

Date: December 21, 2005
W.I.: 1512
Referred By: PAC
Revised: 12/20/06-C
06/27/07-C
12/19/07-C
03/26/08-C

ABSTRACT

Resolution No. 3733, Revised

This resolution adopts the FY 2005-06 through FY 2007-08 Federal Transit Administration (FTA) Nonurbanized Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area.

This resolution was revised on December 20, 2006 to reflect three programming changes: 1) a change from capital to operating for Rio Vista; 2) a reconciliation of funds to actual expenditures for Marin County Stagecoach; and 3) an increase in funds for the City of Vallejo.

This resolution was revised on June 27, 2007, to reflect two programming changes: 1) a change from capital to operating for Vallejo; and 2) advancement of Sonoma County project from FY07-08 to FY06-07. This advancement reduces the carryover available in FY06-07.

This resolution was revised on December 19, 2007 to reflect three programming changes in FY07-08: 1) increase to the amount of operating assistance for Rio Vista, 2) increase the amount of operating assistance for Marin County Transit, and 3) add a new project Operating Assistance for Fairfield/Suisun Transit. This reduces the amount of carryover available in FY07-08.

This resolution was revised on March 26, 2008, to increase FY 2008 funding for five projects, in order to program all available FY 2008 funds.

Further discussions of these actions are contained in the MTC "Executive Director's Memorandum" and the Programming and Allocation Committee Summary dated June 27, 2007 December 12th, 2007, and March 5, 2008.

Date: December 21, 2005
W.I.: 1512
Referred By: PAC

Re: Program of Projects in the San Francisco Bay Area for the FYs 2005-06 through 2007-08 Federal Transit Administration (FTA) Nonurbanized Area Formula

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 3733

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code sections 66500 et. seq.; and

WHEREAS, MTC is the designated metropolitan planning organization (MPO) for the nine-county San Francisco Bay Area; and

WHEREAS, the U.S. Department of Transportation (DOT) has adopted rules and regulations (23 CFR 450 and CFR 613) which require that the MPO, in cooperation with the state and publicly-owned operators of mass transportation services, carry on a continuing, cooperative and comprehensive transportation planning process that results in plans and programs consistent with the comprehensively planned development of the urbanized area, as a condition to the receipt of federal capital or operating assistance; and

WHEREAS, Section 5311 Title 49 of the United States Code (formerly Section 18 of the Federal Transit Act (FTA) provides a formula grant program for public transportation projects in areas other than urbanized areas (49 U.S.C. Section 5311); and

WHEREAS, MTC has developed, in consultation with interested transportation providers, a FY 2005-06 through FY 2007-08 FTA Nonurbanized Area Formula Program of Projects for the San Francisco Bay Area, which includes an Annual Element for FY 2005-06 and anticipated programming for FYs 2006-07 and 2007-08, attached hereto as Attachment A, and incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY 2005-06 through FY 2007-08 FTA Nonurbanized Area Formula Program of Projects as listed on Attachment A; and, be it further

RESOLVED, that MTC intends, subject to appropriate project review, to approve regional, state and federal assistance for projects that are identified on Attachment A; and, be it further

RESOLVED, that MTC intends to develop a multi-year program for Nonurbanized Area Formula funds based on the projects that are identified on Attachment A; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to modify the FY 2005-06 through FY 2007-08 Program of Projects as listed on Attachment A to match the actual FTA Nonurbanized Area Formula fund appropriation; and be it further

RESOLVED, that Attachment A supercedes and replaces the FY 2005-06 Program of Projects in MTC adopted in Resolution No. 3676, which included FTA Section 5311 projects for FYs 2004-05 through 2006-07 in the San Francisco Bay Area; and be it further

RESOLVED, that Attachment A may be revised from time to time by approval of MTC's Programming and Allocations Committee.

METROPOLITAN TRANSPORTATION COMMISSION

John Rubin, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on December 21, 2005.

Date: December 21, 2005
W.I.: 1512
Referred By: Programming & Allocations

Attachment A
Resolution No. 3733
Page 1 of 1

INSERT

**Attachment A in
RES-3733.XLS**



**METROPOLITAN
TRANSPORTATION
COMMISSION**

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Memorandum

TO: Programming and Allocations Committee

DATE: December 14, 2005

FR: Deputy Executive Director, Policy

W.I.: 1512

RE: FYs 2005-06 through 2007-08 Nonurbanized Area Formula (Section 5311) Program of Projects MTC Resolution No. 3733

Background

The Federal Transit Administration (FTA) Nonurbanized Area Formula Program (Section 5311) makes funding available to each state for public transportation projects in the nonurbanized areas. Eligible applicants include public agencies, non-profit agencies, and American Indian tribes.

