FMIS Error I=Invoiced W=Withdrawn (As of 11/26/08)	Documentation Received I=Progress Invoice FI=Final Invoice D=De-obligation J=Justification PSI=Project Specific Issue (Current)	Action Required (As of 11/26/08)
5178005	STPL	Ala	Albany	CODORNICES CREEK BET SSAN PABLO AVE & 5TH ST., COMMUNTER BIKE ROUTE	8/1/00	5/6/05	\$125,000.00	\$97,000.00	\$86,999.98	\$10,000.02	2008-06	I	I	N/A	no action required
0001003	RTAP	Ala	Caltrans	UNIV OF CALIF, BERKELEY, TECH TRS TR TO ASST LOC TRANSP	2/1/87	12/31/88	\$125,000.00	\$62,500.00	\$62,500.00	\$0.00	2008-09	F	N/A	N/A	no action required
6204057	STPL	Ala	Caltrans	I-238 FROM RTE 580 TO 880 , WIDENING FROM 4 TO 6 LANES	6/24/05	9/28/07	\$0.00	\$0.00	\$0.00	\$0.00	2008-09	N/A	I	N/A	no action required
6204057	STPL	Ala	Caltrans	I-238 FROM RTE 580 TO 880 , WIDENING FROM 4 TO 6 LANES	6/24/05	9/28/07	\$651,962.00	\$136,876.00	\$0.00	\$136,876.00	2008-09	N/A	I	N/A	no action required
6204057	STPL	Ala	Caltrans	I-238 FROM RTE 580 TO 880 , WIDENING FROM 4 TO 6 LANES	6/24/05	9/28/07	\$83,355,223.00	\$17,500,000.00	\$17,500,000.00	\$0.00	2008-09	N/A	I	N/A	no action required
6204057	STPL	Ala	Caltrans	I-238 FROM RTE 580 TO 880 , WIDENING FROM 4 TO 6 LANES	6/24/05	9/28/07	\$2,711,197.00	\$433,202.00	\$0.00	\$433,202.00	2008-09	N/A	I	N/A	no action required
6075014	STPL	Ala	East Bay Regional Park District	EDEN LANDING ECOLOGICAL RESERVE BAUMBERG , PEDESTRIAN/BIKE PATH BAY TRAIL .	8/30/06	5/31/06	\$824,560.00	\$180,434.26	\$0.00	\$180,434.26	2008-06	I	I	N/A	no action required
6075014	STPL	Ala	East Bay Regional Park District	EDEN LANDING ECOLOGICAL RESERVE BAUMBERG , PEDESTRIAN/BIKE PATH BAY TRAIL .	8/30/06	5/31/06	\$78,579.00	\$69,565.74	\$69,565.74	\$0.00	2008-06	I	I	N/A	no action required
6084091	STPL	Ala	Metropolitan Transportation Commission	VARIOUS MTC COUNTIES , TRAVELER INFORMATION FY 04/05 .	12/18/05	9/26/06	\$5,986,672.00	\$5,300,000.00	\$5,050,537.31	\$249,462.69	2008-09	N/A	N/A	J	no action required
5012053	RPSTPL	Ala	Oakland	42ND AVE. & HIGH ST. AT I-880, WIDENING & NEW ALIGNMENT OF STREET	7/1/01	5/23/05	\$1,000,000.00	\$885,300.00	\$838,892.45	\$46,407.55	2008-06	I	N/A	N/A	no action required
6057011	CML	Ala	Port Of Oakland	333 MARITIME ST, PORT OF OAKLAND , PURCHASE LNG EQUIPMENT .	6/5/07		\$3,014,500.00	\$1,750,000.00	\$0.00	\$1,750,000.00	2008-06	I	N/A	N/A	no action required
5300005	STPLH	CC	Brentwood	BRENTWOOD BLVD. (SR4) FROM PINE TO 2ND STREET , CURVE RECONSTRUCTION	8/23/06	1/30/06	\$500,000.00	\$450,000.00	\$354,966.87	\$95,033.13	2008-03	N/A	I	N/A	no action required
5300005	STPLH	CC	Brentwood	BRENTWOOD BLVD. (SR4) FROM PINE TO 2ND STREET , CURVE RECONSTRUCTION	8/23/06	1/30/06	\$481,083.00	\$425,901.00	\$425,901.00	\$0.00	2008-03	N/A	I	N/A	no action required

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(Review Period 7/1/08 - 9/30/08)
Local and State Administered Locally Funded and Rail Projects
Sorted by County/Agency**

Project No	Prefix	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds	First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F=In Final Voucher Process R= Rejected Invoice (As of 11/26/08)	FMIS Action: C= Closed D=De-Obligated E=FMIS Error I=Invoiced W=Withdrawn (As of 11/26/08)	Documentation Received I=Progress Invoice FI=Final Invoice D=De-obligation J=Justification PSI=Project Specific Issue (Current)	Action Required (As of 11/26/08)
5135020	STPLER	CC	Concord	IRON HORSE TRAIL SAFETY - MAYHEW TO MONUMENT , PEDESTRIAN TRAIL SAFETY IMPROVEMENT	9/6/00	2/23/05	\$397,955.00	\$227,000.00	\$221,225.92	\$5,774.08	2008-03	N/A	N/A	N/A	action required either invoice or justification
5135020	STPLER	CC	Concord	IRON HORSE TRAIL SAFETY - MAYHEW TO MONUMENT , PEDESTRIAN TRAIL SAFETY IMPROVEMENT	9/6/00	2/23/05	\$468,880.00	\$306,115.00	\$301,123.10	\$4,991.90	2008-03	N/A	N/A	N/A	action required either invoice or justification
5135020	STPLER	CC	Concord	IRON HORSE TRAIL SAFETY - MAYHEW TO MONUMENT , PEDESTRIAN TRAIL SAFETY IMPROVEMENT	9/6/00	2/23/05	\$1,000.00	\$885.00	\$885.00	\$0.00	2008-03	N/A	N/A	N/A	action required either invoice or justification
5928023	BRLS	CC	Contra Costa County	BAILY ROAD @ MT DIABLO CK.; BR. NO. 28C-0110. , BRIDGE REPLACEMENT	2/23/06	2/23/06	\$90,000.00	\$72,000.00	\$53,388.32	\$18,611.68	2008-03	I	I	N/A	no action required
5928023	BRLS	CC	Contra Costa County	BAILY ROAD @ MT DIABLO CK.; BR. NO. 28C-0110. , BRIDGE REPLACEMENT	2/23/06	2/23/06	\$1,101,770.00	\$881,416.00	\$656,563.18	\$224,852.82	2008-03	I	I	N/A	no action required
5928071	STPL	CC	Contra Costa County	BYRON HIGHWAY REH. , ROADWAY REHAB / OVERLAY	7/5/07		\$1,819,723.00	\$1,611,000.00	\$0.00	\$1,611,000.00	2008-09	I	N/A	N/A	no action required
5024015	STPLH	CC	Martinez	VINE HILL WAY - LINTON TERRACE & CENTER AVE , PAVEMENT OVERLAY,INSTALL GUARD RAIL	8/30/06	7/28/06	\$60,000.00	\$54,000.00	\$9,435.78	\$44,564.22	2008-09	N/A	N/A	N/A	action required either invoice or justification
5024015	STPLH	CC	Martinez	VINE HILL WAY - LINTON TERRACE & CENTER AVE , PAVEMENT OVERLAY,INSTALL GUARD RAIL	8/30/06	7/28/06	\$10,000.00	\$9,000.00	\$1,573.20	\$7,426.80	2008-09	N/A	N/A	N/A	action required either invoice or justification
4433020	ER	CC	Orinda	CAMINO PABLO APPROX 300' N OF LOS AMIGOS DR. , STABILIZE DOWN SLOPE LANDSLIDE	8/1/99		\$131,500.00	\$119,857.00	\$0.00	\$119,857.00	2008-09	N/A	N/A	N/A	action required either invoice or justification
4433020	ER	CC	Orinda	CAMINO PABLO APPROX 300' N OF LOS AMIGOS DR. , STABILIZE DOWN SLOPE LANDSLIDE	8/1/99		\$0.00	\$0.00	\$0.00	\$0.00	2008-09	N/A	N/A	N/A	action required either invoice or justification
5126002	BRLS	CC	Pinole	PINOLE VALLEY ROAD AT PINOLE CREEK , BRIDGE REPLACEMENT, BR. NO. 28C0136	6/1/87	7/23/02	\$117,058.00	\$93,646.00	\$93,646.00	\$0.00	2008-09	N/A	N/A	N/A	action required either invoice or justification
5126002	BRLS	CC	Pinole	PINOLE VALLEY ROAD AT PINOLE CREEK , BRIDGE REPLACEMENT, BR. NO. 28C0136	6/1/87	7/23/02	\$2,130,000.00	\$1,704,000.00	\$1,704,000.00	\$0.00	2008-09	N/A	N/A	N/A	action required either invoice or justification
5126002	BRLS	CC	Pinole	PINOLE VALLEY ROAD AT PINOLE CREEK , BRIDGE REPLACEMENT, BR. NO. 28C0136	6/1/87	7/23/02	\$12,500.00	\$10,000.00	\$0.00	\$10,000.00	2008-09	N/A	N/A	N/A	action required either invoice or justification

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5126002	BRLS	CC	Pinole	PINOLE VALLEY ROAD AT PINOLE CREEK , , BRIDGE REPLACEMENT, BR. NO. 28C0136	6/1/87	7/23/02	\$711,114.13	\$568,891.31	\$568,891.31	\$0.00	2008-09	N/A	N/A	N/A	action required either invoice or justification
5137021	CML	CC	Richmond	WESTSIDE OF RICHMOND BART/AMTRAK STATION, CONSTRUCT NEW PEDESTRIAN PLAZA	12/27/04	12/9/05	\$847,171.00	\$750,000.00	\$646,269.00	\$103,731.00	2007-12	N/A	N/A	N/A	action required either invoice or justification
5137028	STPL	CC	Richmond	IN RICHMOND FROM GARRARD AVE. TO SAN PABLO AV , CONSTR. PED. WALKWAY AND BIKE PATH	12/15/04	5/10/07	\$477,805.00	\$423,000.00	\$137,947.97	\$285,052.03	2008-06	I	N/A	N/A	no action required
5137028	STPL	CC	Richmond	IN RICHMOND FROM GARRARD AVE. TO SAN PABLO AV , CONSTR. PED. WALKWAY AND BIKE PATH	12/15/04	5/10/07	\$2,146,166.00	\$1,900,000.00	\$1,109,242.81	\$790,757.19	2008-06	I	N/A	N/A	no action required
5137035	CML	CC	Richmond	CENTRAL RICHMOND GREENWAY EAST SEGMENT , CONSTRUCT PEDESTRIAN BIKE PATH	3/6/07		\$1,129,561.00	\$1,000,000.00	\$0.00	\$1,000,000.00	2008-03	N/A	N/A	N/A	action required either invoice or justification
6204042	CML	Mrn	Caltrans	MARIN CO;101 FROM CORTE MADERA BRIDGE TO 580/ , 101 SEPARATION. REVERSIBLE HOV LANE	6/1/01	12/7/04	\$11,748,000.00	\$6,124,000.00	\$6,114,993.90	\$9,006.10	2007-12	F	N/A	N/A	no action required
5277016	CML	Mrn	Fairfax	SFD BLVD. --OAK MANOR TO JUNE COURT. , CONSTRUCT SIDEWALK, PATHWAYS	9/7/05	8/18/06	\$164,917.00	\$146,000.00	\$7,312.70	\$138,687.30	2008-09	N/A	N/A	N/A	action required either invoice or justification
5277019	STPL	Mrn	Fairfax	SFDB -- OAK MANOR TO MARIN, SHADOWCK TO ALAMI , REPAIR PVMT, SLURRY SEAL	8/24/06		\$116,346.00	\$103,000.00	\$0.00	\$103,000.00	2008-09	N/A	N/A	N/A	action required either invoice or justification
L089746	M	Mrn	Golden Gate Bridge, Highway And Transportation District	LARKSPUR FERRY TERMINAL - UMPTA, INSTALL AUTO TICKET VENDING MACH	4/1/96	5/31/01	\$298,126.00	\$256,000.00	\$250,180.00	\$5,820.00	2006-03	F	N/A	N/A	no action required
5043021	STPL	Mrn	San Rafael	LAS GALLINAS & CIVC CENTER DRIVE IN MARIN , ST. RESURFACING	6/26/07		\$747,770.00	\$662,000.00	\$0.00	\$662,000.00	2008-06	I	I	N/A	no action required
5098008	STPL	Mrn	Sausalito	SPENCER AVENUE IN SAUSALITO, CA , PAVEMENT MAINTENANCE, TRAFFIC STRIP	7/26/05		\$461,258.00	\$102,000.00	\$0.00	\$102,000.00	2008-09	I	N/A	N/A	no action required
5470003	STPL	Nap	American Canyon	ELLIOTT ST FR DONALDSON SOUTH TO CITY LIMITS , REHABILITATION	8/30/06		\$619,550.00	\$200,000.00	\$0.00	\$200,000.00	2008-09	N/A	N/A	N/A	action required either invoice or justification
5042038	BRLS	Nap	Napa	FIRST ST OVER NAPA RIVER BRIDGE 21C-0095 . , BRDG REPLACEMENT	12/13/02	8/28/07	\$405,150.00	\$324,120.00	\$0.00	\$324,120.00	2008-09	I	I	N/A	no action required

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(Review Period 7/1/08 - 9/30/08)
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Sorted by County/Agency**

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5042038	BRLS	Nap	Napa	FIRST ST OVER NAPA RIVER BRIDGE 21C-0095 . , BRDG REPLACEMENT	12/13/02	8/28/07	\$519,207.00	\$459,653.00	\$0.00	\$459,653.00	2008-09	I	I	N/A	no action required
5042038	BRLS	Nap	Napa	FIRST ST OVER NAPA RIVER BRIDGE 21C-0095 . , BRDG REPLACEMENT	12/13/02	8/28/07	\$11,504,024.00	\$10,184,512.00	\$0.00	\$10,184,512.00	2008-09	I	I	N/A	no action required
5042038	BRLS	Nap	Napa	FIRST ST OVER NAPA RIVER BRIDGE 21C-0095 . , BRDG REPLACEMENT	12/13/02	8/28/07	\$1,398,162.00	\$1,118,529.00	\$1,005,674.44	\$112,854.56	2008-09	I	I	N/A	no action required
5042038	BRLS	Nap	Napa	FIRST ST OVER NAPA RIVER BRIDGE 21C-0095 . , BRDG REPLACEMENT	12/13/02	8/28/07	\$25,000.00	\$20,000.00	\$0.00	\$20,000.00	2008-09	I	I	N/A	no action required
4436001	ER	Nap	Napa County	8 DAFS ON VARIOUS LOCATIONS.SEE STATE COMMENT , EMERGENCY OPENING	11/1/99		\$170,811.00	\$170,811.00	\$0.00	\$170,811.00	2007-12	I	N/A	N/A	no action required
4436002	ER	Nap	Napa County	7 DAFS ON VARIOUS LOCATIONS.SEE STATE COMMENT , EMERGENCY OPENING	12/19/05		\$1,266,086.00	\$1,230,235.00	\$0.00	\$1,230,235.00	2007-09	I	N/A	N/A	no action required
6204047	CML	SCI	Caltrans	1KM WEST OF LAWRENCE EXWY &1KM EAST OF 1ST ST , TRAFFICMONITOR & SURVEILANCE SYSTEM	9/1/99	5/17/06	\$338,870.00	\$300,000.00	\$0.00	\$300,000.00	2008-06	N/A	N/A	N/A	action required either invoice or justification
6204047	CML	SCI	Caltrans	1KM WEST OF LAWRENCE EXWY &1KM EAST OF 1ST ST , TRAFFICMONITOR & SURVEILANCE SYSTEM	9/1/99	5/17/06	\$87,183.00	\$77,183.00	\$0.00	\$77,183.00	2008-06	N/A	N/A	N/A	action required either invoice or justification
5100006	CML	SCI	Palo Alto	VARIOUS LOCATIONS THROUGHOUT PALO ALTO CITY , UPGRADE & REPLACE SIGNAL SYSTEM	7/26/05	5/3/06	\$1,538,500.00	\$885,000.00	\$579,176.90	\$305,823.10	2008-06	N/A	D	N/A	no action required
5100007	STPL	SCL	Palo Alto	UNIVERSITY AVE, EMBARCADERO RD & PAGE MILL RD , ROAD REHAB & OVERLAY	5/22/06	8/21/07	\$1,938,446.00	\$788,000.00	\$8,841.37	\$779,158.63	2008-09	F	I	N/A	no action required
5005058	ITS99	SCI	San Jose	I-880/SR17 SMART CORRIDOR , INTELLIGENT TRANSPORTATION SYSTEM	9/15/99	8/28/07	\$564,781.00	\$500,000.00	\$0.00	\$500,000.00	2008-09	I	I	N/A	no action required
5005058	ITS99	SCI	San Jose	I-880/SR17 SMART CORRIDOR , INTELLIGENT TRANSPORTATION SYSTEM	9/15/99	8/28/07	\$2,515,472.00	\$2,012,377.00	\$0.00	\$2,012,377.00	2008-09	I	I	N/A	no action required
5005058	ITS99	SCI	San Jose	I-880/SR17 SMART CORRIDOR , INTELLIGENT TRANSPORTATION SYSTEM	9/15/99	8/28/07	\$1,662,086.00	\$1,187,204.00	\$892,646.21	\$294,557.79	2008-09	I	I	N/A	no action required

**Inactive List for September 2008 Quarterly Review
(Review Period 7/1/08 - 9/30/08)
Local and State Administered Locally Funded and Rail Projects
Sorted by County/Agency**

Project No	Prefix	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds	First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F=In Final Voucher Process R= Rejected Invoice (As of 11/26/08)	FMIS Action: C= Closed D=De-Obligated E=FMIS Error I=Invoiced W=Withdrawn (As of 11/26/08)	Documentation Received I=Progress Invoice FI=Final Invoice D=De-obligation J=Justification PSI=Project Specific Issue (Current)	Action Required (As of 11/26/08)
6084117	STPL	SF	Metropolitan Transportation Commission	SAN FRANCISCO BAY AREA , TLC/HIP PLANNING	12/19/05		\$497,007.00	\$440,000.00	\$0.00	\$440,000.00	2008-06	I	I	N/A	no action required
L089804	CRP	SF	San Francisco County	METRO EAST LINE RAIL MAINT/STORAGE, PE	6/1/93		\$15,000,000.00	\$1,288,050.00	\$0.00	\$1,288,050.00	2006-03	F	N/A	N/A	no action required
5029018	STPL	SM	Redwood City	BAY RD.,ALAMEDA DE LAS PULGAS , AC OVERLAY AND REHAB	6/19/07		\$2,110,654.00	\$900,000.00	\$0.00	\$900,000.00	2008-06	F	I	N/A	no action required
7500048	STPLR	Sol	Fairfield	IN FAIRFIELD @ EAST TABOR AVE AND UPRR XING , GRADE CROSSING HAZARD ELIMINATION	9/14/07		\$500,000.00	\$500,000.00	\$0.00	\$500,000.00	2008-09	N/A	N/A	N/A	action required either invoice or justification
5030026	CML	Sol	Vallejo	INTERSECTION OF SR 29 AND CAROLINA STREET, INSTALL TRAFFIC SIGNAL	4/1/01	8/14/03	\$94,884.00	\$84,000.00	\$59,229.00	\$24,771.00	2006-09	F	N/A	N/A	no action required
5383006	STPL	Son	Cotati	WEST SIERRA/EAST COTATI AVENUE REHABILITATION , ASPHALT CONCRETE OVERLAY	4/19/07		\$666,460.00	\$590,016.00	\$0.00	\$590,016.00	2008-06	I	N/A	N/A	no action required
3800001	ER	Son	Sonoma County	RIVER ROAD @ M.P. 11.47, INSTALL VIADUCT	5/1/97	1/11/06	\$450,000.00	\$415,590.00	\$389,142.38	\$26,447.62	2008-03	F	D	N/A	no action required
3800001	ER	Son	Sonoma County	RIVER ROAD @ M.P. 11.47, INSTALL VIADUCT	5/1/97	1/11/06	\$1,458,200.00	\$1,290,944.00	\$1,189,737.94	\$101,206.06	2008-03	F	D	N/A	no action required
5920020	BRLO	Son	Sonoma County	GRANGE ROAD, FR BENNETT VLY RD TO 1000' SOUTH , BRIDGE REPLACEMENT, ROAD REALIGNMNT	3/1/06	1/27/06	\$183,000.00	\$146,400.00	\$146,400.00	\$0.00	2008-09	N/A	N/A	N/A	action required either invoice or justification
5920020	BRLO	Son	Sonoma County	GRANGE ROAD, FR BENNETT VLY RD TO 1000' SOUTH , BRIDGE REPLACEMENT, ROAD REALIGNMNT	3/1/06	1/27/06	\$343,539.00	\$274,830.00	\$0.00	\$274,830.00	2008-09	N/A	N/A	N/A	action required either invoice or justification
5920020	BRLO	Son	Sonoma County	GRANGE ROAD, FR BENNETT VLY RD TO 1000' SOUTH , BRIDGE REPLACEMENT, ROAD REALIGNMNT	3/1/06	1/27/06	\$3,373,114.00	\$2,698,490.00	\$2,685,343.30	\$13,146.70	2008-09	N/A	N/A	N/A	action required either invoice or justification
5920104	STPL	Son	Sonoma County	VARIOUS ROADS WITHIN SONOMA CR , ROADWAY REHABILITATION	4/22/07		\$6,581,323.00	\$5,486,000.00	\$0.00	\$5,486,000.00	2008-06	I	I	N/A	no action required

**Inactive List for September 2008 Quarterly Review
(Review Period 7/1/08 - 9/30/08)
Local and State Administered Locally Funded and Rail Projects
Sorted by County/Agency**

Project No	Prefix	County	Responsible Agency	Description	Auth Date	Expenditure Date	Total Proj Cost	Federal Funds (from Budgets)	Expended	Unexpended Funds	First Qtrly Review Appearance (yyyy-mm)	LPAMS Action I=Invoiced F=In Final Voucher Process R= Rejected Invoice (As of 11/26/08)	FMIS Action: C= Closed D=De-Obligated E=FMIS Error I=Invoiced W=Withdrawn (As of 11/26/08)	Documentation Received I=Progress Invoice FI=Final Invoice D=De-obligation J=Justification PSI=Project Specific Issue (Current)	Action Required (As of 11/26/08)
A041801	DE-STPL	Son	Sonoma County	STONY POINT RD- PETALUMA BL-SR12, RECONST-WIDEN	9/11/02	1/11/06	\$6,544,452.00	\$5,235,562.00	\$5,218,398.34	\$17,163.66	2008-03	I	N/A	N/A	no action required
A041801	DE-STPL	Son	Sonoma County	STONY POINT RD- PETALUMA BL-SR12, RECONST-WIDEN	9/11/02	1/11/06	\$3,998,241.00	\$3,141,337.00	\$3,107,496.74	\$33,840.26	2008-03	I	N/A	N/A	no action required
A041801	DE-STPL	Son	Sonoma County	STONY POINT RD- PETALUMA BL-SR12, RECONST-WIDEN	9/11/02	1/11/06	\$1,800,520.00	\$1,594,000.00	\$1,594,000.00	\$0.00	2008-03	I	N/A	N/A	no action required
A041801	DE-STPL	Son	Sonoma County	STONY POINT RD- PETALUMA BL-SR12, RECONST-WIDEN	9/11/02	1/11/06	\$712,752.00	\$631,000.00	\$631,000.00	\$0.00	2008-03	I	N/A	N/A	no action required