MTC annually develops a regional Program of Projects (POP) for submittal to Caltrans. Caltrans then submits a statewide POP to FTA for approval. MTC's objective is to program operating and capital funds to maintain needed transit services in the rural areas of the MTC region.

Funding Availability

Annually, Caltrans provides MTC with regional apportionment information. Caltrans provided the estimated apportionments for FY 2005-06, and MTC assumed a similar apportionment level for FYs 2006-07 and 2007-08. The estimated amount of Nonurbanized Area funds available to the region during this three-year period is approximately \$3.6 million total, of which approximately \$2.6 million was available for programming this cycle. The balance of funds was directed to projects approved by the Commission in a previous year program under MTC Resolution No. 3676. The amounts are as follows:

	<u>FY 2005-06</u>	<u>FY 2006-07</u>	<u>FY 2007-08</u>
Estimated Apportionment	\$1,307,081	\$1,136,000	\$1,136,000
Prior Year Carryover	50,360	0	0
Committed Programming	<u>(536,123)</u>	<u>(517,089)</u>	<u>0</u>
Total Available	\$821,318	\$618,911	\$1,136,000

Fund Programming

The MTC regional Section 5311 Program of Projects is a multi-year programming effort. The FY 2005-06 program is a fixed year, and this programming will be amended into the TIP. The two subsequent years are for planning purposes and subject to revision at the time of that year's POP development. Apportionments not programmed for a given fiscal year remain available to the region for two additional years. Therefore, the region will not lose funds in a given year if

actual apportionments change since these funds are carried forward and programmed first in the next fiscal year.

Staff proposes adoption of the program (MTC Resolution No. 3733), consistent with the priorities outlined in MTC's solicitation for FTA Section 5311 projects, dated October 26, 2005. These priorities are: (1) Sustain existing capital through replacement or rehabilitation; (2) Maintain transit services through operating assistance; (3) Provide preventive maintenance for existing transit equipment; (4) Provide capital and operating for service expansions; and (5) Enhance existing service.

There were sufficient funds to meet all project requests in FYs 2005-06 and 2006-07, providing that there is sufficient carryover in those years, all but one request in FY 2007-08 will be funded. Vallejo's operating request has been reduced by \$18,000, with concurrence from the City.

(1) Basic Capital Requirements for Existing Capital: Basic capital requirements are the first funding priority of MTC's programming criteria for the Section 5311 program. There were two requests for basic capital requirements.

- Dixon – \$60,000 for one replacement vehicle in FY 2005/06
- Sonoma County Transit – \$115,200 for 6 sedans in FY 2005-06, \$240,000 for 4 vans in FY 2006-07, and \$123,600 for vans in FY 2007-08
- Vallejo – 60,000 for a replacement bus in FY 2005/06

(2) Operating Assistance: Operating assistance for existing transit services is the second priority of MTC's Section 5311 project evaluation criteria. Five applicants requested operating assistance.

- Marin County – \$129,780 for West Marin Stagecoach Service and \$42,940 for Route 63 during 2005/06. During FYs 2006/07 and 2007/08 these routes will be combined and expanded. Expansion service requests are discussed below.
- Rio Vista - \$80,000 in FY 2005/06, \$70,000 in FY 2006/07, and \$60,000 in FY 2007/08.
- SamTrans/Coastside – \$132,455 in FY 2005-06, and \$131,350 in FY 2006-07, and \$138,650 in FY 2007/08.
- Sonoma County – \$129,000 in FY 2005-06, \$132,870 in FY 2006/07, and \$136,856 in FY 2007/08.
- Vallejo Transit – \$139,288 in FY 2005-06, \$162,346 in FY 2006/07, and \$167,986 in FY 2007/08. Their request FY 2007-08 request of \$186,493 was reduced by \$18,507 to address an anticipated FY 2007-08 funding shortfall. MTC's funding criteria allow applicants to request increases in operating assistance reflecting the current inflation rate. Vallejo's project was reduced in FY 2007-08 because their request far surpassed the rate of inflation.

(3) Preventive Maintenance: One applicant requested Preventive Maintenance and there were sufficient funds available to accommodate this request.

- Santa Clara Valley Transportation Authority – \$150,000 in FY 2005/06, \$155,250 in FY 2006/07, and \$159,908 in FY 2007/08.

(4) Capital and Operating for Service Expansions: Service expansion requests were considered after expenses for existing operations:

- Marin County – Expanded West Marin Stagecoach Service (expanded and combined with Route 63 service) - \$332,379 in FY 2006/07 and \$335,583 in FY 2007/08.
- Napa - \$81,000 for expanded operating assistance in FY 2007/08.

(5) Service Enhancement Projects – There were three requests for service enhancement projects:

- Napa – \$20,000 in FY 2005/06 for Napa’s Up Valley Communications System
- Rio Vista - \$50,000 in FY 2005/06, \$5,000 in FY 2006/07 and \$5,000 in FY 2007/08 for bus shelters.
- Sonoma County - \$83,000 in FY 2005/06 for surveillance equipment

In line with our objective to maintain and provide for a flexible program, MTC will re-evaluate all future programming prior to finalizing each annual program to ensure that the highest priority projects are funded in a given year. Projects programmed in FY 2006-07 and 2007-08 may be de-programmed or deferred, in order to accommodate higher priority projects selected for funding in the annual element. As in prior years, recipients of programmed operating funds should continue to seek other fund sources for operations, as continued Section 5311 funding cannot be guaranteed.

Proposed Resolution No. 3733 assigns responsibility for future program or project changes as follows:

- Authority to adjust project dollar amounts to match actual apportionment is delegated to the Executive Director.
- Any deletion or addition of projects in the Nonurbanized Area Formula Program of Projects is subject to Programming and Allocations Committee approval.
- Project review of individual projects in the Nonurbanized Area Formula Program of Projects is delegated to the Executive Director.

Recommendation

Staff recommends that the Programming and Allocations Committee refer MTC Resolution No. 3733 to the Commission for approval.

Therese McMillan

**Metropolitan Transportation Commission
Programming and Allocations Committee**

December 14, 2005

Item Number 4c

Resolution No. 3733

Subject: FYs 2005-06 through 2007-08 FTA Section 5311 Program of Projects

Background: The Federal Transit Administration (FTA) Nonurbanized Area Formula Program (Section 5311) makes funding available to each state for public transportation projects in the non-urbanized areas. Eligible applicants include public agencies, non-profit agencies, and American Indian tribes.

MTC is responsible for submitting an annual regional program to Caltrans. In turn, Caltrans prepares a statewide program to submit to FTA. MTC has developed a three-year program of projects. The new federal transportation authorizing legislation, SAFETEA, made available approximately sixty percent more Section 5311 funding to the region than in prior years. The following table lists the amounts recommended for Commission adoption for the three-year program of projects:

FY 2005/06 through FY 2007/08 Total:

Capital Projects:

Dixon	60,000
Napa	20,000
Rio Vista	60,000
Sonoma County	561,800
Vallejo	60,000
VTA	<u>465,158</u>
Subtotal, Capital	1,226,958

Operating Assistance:

Marin County	840,682
Napa	81,000
Rio Vista	210,000
SamTrans/Coastside	402,455
Sonoma County	398,726
Vallejo	<u>469,620</u>
Subtotal, Operating Assistance	2,402,483

Total **3,629,441**

Issues: Although there were sufficient funds to meet all project requests in FYs 2005-06 and 2006-07, in FY 2007-08 Vallejo's operating request was reduced by \$18,000, to address an anticipated shortfall. MTC's funding criteria allow applicants to request increases in operating assistance reflecting the current inflation rate. Vallejo's project was reduced in FY 2007-08 because their request surpassed the rate of inflation.

Recommendation: Refer MTC Resolution No. 3733 to the Commission for approval.

Attachments: Deputy Executive Director's Memorandum
MTC Resolution No. 3733

Metropolitan Transportation Commission Programming and Allocations Committee

December 13, 2006

Item Number 2d

Resolution No. 3733, Revised

Subject: FYs 2005-06 through 2007-08 FTA Section 5311 Program of Projects

Background: The Federal Transit Administration (FTA) Nonurbanized Area Formula Program (Section 5311) makes funding available to each state for public transportation projects in the non-urbanized areas. Eligible applicants include public agencies, non-profit agencies, and Native American Indian tribes.