**September 2008 Quarterly Review
(Review Period 7/1/08 - 9/30/08)**

Look Ahead report for quarter ending December 2008

Project Number	Project Prefix	County	Responsible Agency	Project Description	Last Billed	Auth Date	TotalCost	FederalFunds	Expended	Unexpended
4430001	ER	Ala	Alameda County	NORRIS CANYON @ MM 0.280 , EMERGENCY OPENING .		12/19/05	\$5,100.00	\$5,100.00	\$0.00	\$5,100.00
4430005	ER	Ala	Alameda County	PALOMARES RD. @ MM 8.94 , EMERGENCY OPENING & PRE. RESTORATIO		12/19/05	\$38,500.00	\$35,541.00	\$0.00	\$35,541.00
4430005	ER	Ala	Alameda County	PALOMARES RD. @ MM 8.94 , EMERGENCY OPENING & PRE. RESTORATIO		12/19/05	\$0.00	\$0.00	\$0.00	\$0.00
6273045	VPPL	Ala	Alameda County Congestion Management Agency	I-880: MARINA TO HEGENBERGER I/C'S . , IMPLEMENT HOV LANES. .		12/19/07	\$0.00	\$0.00	\$0.00	\$0.00
6273045	VPPL	Ala	Alameda County Congestion Management Agency	I-880: MARINA TO HEGENBERGER I/C'S . , IMPLEMENT HOV LANES. .		12/19/07	\$4,520,000.00	\$4,000,000.00	\$0.00	\$4,000,000.00
5041029	HP21L	Ala	San Leandro	E. 14TH ST. , MEDIAN IMPROVEMENT & LIGHTING		11/27/07	\$858,615.00	\$623,629.00	\$0.00	\$623,629.00
5135003	STPLN	CC	Concord	04-CC-242-R1.2/2.4, CITY OF CONCORD, CONCORD , AVE TO GRANT, MOD. I/C, ADD AUX. LN	10/16/06	03/01/94	\$8,034,920.00	\$6,000,000.00	\$5,899,828.48	\$100,171.52
5137025	STPLHSR	CC	Richmond	VARIOUS LOCATIONS , INSTALL PED. CROSS WALK LIGHT	10/11/06	09/10/02	\$203,000.00	\$182,700.00	\$675.00	\$182,025.00
5166004	STPLZ	Mrn	Larkspur	ALEXANDER AVENUE OH (BR NO 27C-0150) , SEISMIC RETROFIT/BRIDGE REHAB.	10/11/07	09/12/96	\$25,000.00	\$22,132.00	\$22,132.00	\$0.00
5166004	STPLZ	Mrn	Larkspur	ALEXANDER AVENUE OH (BR NO 27C-0150) , SEISMIC RETROFIT/BRIDGE REHAB.	10/11/07	09/12/96	\$807,000.00	\$645,600.00	\$10,722.11	\$634,877.89
5166004	STPLZ	Mrn	Larkspur	ALEXANDER AVENUE OH (BR NO 27C-0150) , SEISMIC RETROFIT/BRIDGE REHAB.	10/11/07	09/12/96	\$50,000.00	\$40,000.00	\$39,978.09	\$21.91
5213020	CML	SCI	Sunnyvale	TASMAN LIGHT RAIL VICINITY , PEDESTRIAN ACCESS IMPROVEMENT	11/28/06	09/06/02	\$533,007.00	\$471,870.00	\$397,446.08	\$74,423.92
6342003	STPLEE	SF	University of California at San Francisco	STATEWIDE PUBLIC EDUCATIONAL PROGRAM , PEDESTRIAN AND BICYCLE EDUCATION		12/13/07	\$885,000.00	\$783,000.00	\$0.00	\$783,000.00
6204052	STPLN	SM	Caltrans	SR101 FROM RALSTON AVE TO MARSH RD. . , CONSTRUCT NB AUXILLARY LANE	10/11/07	06/07/02	\$31,678,004.00	\$844,578.00	\$200,189.33	\$644,388.67

**September 2008 Quarterly Review
(Review Period 7/1/08 - 9/30/08)**

Look Ahead report for quarter ending December 2008

Project Number	Project Prefix	County	Responsible Agency	Project Description	Last Billed	Auth Date	TotalCost	FederalFunds	Expended	Unexpended
5132023	STPLH	Sol	Fairfield	TRAVIS BLVD. FROM OLIVER RD. TO N. TEXAS ST. , SIGNAL UPGRADE, TRAFFIC SIGN INSTAL	10/06/06	06/26/05	\$400,000.00	\$360,000.00	\$189,462.19	\$170,537.81
5920036	STPLZ	Son	Sonoma County	SONOMA CREEK (WATMAUGH ROAD) (BR NO 20C-0017) , SEISMIC RETROFIT	12/28/05	08/27/02	\$25,000.00	\$22,132.00	\$22,132.00	\$0.00
5920036	STPLZ	Son	Sonoma County	SONOMA CREEK (WATMAUGH ROAD) (BR NO 20C-0017) , SEISMIC RETROFIT	12/28/05	08/27/02	\$115,000.00	\$92,000.00	\$43,999.99	\$48,000.01
5920050	STPLZ	Son	Sonoma County	LAMBERT BRIDGE RD & DRY CREEK, REPLACE BRIDGE	12/27/05	04/01/98	\$100,000.00	\$88,530.00	\$60,179.17	\$28,350.83
5920087	STPLX	Son	Sonoma County	VALLEY FORD ROAD OVER STEMPLE CREEK , BARRIER RAIL REPLACEMENT	12/28/05	05/15/03	\$14,455.00	\$12,797.00	\$1,582.50	\$11,214.50
5920088	STPLX	Son	Sonoma County	BODEGA HWY OVER NOLAN CREEK , BARRIER RAIL REPLACEMENT	12/28/05	05/15/03	\$11,626.00	\$10,292.00	\$2,962.15	\$7,329.85
5920089	STPLX	Son	Sonoma County	SKILLMAN LANE OVER WILSON CREEK , BARRIER RAIL REPLACEMENT	12/28/05	05/15/03	\$8,900.00	\$7,879.00	\$1,578.57	\$6,300.43
				Regionwide Total			\$48,313,127.00	\$14,247,780.00	\$6,892,867.66	\$7,354,912.34

**September 2008 Quarterly Review
(Review Period 7/1/08 - 9/30/08)
Look Ahead report for quarter ending March 2009**

Project Number	Project Prefix	County	Responsible Agency	Project Description	Last Billed	Auth Date	TotalCost	FederalFunds	Expended	Unexpended
5933074	STPL	Ala	Alameda County	VASCO RD BETWEEN LIVERMORE AND CC COUNTY LINE , ROADWAY ALIGNMENT AND ROW	02/22/08	06/13/08	\$5,400,000.00	\$3,900,000.00	\$3,900,000.00	\$0.00
5933074	STPL	Ala	Alameda County	VASCO RD BETWEEN LIVERMORE AND CC COUNTY LINE , ROADWAY ALIGNMENT AND ROW	02/22/08	06/13/08	\$286,382.00	\$136,876.00	\$0.00	\$136,876.00
5933074	STPL	Ala	Alameda County	VASCO RD BETWEEN LIVERMORE AND CC COUNTY LINE , ROADWAY ALIGNMENT AND ROW	02/22/08	06/13/08	\$19,562,764.00	\$9,350,000.00	\$0.00	\$9,350,000.00
5933074	STPL	Ala	Alameda County	VASCO RD BETWEEN LIVERMORE AND CC COUNTY LINE , ROADWAY ALIGNMENT AND ROW	02/22/08	06/13/08	\$1,190,926.00	\$433,202.00	\$0.00	\$433,202.00
5322025	CML	Ala	Fremont	AT VARIOUS SPOT INTERSECTIONS, TRAFFIC SIGNAL CONTROLLERS	01/03/06	07/01/01	\$720,000.00	\$312,000.00	\$282,779.45	\$29,220.55
5317012	STPLH	Ala	Newark	THORNTON AVENUE/CEDAR BLVD INTERSECTION , GROOVE PAVEMENT		03/29/06	\$22,000.00	\$19,800.00	\$0.00	\$19,800.00
4432001	ER	CC	Contra Costa County	15 LOCATIONS IN CC CO., SEE STATE COMMENTS , EMERGENCY OPENING		02/23/08	\$1,210,000.00	\$1,108,950.00	\$0.00	\$1,108,950.00
5239011	STPL	CC	El Cerrito	IN EL CERRITO VARIOUS LOCATIONS , RESURFACE AC,		03/02/08	\$727,483.00	\$540,000.00	\$0.00	\$540,000.00
5137027	CML	CC	Richmond	RICHMOND TRANSIT STATION/NEVIN PLAZA , CONSTRUCT TRANSIT STATION	02/05/08	09/09/02	\$3,690,250.00	\$1,579,000.00	\$532,701.73	\$1,046,298.27
5137027	CML	CC	Richmond	RICHMOND TRANSIT STATION/NEVIN PLAZA , CONSTRUCT TRANSIT STATION	02/05/08	09/09/02	\$2,073,750.00	\$575,000.00	\$172,708.41	\$402,291.59
5225019	STPL	CC	Walnut Creek	TREAT BLVD - SHEPPARD ROAD TO BANCROFT ROAD , ROADWAY REHABILITATION		03/22/08	\$1,097,709.00	\$540,000.00	\$0.00	\$540,000.00
5927024	PLHL	Mrn	Marin County	SR 101 BRDGE WYI/C TO TIB I/C&RTE1 TOPM 13 , DEVELOP TRANS.MGM/T PLAN	03/18/08	07/27/99	\$1,500,000.00	\$1,500,000.00	\$1,500,000.00	\$0.00
5927024	PLHL	Mrn	Marin County	SR 101 BRDGE WYI/C TO TIB I/C&RTE1 TOPM 13 , DEVELOP TRANS.MGM/T PLAN	03/18/08	07/27/99	\$510,876.00	\$510,876.00	\$0.00	\$510,876.00
5927024	PLHL	Mrn	Marin County	SR 101 BRDGE WYI/C TO TIB I/C&RTE1 TOPM 13 , DEVELOP TRANS.MGM/T PLAN	03/18/08	07/27/99	\$991,307.00	\$991,307.00	\$702,622.79	\$288,684.21
5042026	STPLX	Nap	Napa	SEMINARY ST. BRIDGE OVER NAPA CREEK. , BRIDGE RAIL REPLACEMENT	01/30/07	07/01/00	\$63,500.00	\$56,216.00	\$0.00	\$56,216.00
5042026	STPLX	Nap	Napa	SEMINARY ST. BRIDGE OVER NAPA CREEK. , BRIDGE RAIL REPLACEMENT	01/30/07	07/01/00	\$75,000.00	\$66,397.00	\$66,396.85	\$0.15
5034012	CML	SCI	Gilroy	MONTEREY STREETScape BETWEEN 4TH & 6TH STREET , STREETScaPES IMPROVEMENT	01/29/07	08/16/05	\$2,823,902.00	\$2,500,000.00	\$2,450,000.00	\$50,000.00
6170018	STPLZ	SCI	Peninsula Joint Powers Board	BRIDGE NO 37C-0138, 0268, 0270, 0278 . , SEISMIC RETROFIT	02/14/07	06/22/04	\$1,589,708.00	\$1,271,766.00	\$1,138,446.68	\$133,319.32
6170018	STPLZ	SCI	Peninsula Joint Powers Board	BRIDGE NO 37C-0138, 0268, 0270, 0278 . , SEISMIC RETROFIT	02/14/07	06/22/04	\$0.00	\$0.00	\$0.00	\$0.00
5005084	HPLUL	SCI	San Jose	LOWER GUADALUPE RIVER TRAIL(I880 TO GOLD ST) , BIKE TRAIL		03/08/08	\$1,368,756.00	\$1,095,005.00	\$0.00	\$1,095,005.00
5005084	HPLUL	SCI	San Jose	LOWER GUADALUPE RIVER TRAIL(I880 TO GOLD ST) , BIKE TRAIL		03/08/08	\$333,744.00	\$266,995.00	\$0.00	\$266,995.00
5005090	STPL	SCI	San Jose	SEE STATE REMARK FOR THE STREETS , ROAD REHAB AND OVERLAY		03/29/08	\$10,368,030.00	\$6,546,000.00	\$0.00	\$6,546,000.00
5019020	STPL	SCI	Santa Clara	TASMAN DR * HOMESTEAD RD (SEE STATE REMARK) , ROAD REHABILITATION		02/01/08	\$914,433.00	\$653,000.00	\$0.00	\$653,000.00
5937097	BRNBISL	SCI	Santa Clara County	AT VARIOUS LOCATIONS IN SANTA CLARA COUNTY , BIENNIAL BRIDGE INSPECT./MANAGEMENT	02/08/07	10/14/04	\$352,650.00	\$282,120.00	\$176,634.09	\$105,485.91

**September 2008 Quarterly Review
(Review Period 7/1/08 - 9/30/08)
Look Ahead report for quarter ending March 2009**

Project Number	Project Prefix	County	Responsible Agency	Project Description	Last Billed	Auth Date	TotalCost	FederalFunds	Expended	Unexpended
6003031	HPLUL	SF	Golden Gate Bridge, Highway And Transportation District	GOLDEN GATE BRIDGE , SEISMIC RETROFIT-PHASE 2	02/26/08	09/08/06	\$1,505,631.00	\$1,505,631.00	\$214,172.26	\$1,291,458.74
6003031	HPLUL	SF	Golden Gate Bridge, Highway And Transportation District	GOLDEN GATE BRIDGE , SEISMIC RETROFIT-PHASE 2	02/26/08	09/08/06	\$751,462.00	\$751,462.00	\$105,487.84	\$645,974.16
6084139	HPLUL	SF	Metropolitan Transportation Commission	SAN FRANCISCO BAY AREA , REGIONAL INCIDENT MANAGEMENT PROGR.		03/16/08	\$9,300,000.00	\$8,233,000.00	\$0.00	\$8,233,000.00
6084141	HPLUL	SF	Metropolitan Transportation Commission	SAN FRANCISCO BAY AREA FY 07/08 , FWY OPERATION/ TOS PLANNING STUDY		03/21/08	\$2,051,283.00	\$1,816,000.00	\$0.00	\$1,816,000.00
5934139	STPL	SF	San Francisco County	SOUTH OF MARKET, VARIOUS STREET , REHABILITATION		03/02/08	\$5,489,666.00	\$4,860,000.00	\$0.00	\$4,860,000.00
5268009	STPL	SM	Belmont	RALSTON AVE - HWY 101 TO GRANADA STREET, ROAD REHABILITATION	01/18/06	08/01/01	\$165,172.00	\$105,000.00	\$94,500.00	\$10,500.00
5376001	BRLZ	SM	Brisbane	TUNNEL AVENUE BR (BR. NO. 35C-0124) , BRIDGE REPLACEMENT	01/02/08	07/22/05	\$12,025,000.00	\$9,620,000.00	\$9,031,051.22	\$588,948.78
5376001	BRLZ	SM	Brisbane	TUNNEL AVENUE BR (BR. NO. 35C-0124) , BRIDGE REPLACEMENT	01/02/08	07/22/05	\$960,001.00	\$768,000.00	\$0.00	\$768,000.00
5376001	BRLZ	SM	Brisbane	TUNNEL AVENUE BR (BR. NO. 35C-0124) , BRIDGE REPLACEMENT	01/02/08	07/22/05	\$1,140,000.00	\$912,000.00	\$892,250.54	\$19,749.46
5376001	BRLZ	SM	Brisbane	TUNNEL AVENUE BR (BR. NO. 35C-0124) , BRIDGE REPLACEMENT	01/02/08	07/22/05	\$60,000.00	\$48,000.00	\$48,000.00	\$0.00
5350013	STPL	SM	Pacifica	PALMETTO AVE FR ESPLANADE-BELLA VISTA , AC OVERLAY		03/26/07	\$512,300.00	\$405,000.00	\$0.00	\$405,000.00
5920041	STPL	Son	Sonoma County	STONY PT.-PEPPER RD TO MECHAM RD., RECONSTRUCTION & WIDENING	01/11/06	08/01/97	\$2,433,790.00	\$1,772,371.00	\$1,772,371.00	\$0.00
5920041	STPL	Son	Sonoma County	STONY PT.-PEPPER RD TO MECHAM RD., RECONSTRUCTION & WIDENING	01/11/06	08/01/97	\$2,843,912.00	\$2,517,716.00	\$2,508,863.02	\$8,852.98
Regionwide Total							\$96,111,387.00	\$67,548,690.00	\$25,588,985.88	\$41,959,704.12



METROPOLITAN
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Memorandum

TO: Programming and Delivery Working Group

DATE: December 15, 2008

FR: Kenneth Kao

RE: STIP Project Delivery Update for FY 2007-08 and FY 2008-09 Projects

Background

Senate Bill 45 (Chapter 622, Statutes 1997) established strict timely use of funds and project delivery requirements for transportation projects. Projects programmed in the State Transportation Improvement Program (STIP) must receive an allocation from the California Transportation Commission (CTC) or Caltrans by the end of the fiscal year in which the funds are programmed. Funds not allocated or extended by the CTC within this deadline are deleted from the STIP with the funds returned to the county in the next county share period. MTC staff monitors the delivery of STIP projects, and has been informing members of the Bay Area Partnership on a monthly basis of the project delivery requirements and pending deadlines.

FY 2007-08 Projects

Attachment A lists STIP projects programmed in FY 2007-08. Most projects have already been allocated by the CTC. This report is being included to keep track of project extensions and award deadlines. **Please review the award deadlines for allocated projects and the new allocation deadline for projects approved for allocation extension requests.**

FY 2008-09 Projects

Please review all FY 2008-09 STIP projects (including TE projects and TE Reserve); listed in Attachment A. Projects can no longer be shifted out of FY 2008-09. In accordance with SB 45, a project cannot be moved out in the year it is programmed. For projects programmed in FY 2008-09, please note:

- In order to receive an allocation at the February 2009 CTC meeting (the next available meeting of the CTC in FY 2008-09), you must submit your allocation request to MTC and Caltrans D4 Local Assistance by December 22, 2008.
- The deadline for the December 2008 CTC meeting has already passed (November 17).
- In accordance with recently adopted policy, all allocated construction funds must have a contract awarded within six months of allocation.
- Currently, the CTC is allocating state-only funds. There is no guarantee that CTC will continue to allocate state-only funds after FY 2008-09.
- For federal projects, such as Transportation Enhancement projects, Caltrans requests that the request for the STIP allocation and request for federal authorization to proceed (E-76) be submitted to Caltrans at the same time. Check with Caltrans for what additional

STIP Project Delivery Update

Item 3c

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supporting documentation needs to be submitted with your allocation request. Remember to initiate TIP amendments through MTC's FMS.

- For federal projects (i.e. TE projects), be sure the sponsor's Disadvantaged Business Enterprise (DBE) program is approved by Local Assistance (obligation of federal funds cannot occur without approved DBE program).

Please contact Kenneth Kao at (510) 817-5768 or kkao@mtc.ca.gov with questions or comments.

Attachments

A – FY 2007-08 STIP Project Delivery Status Report

B – FY 2008-09 STIP Project Delivery Status Report

J:\COMMITTEE\Partnership\Partnership PDWG\2008 PDWG\08 PDWG Memos\09_September\03c_0_STIP_Monitoring.doc

STIP Allocation Status Report for FY 2007-08 Programmed Projects

Projects with Allocation Deadline of 6/30/08 (All numbers in \$1,000s)

September 29, 2008

Regional Transportation Improvement Program (RTIP) and Transportation Enhancements (TE)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Alameda	ALA050029	ACCMA	16O	I-680 Smart Carpool Lane	26-Jun-08	23-Dec-08	CON	8,000	8,000	0	Allocated
Alameda	ALA050009	ACTIA	16U	I-580 Castro Valley Interchange Improvements	13-Mar-08	09-Sep-08	CON	7,315	7,315	0	Allocated
Alameda	ALA010006	Caltrans	1017	SR-84 HOV Lane Extension	05-Sep-07	03-Mar-08	CON+C-CT	2,490	2,490	0	Allocated
Alameda	ALA070020	Caltrans	112A	I-580 Aux and HOV lanes (Segments 1 & 2)			CON	9,274	0	9,274	Deferred at Jun-08 Meeting
Alameda	ALA070020	Caltrans	112A	I-580 Aux and HOV lanes (Segments 1 & 2)	13-Mar-08	09-Sep-08	CON	8,395	8,395	0	Allocated
Alameda	ALA991084	Caltrans	A157D	I-680 Sunol Grade SB HOV Lanes Phase 3	10-Apr-08	07-Oct-08	CON	7,246	7,246	0	Allocated
Alameda	ALA991081	Oakland	1022	I-880 Access at 42nd Av/High St.	13-Dec-07	n/a	ROW	5,990	5,990	0	Allocated
Alameda	ALA030002	Alameda Co	2009L	Vasco Rd Safety Improvements (Segments 1 & 2)	14-Feb-08	12-Aug-08	CON	4,600	4,600	0	Allocated
Alameda	ALA990054	Alameda (City)	2009N	Tinker Ave Extension	25-Sep-08	24-Mar-09	CON	4,000	4,000	0	Allocated
Alameda	REG050014	MTC	2100	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	113	113	0	Allocated
Alameda	ALA99SA01	MTC/ACCMA	2179	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	1,311	1,311	0	Allocated
Alameda-PTA	ALA050065	BART Berkeley	2009Y	Ashby BART/Ed Roberts Concourse/Escalators	26-Jun-08	23-Dec-08	CON	2,000	2,000	0	Allocated
Alameda-PTA	ALA050081	Berkeley	2009W	Ashby BART/Ed Roberts Campus Improvements	26-Jun-08	23-Dec-08	CON	2,614	2,614	0	Allocated
Alameda-PTA	BRT050003	BART	1014	BART Tube Seismic Retrofit	05-Sep-07	03-Mar-08	CON	38,000	38,000	0	Allocated
Alameda-PTA	ALA990015	Union City	2110	Union City Intermodal Station	05-Sep-07	03-Mar-08	CON	4,600	4,600	0	Allocated
Alameda-PTA	REG070002	BART	2009P	Alameda County BART Station Renovation	05-Sep-07	n/a	PSE	248	248	0	Allocated
Alameda-PTA	REG070002	BART	2009P	Alameda County BART Station Renovation	6 Mo. Extension App'd		CON	3,000	0	3,000	Extension: 6 months (to Dec 08)
Alameda-PTA	ALA030001	AC Transit	2009I	AC Transit Rehabilitation Project	29-May-08	25-Nov-08	CON	7,738	7,738	0	Allocated
Alameda-PTA	ALA070046	AC Transit	2009X	AC Transit Zero-Emissions Bus Purchase	20-Sep-07	18-Mar-08	CON	7,810	7,810	0	Allocated
Alameda-TE	ALA050081	Berkeley BART	2009W	Ashby BART/Ed Roberts Campus Improvements	26-Jun-08	23-Dec-08	CON	1,200	1,200	0	Allocated
Alameda-TE	ALA050039	Oakland	2100C1	MacArthur Transit Hub/Village Improvements	26-Jul-07	22-Jan-08	CON	193	193	0	Allocated
Alameda RTIP Totals								126,137	113,863	12,274	
Contra Costa	ALA070041	ACCMA	62E	I-80 Integrated Corridor Mobility Project	05-Sep-07	n/a	ENV	954	954	0	Allocated
Contra Costa	CC-010002	Caltrans	57A	SR 24 - Caldecott Tunnel 4th Bore (SO)	01-Jul-07	n/a	PSE	2,000	2,000	0	Caltrans Lump-Sum Allocation
Contra Costa	CC-030028	CCTA	192F	SR 4 Widening from Somersville to SR 160	05-Sep-07	n/a	ROW	10,000	10,000	0	Allocated
Contra Costa	REG050014	MTC	2118	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	74	74	0	Allocated
Contra Costa	CC-070021	CCTA	2011O	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	300	300	0	Allocated
Contra Costa-TE	CC-070085	Martinez	183I	Marina Vista Streetscape	13-Mar-08	n/a	PSE	148	148	0	Allocated
Contra Costa-TE	CC-010031	CC County	2011K	Delta DeAnza Trail crossing of SR 4	01-Feb-07	n/a	PSE	60	60	0	Allocated in FY 06/07
Contra Costa-TE	CC-030010	CC County	2025C	Camino Tassajara Rd Bikeway Shoulders	20-Sep-07	18-Mar-08	CON	324	324	0	Allocated
Contra Costa RTIP Totals								13,860	13,860	0	
Marin	MRN050002	TAM	2127C	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	524	524	0	Allocated
Marin	REG050014	MTC	2127	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	21	21	0	Allocated
Marin RTIP Totals								545	545	0	
Napa	NAP010001	Caltrans	376	SR 12/29/221 Soscol Intersection - Separation	01-Jul-07	n/a	PSE	4,200	4,200	0	Caltrans Lump-Sum Allocation
Napa	NAP010008	Caltrans	367D	SR 12 - Jamieson Canyon Rd Widening	01-Jul-07	n/a	PSE/ROW+	13,900	13,900	0	Caltrans Lump-Sum Allocation
Napa	REG050014	MTC	2130	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	13	13	0	Allocated
Napa	NAP010009	MTC/Napa TPA	1003E	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	24	24	0	Allocated
Napa-TE	REG070005	MTC	2130B	TE reserve			N/A	414	0	414	Lapsed, return to county share
Napa RTIP Totals								18,551	18,137	414	
San Francisco-PTA	SF-050003	SF Muni	2134A	AB 3090 reimbursement (3rd St light rail mtn fac)	26-Jul-07	n/a	CON	22,570	22,570	0	Allocated
San Francisco	SF-010020	San Francisco	2014D	Addison & Digby Traffic Circles	20-Sep-07	n/a	PSE	20	20	0	Allocated
San Francisco	SF-010008	MTC/SFCTA	2007	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	466	466	0	Allocated
San Francisco	REG050014	MTC	2131	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	58	58	0	Allocated
San Francisco-PTA	SF-030001	Golden Gate	2014J	SF Ferry Terminal Berth	13-Dec-07	n/a	ENV	1,000	1,000	0	Allocated
San Francisco-PTA	SF-010028	Peninsula JPB	2014I	Caltrain Electrification			PSE	4,000	0	4,000	To be delivered with CMAQ funds
San Francisco-PTA	SF-010015	TJPA	2133A	Caltrain Downtown Extension to Rebuild Transbay Terminal	10-Jan-08	n/a	ENV	4,000	4,000	0	Allocated
San Francisco-TE	SF-050031	San Francisco	2007Z	Stockton St Tunnel Lighting - Ped Improvements	29-May-08	25-Nov-08	CON	799	799	0	Allocated
San Francisco-TE	SF-070032	SF DPW	9098A	Leland Ave Streetscape Improvements Project	08-Nov-07	n/a	ENV	27	27	0	Allocated
San Francisco-TE	SF-070033	SF DPT	9098C	Inner Sunset Traffic Calming & Transit Enh	20-Sep-07	n/a	PSE	135	135	0	Allocated
San Francisco RTIP Totals								33,075	29,075	4,000	

STIP Allocation Status Report for FY 2007-08 Programmed Projects

Projects with Allocation Deadline of 6/30/08 (All numbers in \$1,000s)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
San Mateo	SM-010031	Caltrans	700C	Aux lanes landscaping/#700B, 6-08 vote	01-Jul-07	n/a	CON-CT	33	33	0	Caltrans Lump-Sum Allocation
San Mateo	SM-030001	Caltrans	658B	U.S. 101 Aux Lanes - SCL Co. Line to Marsh Rd	01-Jul-07	n/a	ENV	5,200	5,200	0	Caltrans Lump-Sum Allocation
San Mateo	SM-070003	Caltrans	645C	Menlo Park-Millbrae, interconnect signals	01-Jul-07	n/a	PSE	739	739	0	Caltrans Lump-Sum Allocation
San Mateo	REG050014	MTC	2140	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	60	60	0	Allocated
San Mateo	SM-030015	SM C/CAG	2140A	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	467	467	0	Allocated
San Mateo-PTA	SM-070030	BART	1003J	Daly City BART Station Improvements	9 Mo. Extension App'd		CON	900	0	900	Extension: 9 months (to Mar 09)
San Mateo-TE	REG070005	MTC	2140C	TE reserve			N/A	1,690	0	1,690	Lapsed, return to county share
San Mateo-TE	SM-050026	South SF		BART Linear Park Ph. 2 and 3	29-May-08	25-Nov-08	CON	177	177	0	Allocated
San Mateo-TE	SM-070031	San Bruno		ECR Street Median & Grand Blvd Improvements			CON	779	0	779	Will allocate from reserve
San Mateo RTIP Totals								10,045	6,676	3,369	
Santa Clara	SCL030012	SCVTA	409C	GARVEE debt (I-880 Coleman)	01-Jul-07	n/a	CON	7,315	7,315	0	Allocated
Santa Clara	SCL030012	SCVTA	443N	GARVEE debt (SR 87 HOV North)	01-Jul-07	n/a	CON	3,968	3,968	0	Allocated
Santa Clara	SCL030012	SCVTA	443S	GARVEE debt (SR 87 HOV South)	01-Jul-07	n/a	CON	4,565	4,565	0	Allocated
Santa Clara	SCL991077	Caltrans	B157D	I-680 Sunol Grade SB HOV Phase 3	26-Jun-08	23-Dec-08	CON+C-CT	8,308	8,308	0	Allocated
Santa Clara	REG050014	MTC	2144	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	133	133	0	Allocated
Santa Clara	SCL010004	MTC/SCVTA	2255	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	230	230	0	Allocated
Santa Clara-TE	SCL070023	Palo Alto	9035B	Stanford Ave/EI Camino Real Intersection Project	13-Dec-07	n/a	ENV/PSE	124	124	0	Allocated
Santa Clara-TE	SCL070015	Sunnyvale	9035C	Tasman/Fair Oaks Area Enhancements	26-Jun-08	23-Dec-08	CON	1,809	1,809	0	Allocated
Santa Clara-TE	SCL070021	Milpitas	9035D	Midtown Transportation & Streetscape Imps	09-Apr-08	06-Oct-08	CON	1,500	1,500	0	Allocated
Santa Clara RTIP Totals								27,952	27,952	0	
Solano	SOL990004	Solano TA	5301	Jepson Parkway (I-80 reliever)	05-Sep-07	n/a	ENV	1,837	1,837	0	Allocated
Solano	REG050014	MTC	2152	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	35	35	0	Allocated
Solano	SOL010002	MTC/STA	2263	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	853	853	0	Allocated
Solano-PTA	SOL030001	Dixon	6046	Dixon Intermodal Facility	13-Dec-07	n/a	PSE	1,330	1,330	0	Allocated
Solano-TE	SOL030004	Suisun City	5152G	Driftwood Drive Pedestrian Way	26-Jul-07	22-Jan-08	CON	372	372	0	Allocated
Solano-TE	REG070005	MTC	5152A	TE reserve			N/A	333	0	333	Lapsed, return to county share
Solano RTIP Totals								4,760	4,427	333	
Sonoma	SON010024	Caltrans	775	U.S. 101 HOV Lanes from Petaluma to Rohnert Park	01-Jul-07	n/a	ROW	2,950	2,950	0	Caltrans Lump-Sum Allocation
Sonoma	SON010019	Caltrans	749A	US-101 HOV Lanes, Steele - Windsor (North)	29-May-08	25-Nov-08	CON-CT	3,500	3,500	0	Allocated (advanced)
Sonoma	REG050014	MTC	2156	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	43	43	0	Allocated
Sonoma	SON010017	MTC/SCTA	770E	Planning, programming, and monitoring	26-Jul-07	n/a	ENV	1,055	1,055	0	Allocated
Sonoma-TE	SON070002	Regional Parks	5156B	Santa Rosa Creek Trail	26-Jun-08	23-Dec-08	ENV/CON	550	550	0	Allocated
Sonoma-TE	SON070005	Windsor	5156F	Old Redwood Hwy pedestrian enhancements	09-Apr-08	n/a	PSE	68	68	0	Allocated
Sonoma-TE	SON070005	Windsor	5156F	Old Redwood Hwy pedestrian enhancements	9 Mo. Extension App'd		CON	270	0	270	Extension: 9 months (to Mar 09)
Sonoma-TE	SON070008	Regional Parks	5156H	Bodega Bay Trail			PSE/CON	100	0	100	Lapse: will move all funds to fy10
Sonoma-TE	SON070001	Rohnert Park	5156D	City Center Drive	09-Apr-08	06-Oct-08	CON	550	550	0	Allocated
Sonoma RTIP Totals								9,086	8,716	370	
Total RTIP - Region								244,011	223,251	20,760	

Interregional Transportation Improvement Program (ITIP)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Santa Clara-PTA	SCL010013	Caltrans	2008	4th track, San Jose-Santa Clara			CON	20,600	0	20,600	Moved to FY 09-10 in 2008 STIP
Alameda	ALA991084	Caltrans	A157D	Sunol Grade SB HOV, phase 3 (IIP) 1st Con	10-Apr-08	07-Oct-08	CON+C-CT	30,824	30,824	0	Allocated
Alameda	ALA991084	Caltrans	A157D	Sunol Grade SB HOV, phase 3 (IIP) 1st Con	01-Jul-07	n/a	ROW	1,500	1,500	0	Caltrans Lump-Sum Allocation
Alameda	ALA991084	Caltrans	A157D	Sunol Grade SB HOV, phase 3 (IIP) 2nd Con	10-Apr-08	07-Oct-08	CON+C-CT	1,700	1,700	0	Allocated
Alameda/CC	CC-010002	Caltrans	57A	Caldecott Tunnel 4th bore (IIP)(TCRP #15)	01-Jul-07	n/a	PSE+R/W	5,200	5,200	0	Caltrans Lump-Sum Allocation
Contra Costa	CC-010003	Caltrans	261F	I-80 WB HOV Rt 4-Carquinez (supplemental)	26-Jul-07	n/a	CON	936	936	0	Allocated
Solano	SOL070014	Caltrans	5306	Rt 680, landscaping for mitigation (vote rev 1-04)	01-Jul-07	n/a	PSE	50	50	0	Caltrans Lump-Sum Allocation
Solano	SOL030006	Caltrans	5201F	Rt 37 Planting (Rt 29/37 interchange)	01-Jul-07	n/a	PSE	758	758	0	Caltrans Lump-Sum Allocation
Solano-PTA	SOL010030	Caltrans/CCJPA	2085	Bahia Benicia Crossover	13-Dec-07	10-Jun-08	CON	4,750	4,750	0	Allocated
Alameda-PTA	ALA010015	ACE	2021	Livermore Valley siding (TCRP #26)			CON	1,000	0	1,000	Lapsed
Alameda-PTA	BRT990002	BART	2103	Oakland Airport connector guideway (ITIP)			CON	10,000	0	10,000	Lapsed (transferred to BART)
Marin-ITIP-TE	MRN050003	Caltrans	1069	Wildlife crossing, Rt 1 (04S-55)			CON+C-CT	625	0	625	Lapsed
Santa Clara-ITIP-TE	SCL050011	Caltrans	1062	Water Quality Enhancements, Rt 152	26-Jun-08	23-Dec-08	R/W+CON	590	590	0	Allocated
Sonoma-ITIP-TE	SON070009	Caltrans	0748I	Kashaya Pomo Cultural Landscape	08-Nov-07	06-May-08	CON	573	573	0	Allocated
Total ITIP								79,106	46,881	32,225	

STIP Allocation Status Report for FY 2008-09 Programmed Projects

Projects with Allocation Deadline of 6/30/09 (All numbers in \$1,000s)

December 9, 2008

Regional Transportation Improvement Program (RTIP) and Transportation Enhancements (TE)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Alameda	ALA070060	Caltrans	96G	I-238 Replacement Landscaping	01-Jul-08	n/a	ENV	220	220	0	Caltrans Lump-Sum Allocation
Alameda	ALA070060	Caltrans	96G	I-238 Replacement Landscaping	01-Jul-08	n/a	PSE	339	339	0	Caltrans Lump-Sum Allocation
Alameda	REG050014	MTC	2100	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	113	113	0	Allocated
Alameda	ALA99SA01	MTC/ACCMA	2179	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	1,409	1,409	0	Allocated
Alameda-PTA	ALA050081	Berkeley	2009W	Ashby BART/Ed Roberts Campus Imps. (FY 09-10)	AB 3090	Approved	CON	1,500	0	1,500	AB 3090 approved Aug-08
Alameda-TE	ALA050080	Oakland	2100E	7th St / West Oakland TOD (FY 09-10)			CON	1,300	0	1,300	
Alameda RTIP Totals								4,881	2,081	2,800	
Contra Costa	CC-010002	Caltrans	57A	SR 24 - Caldecott Tunnel 4th Bore			CON	31,000	0	31,000	
Contra Costa	CC-010009	Caltrans	192E	SR 4 Widening from Loveridge to Somersville			CON/C-CT	32,035	0	32,035	
Contra Costa	REG050014	MTC	2118	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	74	74	0	Allocated
Contra Costa	CC-070021	CCTA	2011O	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	1,358	1,358	0	Allocated
Contra Costa-TE	CC-070083	Concord	183G	Monument Blvd Pedestrian Improvements			CON	1,000	0	1,000	
Contra Costa-TE	CC-070085	Martinez	183I	Marina Vista Streetscape			CON	127	0	127	
Contra Costa-TE	CC-070084	Pittsburg	183H	Bailey Rd Transit Access Improvements			CON	989	0	989	
Contra Costa-TE	CC-010031	CC County	2011K	Delta DeAnza Trail crossing of SR-4			CON	251	0	251	On 12/08 CTC Agenda
Contra Costa-TE	CC-990046	CC County	2011U	Iron Horse Trail Overcrossing Over Treat Blvd.			CON	246	0	246	On 12/08 CTC Agenda
Contra Costa-TE	CC-070086	Hercules	2025D	Refugio Bridge Bike/Ped/Vehicle Connectivity			CON	775	0	775	
Contra Costa-TE	CC-070087	CC County	183K	Montalvin Manor Pedestrian Improvements			CON	365	0	365	
Contra Costa RTIP Totals								68,220	1,432	66,788	
Marin	MRN050034	Caltrans	A360F	US-101 Marin-Sonoma Narrows	01-Jul-08	n/a	PSE	7,200	7,200	0	Caltrans Lump-Sum Allocation
Marin	MRN050034	Caltrans	360G	US-101 San Antonio Curve Correction	01-Jul-08	n/a	PSE	1,900	1,900	0	Caltrans Lump-Sum Allocation
Marin	MRN050002	TAM	2127C	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	450	450	0	Allocated
Marin	REG050014	MTC	2127	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	21	21	0	Allocated
Marin RTIP Totals								9,571	9,571	0	
Napa	REG050014	MTC	2130	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	13	13	0	Allocated
Napa	NAP010009	MTC/Napa TPA	1003E	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	24	24	0	Allocated
Napa	NAP010008	Solano TA	0367D	SR-12 Jameson Canyon Widening	25-Sep-08	n/a	PSE	2,659	2,659	0	Allocated
Napa-TE	REG070005	MTC	2130B	TE reserve			N/A	352	0	352	
Napa RTIP Totals								3,048	2,696	352	
San Francisco	SF-010020	San Francisco	2014D	Addison & Digby Traffic Circle	30-Oct-08	28-Apr-09	CON	180	180	0	Allocated
San Francisco	SF-010008	MTC/SFCTA	2007	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	541	541	0	Allocated
San Francisco	REG050014	MTC	2131	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	58	58	0	Allocated
San Francisco-TE	SF-070032	SF DPW	9098A	Leland Ave Streetscape Improvements Project			CON	1,735	0	1,735	On 12/08 CTC Agenda
San Francisco-TE	SF-070031	SF DPW	9098B	Renewed Valencia Streetscape, 15th-19th			CON	1,500	0	1,500	On 12/08 CTC Agenda
San Francisco-TE	SF-070033	SF DPT	9098C	Inner Sunset Traffic Calming & Transit Enhns			CON	343	0	343	
San Francisco RTIP Totals								4,357	779	3,578	
San Mateo	SM-030001	Caltrans	658B	U.S. 101 Aux Lanes - SCL Co. Line to Marsh Rd	01-Jul-08	n/a	PSE	8,525	8,525	0	Caltrans Lump-Sum Allocation
San Mateo	SM-030001	Caltrans	658B	U.S. 101 Aux Lanes - SCL Co. Line to Marsh Rd	01-Jul-08	n/a	ROW-CT	496	496	0	Caltrans Lump-Sum Allocation
San Mateo	SM-070037	SM C/CAG	2140F	San Mateo Smart Corridors ITS	30-Oct-08	n/a	PSE	2,000	2,000	0	Allocated
San Mateo	REG050014	MTC	2140	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	60	60	0	Allocated
San Mateo	SM-030015	SM C/CAG	2140A	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	460	460	0	Allocated
San Mateo-TE	REG070005	MTC	2140C	TE reserve			N/A	1,124	0	1,124	
San Mateo RTIP Totals								12,665	11,541	1,124	
Santa Clara	SCL030012	SCVTA	409C	GARVEE debt (I-880 Coleman)	01-Jul-08	n/a	CON	7,315	7,315	0	Allocated
Santa Clara	SCL030012	SCVTA	443N	GARVEE debt (SR 87 HOV North)	01-Jul-08	n/a	CON	3,968	3,968	0	Allocated
Santa Clara	SCL030012	SCVTA	443S	GARVEE debt (SR 87 HOV South)	01-Jul-08	n/a	CON	4,565	4,565	0	Allocated
Santa Clara	REG050014	MTC	2144	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	133	133	0	Allocated
Santa Clara	SCL010004	MTC/SCVTA	2255	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	547	547	0	Allocated
Santa Clara-TE	SCL070022	Campbell	9035A	East Campbell Ave Downtown Enhancements			CON	1,200	0	1,200	
Santa Clara RTIP Totals								17,728	16,528	1,200	
Solano	SOL990004	Solano TA	5301	Jepson Parkway (I-80 reliever)			PSE	2,400	0	2,400	
Solano	REG050014	MTC	2152	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	35	35	0	Allocated
Solano	SOL010002	MTC/STA	2263	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	589	589	0	Allocated
Solano-TE	SOL050057	Vacaville	5152E	Jepson Pkwy Gateway Enhancement			PSE	120	0	120	
Solano-TE	REG070005	MTC	5152A	TE reserve			N/A	701	0	701	
Solano RTIP Totals								3,845	624	3,221	

STIP Allocation Status Report for FY 2008-09 Programmed Projects

Projects with Allocation Deadline of 6/30/09 (All numbers in \$1,000s)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Sonoma	SON010024	Caltrans	775	US-101 HOV Lanes from Petaluma to Rohnert Park (Central)			CON/C-CT	43,453	0	43,453	
Sonoma	SON070004	Caltrans	360F	US-101 Marin-Sonoma Narrows	01-Jul-08	n/a	ROW	7,020	7,020	0	Caltrans Lump-Sum Allocation
Sonoma	SON070004	Caltrans	360F	US-101 Marin-Sonoma Narrows	01-Jul-08	n/a	ROW-CT	700	700	0	Caltrans Lump-Sum Allocation
Sonoma	SON950005	Caltrans	781E	US-101 HOV Lanes, Rohnert Pk-Sta Rosa (Wilfred)	25-Sep-08	24-Mar-09	CON/C-CT	11,500	11,500	0	Allocated
Sonoma	SON990001	Caltrans	789A	US-101 HOV Lanes, SR-12 - Steele (supp)	28-Aug-08	n/a	CON	2,869	2,869	0	Allocated
Sonoma	REG050014	MTC	2156	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	43	43	0	Allocated
Sonoma	SON010017	MTC/SCTA	770E	Planning, programming, and monitoring	24-Jul-08	n/a	ENV	607	607	0	Allocated
Sonoma-TE	SON070006	Santa Rosa	5156C	Santa Rosa Courthouse Square			CON	1,000	0	1,000	On 12/08 CTC Agenda
Sonoma RTIP Totals								67,192	22,739	44,453	
Total RTIP - Region								191,507	67,991	123,516	

Interregional Transportation Improvement Program (ITIP)

County	TIP ID	Agency	PPNO	Project	Allocation Date	Award Deadline	Phase	Programmed Amount	Completed Allocations	Balance	Comments
Alameda/CC-IIP	CC-010002	Caltrans	57A	Caldecott Tunnel 4th bore (IIP)			CON-CT	4,800	0	4,800	
Marin-IIP	MRN050034	Caltrans	360G	US-101 San Antonio Rd Curve Correction			PSE	400	400	0	Caltrans Lump-Sum Allocation
Napa-IIP	NAP010008	Solano TA	0367D	SR-12 Jameson Canyon Widening	25-Sep-08	n/a	PSE	1,806	1,806	0	Allocated
Santa Clara-IIP		VTA	484G	New Alignment, SR-152, US-101-SR-156			ENV	5,000	0	5,000	On 12/08 CTC Agenda
Solano-IIP	SOL030006	Caltrans	5201F	Rt 37 Planting (Rt 29/37 interchange)			CON/C-CT	3,769	0	3,769	
Solano-IIP	SOL050003	Caltrans	8273B	I-80 Recon Connectors, I-680 Landscaping			PSE	700	700	0	Caltrans Lump-Sum Allocation
Sonoma-IIP	SON990001	Caltrans	789A	US-101 HOV Lanes, SR-12 - Steele (supp)	28-Aug-08	n/a	CON	956	956	0	Allocated
Total ITIP								17,431	3,862	13,569	

J:\PROJECT\Funding\STIP\STIP Monitoring\STIP\STIP Monitoring Yearly Allocation Status.xls\FY 2008-09



METROPOLITAN
TRANSPORTATION
COMMISSION

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Memorandum

TO: Programming and Delivery Working Group

DATE: December 15, 2008

FR: Kenneth Kao

RE: CMIA and Proposition 1B Bond Program Accountability Update

Caltrans and CTC released the first quarter FY 2008-09 Proposition 1B CMIA and Route 99 Quarterly Update at the December CTC meeting. The region has five projects that are not listed as “green”. They are:

- Alameda / Contra Costa I-80 Integrated Corridor Mobility
 - Scope and schedule variances (red)
- Alameda / Contra Costa SR-24 Caldecott Tunnel Fourth Bore
 - Cost variances (yellow)- Corrective Action Plan has been submitted to HQ/CTC staff
- Marin / Sonoma US-101 Narrows
 - Schedule variance for PA&ED (yellow), due to Biological Opinion from US Fish and Wildlife Service
- Marin I-580/US-101 Connector
 - Schedule variances for Ready-to-List and Start construction (yellow). Amendment to be submitted
- Santa Clara US-101Aux Lanes (Embarcadero to SR-85)
 - Cost variance in PA&ED (yellow), will be backfilled with local funds

The CTC also held a public hearing and considered the adoption of the State-Local Partnership Program Guidelines at the December CTC meeting. MTC submitted comments in advance of the meeting regarding the draft SLPP Guidelines, asking CTC to grant more flexibility in the programming and use of these funds. The CTC’s revised memo addressing some of the region’s comments as well as the proposed SLPP Guidelines are attached. More information regarding the outcome of the SLPP Guidelines will be available at the PDWG meeting.

Please contact Kenneth Kao at (510) 817-5768 or kkao@mtc.ca.gov, or Judy Li at (510) 286-6320 or judy_li@dot.ca.gov with questions or comments.

Attachments

- A – First Quarter FY 2008-09 Proposition 1B CMIA/Rt 99 Quarterly Update (December CTC)
- B – MTC’s Comment Letter re: State-Local Partnership Program Guidelines, December 4, 2008
- C – State-Local Partnership Program Guidelines and CTC Staff Memo (December CTC)

State of California
DEPARTMENT OF TRANSPORTATION

Business, Transportation and Housing Agency

TAB 91

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: December 10-11, 2008

Reference No.: 3.19 - REVISED
Action Item

From: CINDY McKIM
Chief Financial Officer

Prepared by: Ross Chittenden
Program Manager
Proposition 1B

Subject: **PROPOSITION 1B - FY 2008-09 1st QUARTER REPORT: CORRIDOR MOBILITY IMPROVEMENT ACCOUNT AND STATE ROUTE 99 CORRIDOR PROGRAMS**

Attached is a revised version of the Department of Transportation's Fiscal Year 2008-09 1st Quarter Report for Proposition 1B Corridor Mobility Improvement Account and State Route 99 Corridor programs. The information contained in this version is substantially the same as what was previously provided to you with your monthly meeting materials. The two main differences are: 1) an appendix for project expenditures has been added to the report (starting on Page 16 of 21), and 2) a new "project number" column has been added to the far left of the reports. In addition, several typographical errors within the text of the report were also corrected. The changes reflected in this version were discussed with the Commission's Executive Committee on December 3, 2008.

Attachment



First Quarter FY 2008-09 Bond Program Project Delivery Report

**Quarterly Report to the
California Transportation
Commission**



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The Bond Program Project Delivery Report is prepared quarterly in November, February, May, and August. The Department of Transportation (Department) staff prepares this report. The purpose of this report is to monitor and track the progress of project delivery for projects in the bond programs.

Corridor Management Improvement Account (CMIA) Bond Program

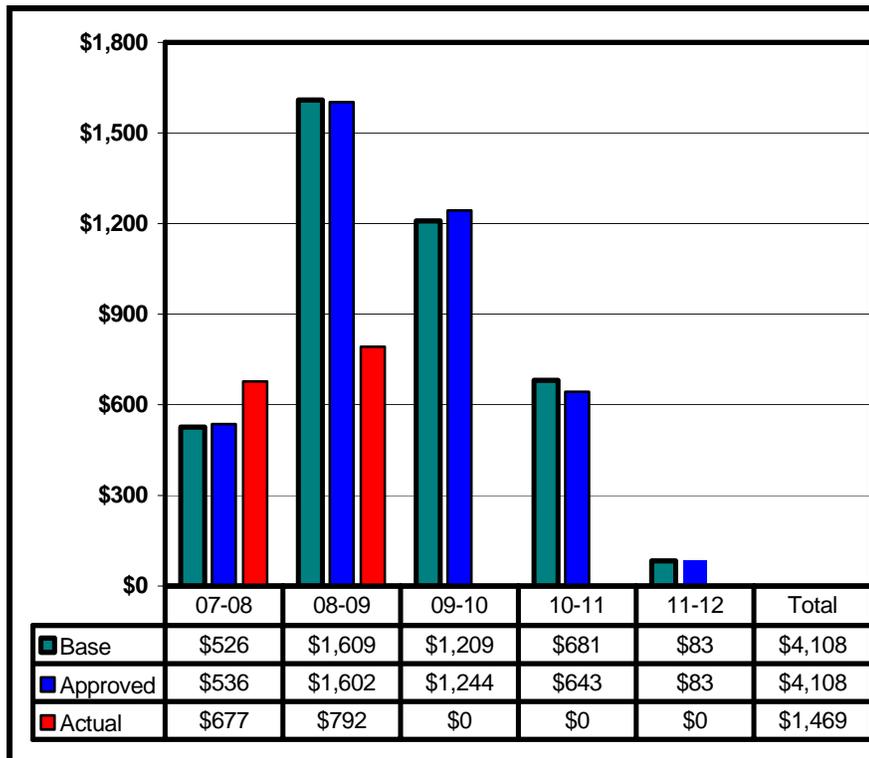
First Quarter FY 2008-09

CMIA Program Status

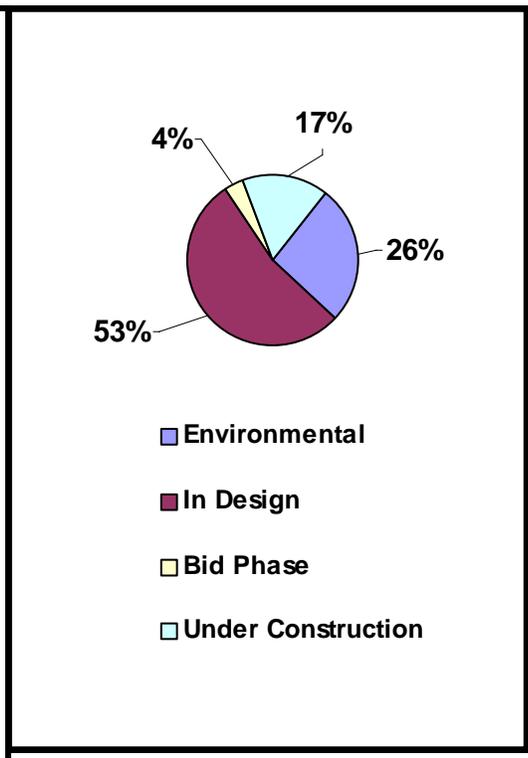
First Quarter FY 2008-09

In the CMIA bond program budget, \$4.108 billion is to be allocated for construction. There is also \$90 million set aside for bond administrative costs and an addition \$11 million that has not been committed. The balance of \$291 million is for non-construction funded project components including right of way capital and engineering support costs. To date, \$1.481 billion has been allocated. The total of \$1.481 billion committed to date utilizes 33 percent of the available program funds.