MTC is responsible for submitting an annual regional program to Caltrans. In turn, Caltrans prepares a statewide program to submit to FTA. Although each region is only required to transmit a one-year program to Caltrans, MTC normally develops and submits a three-year program in order to foster better planning for the grantees in our region. On December 21, 2005 the Commission adopted a three-year program of projects for FY 2005-06 through FY 2007-08. At this time MTC is amending the program as follows:

- Rio Vista will use funds previously programmed for bus shelters as operating expenses (\$50,000 in FY 2005-06, \$5,000 in FY 2006-07 and \$5,000 in FY 2007-08).
- Marin County Stagecoach Operating Expenses will be reduced to \$37,722 rather than \$129,780 to reflect three months of operating expenses (April 1 – June 30, 2006). This project previously reported on a fiscal year ending March 30th, to reflect a 9-month lag initiating service. This will enable Marin County to use the Caltrans fiscal year (July 1 – June 30) as their reporting period, and report future operating expenses as part of the project Expand W. Marin Stagecoach service.
- Vallejo will receive an additional \$18,507 for operating expenses in FY 2007-08. Last year Vallejo's operating assistance was reduced by that amount to reflect an anticipated fund shortfall in FY 2007-08; the request amount will be restored.

Current estimates are that all initial requests can be funded, with a carryover amount of \$270,755 at the end of the 3-year cycle.

Issues: MTC recommends that any remaining funds be carried forward for future programming.

Recommendation: Refer to the Commission for approval.

Attachments: MTC Resolution No. 3733, Revised
Attachment A, Revised

Metropolitan Transportation Commission Programming and Allocations Committee

June 13, 2007

Item Number 3e

Resolution No. 3733, Revised

Subject: FYs 2005-06 through 2007-08 FTA Section 5311 Program of Projects

Background: The Federal Transit Administration (FTA) Nonurbanized Area Formula Program (Section 5311) makes funding available to each state for public transportation projects in the non-urbanized areas. Eligible applicants include public agencies, non-profit agencies, and Native American Indian tribes.

MTC is responsible for submitting an annual regional program to Caltrans. In turn, Caltrans prepares a statewide program to submit to FTA. Although each region is only required to transmit a one-year program to Caltrans, MTC normally develops and submits a three-year program in order to foster better planning for the grantees in our region. On December 21, 2005 the Commission adopted a three-year program of projects for FY 2005-06 through FY 2007-08, and revised the program on December 20, 2006. At this time staff is proposing to amend the program as follows:

- Vallejo will use funds previously programmed for vehicle replacement as operating expenses (\$60,000 in FY 2005-06).
- A Sonoma County Vehicle Replacement project will advance from FY2007-08 to FY2006-07 (\$123,600). Caltrans staff has asked that MTC reduce the amount of carryover funding in the current year; advancing the Sonoma County project will reduce the FY06-07 carryover from \$318,845 to \$195,245.

Current estimates are that all initial requests can be funded, with a carryover amount of \$270,755 at the end of the 3-year cycle.

Issues: Staff recommends that any remaining funds be carried forward for future programming.

Recommendation: Refer to the Commission for approval.

Attachments: MTC Resolution No. 3733, Revised
Attachment A, Revised

Metropolitan Transportation Commission Programming and Allocations Committee

December 12, 2007

Item Number 2c

Resolution Nos. 3733, Revised and 3838

Subject: FYs 2005-06 through 2007-09 FTA Section 5311 Program of Projects

Background: The Federal Transit Administration (FTA) Nonurbanized Area Formula Program (Section 5311) makes funding available to each state for public transportation projects in the nonurbanized areas. Eligible applicants include public agencies, non-profit agencies, and American Indian tribes.

MTC annually develops a regional Program of Projects (POP) for submittal to Caltrans. Caltrans then submits a statewide POP to FTA for approval. MTC's objective is to program operating and capital funds to maintain needed transit services in the rural areas of the MTC region.