CMIA Bond Construction Capital Allocations (millions)



Projects by Phase



Corridor projects count in a phase if one or more projects have started that phase.

CMIA Bond Funds Committed (millions)

Component	Available	Allocated	Percent
Construction	\$ 4,108	\$ 1,469	36%
Non-Construction			
RW Capital	1	<1	
Support	290	12	
Subtotal	291	12	4%
Not Committed	11		
Bond Administration	90		
Program Total	\$ 4,500	\$ 1,481	33%

Completed Phases

Phase	Projects	Percent
Environmental	38	70%
Design	10	19%
Bid Phase	5	9%
Construction	0	0%

Corridor projects are completed in a phase when all projects have completed that phase.

CMIA Program Progress Report

First Quarter FY 2008-09

CMIA Projects / Delivery Status

Ala 24	Caldecott Tunnel	Design at 95%
Ala 580	SR 84 IC	Design at 90%
Ala 580	EB HOV Lns	Construction at 5%
Ala 580	WB HOV Lns	Environmental 60%
Ala 880	SB HOV Ln	Environmental 35%
Cal 4	Angels Camp	Construction at 5%
CC 4	Widen Sommersville	Design at 55%
CC 80	Integrated Corridor	Environmental 15%
ED 50	HOV El Dorado Hills	Bid Phase
Ker 46	Exp Segment 3	Design at 50%
Kin 198	Exp King / Tulare	Design at 100%
LA 405	HOV I-10 – SR-101	Design at 5%
LA 5	HOV Ora Cty - 605	Design at 30%
LA 5	HOV SR134/SR170	Design at 0%
Mon 1	Salinas Rd IC	Design at 90%
Mrn 101	Sonoma Narrows	Environmental 80%
Mrn 580	WB580–NB101 Con	Design at 90%
Nap 12	Jameson Canyon	Design at 10%
Nev 49	La Barr Meadows	Design at 95%
Ora 22	22/405/605 Conn	Design at 59%
Ora 57	NB Katella - Lincoln	Environmental 72%
Ora 57	NB SR91 - Lambert	Design at 35%
Ora 91	EB SR241 – SR71	Design at 85%
Ora 91	SR55-Weir Canyon	Environmental 15%
Pla 65	Lincoln Bypass	Construction at 5%
Pla 80	Capacity Phase 3A	Design at 95%
Pla 80	Capacity Phase 2	Construction at 10%
Riv 215	Mixed Flow I-15	Environmental 90%
Riv 91	HOV Gap Closure	Design at 40%
Sac 50	HOV Lanes	Design at 90%
Sac Loc	White Rock Widen	Environmental 35%
SBd 10	WB Mixed Flow Lns	Design at 65%
SBd 10	Ramps, Aux Lns	Design at 65%
SBd 210	210/215 Conn	Design at 95%
SBd 215	215 Segment 5	Design at 95%
SBd 215	215 Segment 1&2	Design at 95%
SCI 101	I-280 Yerba Buena	Environmental 95%
SCI 101	SR85 Embarcadero	Environmental 63%
SCI 880	SR237 – SR101	Environmental 54%
SCr 1	Soquel - Morrissey	Environmental 60%
SD 15	Managed Lanes	Construction (varies)
SD 5	North Coast Stg 1A	Construction at 0%
Sha 5	Cottonwood Hills	Design at 20%
SJ 205	Auxiliary Lanes	Environmental 95%
SLO 46	Whitley Impvmts	Design at 50%
SM 101	Embarcadero/Marsh	Design at 0%
Sol 80	HOV 680/Putah Crk	Construction at 20%
Son 101	Wilfred–Santa Rosa	Bid Phase
Son 101	SantaRosa/Windsor	Construction at 0%
Son 101	Railroad-Rohnert	Design at 85%
Sta 219	Phase 1 Exp	Construction at 5%
Sta 219	Phase 2 Exp	Design at 70%
Tuo 108	E. Sonora Bypass	Design at 20%
Ven 101	HOV Lns	Environmental 90%

- Green – No known scope, schedule or budget issues
- Yellow – Potential scope, schedule or budget impact
- Red – Known scope, schedule or budget impact

Projects in bid phase have completed design and allocated.

This report reflects the program delivery status of CMIA Program bond funds for the 54 projects adopted on March 15, 2007 by the California Transportation Commission. The projects adopted into the program have a current approved overall value of \$9.341 billion including CMIA bond funds for \$4.399 billion.

Overall Program Status

To date, 40 projects have completed the preliminary engineering and environmental evaluation phase, 13 projects have completed the design phase, two projects are currently in the bid phase, and nine projects are under construction. It is anticipated that the first CMIA project will be completed and open to traffic in the summer of 2009.

FY 2008-09 Accomplishments

Progress continues to be made to deliver and implement the adopted CMIA program.

To date, in FY 2008-09 three construction contracts were awarded, two projects completed the right of way milestone, three projects completed design, and one project completed the environmental document.

First Quarter FY 2008-09 Milestones Met

The following projects completed a major project delivery milestone in the last quarter:

Cty	Rte	Project	Milestone
Ala	580	EB Hov Lns (2 of 2)	Award
SD	15	Managed Lane (3 of 4)	Award
Son	101	HOV (Santa Rosa to Windsor)	Award
ED	50	HOV El Dorado Hills	End RW, Design
Son	101	HOV (Wilfred to Santa Rosa)	End RW, Design
Kin	198	Kin/Tul 198 Expwy	End Design
SM	101	Aux Lns (Embarcadero to Marsh)	Environmental

Program Management

Each project in the program is being monitored at the component level for potential scope, cost, and schedule changes to ensure timely delivery of the full scope as approved and adopted. Attached is a corrective actions report that identifies actions being taken to manage project changes.

The California Department of Transportation

First Quarter FY 2008-09

CMA Program Delivery Report 54 Planned Deliveries

PROJECT NUMBER		DISTRICT	COUNTY	ROUTE	ESTIMATED CONSTRUCTION CAPITAL VALUE (\$1,000's)	BOND CAPITAL VALUE (\$1,000's)	PROJECT DESCRIPTION	END ENVIRONMENTAL	END DESIGN	END RIGHT OF WAY	AWARD	SCOPE	BUDGET	SCHEDULE	CCA
1	04	Ala	580	\$ 65,428	\$ 26,573	Route 580 Eastbound HOV Lane Project (1 of 2)				02/04/09					12/01/11
				\$ 60,300	\$ 30,401	Route 580 Eastbound HOV Lane Project (2 of 2)			★				✔	✔	✔
2	04	Ala	580	\$ 114,800	\$ 88,435	Route 580 Westbound HOV Lane Project	11/01/09	03/01/11	03/01/11	08/01/11	✔	✔	✔		10/01/13
3	04	Ala	580	\$ 86,000	\$ 51,200	I-580 and Route 84 Interchange				01/01/09	✔	✔	✔		01/01/12
4	04	Ala	880	\$ 83,700	\$ 83,700	I-880 southbound HOV Lane Extension - Hegenberger	11/01/09	09/01/11	09/01/11	03/01/12	✔	✔	✔		04/01/14
5	04	Ala CC	80	\$ 47,100	\$ 47,100	I-80 Integrated Corridor Mobility Project	07/01/09	03/01/10	03/01/10	07/01/10	✘	✔	✘		10/01/11
6	10	Cal	4	\$ 31,965	\$ 4,438	Angels Camp Bypass				★	✔	✔	✔		09/01/10
7	04	CC	4	\$ 300,300	\$ 65,000	SR-4 East Widening from Somersville to SR 160		06/01/10	06/01/10	11/01/10	✔	✔	✔		12/01/14
8	04	Ala CC	24	\$ 345,000	\$ 157,400	Route 24/Caldecott Tunnel Corridor	★	01/02/09	01/01/09	08/03/09	✔	◆	✔		02/01/14
9	03	ED	50	\$ 37,808	\$ 20,000	HOV Lane - El Dorado Hills Blvd to w. of Bass Lake			★	12/01/08	✔	✔	✔		06/01/10
10	06	Ker	46	\$ 67,229	\$ 45,000	Route 46 Expressway - Segment 3		03/19/10	05/01/10	07/01/10	✔	✔	✔		07/26/14
11	06	Kin Tul	198	\$ 95,047	\$ 71,600	Kings/Tulare Rte. 198 Expressway			03/18/09	07/01/09	✔	◆	✔		02/01/12
12	07	LA	405	\$ 792,000	\$ 730,000	Rte 405 Carpool Lane I-10 TO us 101 (Northbound)		6/28/11	4/10/13	Design Build	01/28/09	✔	✔	✔	04/03/13
13	07	LA	5	\$ 575,543	\$ 387,000	Rte 5 Carpool Lane from Orange Co Line to I-605		07/01/10	07/01/10	10/22/10	✔	✔	✔		11/30/16
14	07	LA	5	\$ 92,000	\$ 20,000	Widen HOV Lanes on I-5 from Rte 134 to Rte 170 (1 of 4)	★	12/23/08	12/16/08	03/09/09					12/03/12
				\$ 180,947	\$ -	Widen HOV Lanes on I-5 from Rte 134 to Rte 170 (2 of 4)		11/02/09	10/01/09	04/20/10	✔	◆	✔		12/20/13
				\$ 34,200	\$ 8,000	Widen HOV Lanes on I-5 from Rte 134 to Rte 170 (3 of 4)		01/07/10	10/01/09	02/26/10					11/19/12
				\$ 150,000	\$ 45,000	Widen HOV Lanes on I-5 from Rte 134 to Rte 170 (4 of 4)		04/30/09	04/01/09	10/20/09					05/11/12
15	04	Mm Son	101	\$ 54,420	\$ 44,420	Highway 101 Marin-Sonoma Narrows Project (1 of 3)	01/26/09	07/01/10	07/01/10	12/01/10					12/02/13
				\$ 27,640	\$ 10,433	Highway 101 Marin-Sonoma Narrows Project (2 of 3)	01/26/09	12/01/10	12/01/10	06/01/11	✔	✔	◆		12/02/13
				\$ 31,270	\$ 17,337	Highway 101 Marin-Sonoma Narrows Project (3 of 3)	01/26/09	12/01/10	12/01/10	06/01/11					12/02/13

First Quarter FY 2008-09

Status as of 9/30/08

- Completed
- Awarded
- No known scope, budget or schedule impacts
- Completed ahead of schedule
- Awarded ahead of schedule
- Potential scope, budget or schedule impacts
- Behind schedule
- Award behind schedule
- Known scope, budget or schedule impacts

The California Department of Transportation

First Quarter FY 2008-09

CMIA Program Delivery Report 54 Planned Deliveries

PROJECT NUMBER	DISTRICT	COUNTY	ROUTE	ESTIMATED CONSTRUCTION CAPITAL VALUE (\$1,000's)	BOND CAPITAL VALUE (\$1,000's)	PROJECT DESCRIPTION	END ENVIRONMENTAL	END DESIGN	END RIGHT OF WAY	AWARD	SCOPE	BUDGET	SCHEDULE	CCA
16	04	Mm	580	\$ 13,200	\$ 13,200	WB 580/NB 101 Connector		03/11/09	02/01/09	06/03/09	✔	✔	⬡	07/10/10
17	05	Mon	1	\$ 32,633	\$ 32,633	Salinas Road Interchange		01/01/09	11/14/08	05/01/09	✔	✔	✔	07/01/11
18	04	Nap Sol	12	\$ 96,700	\$ 73,990	Jameson Canyon		04/01/10	04/01/10	09/01/10	✔	✔	✔	08/01/13
19	03	Nev	49	\$ 21,000	\$ 16,098	La Barr Meadows Widening		01/01/09	03/15/09	05/01/09	✔	✔	✔	06/01/12
20	12	Ora	22	\$ 291,000	\$ 200,000	Route 22/405/605 HOV Connector with ITS		04/01/10	03/01/10	08/01/10	✔	✔	⬡	02/01/14
21	12	Ora	57	\$ 106,188	\$ 70,000	Northbound widening, Route 91 to Lambert Road	★	05/01/10	04/01/10	09/01/10	✔	⬡	⬡	09/01/14
22	12	Ora	57	\$ 29,400	\$ 20,086	Northbound widening - Katella Ave to Lincoln Ave	08/01/09	04/01/11	03/01/11	08/01/11	✔	✔	⬡	03/01/15
23	12	Ora	91	\$ 69,800	\$ 22,000	Widening - Route 55 connector to Weir Canyon Rd	07/01/09	05/01/11	05/01/11	10/01/11	✔	✔	✔	12/01/14
24	12	Ora	91	\$ 65,000	\$ 65,000	SR 91 EB Lane - Route 241 to Route 71		03/01/09	03/01/09	08/01/09	✔	✔	✔	09/01/11
25	03	Pla	65	\$ 210,000	\$ 73,715	Lincoln Bypass		★	★	★	✔	⬡	✔	01/01/12
26	03	Pla	80	\$ 64,782	\$ 17,700	I-80 Capacity/Operational Improvments Phase 2		★	★		✔	✔	✔	10/01/10
27	03	Pla	80	\$ 28,000	\$ 28,000	I-80 Capacity/Operational Improvement Phase 3A		12/01/08	03/15/09	05/01/09	⬡	⬡	✔	01/01/11
28	08	Riv	215	\$ 55,100	\$ 38,570	Add mixed-flow lane from Rte 15 to Scott Rd	12/01/08	08/01/10	02/01/10	12/01/10	✔	✔	✔	12/01/13
29	08	Riv	91	\$ 177,146	\$ 142,600	Route 91 HOV Lane Gap closure	★	02/02/11	08/01/10	06/01/11	✔	⬡	✔	06/01/15
30	03	Sac	Loc	\$ 19,100	\$ 19,100	White Rock Rd Widening, Grant Line to Prairie City	07/01/09	12/01/10	12/01/10	05/01/11	✔	✔	✔	11/01/12
31	03	Sac	50	\$ 133,125	\$ 80,000	HOV lanes & Community enhancements	★	04/01/09	06/01/09	09/01/09	✔	✔	✔	01/02/13
32	08	SBd	10	\$ 26,523	\$ 19,233	Widen ramps, aux lanes: Cherry, Citrus & Cedar		08/02/09	06/01/09	01/06/10	✔	✔	✔	12/01/10
33	08	SBd	10	\$ 37,875	\$ 26,500	I-10, Construct Westbound mixed flow lane	★	10/01/09	10/01/09	01/01/10	✔	✔	✔	05/29/11
34	08	SBd	210 215	\$ 79,967	\$ 22,000	State Route 210/215 Connectors		05/15/09	11/01/08	08/17/09	✔	⬡	✔	11/15/13
35	08	SBd	215	\$ 289,482	\$ 49,120	Interstate 215 North Segments 1 & 2		06/30/09	11/14/08	09/14/09	✔	✔	✔	09/13/13
36	08	SBd	215	\$ 59,000	\$ 59,000	Interstate 215 North Segment 5		05/15/09	11/01/08	08/17/09	✔	✔	✔	11/15/13

First Quarter FY 2008-09

Status as of 9/30/08

- Completed
- Awarded
- No known scope, budget or schedule impacts
- Completed ahead of schedule
- Awarded ahead of schedule
- Potential scope, budget or schedule impacts
- Behind schedule
- Award behind schedule
- Known scope, budget or schedule impacts

The California Department of Transportation

First Quarter FY 2008-09

CMIA Program Delivery Report 54 Planned Deliveries

PROJECT NUMBER	DISTRICT	COUNTY	ROUTE	ESTIMATED CONSTRUCTION CAPITAL VALUE (\$1,000's)	BOND CAPITAL VALUE (\$1,000's)	PROJECT DESCRIPTION	END ENVIRONMENTAL	END DESIGN	END RIGHT OF WAY	AWARD	SCOPE	BUDGET	SCHEDULE	CCA	
37	11	SD	5	\$ 43,038	\$ 24,500	Route 5/805 North Coast Corridor - (1 of 2)	★		★	★	✔	✔	✔	10/30/09	
				\$ 77,000	\$ 52,500	Route 5/805 North Coast Corridor - (2 of 2)	03/30/09	10/01/09	09/29/09	12/29/09	✔	✔	✔	06/30/12	
38	11	SD	15	\$ 85,000	\$ 85,000	Managed Lanes (No/So Stages) South Segment (1 of 4)		★	★	★				01/26/11	
		SD		\$ 125,000	\$ 125,000	Managed Lanes (No/So Stages) South Segment (2 of 4)		★			✔	✔	✔	04/08/12	
		SD		\$ 90,000	\$ 90,000	Managed Lanes (No/So Stages) South Segment (3 of 4)		★	★	★				01/07/11	
		SD		\$ 28,859	\$ -	Managed Lanes (No/So Stages) South Segment (4 of 4)	01/23/09	10/16/10	06/18/10	03/04/11				03/03/13	
39	10	SJ	205	\$ 40,653	\$ 25,000	I-205 auxiliary lanes-Tracy					✔	✔	✔	03/01/13	
40	05	SLO	46	\$ 80,000	\$ 67,742	Route 46 Corridor Improvements (Whitley 1)					✔	✔	✔	07/08/13	
41	04	SM	101	\$ 81,731	\$ 49,473	SR101 Auxiliary Lanes - Embarcadero Rd to Marsh Rd					✔	✔	✔	11/01/13	
42	04	SCI	101	\$ 44,465	\$ 23,310	US 101 Improvements (I-280 to Yerba Buena Rd)	03/30/09	11/30/09	11/30/09	03/01/10	✔	✔	✔	12/01/12	
43	04	SCI	101	\$ 73,850	\$ 73,850	US 101 Aux Lanes - SR 85 to Embarcadero Rd	07/31/09	02/28/11	11/30/10	06/01/11	✔	✔	✔	08/01/13	
44	04	SCI	880	\$ 65,390	\$ 61,790	I-880 Widening (SR 237 to US 101)	06/30/09	02/28/11	02/28/11	05/15/11	✔	✔	✔	07/01/13	
45	05	SCr	1	\$ 15,640	\$ 15,640	Route 1 Soquel to Morrissey Auxiliary Lanes	06/01/09	04/01/10	03/01/10	09/01/10	✘	✔	✔	09/01/12	
46	02	Sha	5	\$ 20,802	\$ 20,802	Cottonwood Hills Truck Climbing Lane	★				✔	✔	✔	03/01/11	
47	04	Sol	80	\$ 53,210	\$ 37,833	I-80 HOV Lanes, I-80/I-680/12 to Putah Creek (1 of 3)								12/01/09	
				\$ 6,907	\$ 6,907	I-80 HOV Lanes, I-80/I-680/12 to Putah Creek (2 of 3)						✔	✔	✔	12/01/10
				\$ 3,400	\$ 3,400	I-80 HOV Lanes, I-80/I-680/12 to Putah Creek (3 of 3)									12/01/10
48	04	Son	101	\$ 63,270	\$ 41,700	US 101 HOV Lanes - Wilfred Ave to Santa Rosa Ave					✔	✔	✔	12/01/11	
49	04	Son	101	\$ 83,800	\$ 38,548	US101 HOV Lanes - Railroad Ave to Rohnert Park Exp	★				✔	✔	✔	12/01/11	
50	04	Son	101	\$ 91,200	\$ 61,360	US 101 HOV Lanes between Santa Rosa - Windsor					✔	✔	✔	01/01/11	

First Quarter FY 2008-09 Status as of 9/30/08

- Completed
- Completed ahead of schedule
- Behind schedule
- Awarded
- Awarded ahead of schedule
- Award behind schedule
- No known scope, budget or schedule impacts
- Potential scope, budget or schedule impacts
- Known scope, budget or schedule impacts

The California Department of Transportation

First Quarter FY 2008-09

CMIA Program Delivery Report 54 Planned Deliveries

PROJECT NUMBER	DISTRICT	COUNTY	ROUTE	ESTIMATED CONSTRUCTION CAPITAL VALUE (\$1,000's)	BOND CAPITAL VALUE (\$1,000's)	PROJECT DESCRIPTION	END ENVIRONMENTAL	END DESIGN	END RIGHT OF WAY	AWARD	SCOPE	BUDGET	SCHEDULE	CCA
51	10	Sta	219	\$ 26,000	\$ 18,813	SR 219 Expressway, Phase 2		04/01/09	06/30/09	10/01/09	✔	⬡	✔	12/01/11
52	10	Sta	219	\$ 12,760	\$ 12,760	Route 219 Expressway Phase 1			★		✔	⬡	✔	12/15/09
53	10	Tuo	108	\$ 33,800	\$ 13,858	E. Sonora Bypass Stage II		11/01/09	07/01/09	03/18/10	✔	✔	✔	04/01/12
54	07	Ven SB	101	\$ 116,300	\$ 116,300	HOV Lanes, Mussel Shoals to Casitas Pass Road	11/28/08	05/31/10	04/30/10	01/18/11	✔	✔	✔	07/31/15

First Quarter FY 2008-09

Status as of 9/30/08

Legend

-  Completed
-  Awarded
-  No known scope, budget or schedule impacts
-  Completed ahead of schedule
-  Awarded ahead of schedule
-  Potential scope, budget or schedule impacts
-  Behind schedule
-  Award behind schedule
-  Known scope, budget or schedule impacts

CMIA Bond Program Corrective Action Report

First Quarter FY 2008-09

#	D	Co	Rte	Project Title	ID	Approved Bond Funding (\$1,000's)	Approved Total Project Cost (\$1,000's)	Triggered Variance	Proposed Corrective Actions
(1) Potential Projects to Watch									
5	04	CC	80	I-80 Intergrated Corridor Mobility Project	3A770	55,300	63,400	PAED Date Des Date RW Date Award Scope (add, split)	Letter submitted last quarter by implementing agency regarding status. Corrective action plan being prepari Plan shows combining this project with a similar project and delivering the revised project as six contracts, including two procurement contracts, three traffic systems management contracts, and a ramp metering contract.
35	08	SBD	215	Interstate 215 North Segments 1 & 2	0071V	49,120	424,085	None	A CMIA Program Amendment was approved on June 26, 2008 which shifted a significant amount of funds from construction to right of way (Transfer of \$25 million). This reduced the construction budget by more than ten percent. While recent bid prices may support such a reduction, this project is to be monitored to ensure that when the project is delivered sufficient funds need to be available to fund the estimated cost at time of planned allocation.
45	05	SCr	1	Route 1 Soquel to Morrissey Auxiliary Lanes	0F650	15,640	15,640	Scope Con Cap	Letter submitted to request a change in project scope. Letter proposes three things - to add bicycle and pedestrian improvements to the La Fonda Overcrossing to improve access across Highway 1, to defer bicycle and pedestrian access improvements at the Morrissey IC to a future Route 1 HOV lanes project and use the cost savings to cover increased costs to replace the La Fonda Overcrossing and keep the overall project co within budget.
(2) Program Amendments requiring Commission action									
(2A) Program Amendments approved by the Commission during the past quarter									
14	07	LA	5	Widen HOV Lanes on I-5 from Rte 134 to Rte 170	12181	73,000	120,930	PAED-Sup	CMIA Program Amendment was approved on July 24, 2008. Project is in development as four stages. Changes include adding Empire Avenue Interchange, closing 1-mile gap of HOV lanes, cost from \$357 million to \$610 million, and construction completion date from 2013 to 2014.
					12182		248,627	Des-Sup	
					12183		50,844	Con-Sup	
					12184		189,138	Con-Cap	
13	07	LA	5	Rte 5 Carpool Lane from Orange Co Line to I-605	2159A	387,000	1,240,524	PAED-Sup Des-Sup RW-Sup Con-Sup	CMIA Program Amendment was approved on July 24, 2008 to increase STIP funding for all support components.
12	07	LA	405	Route 405 Carpool Lane I-10 to US 101(Northbound)	12030	730,000	950,000	PAED-Sup Des-Date RW-Date RW-Sup	CMIA Program Amendment was approved on September 25, 2008 which transfers money between support components to deal with reported budget variances. Schedule variances are related to how dates were planned and defined as a design build type contract.
(2B) Project changes that will require Commission action - plan has been submitted for preparation of program amendment.									
8	04	ALA	24	Route 24/Caldecott Tunnel Corridor	29490	175,000	420,000	Des Sup RW Sup	Corrective action plan proposes to eliminate landscaping portion of work (and local funds for it) to maintain schedule. It also proposes to split the contract into four segments, within the cost and schedule of the approved bond project. The proposed splits are operational improvements incidental to corridor operations. Support variances are corrected by transferring funds from construction contingency balance.

PDWG - 12/15/08: Item 4B (A)

CMIA Bond Program Corrective Action Report

First Quarter FY 2008-09

#	D	Co	Rte	Project Title	ID	Approved Bond Funding (\$1,000's)	Approved Total Project Cost (\$1,000's)	Triggered Variance	Proposed Corrective Actions
20	12	ORA	22	Route 22/405/605 HOV Connector with ITS	07163	200,000	400,000	End Des End RW Award End Con	Begin design date was delayed 5 months pending FHWA authorization for local funds. Corrective action plan submitted proposes a project splits and additional milestone delays (design, construction, right of way dates).
21	12	ORA	57	Northbound widening, Route 91 to Lamber Road	0F030	70,000	140,000	End Des End RW Award End Con RW Sup RW Cap	Begin design date was delayed 3 months to bring consultant on board for design work. Corrective action plan proposes splitting project in two and delays in design and construction dates. Delay due to delayed start of design, additional right of way parcels needed for temporary construction easements. There is also a \$2.75 million increase in rw costs which will be funded with local funds.
22	12	ORA	57	Northbound widening - Katella Ave to Lincoln Ave	0F040	20,086	41,086	End Des End RW Award	Corrective action plan submitted discusses delay in Begin dates for several milestones. These were due to delay in selecting PAED consultant until budget was approved, and this has impacted subsequent milestone dates.
25	03	PLA	65	Lincoln Bypass	3338U	73,715	323,460	Des Sup RW Sup RW Cap Con Sup	There are significant project savings as a result of the bids received and the amount committed when the project was awarded. Corrective action plan proposes to transfer savings to cover cost components that are higher than approved in the baseline agreement, and transfer remaining savings into reserve for future use in the corridor.
27	03	PLA	80	I-80 Capacity/Operational Improvement Phase 3A	36783	31,300	34,000	Des Sup RW Sup RW Cap Con Sup Con Cap Scope (add work)	Corrective action plan proposes to transfer savings from Phase 2 to this project Phase 3A. Because of the value of available savings, the plan also proposes to increase the scope of the Phase 3A project to add Phase 3B which was nominated for CMIA funds. The overall plan would be fully funded utilizing existing programmed funds, bid savings and local funds.
(2C) Project changes that will require Commission action - preparation of a plan has just started and will be submitted at a later date									
11	06	Kin Tul	198	Kings/Tulare Rte. 198 Expressway	3568U	71,600	124,507	Des Sup RW Sup RW Cap	Corrective action plan was prepared and submitted to transfer STIP and TCRP savings from some components to cover overruns in other components, and proposes to split out a follow up landscape mitigation project. The overall project costs remains within available funds, and there are no scope or schedule changes.
16	04	MRN	580	WB 580/NB 101 Connector	4A140	20,000	20,000	Des Date RW Date Award	Corrective action plan being prepared to address slip in project delivery. The delay is due to the need to revise the project plans and eliminate some work to keep the project within budget.
36	08	SBD	215	I-215 North Segment 5	00719	59,000	66,676	None	Plan is being proposed to combine these two projects for construction. One project has savings that can offset the cost overruns on the other project. It is anticipated that any variances identified to date will be eliminated when the projects are combined.
34			210 215	State Route 210/215 Connectors	44407	22,000	96,204	Des Sup Con Sup	
52	10	STA	219	Route 219 Expressway Phase 1	0A870	14,760	50,496	Des Sup RW Sup	A corrective action plan will be submitted next quarter. It is expected that between the two projects, funds will be transferred between components and projects to keep both projects within available funds.
51	10	STA	219	SR 219 Expressway, Phase 2	0A872	18,813	50,500	Des Sup	
(3) Project changes approvable by the project team									
(3A) Project changes approved by the project team during the past quarter									
40	05	SLO	46	Route 46 Corridor Improvements (Whitley I)	33072	67,742	105,000	RW Sup	Corrective action plan submitted transfers \$600,000 Federal Demo funds reducing PSE costs to \$6,400,000 and increasing RW Support costs to \$1,200,000.

PDWG - 12/15/08: Item 4B (A)

CMIA Bond Program Corrective Action Report

First Quarter FY 2008-09

#	D	Co	Rte	Project Title	ID	Approved Bond Funding (\$1,000's)	Approved Total Project Cost (\$1,000's)	Triggered Variance	Proposed Corrective Actions
24	12	ORA	91	SR 91 EB Lane - Route 241 to Route 71	0G040	71,440	80,500	RW Sup RW Cap	Corrective action plan submitted increases local funds (Measure M) on the following components: RW capital from \$600,000 to \$924,000; RW support from \$60,000 to \$400,000 and PAED support from \$1,700,000 to \$1,944,000. These changes are also being reflected in a revised cooperative agreement.
(3B) Project changes identified this quarter - change likely to be documented and approved by the project team in conjunction with funding partners									
15	05	Mfn	101	Highway 101 Marin-Sonoma Narrows Project	26406 26407 26408	82,400	77,310 67,440 58,049	PAED Date	PAED milestone has been delayed slightly pending final approval of Biological Opinion from USFWS to complete the environmental document and subsequent project approvals.
14	07	LA	5	Widen HOV Lanes on I-5 from Rte 134 to Rte 170	12181 12182 12183 12184	73,000	120,930 248,627 50,844 189,183	Des Sup	Design support expenditures have exceeded the available budget (in three of four split projects). Variance is new, and it is expected that the district will work with MTA to develop a corrective plan and submit next quarter.
29	08	RIV	91	Route 91 HOV Lane Gap closure	44840	157,198	240,277	RW Cap	Right of way capital variance triggered by identified utility conflicts. Plan has been developed to address costs as follows (a) finalize utility conflicts, costs (b) minimize cost impacts and conflicts, and (c) if necessary secure additional local funds to cover final costs.
43	04	SCL	101	US 101 Aux Lanes - SR 85 to Embarcadero Rd	4A330	84,930	102,258	PAED Sup	Plan is being developed and will be submitted next quarter to transfer savings between other projects on the same corridor. It is anticipated that there will be sufficient PAED savings from another project to cover PAED costs on this project.
37	11	SD	5 805	Route 5/805 North Coast Corridor - Stage 1A	2T040	57,500	102,000	PAED Sup Des Sup RW Sup	Corrective action plan submitted indicates variances can be handled by applying savings from right of way cost savings to cover other components.
39	10	SJ	205	I-205 auxiliary lanes-Tracy	0Q270	25,000	51,600	Con Sup	Plan is being developed and will be submitted next quarter to transfer local funds between components. It is anticipated that there will be sufficient right of way savings to cover construction support costs.

State Route 99 (SR99) Bond Program Report

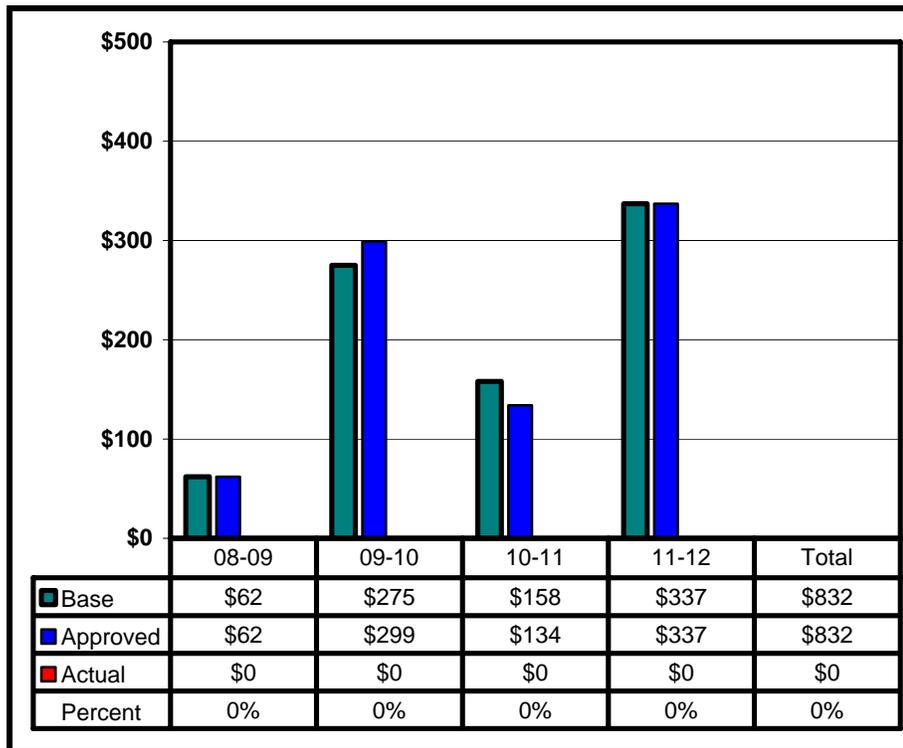
First Quarter FY 2008-09

State Route 99 Corridor Program Status

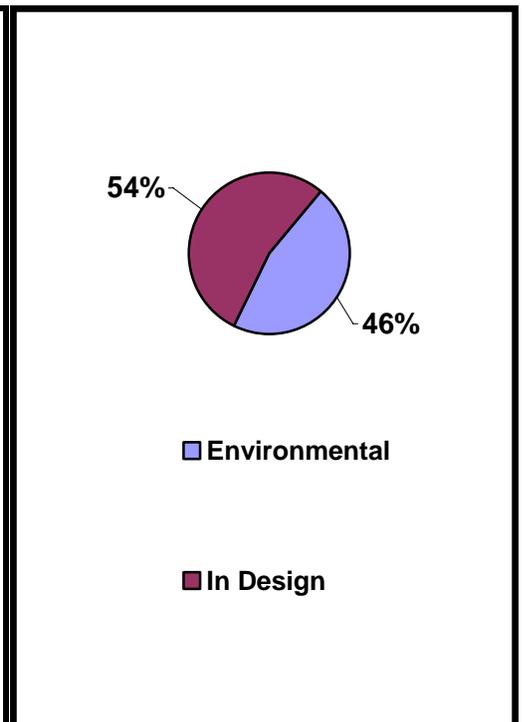
First Quarter FY 2008-09

In the State Route 99 Corridor bond program budget, \$832 million is to be allocated for construction. There is also \$20 million set aside for bond administrative costs. The balance of \$148 million is for non-construction funded project components including right of way capital and engineering support costs. To date, \$10 million has been allocated for support and right of way. The total of \$10 million committed to date utilizes one percent of the available program funds.

SR99 Bond Construction Capital Allocations (millions)



Projects by Phase



SR99 Bond Funds Committed (millions)

Component	Available	Allocated	Percent
Construction	\$ 832	\$ 0	0%
Non-Construction			
RW Capital	54	5	
Support	94	5	
<u>Subtotal</u>	<u>148</u>	<u>10</u>	<u>7%</u>
Bond Administration	20		
Program Total	\$ 1,000	\$ 10	1%

Completed Phases

Phase	Projects	Percent
Environmental	7	54%
Design	0	0%
Bid Phase	0	0%
Construction	0	0%

State Route 99 Corridor Progress Report

First Quarter FY 2008-09

This report reflects the program delivery status of State Route 99 Corridor bond funds for the 13 projects adopted on March 15, 2007 by the California Transportation Commission. The projects adopted into the program have a current approved overall value of \$1.333 billion including SR99 bond funds for \$980 million.

Overall Program Status

To date, seven projects have completed the preliminary engineering and environmental evaluation phase, and are currently being designed to prepare plans for construction. It is anticipated that the first SR99 Corridor project will go to construction in August, 2009.

FY 2008-09 Accomplishments

Progress continues to be made to deliver and implement the adopted SR99 Corridor program.

To date, in FY 2008-09 one project has completed the preliminary engineering and environmental phase.

First Quarter FY 2008-09 Milestones Met

The following projects completed a major project delivery milestone in the last quarter:

Cty	Rte	Project	Milestone
SJ	99	South Stockton Widening	Environmental

Program Management

Each project in the program is being monitored at the component level for potential scope, cost, and schedule changes to ensure timely delivery of the full scope as approved and adopted. Attached is a corrective actions report that identifies actions being taken to manage project changes.

SR99 Projects / Delivery Status

But	99	Chico Aux Lns	● Design at 65%
Fre	99	Island Park	● Environmental at 75%
Mad	99	Ave 12 IC	● Environmental at 75%
Mer	99	Arboleda Freeway	● Design at 75%
Mer	99	Fwy Plainsburg IC	● Design at 30%
Sac	99	Calvine – Mack Rd	● Environmental at 80%
Sac	99	Elverta Rd IC	● Environmental at 80%
SJ	99	Stockton Widen	■ Design at 5%
SJ	99	Manteca Widen	● Environmental at 45%
Sut	99	Feather River Br	● Design at 90%
Sut	99	Riego Rd IC	● Design at 20%
Teh	99	Los Molinos	● Environmental at 15%
Tul	99	Goshen / Kingsburg	● Design at 30%

● Green – No known scope, schedule or budget issues

◆ Yellow – Potential scope, schedule or budget impact

■ Red – Known scope, schedule or budget impact

The California Department of Transportation

First Quarter FY 2008-09

SR99 Program Delivery Report 13 Planned Deliveries

Project Number	DISTRICT	COUNTY	ROUTE	ESTIMATED CONSTRUCTION CAPITAL VALUE (\$1,000's)	BOND CAPITAL VALUE (\$1,000's)	PROJECT DESCRIPTION	END ENVIRONMENTAL	END DESIGN	END RIGHT OF WAY	AWARD	SCOPE	COST	SCHEDULE	CCA
1	03	But	99	\$ 33,352	\$ 23,520	Butte SR 99 Chico Auxilliary Lanes - Phase II		01/02/10	03/15/10	05/01/10	✓	✓	✓	09/01/12
2	06	Fre Mad	99	\$ 75,000	\$ 75,000	Island Park 6-Lane	04/01/10	03/01/12	05/01/12	09/01/12	✓	✓	✓	07/01/16
3	06	Mad	99	\$ 50,000	\$ 43,600	Ave 12 Interchange	09/01/09	05/01/12	07/01/12	10/01/12	✓	✓	✓	11/01/15
4	10	Mer	99	\$ 94,700	\$ 94,700	Freeway Upgrade & Plainsburg Road I/C		09/01/10	09/01/10	01/18/11	✓	✓	✓	02/01/14
5	10	Mer	99	\$ 127,000	\$ 127,000	Arboleda Road Freeway		01/02/10	01/02/10	05/18/10	✓	✓	✓	06/01/13
6	03	Sac	99	\$ 24,400	\$ 19,110	SR 99/Elverta Rd. Interchange	07/01/09	12/01/10	12/01/10	05/01/11	✓	✓	✓	01/01/13
7	03	Sac	99	\$ 6,000	\$ 6,000	Calvine Rd to Mack Rd Auxiliary Lanes on SR 99	01/15/09	02/01/10	04/01/10	06/01/10	✓	✓	✓	12/01/11
8	10	SJ	99	\$ 159,000	\$ 106,100	SR 99 (South Stockton) Widening	★	02/01/12	01/15/12	05/15/12	✓	✗	✓	06/01/15
9	10	SJ	99	\$ 212,800	\$ 111,900	SR 99 Widening in Manteca and San Joaquin	06/01/09	10/01/11	09/01/11	03/01/12	✓	✓	✓	07/01/15
10	03	Sut	99	\$ 73,126	\$ 62,000	Feather River Bridge Replacement and widening		04/01/09	06/01/09	08/01/09	✓	✓	✓	05/01/12
11	03	Sut	99	\$ 21,300	\$ 16,110	SR 99/Riego Road Interchange	★	01/01/11	09/01/11	05/01/11	✓	✓	✓	01/01/13
12	02	Teh	99	\$ 4,800	\$ 4,800	Los Molinos	10/01/09	10/15/10	09/15/10	03/01/11	✓	✓	✓	11/01/12
13	06	Tul	99	\$ 150,000	\$ 141,966	Goshen/Kingsburg 6-Lane		02/01/10	03/01/10	07/01/10	✓	✓	✓	08/01/13

First Quarter FY 2008-09

Status as of 9/30/08

-  Completed
-  Completed ahead of schedule
-  Behind schedule
-  Awarded
-  Awarded ahead of schedule
-  Award behind schedule
-  No known scope, budget or schedule impacts
-  Potential scope, budget or schedule impacts
-  Known scope, budget or schedule impacts

State Route 99 Corridor Bond Program Corrective Action Report

First Quarter FY 2008-09

#	D	Co	Rte	Project Title	ID	Approved Bond Funding	Approved Total Project Cost	Triggered Variance	Proposed Corrective Actions
(1) Potential Projects to Watch									
8	10	SJ	99	SR 99 (South Stockton) Widening	3A100	153,900,000	250,500,000	PAED Sup	This project is to be monitored because the variance has been known for several quarters without a plan being submitted. The Department in conjunction with the project sponsor is updating and reviewing all remaining project costs as the project is completing the environmental phase. It is anticipated that there will be sufficient savings from the available right of way funds to keep the project overall costs within budget. Once the environmental costs are final and the right of way costs have been updated, a corrective action plan will be prepared to make adjustments to the project budget.
(2) Program Amendments requiring Commission action									
(2A) Program Amendments approved by the Commission during the past quarter									
<i>No projects to report this quarter.</i>									
(2B) Project changes that will require Commission action - plan has been submitted for preparation of program amendment.									
<i>No projects to report this quarter.</i>									
(2C) Project changes that will require Commission action - preparation of a plan has just started and will be submitted at a later date									
<i>No projects to report this quarter.</i>									
(3) Project changes approvable by the project team									
(3A) Project changes approved by the project team during the past quarter									
6	03	SAC	99	SR 99/Elverta Rd. Interchange	37150	19,110,000	29,600,000	PAED Sup	A corrective action has been prepared and submitted to increase the PAED budget by increasing the local contribution from \$400,000 to \$1,000,000 to fully cover the current estimate for PAED.
11	03	SUT	99	SR 99/Riego Road Interchange	40660	19,110,000	30,840,000	PAED Sup	Sutter County, as implementing and lead agency for PAED has supplemented its own budget from \$340,000 to \$520,000 to fully cover the current estimate for PAED.
(3B) Project changes identified this quarter - change likely to be documented and approved by the project team in conjunction with funding partners									
<i>No projects to report this quarter.</i>									

Appendix

Project Expenditures

(a) CMIA Program

(b) SR99 Program

First Quarter FY 2008-09

CMIA Program Project Expenditures

First Quarter FY 2008-09

Legend																						
No known budget impacts					Potential budget impacts					Known budget impacts					** Expenditures in accordance STIP guidelines.							
					Environmental (PAED) Support (1,000's)			Design Support (1,000's)			Right of Way (1,000's)					Construction (1,000's)						
											Support			Capital		Support			Capital			
#	D	CO	RTE	PROJECT DESCRIPTION		Agency	Appd	Exp	Agency	Appd	Exp	Agency	Appd	Exp	Appd	Exp	Agency	Appd	Exp	Appd	Exp	
1	04	Ala	580	Route 580 Eastbound HOV Lane Project		1/2	ACMA	6,300	6,300	ACMA	530	530	ACMA	0	0	0	0	Caltrans	7,950	0	65,429	0
						2/2	ACMA	5,700	5,700	ACMA	470	470	ACMA	0	0	0	0	Caltrans	7,190	574	60,300	4,054
2	04	Ala	580	Route 580 Westbound HOV Lane Project		ACMA	10,000	2,500	ACMA	2,000	250	ACMA	500	0	2,500	0	Caltrans	15,600	0	114,800	0	
3	04	Ala	580	I-580 and Route 84 Interchange		Livermore	14,300	3,100	Livermore	15,000	9,500	Livermore	0	0	20,900	19,500	Caltrans	16,800	0	86,000	0	
4	04	Ala	880	I-880 southbound HOV Lane Extension - Hegenberger		ACMA	4,520	984	ACMA	6,980	266	ACMA	1,900	0	0	0	Caltrans	10,900	0	83,700	0	
5	04	Ala	80	I-80 Integrated Corridor Mobility Project		ACMA	1,800	0	ACMA	6,300	0	ACMA	0	0	0	0	Caltrans	8,200	0	47,100	0	
6	10	Cal	4	Angels Camp Bypass		Caltrans	1,678	**1,685	Caltrans	3,374	**4,322	Caltrans	2,335	**2,517	18,600	18,168	Caltrans	3,600	2,151	31,965	12,907	
7	04	CC	4	SR-4 East Widening from Somersville to SR 160		CCTA	300	0	CCTA	29,000	11,400	CCTA	0	0	66,700	780	Caltrans	48,700	0	300,300	0	
8	04	Ala CC	24	Route 24/Caldecott Tunnel Corridor		Caltrans	20,500	19,882	Caltrans	31,100	22,443	Caltrans	200	249	800	46	Caltrans	22,400	0	345,000	0	
9	03	ED	50	HOV Lane - El Dorado Hills Blvd to w. of Bass Lake		ED Co DOT	0	0	ED Co DOT	3,000	2,300	ED Co DOT	100	0	100	0	ED Co DOT	3,560	0	37,808	0	
10	06	Ker	46	Route 46 Expressway - Segment 3		Caltrans	450	438	Caltrans	4,795	1,985	Caltrans	1,055	629	10,603	55	Caltrans	9,900	0	67,229	0	
11	06	Kin Tul	198	Kings/Tulare Rte. 198 Expressway		Caltrans	2,039	1,982	Caltrans	5,056	5,653	Caltrans	2,537	2,801	12,981	9,419	Caltrans	10,000	0	91,894	0	
12	07	LA	405	Route 405 Carpool Lane I-10 to US 101(Northbound)		Caltrans	22,000	20,845	Caltrans	39,000	5,973	Caltrans	15,000	13	82,000	17	Metro	0	0	792,000	0	
13	07	LA	5	Rte 5 Carpool Lane from Orange Co Line to I-605		Caltrans	19,930	18,944	Caltrans	42,391	2,733	Caltrans	15,523	53	507,073	3	Caltrans	80,064	0	575,543	0	

CMIA Program Project Expenditures

First Quarter FY 2008-09

Legend																					
<div style="display: flex; justify-content: space-between;"> <div style="width: 25%;"> <p> No known budget impacts</p> <p> Potential budget impacts</p> <p> Known budget impacts</p> <p>** Expenditures in accordance STIP guidelines.</p> </div> <div style="width: 20%;"> <p>Environmental (PAED) Support (1,000's)</p> </div> <div style="width: 10%;"> <p>Design Support (1,000's)</p> </div> <div style="width: 15%;"> <p>Right of Way (1,000's)</p> </div> <div style="width: 20%;"> <p>Construction (1,000's)</p> </div> </div>																					
#	D	CO	RTE	PROJECT DESCRIPTION	Environmental (PAED) Support (1,000's)			Design Support (1,000's)			Right of Way (1,000's)					Construction (1,000's)					
					Agency	Appd	Exp	Agency	Appd	Exp	Agency	Appd	Exp	Appd	Exp	Agency	Appd	Exp	Appd	Exp	
14	07	LA	5	Widen HOV Lanes on I-5 from Rte 134 to Rte 170	1/4	Caltrans	780	714	Caltrans	8,300	9,441	Caltrans	850	813	7,000	142	Caltrans	12,000	0	92,000	0
					2/4	Caltrans	1,700	1,579	Caltrans	16,000	16,945	Caltrans	1,980	412	36,500	34	Caltrans	11,500	0	180,947	0
					3/4	Caltrans	350	301	Caltrans	4,700	3,274	Caltrans	570	66	6,000	16	Caltrans	5,024	0	34,200	0
					4/4	Caltrans	1,300	1,165	Caltrans	12,320	11,319	Caltrans	1,800	568	11,000	43	Caltrans	12,718	0	150,000	0
15	04	Mrn	101	Highway 101 Marin-Sonoma Narrows Project Segment A	1/3	Caltrans	7,600	7,400	Caltrans	5,920	161	Caltrans	110	0	1,100	0	Caltrans	8,160	0	54,420	0
					2/3	Caltrans	7,600	7,400	Caltrans	3,760	15	Caltrans	2,210	0	22,080	0	Caltrans	4,150	0	27,640	0
					3/3	Caltrans	7,600	7,400	Caltrans	4,380	10	Caltrans	919	0	9,190	0	Caltrans	4,690	0	31,270	0
16	04	Mrn	580	WB 580/NB 101 Connector	TAM	1,300	1,269	TAM	2,900	1,454	TAM	0	0	500	0	Caltrans	2,100	0	13,200	0	
17	05	Mon	1	Salinas Road Interchange	Caltrans	3,068	2,899	Caltrans	2,950	**3,172	Caltrans	757	471	4,680	44	Caltrans	4,428	0	32,633	0	
18	04	Nap Sol	12	Jameson Canyon	Caltrans	7,300	7,277	STA	7,550	656	Caltrans	2,350	22	16,600	22	Caltrans	10,000	0	95,700	0	
19	03	Nev	49	La Barr Meadows Widening	Caltrans	2,900	2,853	Caltrans	2,600	2,219	Caltrans	1,500	968	9,000	1,075	Caltrans	3,500	0	21,000	0	
20	12	Ora	22	Route 22/405/605 HOV Connector with ITS	OCTA	0	0	OCTA	26,000	12,694	OCTA	0	0	28,500	5,700	Caltrans	54,500	0	291,000	0	
21	12	Ora	57	Northbound widening, Route 91 to Lambert Road	OCTA	1,662	1,190	OCTA	12,240	3,458	Caltrans	200	13	1,350	1	Caltrans	18,360	0	106,188	0	
22	12	Ora	57	Northbound widening - Katella Ave to Lincoln Ave	OCTA	1,176	802	OCTA	3,528	0	Caltrans	150	0	1,540	0	Caltrans	5,292	0	29,400	0	
23	12	Ora	91	Widening - Route 55 connector to Weir Canyon Rd	Caltrans	4,763	2,940	Caltrans	9,050	0	Caltrans	423	0	3,087	0	Caltrans	8,877	0	69,800	0	
24	12	Ora	91	SR 91 EB Lane - Route 241 to Route 71	OCTA	1,944	1,944	Caltrans	6,700	6,300	Caltrans	400	361	924	8	Caltrans	6,440	0	65,000	0	
25	03	Pla	65	Lincoln Bypass	Caltrans	6,000	4,083	Caltrans	13,000	**14,613	Caltrans	3,000	**3,317	70,000	**50,256	Caltrans	22,000	614	210,000	5,705	