Funding Availability

Annually, Caltrans provides MTC with regional apportionment information. The estimated amount of Nonurbanized Area funds available for the MTC region during the two-year period is \$2.8 million total, of which \$1.7 million remains to be programmed. The estimated amounts are as follows:

	<u>FY 2007-08</u>	<u>FY 2008-09</u>
Estimated Apportionment	\$1,276,349	\$ 1,349,626
Prior Year Carryover	\$ 195,245	\$ _____0
Total Funding Available	\$1,471,594	\$ 1,349,626
Committed Programming	(\$1,103,490)	\$ _____0
Available for Programming	\$ 368,104	\$ 1,349,626

Fund Programming

The MTC regional Section 5311 Program of Projects is a multi-year programming effort. The FY 2007-08 program is a fixed year, and this programming will be amended into the TIP (program amendments for FYI 07-08 included in Resolution No. 3733, revised). FY2008-09 is for planning purposes and subject to revision based on funds actually apportioned (Resolution 3838).

Staff proposes adoption of the program (MTC Resolution No. 3733, Revised, and Resolution 3838), consistent with the priorities outlined in MTC's solicitation for FTA Section 5311 projects, dated November 7, 2007. These priorities are: (1) Sustain existing capital through replacement or rehabilitation; (2) Maintain transit services through operating assistance; (3) Provide preventive maintenance for existing transit equipment; (4) Provide capital and operating for service expansions; and (5) Enhance existing service.

There were not sufficient funds to meet all project requests in FYs 2007-08 and 2008-09; however, there were sufficient funds available to meet all Priority 1 and 2 requests in FY08, and in FY09 to meet all Priority 1 requests and to fund all Priority 2 requests at an equivalent level to the prior year, and partially fund Priority 3 requests. All Priority 4 and 5 requests were deferred.

In line with our objective to maintain and provide for a flexible program, MTC will re-evaluate all future programming prior to finalizing each annual program to ensure that the highest priority projects are funded in a given year. Projects programmed in this action may be de-programmed or deferred, in order to accommodate higher priority projects selected for funding in the annual element. As in prior years, recipients of programmed operating funds should continue to seek other fund sources for operations, as continued Section 5311 funding cannot be guaranteed.

- Issues:** Staff recommends that any remaining funds be carried forward for future programming.
- Recommendation:** Refer MTC Resolution Nos. 3733, Revised and 3838 to the Commission for approval.
- Attachments:** MTC Resolution Nos. 3733, Revised and 3838

**Metropolitan Transportation Commission
Programming and Allocations Committee**

March 5, 2008

Item Number 2d

Resolution Nos. 3733, Revised and 3838, Revised

Subject: FTA Section 5311 Program of Projects: Fiscal Years 2005-06 to FY 2008-09

Background: The Federal Transit Administration (FTA) Nonurbanized Area Formula Program (Section 5311) makes funding available to each state for public transportation projects in the nonurbanized areas. Eligible applicants include public agencies, non-profit agencies, and American Indian tribes.

MTC annually develops a regional Program of Projects (POP) for submittal to Caltrans. Caltrans then submits a statewide POP to FTA for approval. MTC's objective is to program operating and capital funds to maintain needed transit services in the rural areas of the MTC region.

In December 2007, the Commission approved the FY2008 and FY2009 POPs. Subsequently, Caltrans has requested certain changes in the region's program, namely that MTC program all available funds in FY 2008 leaving no carryover available to augment the estimated FY 2009 funds. Caltrans has stated that if the region does not program all available funds in the year they are apportioned, any unprogrammed balance will be reclaimed by Caltrans for use throughout the state. This is a departure from previous practice, in which MTC was allowed to carry funds over from year to year.

In order to adjust the program, additional funds are proposed to be programmed in FY 2008; an equivalent amount of funding is also proposed to be de-programmed from the FY08-09 program in order to keep that year in balance. The actions being proposed are:

1. Advance a portion of one capital project from FY09 to FY08 (Dixon)
2. Increase preventive maintenance and operating funds in FY08 and decrease them by an equal amount in FY09 (VTA, Vallejo, Rio Vista, and Marin County Transit).

These agencies/projects are being proposed because they had requested more funding in FY08 than were originally programmed, and because they have capacity for additional funds within the federal matching funds requirements. This change continues to support MTC's priorities for the program, and all affected operators have been notified.

The FY 2007-08 programming will be amended into the TIP. FY2008-09 is for planning purposes and subject to revision based on funds actually apportioned. As in prior years, recipients of programmed operating funds should continue to seek other fund sources for operations, as continued FTA Section 5311 funding cannot be guaranteed.

Issues: None

Recommendation: Refer MTC Resolution Nos. 3733, Revised and 3838, Revised to the Commission for approval.

Attachments: MTC Resolution Nos. 3733, Revised and 3838, Revised