CMIA Program Project Expenditures

First Quarter FY 2008-09

Legend				Environmental (PAED) Support (1,000's)	Design Support (1,000's)			Right of Way (1,000's)						Construction (1,000's)									
								Support			Capital			Support			Capital						
<div style="display: flex; justify-content: space-between;"> No known budget impacts</div> <div style="display: flex; justify-content: space-between;"> Potential budget impacts</div> <div style="display: flex; justify-content: space-between;"> Known budget impacts</div>				** Expenditures in accordance STIP guidelines.																			
#	D	CO	RTE	PROJECT DESCRIPTION	Agency	Appd	Exp	Agency	Appd	Exp	Agency	Appd	Exp	Appd	Exp	Agency	Appd	Exp	Appd	Exp			
26	03	Pla	80	I-80 Capacity/Operational Improvements Phase 2	Caltrans	1,900	**2,067	Caltrans	5,000	**6,314	Caltrans	400	267	650	89	Caltrans	7,500	659	64,782	928			
27	03	Pla	80	I-80 Capacity/Operational Improvement Phase 3A	Caltrans	0	0	Caltrans	2,500	2,172	Caltrans	100	37	100	9	Caltrans	3,300	0	28,000	0			
28	08	Riv	215	Add mixed-flow lane from Rte 15 to Scott Rd	RCTC	3,623	2,634	RCTC	3,548	0	RCTC	0	0	50	0	RCTC	0	0	55,100	0			
29	08	Riv	91	Route 91 HOV Lane Gap closure	RCTC	2,681	2,681	Caltrans	13,070	5,545	RCTC	1,100	1,120	31,682	1,472	Caltrans	14,598	0	177,146	0			
30	03	Sac	Loc	White Rock Rd Widening, Grant Line to Prairie City	Sac Co DOT	1,500	491	Sac Co DOT	2,000	0	Sac Co DOT	0	0	4,000	0	Sac Co DOT	0	0	19,100	0			
31	03	Sac	50	HOV lanes & Community enhancements	Caltrans	5,000	4,601	Caltrans	9,000	4,539	Caltrans	1,800	800	3,400	115	Caltrans	14,000	0	131,800	0			
32	08	SBd	10	Widen ramps, aux lanes: Cherry, Citrus & Cedar	Caltrans	1,200	647	Caltrans	1,900	619	Caltrans	440	0	130	0	Caltrans	2,500	0	26,523	0			
33	08	SBd	10	I-10, Construct Westbound mixed flow lane	SANBAG	0	0	SANBAG	5,000	857	SANBAG	20	0	291	0	SANBAG	0	0	37,875	0			
34	08	SBd	210	State Route 210/215 Connectors	SANBAG	1,800	1,800	SANBAG	4,000	4,400	Caltrans	2,000	506	8,437	576	SANBAG	0	0	79,967	0			
35	08	SBd	215	Interstate 215 North Segments 1 & 2	Caltrans	816	782	SANBAG	26,792	23,047	Caltrans	10,090	8,072	96,905	23,452	SANBAG	0	0	289,482	0			
36	08	SBd	215	Interstate 215 North Segment 5	Caltrans	0	0	SANBAG	4,541	4,541	Caltrans	585	121	2,550	250	SANBAG	0	0	59,000	0			
37	11	SD	15	Managed Lanes (No/So Stages) South Segment	1/4	Caltrans	0	0	Caltrans	19,606	9,144	Caltrans	100	43	25	3	Caltrans	14,025	2,289	85,000	5,630		
					2/4	Caltrans	0	0	Caltrans	15,523	10,780	Caltrans	1,000	323	3,448	56	Caltrans	21,236	602	125,000	9,119		
					3/4	Caltrans	0	0	Caltrans	15,760	9,859	Caltrans	685	239	1,022	40	Caltrans	14,739	3,031	90,000	18,690		
					4/4	Caltrans	2,970	1,768	Caltrans	5,740	0	Caltrans	1,929	75	11,100	1	Caltrans	6,200	0	28,859	0		
38	11	SD	5/8	Route 5/805 North Coast Corridor - (1 of 2)	1/2	Caltrans	1,626	1,312	Caltrans	2,000	2,000	Caltrans	0	0	0	0	Caltrans	6,000	3,995	43,038	29,408		
					2/2	Caltrans	1,000	1,441	Caltrans	4,800	2,951	Caltrans	900	145	8,000	5	Caltrans	10,300	0	77,000	0		

CMIA Program Project Expenditures

First Quarter FY 2008-09

Legend																							
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#	D	CO	RTE	PROJECT DESCRIPTION	Environmental (PAED) Support (1,000's)			Design Support (1,000's)			Right of Way (1,000's)					Construction (1,000's)							
					Agency	Appd	Exp	Agency	Appd	Exp	Agency	Appd	Exp	Appd	Exp	Agency	Appd	Exp	Appd	Exp			
39	10	SJ	205	I-205 auxiliary lanes-Tracy	SJCOG	1,169	1,000	SJCOG	4,830	0	SJCOG	100	0	1,150	0	Caltrans	3,758	0	40,653	0			
40	05	SLO	46	Route 46 Corridor Improvements (Whitley 1)	Caltrans	0	0	Caltrans	6,400	3,135	Caltrans	1,200	633	10,400	29	Caltrans	7,000	0	80,000	0			
41	04	SM	101	SR101 Auxiliary Lanes - Embarcadero Rd to Marsh Rd	Caltrans	5,200	3,755	Caltrans	8,525	0	Caltrans	496	71	4,910	0	Caltrans	10,527	0	81,731	0			
42	04	SCI	101	US 101 Improvements (I-280 to Yerba Buena Rd)	SCVTA	3,320	3,300	SCVTA	6,550	3,070	SCVTA	0	0	1,850	0	Caltrans	6,690	0	44,565	0			
43	04	SCI	101	US 101 Aux Lanes - SR 85 to Embarcadero Rd	SCVTA	3,534	2,443	SCVTA	7,182	0	SCVTA	0	0	6,612	0	Caltrans	11,080	0	73,850	0			
44	04	SCI	880	I-880 Widening (SR 237 to US 101)	SCVTA	5,500	2,079	SCVTA	6,200	395	SCVTA	0	0	8,100	0	Caltrans	9,810	0	65,390	0			
45	05	SCr	1	Route 1 Soquel to Morrissey Auxiliary Lanes	SCCRTC	1,900	1,148	SCCRTC	1,261	0	Caltrans	205	0	352	0	Caltrans	2,700	0	15,640	0			
46	02	Sha	5	Cottonwood Hills Truck Climbing Lane	Caltrans	1,470	305	Caltrans	1,920	445	Caltrans	966	2	185	0	Caltrans	2,100	0	20,802	0			
47	04	Sol	80	I-80 HOV Lanes, I-80/I-680/12 to Putah Creek	1/3	STA	4,475	4,475	STA	2,725	1,440	STA	0	0	0	0	Caltrans	6,351	782	53,210	5,708		
					2/3	STA	300	0	STA	1,500	354	STA	0	0	0	0	0	0	Caltrans	1,319	0	6,907	0
					3/3	STA	0	0	STA	0	0	STA	0	0	0	0	0	0	Caltrans	400	0	3,400	0
48	04	Son	101	US 101 HOV Lanes - Wilfred Ave to Santa Rosa Ave	Caltrans	5,018	4,981	Caltrans	6,757	6,477	Caltrans	840	726	7,230	2,506	Caltrans	6,600	0	63,270	0			
49	04	Son	101	US101 HOV Lanes - Railroad Ave to Rohnert Park Exp	SCTA	3,500	3,475	SCTA	10,000	6,972	Caltrans	750	317	9,700	2,751	Caltrans	10,500	0	83,800	0			
50	04	Son	101	US 101 HOV Lanes between Santa Rosa - Windsor	SCTA	3,500	3,322	Caltrans	6,000	2,196	Caltrans	500	195	7,060	15	Caltrans	12,000	0	91,200	0			
51	10	Sta	219	SR 219 Expressway, Phase 2	Caltrans	0	0	Caltrans	2,000	1,523	Caltrans	2,000	455	17,000	42	Caltrans	3,500	0	26,000	0			
52	10	Sta	219	Route 219 Expressway Phase 1	Caltrans	1,648	1,621	Caltrans	2,828	2,909	Caltrans	3,260	3,224	28,000	14,053	Caltrans	2,000	203	12,760	48			
53	10	Tuo	108	E. Sonora Bypass Stage II	Caltrans	120	111	Caltrans	3,800	1,829	Caltrans	4,000	1,829	19,200	5,132	Caltrans	5,000	0	33,800	0			

CMIA Program Project Expenditures

First Quarter FY 2008-09

Legend No known budget impacts Potential budget impacts Known budget impacts ** Expenditures in accordance STIP guidelines.					Environmental (PAED) Support (1,000's)			Design Support (1,000's)			Right of Way (1,000's)						Construction (1,000's)					
											Support			Capital			Support			Capital		
#	D	CO	RTE	PROJECT DESCRIPTION	Agency	Appd	Exp	Agency	Appd	Exp	Agency	Appd	Exp	Appd	Exp	Agency	Appd	Exp	Appd	Exp		
54	07	Ven	101	HOV Lanes, Mussel Shoals to Casitas Pass Road	Caltrans	4,400	3,529	Caltrans	14,800	0	Caltrans	441	0	229	2	Caltrans	15,300	0	116,300	0		

SR99 Program Project Expenditures

First Quarter FY 2008-09

Legend No known budget impacts Potential budget impacts Known budget impacts ** Expenditures in accordance STIP guidelines.					Environmental (PAED) Support (1,000's)			Design Support (1,000's)			Right of Way (1,000's)						Construction (1,000's)				
											Support			Capital			Support			Capital	
#	D	CO	RTE	PROJECT DESCRIPTION	Agency	Appd	Exp	Agency	Appd	Exp	Agency	Appd	Exp	Appd	Exp	Agency	Appd	Exp	Appd	Exp	
1	03	But	99	Butte SR 99 Chico Auxilliary Lanes - Phase II	BCAG	0	0	BCAG	4,515	1,077	Caltrans	0	0	1,653	0	Caltrans	0	0	33,352	0	
2	06	Fre	99	Island Park 6-Lane	Caltrans	2,300	2,066	Caltrans	5,800	0	Caltrans	700	0	1,600	0	Caltrans	7,600	0	75,000	0	
3	06	Mad	99	Ave 12 Interchange	Caltrans	1,300	973	Caltrans	4,700	0	Caltrans	500	0	6,700	1	Caltrans	4,800	0	50,000	0	
4	10	Mer	99	Freeway Upgrade & Plainsburg Road I/C	Caltrans	3,243	2,578	Caltrans	5,300	2,326	Caltrans	700	154	6,477	12	Caltrans	8,300	0	94,700	0	
5	10	Mer	99	Arboleda Road Freeway	Caltrans	4,917	4,104	Caltrans	6,400	2,823	Caltrans	1,570	381	24,900	28	Caltrans	12,000	0	127,000	0	
6	03	Sac	99	SR 99/Elverta Rd. Interchange	Sac Co	1,000	525	Sac Co	1,800	0	Sac Co	0	0	3,000	0	Sac Co	0	0	24,400	0	
7	03	SAC	99	Calvine Rd to Mack Rd Auxiliary Lanes on SR 99	Caltrans	500	111	Caltrans	800	0	Caltrans	100	0	350	0	Caltrans	750	0	6,000	0	
8	10	SJ	99	SR 99 (South Stockton) Widening	Caltrans	3,081	5,715	Caltrans	3,319	32	Caltrans	2,600	969	72,000	104	Caltrans	10,500	0	159,000	0	
9	10	SJ	99	SR 99 Widening in Manteca and San Joaquin	SJCOG	3,600	2,400	SJCOG	8,000	0	Caltrans	2,700	0	12,500	0	Caltrans	10,400	0	212,800	0	
10	03	Sut	99	Feather River Bridge Replacement and widening	Caltrans	0	0	Caltrans	3,600	1,332	Caltrans	750	224	4,250	6	Caltrans	7,000	0	73,126	0	
11	03	Sut	99	SR 99/Riego Road Interchange	Sut Co	520	520	Caltrans	2,500	174	Caltrans	500	3	3,200	0	Caltrans	3,000	0	21,300	0	
12	02	The	99	Los Molinos	Caltrans	350	49	Caltrans	430	0	Caltrans	35	0	100	0	Caltrans	685	0	4,800	0	
13	06	Tul	99	Goshen/Kingsburg 6-Lane	Caltrans	2,390	2,389	Caltrans	8,000	1,934	Caltrans	600	149	1,834	7	Caltrans	10,000	0	150,000	0	



METROPOLITAN
TRANSPORTATION
COMMISSION

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December 4, 2008

Bill Dodd, Chair
Napa County and Cities

Scott Haggerty, Vice Chair
Alameda County

Tom Anniano
City and County of San Francisco

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U.S. Department of Housing
and Urban Development

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Steve Heminger
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Deputy Executive Director, Operations

Andrew B. Fremier
Deputy Executive Director,
Bay Area Toll Authority

Therese W. McMillan
Deputy Executive Director, Policy

John Barna
Executive Director
California Transportation Commission
1120 N Street, Room 2221 – MS 52
Sacramento, CA 95814

Dear Mr. Barna:

Thank you for the opportunity to provide comments on the Draft Proposition 1B State Local Partnership Program (SLPP) guidelines. MTC looks forward to working with the CTC to deliver needed transportation investments through the SLPP.

Multi-year Investments in Large Scale Ready-to-Go Infrastructure Projects

MTC and partner agencies throughout the Bay Area are proposing to invest SLPP funds into infrastructure projects with construction periods spanning three to five years. SLPP funds will be used to deliver projects such as the BART Extension from Fremont to Warm Springs (a key component of the BART to Silicon Valley project), the Doyle Drive replacement project, and the rebuilding of the Transbay Terminal in San Francisco. MTC requests that the guidelines clarify that project sponsors are allowed to submit to CTC a project funding plan that includes future year SLPP funding, and can receive annual allocations based on that plan. The annual allocations would be subject to adjustments to the distribution formula and the project sponsor will assume responsibility for closing the funding gap if future SLPP funds are not appropriated by the legislature or are lower than expected. To accomplish this, MTC suggests adding the following to the end of Section 2 (and reference in Section 4) of the draft November 25, 2008 guidelines:

For the purposes of demonstrating a full funding plan, sponsors may include estimates of future year SLPP contributions.

Further, in order to address additional cash flow issues related to project delivery and availability of SLPP funding, MTC requests that pre-award spending authority, a Letter of No Prejudice, or a similar mechanism is available to sponsors to allow commitment of the multi-year SLPP funds once work commences for a specific phase.

Without such provisions, key projects could be delayed and needed state funds could sit idle until several years funding is amassed for allocation.

Page 2 of 2
SLPP
Letter to John Barna

Source of Dollar for Dollar Match

As mentioned above, SLPP recipients in the Bay Area intend to use funds for multi-year projects. In some cases, significant investments of qualifying revenues have already been made to projects or cash flow challenges or availability of other funding sources make it difficult to match SLPP funds with qualifying revenues for each allocation. To facilitate project delivery and meet the legislative intent of the SLPP, MTC suggests the following revisions to Section 2 of the guidelines:

The source of the dollar-for-dollar match will include only revenues from the transportation tax or fee that qualifies the applicant for SLPP funding. ~~and only funds to be expended after the Commission allocation of SLPP funds.~~ *Qualifying revenues expended on earlier phases can be used to meet this requirement.*

The Bay Area region looks forward to working closely with the CTC and our partner agencies to deliver the State Local Partnership Program. Please feel free to contact me at (510) 817-5850, or Ross McKeown of my staff at (510) 817-5842, if you need further information about our comments.

Sincerely,



Alix Bockelman
Director
Programming And Allocations

cc: David Brewer, Chief Deputy Director, CTC

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Memorandum

To: Chair and Commissioners

Date: December 5, 2008

From: JOHN F. BARNA, JR.
Executive Director

File: Tab 81
Action

Ref.: Proposition 1B State-Local Partnership Program Guideline Issues

This book item includes the staff recommendation for adoption of the State-Local Partnership Program (SLPP) Guidelines. The recommended guidelines address questions and issues raised at the first hearing in October and in other discussions with regional and local agencies. They represent a broad consensus on most of the issues identified. There are, however, four proposals from regional agencies that we have not recommended.

(1) Reimbursement of prior expenditures. Some agencies have proposed that the guidelines permit SLPP allocations to reimburse prior expenditures. We have not proposed this because the Commission does not have authority to approve grants of state funding to local agencies for prior expenditures without specific legislative authorization. AB 286 did not provide that authorization for the SLPP.

(2) Match credit for prior expenditures. Some agencies have proposed that the guidelines permit prior expenditures to be counted toward the required local match, including funds expended on preconstruction work. We have not proposed this because it would be inconsistent with the commission's policy for match in other Proposition 1B programs and inconsistent with our understanding of legislative intent for requiring a match.

(3) Multiyear commitment of SLPP funds. Some agencies have proposed that the guidelines permit the full funding plan for a current year project allocation to include SLPP funds anticipated in future years. We have not proposed this because the statutes do not establish SLPP funds as a local entitlement and because it would imply a commitment of future state funds that the commission is not in a position to make. Instead, the draft guidelines would have the Commission acknowledge a region's intent to request SLPP funds in a later year to supplement an initial SLPP allocation and would permit an agency to request supplemental SLPP funding to replace local funding initially committed to a project.

(4) Formula shares for cities in Nevada and Mendocino Counties. These two counties have citywide transportation sales taxes and no countywide transportation sales tax. The two regional agencies have proposed that the SLPP formula distribution in the guidelines be modified so that a city's share would be based on its percentage of countywide general sales tax collections rather than on the city's population. The Commission asked staff to explore this further and seek guidance on legislative intent. We did that through legislative staff, and their advice was that any proposal to modify the formula should be addressed in legislation. Although they recognized the lack of clarity regarding cities in AB 286, they agreed that our original interpretation was the appropriate one.

Memorandum

To: Chair and Commissioners

Date: November 25, 2008

From: JOHN F. BARNA, JR.
Executive Director

File: Item 4.8
Action

Ref.: Adoption of Proposition 1B State-Local Partnership Program Guidelines

RECOMMENDATION

Staff recommends that the Commission approve the attached resolution to adopt the guidelines for the State-Local Partnership Program and to approve the Program's formula funding shares for 2008-09. The second hearing on the guidelines is on the agenda for December 10, with action on the agenda for December 11.

ISSUE

On September 30, 2008, the Governor signed AB 268, which implements the State-Local Partnership Program (SLPP). Proposition 1B (2006) established the SLPP to provide \$1 billion over five years to match local funds for transportation improvements. The 2008-09 budget appropriates \$200 million for the first year. AB 268 distributes 95% of program funds on a formula basis to match certain voter-approved taxes and tolls dedicated to transportation and 5% to a discretionary grant program to match uniform developer fees dedicated to transportation. AB 268 calls for the Commission to adopt program guidelines and to begin allocating SLPP funds by April 2009. Prior to adopting the guidelines, the Commission is required to conduct two hearings, one in the north and one in the south. The Commission held the first hearing at the October meeting in Riverside. Before the Commission can make its initial program allocations, regional and local agencies will need time to prepare and submit project nominations, and the Commission will need time to review the nominations and to adopt a program of projects.

BACKGROUND

Because AB 268 makes the SLPP largely formula-driven and is so explicit in its criteria and procedures, staff found relatively few issues remaining to be addressed through the guidelines. For that reason, staff proposed to move quickly toward program implementation. The first draft of guidelines was distributed by e-mail and posted on the website on October 1, the day after the Governor signed AB 268. The second draft, included with this book item, incorporates changes and clarifications based on comments and questions received since October 1, including issues raised at the first hearing and at a follow-up teleconference with the RTPA group on November 13. Since the first hearing, staff has also consulted with legislative staff regarding our draft guidelines and legislative intent for the program. The attachments to this book item include a summary of changes from the October draft, the full text of the revised guidelines with changes shown in italics and strikeout, the distribution of SLPP formula shares for 2008-09, and the text of statutes relating to the State-Local Partnership Program.

Attachments

**SUMMARY OF CHANGES IN THE DRAFT GUIDELINES
STATE-LOCAL PARTNERSHIP PROGRAM**

- Specify that the Commission will program and allocate SLPP funding only for construction or equipment acquisition, not for preconstruction work (section 2).
- Specify that eligible match includes only those tax and fee revenues identified in the statutes and only funds expended after the Commission allocation (section 2).
- Clarify the meaning of “full funding” as consistent with the definition used for STIP projects (section 2).
- Include provisions recognizing that some counties may wish to adopt five-year programs of projects, while specifying that the Commission is not making multiyear commitments of SLPP funding (section 2 and 4).
- Specify in greater detail the source of data to be used in formula share calculations (section 3).
- Change language to include all tax measures enacted to date in 2008-09 formula (section 3).
- Specify how and where project nominations are submitted (sections 4 and 6)
- Specify that an applicant may nominate supplemental funding for a project that received an allocation in a prior year. The supplemental could cover only expenditures after the date of allocation (sections 4 and 7).
- Specify that allocations are made subject to project award within 6 months (new section 12).

CALIFORNIA TRANSPORTATION COMMISSION
Adoption of State-Local Partnership Program (SLPP) Guidelines

RESOLUTION SLP1B-G-0809-01

- 1.1 WHEREAS the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006, approved by the voters as Proposition 1B on November 7, 2006, authorized \$1 billion to be deposited in the State-Local Partnership Program (SLPP) Account, and
- 1.2 WHEREAS the Bond Act provides that funds in the SLPP Account shall be available to the California Transportation Commission, upon appropriation by the Legislature, for allocation over a five-year period to eligible transportation projects nominated by an applicant transportation agency, and
- 1.3 WHEREAS implementation legislation for the Bond Act enacted in 2007 (SB 88 and AB 193) designated the Commission as the administrative agency for the State-Local Partnership Program Account and mandated that program guidelines provide for audits of expenditures and outcomes, require that project nominations identify a project's useful life and delivery milestones, and require recipient agencies to report on progress made toward project implementation, and
- 1.4 WHEREAS implementing legislation specific to the SLPP was enacted as AB 268 (2008), which mandates that the Commission develop and adopt guidelines for the program, adopt the initial program of projects and make initial allocations to projects at the Commission's meeting in April 2009, and
- 1.5 WHEREAS a draft of proposed SLPP guidelines prepared by Commission staff was made available to the Department and regional agencies on October 1, 2008 and the Commission held its first hearing on the guidelines at its October 29, 2008 meeting in Riverside, and
- 1.6 WHEREAS Commission staff has prepared a revised draft dated November 25, 2008 that responds to questions and comments received at the first hearing and in other communications, including a teleconference with the regional transportation planning agencies on November 13, 2008, and
- 1.7 WHEREAS the Commission held its second hearing on the guidelines at its December 10, 2008 in Oakland, and
- 1.8 WHEREAS Government Code Section 8879.71 requires the Commission to distribute the funds from each annual appropriation to the SLPP Account between the Voter-Approved Taxes and Fees Subaccount (95%) and the Uniform Developer Fees Subaccount (5%), and
- 1.9 WHEREAS Government Code Section 8879.72 requires the Commission to establish funding shares for each eligible applicant for funding from the Voter-Approved Taxes and Fees Subaccount prior to the commencement of each annual funding cycle, and
- 1.10 WHEREAS Commission staff, in accordance with Sections 8879.71 and 8879.72, has prepared a calculation of 2008-09 SLPP funding shares and distributed it for review with the draft guidelines,

- 2.1 NOW THEREFORE BE IT RESOLVED that the Commission adopts the SLPP guidelines, as presented by staff on December 11, 2008, and
- 2.2 BE IT FURTHER RESOLVED that the purpose of these guidelines is to identify the Commission's policy and expectations for the SLPP and thus to provide guidance to eligible applicants and implementing agencies in carrying out their responsibilities under the program, and
- 2.3 BE IT FURTHER RESOLVED that these guidelines do not preclude any project nomination or any project selection that is consistent with the Bond Act and the implementing legislation in Chapter 12.491 (commencing with Section 8879.50) of Division 1 of Title 2 of the Government Code, and
- 2.4 BE IT FURTHER RESOLVED that the Commission approves the distribution of funds and the establishment of SLPP formula funding shares for 2008-09 as presented by staff on December 11, 2008, and
- 2.5 BE IT FURTHER RESOLVED that the Commission directs staff to post these guidelines and the 2008-09 funding distribution and formula funding shares on the Commission's website and requests that the Department assist Commission staff in making copies available to eligible applicants and implementing agencies.

November 25, 2008

DRAFT**State-Local Partnership Program Guidelines****General Program Policy**

1. Authority and purpose of guidelines. The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006, approved by the voters as Proposition 1B on November 7, 2006, authorized \$1 billion to be deposited in the State-Local Partnership Program (SLPP) Account to be available, upon appropriation by the Legislature, for allocation by the California Transportation Commission over a five-year period to eligible transportation projects nominated by an applicant transportation agency. The Bond Act required a dollar for dollar match of local funds for an applicant agency to receive state funds under the program.

In 2008, the Legislature enacted implementing legislation (AB 268) to add Article 11 (commencing with Section 8879.66) to Chapter 12.491 of Division 1 of Title 2 of the Government Code. Article 11 defines the purpose and intent of the program, defines the eligibility of applicants, projects, and matching funds, and provides that 95% of program funds will be distributed by formula to match voter-approved transportation taxes and fees and that the remaining 5% will be available for a competitive grant application program to match uniform developer fees. Section 8879.74 requires the Commission to adopt an annual program of projects for the program and to develop and adopt guidelines to implement the program, consistent with Article 11. Initial project allocations are to be made by April 2009.

Earlier legislation to implement the Bond Act (SB 88, 2007) designated the Commission as the administrative agency for the SLPP and mandated that various administrative and reporting requirements be incorporated in the guidelines for all programs established by Proposition 1B.

2. Program of Projects. The Commission will adopt an annual program of projects for the SLPP, by April 2009 for 2008-09 and by October for each fiscal year thereafter. The program will consist of projects nominated by eligible applicants for the formula program and projects selected by the Commission under the competitive grant program to match uniform developer fees. *SLPP project funding will match eligible local funding for project construction or equipment acquisition, consistent with Section 8879.70. The Commission will not program or allocate SLPP funding to match local funding for preconstruction work.*

The program of projects for each fiscal year will include, for each project, the amount to be funded from the SLPP, the source of the dollar-for-dollar match of SLPP funding, and the estimated total cost of *project construction or equipment acquisition*, including any additional supplementary funding. *The source of the dollar-for-dollar match will include*

only revenues from the transportation tax or fee that qualifies the applicant for SLPP funding and only funds to be expended after the Commission allocation of SLPP funds.

The Commission will program and allocate funding to projects in whole thousands of dollars and will include a project only if it is fully funded *from a combination of SLPP and other committed funding. The Commission will regard funds as committed when they are programmed by the Commission or when the agency with discretionary authority over the funds has made its commitment to the project by ordinance or resolution. For federal formula funds, including RSTP, CMAQ, and federal formula transit funds, the commitment may be by federal TIP adoption. For federal discretionary funds, the commitment may be by federal approval of a full funding grant agreement or by grant approval.*

The Commission's annual SLPP program of projects will also include multiyear programs of projects for SLPP funding that eligible applicants may elect to adopt and submit to the Commission. The Commission will include these multiyear programs for informational purposes, acknowledging the future plans and intent of the eligible applicants. The inclusion of an applicant multiyear program, however, will not constitute a programming commitment by the Commission for future year funding.

Formula Program for Voter-Approved Taxes and Fees

3. Annual Funding Shares. The Commission will adopt the annual funding share for each eligible applicant for the Voter-Approved Taxes and Fees Subaccount with the adoption of these guidelines for 2008-09 and prior to the beginning of each subsequent fiscal year. These shares will be determined in accordance with Government Code Section 8879.72 and rounded to the nearest whole thousand dollars. In establishing funding shares, the Commission will use the following:
 - ~~For toll revenues, the most recent annual toll revenue data for the voter approved tolls (Regional Measures 1 and 2) reported in audited financial statements from the Bay Area Toll Authority.~~
 - *For toll revenues, the sum of revenues from Regional Measures 1 and 2 for the second prior fiscal year (e.g., 2006-07 data for 2008-09 funding shares), as reported in audited financial statements from the Bay Area Toll Authority.*
 - ~~For parcel and property tax revenues, the annual revenue data included in the most recent State Controller's report of financial transactions for special districts.~~
 - *For parcel and property tax revenues, the revenues for the second prior fiscal year (e.g., 2006-07 data for 2008-09 funding shares), as reported to the State Controller pursuant to Government Code Section 53891.*
 - For local sales tax revenues, the sum of gross revenues for the most recent four quarters as reported for each local tax by the Board of Equalization.
 - For population, the annual population estimate for cities and counties issued by the Department of Finance in May prior to the beginning of each fiscal year.

The Commission will determine a funding share for each eligible applicant with a voter-approved tax or ~~fee in effect as of July 1, the first day of the fiscal year~~ *toll that was approved prior to the adoption of the funding shares and will be collected during the fiscal year.* Where a city has a voter-approved local sales tax and is located within a county without a countywide sales tax, the Commission will adopt a funding share for the city based on the city's population. Where there are multiple eligible applicants with a voter-approved local sales tax within ~~the same~~ *a county with a countywide sales tax*, the Commission will adopt a single countywide funding share based on the population for the county.

The Commission will set aside up to 2 percent of the total amount appropriated each year for the program as a reserve for bond administrative expenses. In the absence of an enacted state budget, the Commission may establish the funding shares based on its best estimate of the amount that the Legislature will appropriate to the SLPP Account, subject to adjustment based on the final appropriation in the Budget Act.

4. Project nominations. The Commission will include in the annual program of projects each project nominated by an eligible applicant for a formula funding share provided that the Commission finds that the nomination meets the requirements of statute and that the project has a commitment of the required match and any supplementary funding needed for full funding. Each applicant should submit its nomination by February 17, 2009 for 2008-09 and by August 15 for each fiscal year thereafter. The Commission's program of projects will not include a project nomination that exceeds the applicant's formula funding share. *A nomination will include the signature of the Chief Executive Officer or other officer authorized by the applicant's governing board. Where the project is to be implemented by an agency other than the applicant, the nomination will also include the signature of the Chief Executive Officer or other authorized officer of the implementing agency. The Commission requests that applicants for funding from a formula share submit three hard copies of each nomination. The nominations should be addressed or delivered to:*

*John Barna, Executive Director
California Transportation Commission
Mail Station 52, Room 2231
1120 N Street
Sacramento, CA 95814*

A project nomination may be for supplemental funding of a project that was allocated SLPP funding in a prior year, provided that the supplemental SLPP funding and the match for that supplemental funding will not be expended until after the allocation of the supplemental funding. The supplemental SLPP funding may be to replace local funding already committed to the project, subject to the required one-to-one match.

For each nominated project, the applicant should submit project information using the Project Programming Request form in use for STIP projects. The nomination should

identify the implementing agency, which may be different from the applicant agency. As specified in statute, the nomination shall include:

- A description of the nominated project, including its cost and scope and the specific improvements and benefits it is anticipated to serve. The description should identify the project's useful life.
- A description of the project's current status, including the current phase of delivery, and the schedule for the completion of construction or acquisition.
- A description of how the project would support transportation and land use planning goals within the region.
- The amount and source of matching funds.
- The amount of SLPP funds requested.

An eligible applicant may adopt and submit a multiyear program for SLPP funding, either in addition to or in lieu of project nominations for the program year. As described in section 2, the Commission's acknowledgement of an applicant's multiyear program will not constitute a Commission programming commitment of future year SLPP funding.

5. Balance of funding share. If the program of projects adopted by the Commission does not program the full amount of an applicant's formula funding share, the balance will remain available for later program amendments supported by eligible project nominations. A balance not programmed in one fiscal year will carry over and be available in the following fiscal year.

Competitive Grant Program to Match Uniform Developer Fees

6. Project selection. The Commission will select projects from among eligible project nominations for the competitive grant program from the Uniform Developer Fees Subaccount pursuant to Government Code Section 8879.73. No single competitive grant for the SLPP may exceed \$1 million. The Commission will consider approval of a competitive grant only when it finds that the grant request meets the requirements of statute and that the project has a commitment of the required match and any supplementary funding needed for full funding. The selected projects will be included in the Commission's annual program of projects for the SLPP. *The Commission will consider only projects for which five hard copies of a complete nomination are received in the Commission office. Each applicant should submit its nomination by February 17, 2009 for 2008-09 and by August 15 for each fiscal year thereafter. A nomination will include the signature of the Chief Executive Officer or other officer authorized by the applicant's governing board. Where the project is to be implemented by an agency other than the applicant, the nomination will also include the signature of the Chief Executive Officer or other authorized officer of the implementing agency. The nominations should be addressed or delivered to:*

*John Barna, Executive Director
California Transportation Commission
Mail Station 52, Room 2231
1120 N Street
Sacramento, CA 95814*

7. Project applications. For each project nominated for the competitive grant program, the applicant should submit project information using the Project Programming Request form in use for STIP projects. The nomination should identify the implementing agency, which may be different from the applicant agency. As specified in statute, the nomination shall include:
- A description of the nominated project, including its cost and scope and the specific improvements and benefits it is anticipated to serve. The description should identify the project's useful life.
 - A description of the project's current status, including the current phase of delivery, and the schedule for the completion of construction or acquisition.
 - A description of how the project would support transportation and land use planning goals within the region.
 - The amount and source of matching funds.
 - The amount of SLPP funds requested.

In addition, the grant request should include a copy of the ordinance or resolution adopted by a city, county or city and county that establishes the uniform developer fee to be matched by the grant.

An agency may apply for supplemental funding of up to \$1 million for a project that was allocated SLPP funding in a prior year or years, provided that the supplemental SLPP funding and the match for that supplemental funding will not be expended until after the allocation of the supplemental funding. The supplemental SLPP funding may be to replace local funding already committed to the project, subject to the required one-to-one match. Prior year funding of a project under the SLPP discretionary grant program is not a selection criterion for funding in a subsequent year. The Commission will evaluate applications competitively in each funding cycle.

8. Project selection criteria. In approving grants for inclusion in the program of projects, the Commission will give consideration to geographic balance and to demonstrated project cost-effectiveness. The Commission will give higher priority to projects that are more cost-effective, that can commence construction or implementation earlier, that leverage more uniform developer fees per program dollar, and that can demonstrate quantifiable air quality improvements, including a significant reduction in vehicle-miles traveled.
9. Balance of grant program funds. If the program of projects adopted by the Commission does not program the full amount of the share for the competitive grant program, the

balance will remain available for later program amendments supported by eligible project grant requests. A balance not programmed in one fiscal year will carry over and be available for the competitive grant program in the following fiscal year.

Project Allocations and Delivery

10. Amendments to program of projects. The Commission may approve an amendment of the SLPP program of projects at any time. An amendment need only appear on the agenda published 10 days in advance of the Commission meeting. It does not require the 30-day notice that applies to a STIP amendment.
11. Allocations from the SLPP Account. The Commission will consider the allocation of funds from the SLPP Account for a project when it receives an allocation request and recommendation from the Department of Transportation, in the same manner as for the STIP (*see section 64 of the STIP guidelines*). The recommendation will include a determination of the availability of appropriated funding from the SLPP Account and the availability of all identified and committed matching and supplementary funding. The Commission will approve the allocation if the funds are available, the allocation is necessary to implement the project as included in the adopted SLPP program, and the project has the required environmental clearance.
12. Timely Use of Funds. *Under statute, projects receiving an SLPP allocation shall encumber the funds no later than two years after the end of the fiscal year in which the Commission makes the allocation. Commission policy, however, is that SLPP allocations are valid for encumbrance for six months from the date of approval unless the Commission approves an extension. Applicants may submit and the Commission will evaluate extension requests in the same manner as for STIP projects (see section 66 of the STIP guidelines).*
13. Semiannual delivery reports: As a condition of the project allocation, the Commission will require the implementing agency to submit ~~quarterly~~ *semiannual* reports on the activities and progress made toward implementation of the project.

As mandated by Government Code Section 8879.50, the Commission shall forward these reports to the Department of Finance. The purpose of the reports is to ensure that the project is being executed in a timely fashion and is within the scope and budget identified when the decision was made to fund the project. If it is anticipated that project costs will exceed the approved project budget, the implementing agency shall provide a plan to the Commission for achieving the benefits of the project by either downscoping the project to remain within budget or by identifying an alternative funding source to meet the cost increase. The Commission may either approve the corrective plan or direct the implementing agency to modify its plan.

14. Final delivery report. Within six months of the project becoming operable, the implementing agency shall provide a final delivery report to the Commission on the scope of the completed project, its final costs as compared to the approved project

budget, its duration as compared to the project schedule in the project baseline agreement, and performance outcomes derived from the project as compared to those described in the project baseline agreement. The Commission shall forward this report to the Department of Finance as required by Government Code Section 8879.50.

For the purpose of this section, a project becomes operable when the construction contract is accepted or acquired equipment is received.

15. Audit of project expenditures and outcomes. The Department of Transportation will ensure that project expenditures and outcomes are audited. For each SLPP project, the Commission expects the Department to provide a semi-final audit report within 6 months after the final delivery report and a final audit report within 12 months after the final delivery report. The Commission may also require interim audits at any time during the performance of the project.

Audits will be performed in accordance with Generally Accepted Government Auditing Standards promulgated by the United States Government Accountability Office. Audits will provide a finding on the following:

- Whether project costs incurred and reimbursed are in compliance with the executed project baseline agreement or approved amendments thereof; state and federal laws and regulations; contract provisions; and Commission guidelines.
- Whether project deliverables (outputs) and outcomes are consistent with the project scope, schedule and benefits described in the executed project baseline agreement or approved amendments thereof.

STATE-LOCAL PARTNERSHIP PROGRAM DRAFT

TAX/TOLL REVENUES USED TO DETERMINE FUNDING SHARES FOR 2008-09

Voter-Approved Tolls, Parcel/Property Taxes	Annual Revenue	Source
Bay Area Transportation Authority (BATA)	252,594,949	FY 2006-07, BATA Annual Report
Alameda-Contra Costa Transit District	77,524,530	FY 2006-07, Report to State Controller's Office
Bay Area Rapid Transit District	50,188,155	FY 2006-07, Report to State Controller's Office
Total toll/parcel/property tax	380,307,634	

N/S	BOE Code	Voter-Approved Transportation Sales Taxes	Yr end 2nd Q 08	Quarterly Gross Receipts (reported by Bd of Equalization)			
				2nd Q, 2008	1st Q, 2008	4th Q, 2007	3rd Q, 2007
N	002	San Mateo County Transit District	69,347,120.51	17,908,857.86	15,739,977.76	18,414,546.60	17,283,738.29
N	003	Santa Clara County Transit District	164,712,594.15	41,384,693.65	38,836,073.70	43,403,343.55	41,088,483.25
N	004	Santa Cruz Metropolitan Transit District	16,976,900.68	4,297,705.06	3,852,902.67	4,376,776.36	4,449,516.59
S	005	Los Angeles County Transportation Commission	693,475,595.99	175,157,001.49	164,891,342.97	180,432,115.20	172,995,136.33
N	006	Santa Clara County Traffic Authority	20,537.27	493.76	1,169.85	249.36	18,624.30
N	010	Alameda County Transportation Authority	590,532.47	2,971.52	1,192.30	48,237.37	538,131.28
N	012	Fresno County Transportation Authority	59,321,804.17	15,295,021.74	13,678,523.14	15,170,173.28	15,178,086.01
S	013	San Diego County Regional Transportation Commission	244,774,704.26	61,285,587.42	57,429,819.63	63,305,985.66	62,753,311.55
N	018	San Mateo County Transit Authority	69,350,003.54	17,909,825.27	15,740,477.27	18,415,703.72	17,283,997.28
N	023	Sacramento Transportation Authority	101,604,763.78	25,949,786.44	23,255,875.19	26,060,097.55	26,339,004.60
N	024	Contra Costa Transportation Authority	74,196,200.14	18,348,390.01	17,168,037.72	19,796,679.07	18,883,093.34
S	026	Riverside County Transportation Commission	143,958,648.08	35,493,852.28	34,595,479.86	37,658,721.88	36,210,594.06
N	027	San Francisco County Transportation Authority	80,346,317.27	20,059,657.20	18,998,404.24	21,446,588.49	19,841,667.34
S	029	Imperial County Local Transportation Authority	12,545,802.60	3,182,519.28	2,858,234.71	3,448,225.91	3,056,822.70
S	030	Santa Barbara County Local Transportation Authority	32,603,609.13	8,272,920.31	7,481,811.95	8,393,103.07	8,455,773.80
S	031	San Bernardino County Transportation Authority	139,914,132.98	34,847,717.82	32,727,462.12	35,627,920.67	36,711,032.37
N	034	Madera County Transportation Authority	28,439.14	7,199.80	12,477.53	8,304.64	457.17
S	035	Los Angeles County Transportation Commission	693,586,139.54	175,159,366.55	164,981,938.78	180,466,449.15	172,978,385.06
S	037	Orange County Transportation Authority	265,253,490.68	65,412,729.49	61,343,576.21	69,673,299.90	68,823,885.08
N	038	San Joaquin Transportation Authority	45,731,715.20	11,838,750.27	10,496,880.47	11,573,876.16	11,822,208.30
N	068	Town of Truckee Road Maintenance Tax	1,927,723.47	452,324.33	394,054.97	483,184.08	598,160.09
N	079	Alameda County Transportation Improvement Authority	116,589,912.89	29,335,583.77	27,070,662.90	30,356,471.52	29,827,194.70
N	084	City of Willits Road System Tax	801,857.94	210,344.44	184,185.17	201,753.77	205,574.56
N	085	City of Point Arena	44,631.04	12,589.85	9,071.09	10,575.85	12,394.25
N	094	City of Fort Bragg Maintain City Streets	843,081.30	215,786.47	189,290.44	204,570.72	233,433.67
N	102	Transportation Authority Marin County	22,356,530.85	5,553,796.09	5,155,986.82	5,958,080.68	5,688,667.26
N	115	Sonoma County Transportation Authority	19,039,151.73	4,800,018.84	4,257,637.62	5,040,804.65	4,940,690.62
N	123	Santa Clara County Valley Transportation Authority	162,024,603.89	41,030,036.31	37,990,301.75	42,498,458.02	40,505,807.81
N	144	Madera County Transportation Authority, 2006	7,819,931.70	2,078,213.97	1,755,362.27	1,997,507.40	1,988,848.06
N	146	Nevada City Street Improvements Tax	639,129.84	158,439.50	121,428.33	192,087.96	167,174.05
S	162	Tulare County Transportation Authority	25,603,004.03	6,835,852.47	5,981,871.54	6,533,152.49	6,252,127.53
N	174	City of El Cerrito Streets Improvements Tax (eff 7-1-08)	0.00	0.00	0.00	0.00	0.00
Total, Voter-Approved Sales Tax			3,266,028,610.26	822,498,033.26	767,201,510.97	851,197,044.73	825,132,021.30
Voter-Approved Sales Tax, North			1,014,313,482.97	256,850,486.15	234,909,973.20	265,658,070.80	256,894,952.82
Voter-Approved Sales Tax, South			2,251,715,127.29	565,647,547.11	532,291,537.77	585,538,973.93	568,237,068.48

	Distribution Factor	Percentage
Total, voter-approved tolls + taxes	3,646,336,244.26	100.000000%
Tolls + parcel/property tax	380,307,634.00	10.42986%
North sales tax	1,014,313,482.97	27.81733%
South sales tax	2,251,715,127.29	61.75281%

STATE-LOCAL PARTNERSHIP PROGRAM FUNDING SHARES, 2008-09

(Funding Shares in \$1,000's)

DRAFT

Funding Distribution of Appropriation		
Program Categories		Amount
Total Annual Program Appropriation		200,000
Take-off for Bond administration (2%)		4,000
Subtotal		196,000
Discretionary grant program (5%)		9,800
Formula share program (95%)	100.00000%	186,200
Tolls + parcel/property tax	10.42986%	19,420
North sales tax	27.81733%	51,796
South sales tax	61.75281%	114,984

Funding Shares Based on Voter-Approved Tolls & Parcel/Property Taxes		
Applicant Agency	Revenue Factor	Funding Share
Bay Area Transportation Authority	252,594,949	12,898
Alameda-Contra Costa Transit District	77,524,530	3,959
Bay Area Rapid Transit District	50,188,155	2,563
Total	380,307,634	19,420

Funding Shares Based on Voter-Approved Sales Taxes - North		
County/City	Population Factor	Funding Share
Alameda	1,543,000	7,814
Contra Costa	1,051,674	5,326
Fresno	931,098	4,715
Madera	150,887	764
Marin	257,406	1,304
Mendocino - Fort Bragg	6,890	35
Mendocino - Point Arena	493	2
Mendocino - Willits	5,032	25
Nevada - Nevada City	3,074	16
Nevada - Truckee	16,165	82
Sacramento	1,424,415	7,214
San Francisco	824,525	4,176
San Joaquin	685,660	3,472
San Mateo	739,469	3,745
Santa Clara	1,837,075	9,303
Santa Cruz	266,519	1,350
Sonoma	484,470	2,453
Total	10,227,852	51,796

Funding Shares Based on Voter-Approved Sales Taxes - South		
County	Population Factor	Funding Share
Imperial	176,158	929
Los Angeles	10,363,850	54,625
Orange	3,121,251	16,451
Riverside	2,088,322	11,007
San Bernardino	2,055,766	10,836
San Diego	3,146,274	16,583
Santa Barbara	428,655	2,259
Tulare	435,254	2,294
Total	21,815,530	114,984

STATE-LOCAL PARTNERSHIP PROGRAM ACCOUNT STATUTES

Article 2. Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 and Program

Allocation of Bond Proceeds to Programs

Added: Proposition 1B (2006)

8879.23. The Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 is hereby created in the State Treasury. The Legislature intends that the proceeds of bonds deposited in the fund shall be used to fund the mobility, safety, and air quality improvements described in this article over the course of the next decade. The proceeds of bonds issued and sold pursuant to this chapter for the purposes specified in this chapter shall be allocated in the following manner:

...

(g) One billion dollars (\$1,000,000,000) shall be deposited in the State-Local Partnership Program Account, which is hereby created in the fund. The funds shall be available, upon appropriation by the Legislature and subject to such conditions and criteria as the Legislature may provide by statute, for allocation by the California Transportation Commission over a five-year period to eligible transportation projects nominated by an applicant transportation agency. A dollar for dollar match of local funds shall be required for an applicant transportation agency to receive state funds under this program.

* * * * *

CHAPTER 12.491

IMPLEMENTATION OF THE HIGHWAY SAFETY, TRAFFIC REDUCTION, AIR QUALITY, AND PORT SECURITY BOND ACT OF 2006

Article 1. General Provisions

Definitions

Amended: Chapter 179, Statutes of 2008 (SB 1498)

8879.50 (a) As used in this chapter and in Chapter 12.49 (commencing with Section 8879.20), the following terms have the following meanings:

- (1) "Commission" means the California Transportation Commission.
- (2) "Department" means the Department of Transportation.
- (3) "Administrative agency" means the state agency responsible for programming bond funds made available by Chapter 12.49 (commencing with Section 8879.20), as specified in subdivision (c).
- (4) Unless otherwise specified in this chapter, "project" includes equipment purchase, construction, right-of-way acquisition, and project delivery costs.
- (5) "Recipient agency" means the recipient of bond funds made available by Chapter 12.49 (commencing with Section 8879.20) that is responsible for implementation of an approved project.
- (6) "Fund" shall have the same meaning as in subdivision (c) of Section 8879.20.

(b) Administrative costs, including audit and program oversight costs for agencies, commissions, or departments administering programs funded pursuant to this chapter, recoverable by bond funds shall not exceed 3 percent of the program's cost.

(c) The administrative agency for each bond account is as follows:

(1) The commission is the administrative agency for the Corridor Mobility Improvement Account; the Trade Corridors Improvement Fund; the State Route 99 Account; the State-Local Partnership Program Account; the Local Bridge Seismic Retrofit Account; the Highway-Railroad Crossing Safety Account; and the Highway Safety, Rehabilitation and Preservation Account.

(2) The Office of Homeland Security and the Office of Emergency Services are the administrative agencies for the Port and Maritime Security Account and the Transit System Safety, Security, and Disaster Response Account.

(3) The department is the administrative agency for the Public Transportation Modernization, Improvement, and Service Enhancement Account.

(d) The administrative agency shall not approve project fund allocations for a project until the recipient agency provides a project funding plan that demonstrates that the funds are expected to be reasonably available and sufficient to complete the project. The administrative agency may approve funding for usable project segments only if the benefits associated with each individual segment are sufficient to meet the objectives of the program from which the individual segment is funded.

(e) Guidelines adopted by the administrative agency pursuant to this chapter and Chapter 12.49 (commencing with Section 879.20) are intended to provide internal guidance for the agency and shall be exempt from the Administrative Procedures Act (Chapter 3.5 (commencing with Section 11340) of Part 1 of Division 3), and shall do all of the following:

(1) Provide for the audit of project expenditures and outcomes.

(2) Require that the useful life of the project be identified as part of the project nomination process.

(3) Require that project nominations have project delivery milestones, including, but not limited to, start and completion dates for environmental clearance, land acquisition, design, construction bid award, construction completion, and project closeout, as applicable.

(f)(1) As a condition for allocation of funds to a specific project under Chapter 12.49 (commencing with Section 8879.20), the administrative agency shall require the recipient agency to report, on a semiannual basis, on the activities and progress made toward implementation of the project. The administrative agency shall forward the report to the Department of Finance by means approved by the Department of Finance. The purpose of the report is to ensure that the project is being executed in a timely fashion, and is within the scope and budget identified when the decision was made to fund the project. If it is anticipated that project costs will exceed the approved project budget, the recipient agency shall provide a plan to the administrative agency for achieving the benefits of the project by either downscoping the project to remain within budget or by identifying an alternative funding source to meet the cost increase. The administrative agency may either approve the corrective plan or direct the recipient agency to modify its plan.

(2) Within six months of the project becoming operable, the recipient agency shall provide a report to the administrative agency on the final costs of the project as compared

to the approved project budget, the project duration as compared to the original project schedule as of the date of allocation, and performance outcomes derived from the project compared to those described in the original application for funding. The administrative agency shall forward the report to the Department of Finance by means approved by the Department of Finance.

* * * * *

Article 11. State-Local Partnership Program

Legislative Intent

Added: Chapter 756, Statutes of 2008 (AB 268)

8879.66. (a) It is the intent of the Legislature, pursuant to subdivision (g) of Section 8879.23, to establish criteria and conditions for use of the fund in the State-Local Partnership Program Account in the Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006. These criteria and conditions shall include, but need not be limited to, eligibility of applicants, eligibility of projects, timely use of funds, and relationship of funds in the account to other funds for transportation purposes.

(b) The purpose of the State-Local Partnership Program is to do both of the following:

(1) Reward "self-help" counties, cities, districts, and regional transportation agencies in which voters have approved fees or taxes solely dedicated to transportation improvements.

(2) Provide funds for a wide variety of capital projects that are typically funded in local or regional voter-approved expenditure plans and that provide mobility, accessibility, system connectivity, safety, or air quality benefits.

(c) It is further the intent of the Legislature that all funds available in the account, pursuant to subdivision (g) of Section 8879.23, shall be made available for allocation by the commission over a period of five years.

Definitions

Added: Chapter 756, Statutes of 2008 (AB 268)

8879.67. For purposes of this article, the following definitions shall apply:

(a) "Program" means the State-Local Partnership Program established in this article and funded pursuant to subdivision (g) of Section 8879.23.

(b) "Uniform developer fees" means developer fees imposed pursuant to existing statutory authority, including, but not limited to, Chapter 5 (commencing with Section 66000) of Division 1 of Title 7 and Article 5 (commencing with Section 66483) of Chapter 4 of Division 2 of Title 7. The developer fees must be imposed by a local ordinance or resolution adopted by a city, county, or city and county and must be dedicated to transportation purposes to address cumulative transportation impacts. The developer fees must be uniformly applied to new development within a defined area or jurisdiction, except in cases in which fees are waived, such as for affordable housing development. Developer fees imposed to mitigate onsite impacts related to a specific development project do not qualify as uniform developer fees under this subdivision.

Eligible Applicant

Added: Chapter 756, Statutes of 2008 (AB 268)

8879.68. An eligible applicant under the program shall be a local or regional transportation agency that has responsibility for funding, procuring, or constructing transportation improvements within its jurisdiction, and that does either of the following:

- (a) Has sought and received voter approval for the imposition of taxes or fees solely dedicated to transportation improvements and administers those taxes or fees.
- (b) Has imposed uniform developer fees.

Eligible Matching Funds

Added: Chapter 756, Statutes of 2008 (AB 268)

8879.69. Eligible local matching funds required to obtain funding under the program shall be obtained from revenues from any voter-approved local or regional tax or fee solely dedicated to transportation improvements, or from uniform developer fees. Tax or fee, for purposes of this section, means a countywide or citywide sales tax, a property or parcel tax in a county or counties or district, and voter-approved bridge tolls or voter-approved fees dedicated to specific transportation improvements.

Eligible Projects

Added: Chapter 756, Statutes of 2008 (AB 268)

8879.70. (a) Eligible projects shall include all of the following:

(1) Improvements to the state highway system, including, but not limited to, all of the following:

(A) Major rehabilitation of an existing segment that extends the useful life of the segment by at least 15 years.

(B) New construction to increase capacity of a highway segment that improves mobility or reduces congestion on that segment.

(C) Safety or operational improvements on a highway segment that are intended to reduce accidents and fatalities or improve traffic flow on that segment.

(2) Improvements to transit facilities, including guideways, that expand transit services, increase transit ridership, improve transit safety, enhance access or convenience of the traveling public, or otherwise provide or facilitate a viable alternative to driving.

(3) The acquisition, retrofit, or rehabilitation of rolling stock, buses, or other transit equipment, including, but not limited to, maintenance facilities, transit stations, transit guideways, passenger shelters, and fare collection equipment with a useful life of at least 10 years. The acquisition of vans, buses, and other equipment necessary for the provision of transit services for seniors and people with disabilities by transit and other local agencies is an eligible project under this paragraph.

(4) Improvements to the local road system, including, but not limited to, both of the following:

(A) Major roadway rehabilitation, resurfacing, or reconstruction that extends its useful life by at least 15 years.

(B) New construction and facilities to increase capacity, improve mobility, or enhance safety.

(5) Improvements to bicycle or pedestrian safety or mobility with a useful life of at least 15 years.

(6) Improvements to mitigate the environmental impacts of new transportation infrastructure on a locality's or region's air quality or water quality, commonly known as "urban runoff," including, but not limited to, the installation of catch basin screens, filters, and inserts, or other best management practices for capturing or treating urban runoff.

(b) For purposes of the program, a separate phase or stage of construction for an eligible project may include mitigation of the project's environmental impacts, including, but not limited to, soundwalls, landscaping, wetlands or habitat restoration or creation, replacement plantings, and drainage facilities.

Two Subaccounts: Voter-Approved Taxes and Fees, Uniform Developer Fees

Added: Chapter 756, Statutes of 2008 (AB 268)

8879.71. (a) For purposes of distributing funds annually appropriated by the Legislature to the State-Local Partnership Program Account, the commission shall segregate the funds into two separate subaccounts, which are hereby created in the account, as follows:

(1) Ninety-five percent of the funds shall be deposited into the Voter-Approved Taxes and Fees Subaccount and shall be made available to eligible applicants as defined in subdivision (a) of Section 8879.68 for expenditure on eligible projects, as approved by the commission. Funds in this subaccount shall be distributed by formula, pursuant to Section 8879.72.

(2) Five percent of the funds shall be deposited into the Uniform Developer Fees Subaccount and shall be made available to eligible applicants as defined in subdivision (b) of Section 8879.68 for expenditure on eligible projects, as approved by the commission. Funds in this subaccount shall be distributed through a competitive grant application process to be administered by the commission pursuant to Section 8879.73.

(b) Notwithstanding Section 13340, the money in the subaccounts described in subdivision (a) are hereby appropriated, without regard to fiscal year, to the commission for the purposes described in subdivision (a).

Voter-Approved Taxes and Fees: Funding Shares

Added: Chapter 756, Statutes of 2008 (AB 268)

8879.72. (a) To establish the funding shares for each eligible applicant described in paragraph (1) of subdivision (a) of Section 8879.71, the commission shall do the following prior to the commencement of a funding cycle:

(1) Determine the total amount of annual revenue generated from voter-approved sales taxes, voter-approved parcel or property taxes, and voter-approved bridge tolls dedicated to transportation improvements according to the most recent available data reported to the State Board of Equalization, the Controller, or the Bay Area Toll Authority.

(2) Establish a northern California and southern California share by attributing the proportional share of revenues from voter-approved sales taxes, voter-approved parcel or property taxes, and voter-approved bridge tolls dedicated to transportation improvements and imposed in counties in northern California to the northern share, and by attributing the proportional share of revenues from voter-approved sales taxes imposed in counties located in southern California to the southern share. The determination of whether a

county is located in northern or southern California shall be based on the definitions set forth in Section 187 of the Streets and Highways Code.

(3) Program funds made available to the southern share, based on the determination in paragraph (2), shall be distributed to the entity responsible for programming and allocating revenues from the sales tax in proportion to the population of the county in which the entity is located compared to the total population of southern California counties with voter-approved sales taxes dedicated to transportation improvements. For the purpose of calculating population, the commission shall use the most recent information available from the Department of Finance.

(4) Program funds made available to the northern share, based on the determination in paragraph (2), shall be distributed as follows:

(A) Program funds generated by voter-approved bridge tolls and voter-approved parcel or property taxes dedicated to transportation improvements shall be distributed to the entity responsible for programming and allocating revenues from the toll or tax based on the proportional share of revenues generated by the toll or tax by that entity in comparison to the total revenues generated by voter-approved sales taxes, voter-approved parcel or property taxes, and voter-approved bridge tolls dedicated to transportation improvements in northern California.

(B) Program funds generated by voter-approved sales taxes dedicated to transportation improvements shall be distributed to the entity responsible for programming and allocating revenues from the sales tax in proportion to the population of the county in which the entity is located compared to the total population of the northern California counties with voter-approved sales taxes dedicated to transportation improvements. For the purposes of calculating population, the commission shall use the most recent information available for the Department of Finance.

(b) Under this section, each fiscal year in which funds are appropriated for the program shall constitute a funding cycle.

(c) Each eligible applicant desiring to participate in the program in any funding cycle under this section shall submit to the commission all of the following:

(1) A description of the eligible project nominated for funding, including a description of the project's cost, scope, and specific improvements and benefits it is anticipated to achieve.

(2) A description of the project's current status, including the phase of delivery the project is in at the time it is nominated for funding and a schedule for the project's completion.

(3) A description of how the project would support transportation and land use planning goals within the region.

(4) The amount of eligible local matching funds the applicant is committing to the project.

(5) The amount of program funds the applicant seeks from the program for the project.

(d) The commission shall review nominated projects under this section and their accompanying documentation to ensure that each nominated project meets the requirements of this article and to confirm that each project has a commitment of the requisite amount of eligible local matching funds as required in this article. Upon

conducting the review of the requirements and determining the proposed projects to be in compliance with this article, the projects shall be deemed eligible.

(e) An eligible applicant that is identified to receive an allocation of funds under this section, but that does not submit a project for funding in a funding cycle, may utilize its funding share in a subsequent funding cycle.

Uniform Developer Fees: Competitive Grant Program

Added: Chapter 756, Statutes of 2008 (AB 268)

8879.73. (a) To distribute funds from the Uniform Developer Fees Subaccount to eligible applicants, as defined in paragraph (2) of subdivision (a) of Section 8879.71, the commission shall administer a competitive grant application program pursuant to this section.

(b) Under this section, each fiscal year in which funds are appropriated for the program shall constitute a funding cycle. To ensure that as many eligible applicants as possible may benefit from the competitive portion of the program, no single project shall receive more than one million dollars (\$1,000,000) in a single funding cycle in which program funds are allocated by the commission.

(c) Each eligible applicant desiring to participate in the program in any funding cycle under this section shall submit to the commission all of the following:

(1) A description of the eligible project nominated for funding, including a description of the project's cost, scope, and specific improvements and benefits it is anticipated to achieve.

(2) A description of the project's current status, including the phase of delivery the project is in at the time it is nominated for funding and a schedule for the project's completion.

(3) A description of how the project would support transportation and land use planning goals within the region.

(4) The amount of eligible local matching funds the applicant is committing to the project.

(5) The amount of program funds the applicant seeks from the program for the project.

(d) The commission shall review nominated projects under this section and their accompanying documentation to ensure that each nominated project meets the requirements of this article and to confirm that each project has a commitment of the requisite amount of eligible local matching funds as required in this article. Upon conducting the review of the requirements and determining the proposed projects to be in compliance with this article, the projects shall be deemed eligible.

(e) The commission shall adopt a program of projects under this section that is geographically balanced and provides cost-effective and multimodal, safety, reliability, and environmental benefits. In allocating funds to specific projects, the commission shall give priority to projects that do any of the following:

(1) Can commence construction or implementation of the project in a manner to provide the public benefit at the earliest possible date.

(2) Can enhance the leveragability of bond funds, by utilizing a higher proportion of nonbond funds toward a project's total cost than is otherwise required by this article.

(3) Can demonstrate quantifiable air quality improvements, including, but not limited to, a demonstration that the project can result in a significant reduction in vehicle-miles traveled.

Annual Program Cycle, Allocations, Guidelines

Added: Chapter 756, Statutes of 2008 (AB 268)

8879.74. (a) The commission shall adopt a program of projects to receive allocations under this article for each funding cycle, with allocations to projects to be initially made at the commission's meeting in April 2009, and to be made no later than the commission's October meeting for subsequent years.

(b) Projects receiving an allocation under the program shall encumber funds no later than two years after the end of the fiscal year in which an allocation is made by the commission. The commission shall rescind an allocation to a project that fails to comply with these requirements. Rescinded allocations of funds shall, in the case of the program established pursuant to Section 8879.72, be made available for another eligible project proposed by the agency that nominated the original project for funding, and, in the case of the program established in Section 8879.73, be reallocated to other projects during the fiscal year following the year in which the applicable timely use of funds requirement was not met.

(c) The commission shall develop and adopt guidelines to implement this article, and to establish the process for allocating funds to eligible projects under the program, consistent with this article. Prior to adopting the guidelines, the commission shall hold one public hearing in northern California and one public hearing in southern California to review and provide an opportunity for public comment on the proposed guidelines. The commission may incorporate the hearings into its regular meeting schedule.

Required Match

Added: Chapter 756, Statutes of 2008 (AB 268)

8879.75. Pursuant to subdivision (g) of Section 8879.23, an eligible project funded pursuant to this article shall require a match of one dollar (\$1) of eligible local matching funds for each dollar of program funds applied for under this article. An applicant may propose to use other funds for the same project, including local, federal, or other state funds, however, those other funds shall not be counted toward the match required by this article.

Summary in Annual Report

Added: Chapter 756, Statutes of 2008 (AB 268)

8879.76. The commission shall include in its annual report to the Legislature, required pursuant to Section 14535, a summary of its activities related to the administration of the program. The summary, at a minimum, shall include the description, location, and total cost of each project contained in the program, the amount of bond funds allocated to each project, the status of each project, and a description of the system improvements each project is achieving.

some roadways within the 60 to 70 PCI range, as to whether or not they can also garner the same life extension from lower cost treatments as those that are above 70. This is especially true in the case of residential streets and low traffic rural roadways that suffer mainly from “non-load” related distresses. For this reason, the Sub-committee recommends allowing jurisdictions preventive maintenance “credit” for treatments applied to arterial and collector roadways with a PCI of 70 or above, and residential/local roadways with a PCI of 60 and above. However, the jurisdiction should ensure that maintenance applied to a residential/local roadway below a PCI of 70 is appropriate as a preventive maintenance treatment. According to the Caltrans Surface Transportation Program Guidelines, appropriate preventive maintenance treatments include treatments where the “pavement management system has determined the project strategy to be cost effective and have a service life of five years or more.”

Setting the Benchmark for Performance

The basic method for measuring preventive maintenance performance is to determine the ratio between the percent of total maintenance budget a jurisdiction *actually* spends on preventive maintenance versus the percent of total budget that is *recommended* to be spent on preventive maintenance via the budget needs models run on the pavement management software. Because the recommended percent of budget comes directly from each jurisdiction’s pavement management database, it will vary depending on individual network characteristics. Jurisdictions with very a high network PCI will have a higher percent of budget recommendation for preventive maintenance and those with a very low PCI will have a lower percent of budget recommendation. Since the recommended amount of preventive maintenance is expressed as a percentage, jurisdictions are not rewarded or penalized based on the size of their jurisdictions, the current condition of their pavements or the size of their maintenance budgets.

The recommended percent of budget for preventive maintenance figure is generated by each jurisdiction’s pavement management system once an “unconstrained budget needs” (needs are not constrained to available funds) model is run. In the unconstrained budget needs model, the software assumes that all rehab and reconstruction will take place within the first seven years. Therefore, most jurisdictions have a very low preventive maintenance percent of total budget recommendation for the first five years. Conversely, in a 25-year unconstrained budget needs model, the software recommends a very high percentage of preventive maintenance because for years eight through 25, the model assigns preventive treatments to all of the street segments that it assumes have been rehabilitated or reconstructed in the first seven years. The difficulty for setting a benchmark “recommended” figure for the performance measure is in determining the appropriate time frame to use in the unconstrained budget needs model in order to generate it.

After a significant amount of analysis performed on multiple sample databases, the Sub-committee has found that the recommendation generated from a ten-year budget needs model, best approximates the *minimum* percent of total maintenance budget figure that each jurisdiction should be spending on preventive maintenance.

Data Extraction and Methodology

Data on actual preventive maintenance applied will be extracted directly from each jurisdiction’s pavement management database. From the databases, typically the most recent two years’ worth of maintenance history will be examined to determine what percentage of total maintenance expense was for maintenance on streets with qualifying PCIs (arterials and collectors with a PCI of 70+ and residential/local streets with PCIs of 60+). Because very few jurisdictions input total cost information in their databases, the countywide average unit treatment cost information calculated from the LS&R survey submittals will be used to determine the proportion of total maintenance spent on preventive treatments for each jurisdiction. Since the performance

measure is expressed as a percentage, the real cost data for specific treatments is not as important as the *relative* amount spent on preventive maintenance versus rehab/reconstruction. For this reason, it is appropriate to substitute average cost data for real cost data when calculating the performance metrics.

In order to extract information from the jurisdictions' pavement management databases and measure performance effectively, it is essential that jurisdictions' databases include up-to-date, thorough and accurate data on maintenance treatment history. Because the quality of maintenance history information in the pavement management databases varies across jurisdictions, the Sub-committee favors allowing jurisdictions the option of providing MTC with documentation that clearly shows the jurisdiction's budgeted and/or actual preventive maintenance activity as a proportion of their total street and road capital maintenance budget and/or actual expenditures. Documentation of this nature would include a listing of street/road sections, pavement condition indices (PCIs) and area of treated surface in conjunction with budget/expenditure information. MTC will provide jurisdictions with preventive maintenance information based on information extracted from their database when administering the LS&R Needs and Revenue Survey, which is conducted on a biennial basis. The next survey effort is expected to begin in October or November of 2008. Upon review, jurisdictions may choose to have MTC use the extracted data as the basis for their performance score, or opt to submit supplemental documentation on preventive maintenance activities as described above. Information on preventive maintenance performance provided through documentation would be verified through database extraction during the biennial LS&R survey and reporting period.

This option to provide additional information on preventive maintenance activities in lieu of information extracted from the jurisdiction's pavement management database is only intended to be available for initial rounds of programming where performance measure is used in the allocation of funds. In the future, jurisdictions will be expected to keep their pavement management databases current so that performance can be measured directly from the databases and will not require verification or further documentation.

Scoring Performance

As has been the policy in the past, a jurisdiction's share of performance allocated funds will be weighted according to their share of the other three factors – population, lane mileage and shortfall. The weighting ensures that consideration is given to the size and maintenance needs of the jurisdiction relative to other jurisdictions.

Full credit towards shares of performance allocated funding will be given to jurisdictions whose ratio of actual to recommended preventive maintenance equals one or greater. For jurisdictions with performance ratios below one, shares will be allocated based on a 0 to 100 scale. For example, if a jurisdiction's performance ratio is equal to .8, then the jurisdiction will receive 80% of the share that they would have received if they had gotten full credit.

Recommendation Summary

Recommendations of the LS&R Performance Sub-Committee for allocating the 25% performance share of regional funding for streets and roads are summarized below.

- 1.) Jurisdictions may get credit for actual preventive maintenance applied to street and roads with a PCI of 70 or above; or on residential/local streets with PCIs of 60 and above – provided the treatment is appropriate.

- 2.) A ten-year “unconstrained budget needs” model will be run on each jurisdiction’s pavement management system database in order to determine the “recommended” percentage of total maintenance budget that should be used for preventive maintenance treatments.
- 3.) Preventive maintenance performance information will be extracted from each jurisdiction’s pavement management database. Jurisdictions will be given the chance to review performance calculations based on the extracted information and will have the option to accept the calculations or provide alternative documentation on budgeted or actual preventive maintenance activities in lieu of the extracted data. This option is only intended to be available for initial rounds of programming and jurisdictions should strive to have all maintenance and rehabilitation data accurate and up-to-date in their pavement management systems.
- 4.) Jurisdictions’ performance will be scored on a scale of 0 to 100 based on each jurisdiction’s ratio of actual to recommended percentage of total maintenance budget used for preventive maintenance. In order to provide consideration to jurisdictions in regard to relative size and situation, instead of determining performance funding shares based directly on each jurisdictions straight performance score relative to the total points awarded in the region, scores will be weighted according to each jurisdiction’s combined shares of the other three factors in the allocation formula: population, lane mileage and shortfall.



**METROPOLITAN
TRANSPORTATION
COMMISSION**

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**PROGRAMMING AND DELIVERY WORKING GROUP (PDWG)
2009 MEETING SCHEDULE - TENTATIVE**

All Meetings
3rd Monday of each month (unless otherwise noted)
10:30 a.m. – 12:30 p.m.
MTC MetroCenter

NO MEETING SCHEDULED IN JANUARY DUE TO MARTIN LUTHER KING, JR. HOLIDAY	
Monday, February 2 or 9*- Location TBD <i>(*January and February meetings have been consolidated due to Martin Luther King, Jr. and Presidents' Day holidays.)</i>	Monday, March 16 3 rd Floor, Fishbowl Conference Room
Monday, April 20 2 nd Floor, Claremont Conference Room	Monday, May 18 2 nd Floor, Claremont Conference Room
Monday, June 15 2 nd Floor, Claremont Conference Room	Monday, July 20 3 rd Floor, Fishbowl Conference Room
NO MEETING SCHEDULED IN AUGUST	
Monday, September 21 2 nd Floor, Claremont Conference Room	Monday, October 19 2 nd Floor, Claremont Conference Room
Monday, November 16 2 nd Floor, Claremont Conference Room	Monday, December 21 3 rd Floor, Fishbowl Conference Room

METROPOLITAN TRANSPORTATION COMMISSION
TRANSPORTATION IMPROVEMENT PROGRAM (TIP)
TENTATIVE 2009 TIP REVISION SCHEDULE (SUBJECT TO CHANGE)
as of November 6, 2008

REVISION TYPE	REVISION NUMBER	AMENDMENT REQUEST SUBMISSION DEADLINE	MTC APPROVAL*	STATE APPROVAL*	FED. APPROVAL*	APPROVAL STATUS
Admin. Modification	09-01	September 30, 2008	November 14, 2008	November 20, 2008	N/A	TBD
Amendment	09-02	October 31, 2008	December 17, 2008	January 2, 2009	January 30, 2009	TBD
Admin. Modification	09-03	December 15, 2008	January 11, 2009	January 16, 2009	N/A	TBD
Amendment ¹	09-04	January 20, 2009	February 25, 2009	March 6, 2009	March 13, 2009	TBD
Amendment	09-05	January 30, 2009	March 18, 2009	April 1, 2009	April 29, 2009	TBD
Amendment ²	09-06	August 29, 2008	March 25, 2009	April 10, 2009	May 15, 2009	TBD
Admin. Modification	09-07	February 27, 2009	March 25, 2009	March 30, 2009	N/A	TBD
Amendment ³	09-08	January 30, 2009	April 22, 2009	May 11, 2009	May 29, 2009	TBD
Admin. Modification	09-09	March 30, 2009	April 24, 2009	April 30, 2009	N/A	TBD
Admin. Modification	09-10	April 30, 2009	May 24, 2009	May 30, 2009	N/A	TBD
Amendment	09-11	May 29, 2009	July 29, 2009	August 14, 2009	September 4, 2009	TBD
Admin. Modification	09-12	June 30, 2009	July 24, 2009	July 30, 2009	N/A	TBD
Amendment	09-13	July 31, 2009	September 23, 2009	October 9, 2009	October 30, 2009	TBD
Admin. Modification	09-14	August 31, 2009	September 25, 2009	September 30, 2009	N/A	TBD
Admin. Modification	09-15	September 30, 2009	October 23, 2009	October 30, 2009	N/A	TBD
Amendment	09-16	October 30, 2009	December 23, 2009	January 15, 2010	February 4, 2010	TBD
Admin. Modification	09-17	November 30, 2009	December 28, 2009	January 5, 2010	N/A	TBD
Admin. Modification	09-18	December 31, 2009	January 25, 2010	January 30, 2010	N/A	TBD

C:\Temp\[Roadways with Buses.xls]Roadways with Buses

Kindly Note:

* Future approval dates are expected dates and are subject to change

1 - Amendment 09-04 is the Special Economic Stimulus Amendment

2 - Amendment 09-06 is the air-quality amendment to add new non-exempt projects to the TIP that will only be approved by March 2009

3 - Annual Transit Amendment

Marcella Aranda - [CalRTPA] FW: 2008-09 (EEMP) Solicitation Notice

From: <earl_seaberg@dot.ca.gov>
To: <CalRTPA@yahoogroups.com>
Date: 11/06/08 7:18:53 PM
Subject: [CalRTPA] FW: 2008-09 (EEMP) Solicitation Notice

RTPA Group:

We are pleased to announce a call for projects for the Environmental Enhancement and Mitigation Program. It would be greatly appreciated if you could share this with your respective organizations.

For more information please contact Lucila Gonzalez (916) 653-6930 or Barry Leaming (916) 653-4221.

Thanks

Earl Seaberg, Acting Chief
Caltrans - Division of Local Assistance
916-653-1776

----- Forwarded by Lucila Gonzalez/HQ/Caltrans

2008-09 ENVIRONMENTAL ENHANCEMENT AND MITIGATION
PROGRAM (EEMP)
Solicitation Notice

Hi All,

This year's budget includes \$10 million for the Environmental Enhancement and Mitigation Program. Below are links to the the letter for the call for projects and other EEMP information. The deadline to apply for EEMP grants is December 22, 2008. Please share this information with your local agencies and others as appropriate.

http://resources.ca.gov/eem/Letter_to_Prospective_EEMP_Applicants.pdf

<http://resources.ca.gov/eem/Guidelines.EEMP.08-09.pdf>

http://resources.ca.gov/eem/EEMP_2008-09_APPLICATION_FORM.pdf

<http://resources.ca.gov/eem/>

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