

BATA Operating Budget

AS of January 2008

	1	2	3	4	5	6
	FY 2007-08 Budget	7 Month Actual	Encumbrances	Variance Fav.(Unfav.)	% of Budget (Col 2+3/1)	% of Budget year Expired
<b>REVENUE:</b>						
1. RM 1 Toll Revenues	137,016,387	78,193,178	-	(58,823,209)	57.1%	58.3%
2. RM 2 Toll Revenues	117,362,278	67,088,328	-	(50,273,950)	57.2%	58.3%
3. Seismic Toll Revenue	234,724,556	134,148,332	-	(100,576,224)	57.2%	58.3%
4. Caltrans Revenue	100,000,000	100,000,000	-	-	100.0%	58.3%
5. Other revenue	10,000,000	4,977,589	-	(5,022,411)	49.8%	58.3%
6. Interest Income	90,135,000	80,354,720	-	(9,780,280)	89.1%	58.3%
7. GGB&HTD, SFO ETC Reimburseme	2,537,000	1,414,990	-	(1,122,010)	55.8%	58.3%
<b>Total Revenue</b>	<b>691,775,221</b>	<b>466,177,137</b>	<b>-</b>	<b>(225,598,084)</b>	<b>67.4%</b>	<b>58.3%</b>
<b>EXPENSE:</b>						
<b>Caltrans Operations and Maintenance:</b>						
1. Toll Collection & Operations Service	24,000,000	14,949,200	-	9,050,800	62.3%	58.3%
2. Toll & Bridge Facility Maint	9,807,000	2,687,847	-	7,119,153	27.4%	58.3%
3. Transbay Transit Terminal Maint	3,506,000	1,976,555	-	1,529,445	56.4%	58.3%
4. Caltrans Coordination	587,636	245,141	-	342,495	41.7%	58.3%
5. Caltrans ETC Operations	435,000	116,830	-	318,170	26.9%	58.3%
<b>Caltrans O &amp; M Subtotal</b>	<b>38,335,636</b>	<b>19,975,573</b>	<b>-</b>	<b>18,360,063</b>	<b>52.1%</b>	<b>58.3%</b>
<b>ETC Operations and Maintenance:</b>						
6. ETC - CSC Operations	13,892,000	7,371,726	6,520,271	-	100.0%	58.3%
7. ETC - ATCAS Maintenance, IT equi	2,927,000	940,972	1,486,138	499,890	82.9%	58.3%
8. Banking Costs	6,450,600	2,681,333	3,375,290	393,977	93.9%	58.3%
9. ETC - Collection Exp./DMV Exp.	950,000	397,416	200,000	352,584	62.9%	58.3%
<b>BATA O &amp; M Subtotal</b>	<b>24,219,600</b>	<b>11,391,447</b>	<b>11,581,699</b>	<b>1,246,451</b>	<b>94.9%</b>	<b>58.3%</b>
<b>BATA Toll Bridge Administration:</b>						
10. Staff Costs - including salaries	6,826,353	3,068,040	206,629	3,551,684	48.0%	58.3%
11. RM 1/RM2 Audit/Accounting/Oth	1,939,773	325,944	513,806	1,100,023	43.3%	58.3%
12. Misc. Toll Admin Operating Expens	897,104	627,268	48,456	221,380	75.3%	58.3%
13. Professional Fees	3,823,799	1,145,635	1,841,545	836,619	78.1%	58.3%
14. Contingency	700,000	-	-	700,000	0.0%	58.3%
<b>Toll Bridge Admin Subtotal</b>	<b>14,187,029</b>	<b>5,166,887</b>	<b>2,610,436</b>	<b>6,409,706</b>	<b>54.8%</b>	<b>58.3%</b>
<b>Other/Transfers:</b>						
15. Transfers to BAIFA	100,000,000	100,000,000	-	-	100.0%	58.3%
16. Transfers to MTC	26,760,000	26,510,000	-	250,000	99.1%	58.3%
17. Depreciation and Amortization	1,714,000	162,100	-	1,551,900	9.5%	58.3%
18. RM2 Operating	45,208,193	3,523,922	21,724,581	19,959,690	55.8%	58.3%
<b>Transfers</b>	<b>173,682,193</b>	<b>130,196,022</b>	<b>21,724,581</b>	<b>21,761,590</b>	<b>87.5%</b>	<b>58.3%</b>
<b>Debt Service:</b>						
19. Interest and principal payments	237,190,250	67,005,928	-	170,184,322	28.2%	58.3%
20. Financing Costs	9,789,400	3,554,640	4,430,936	1,803,824	81.6%	58.3%
<b>Total Debt Service</b>	<b>246,979,650</b>	<b>70,560,568</b>	<b>4,430,936</b>	<b>171,988,146</b>	<b>30.4%</b>	<b>58.3%</b>
<b>Transfer to Capital Fund (In) Out:</b>						
21. Transfer to Capital Fund	194,371,113	228,886,641	-	(34,515,528)	117.8%	58.3%
<b>Total Transfers (In) Out</b>	<b>194,371,113</b>	<b>228,886,641</b>	<b>-</b>	<b>(34,515,528)</b>	<b>117.8%</b>	<b>58.3%</b>
<b>Total Expense &amp; Transfers</b>	<b>691,775,221</b>	<b>466,177,137</b>	<b>40,347,652</b>	<b>185,250,429</b>	<b>73.2%</b>	<b>58.3%</b>
<b>Net</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(40,347,652)</b>		
Per IFAS						

**RM1 Project Budget**

As of January 2008 (\$000) - Life to Date

<b>Program</b>	<b>Total Budget</b>	<b>Allocated Budget</b>	<b>Total Expenses</b>	<b>Encumbrance</b>	<b>Balance Remaining</b>
<b>New Bridge - Benicia:</b>					
BATA Budget	1,224,800	1,189,020	1,106,148	82,872	35,780
Non BATA Budget*	49,200	49,200	38,224	10,976	-
Subtotal for New Bridge - Benicia	1,274,000	1,238,220	1,144,372	93,848	35,780
Main Span - Carquinez	528,156	516,577	509,146	7,431	11,579
Richmond Parkway	5,897	5,897	5,226	671	-
<b>Richmond Trestle Fender Replacement:</b>					
BATA Budget	42,593	42,593	50,341	(7,748)	-
Non BATA Budget*	59,660	59,660	61,492	(1,832)	-
Subtotal for Richmond Trestle Fender Rep.	102,253	102,253	111,833	(9,580)	-
Richmond Deck Rehab.	25,000	24,113	19,653	4,460	887
Widen Trestle	217,456	212,336	208,683	3,653	5,120
<b>I-880/SR-92 Interchange Recnst.</b>					
BATA Budget	235,400	194,181	44,634	149,547	41,219
Non BATA Budget*	9,600	9,600	-	9,600	-
Subtotal for I-880/SR-92 Interchange Recnst	245,000	203,781	44,634	159,147	41,219
SR-92 Replacement Planting	395	22	-	22	373
Bayfront Expressway Widening	35,968	34,859	33,273	1,586	1,109
University/101 Interchange	3,800	3,800	3,700	100	-
<b>TOTAL FOR RM1 PROGRAM</b>	<b>2,437,926</b>	<b>2,341,858</b>	<b>2,080,520</b>	<b>261,338</b>	<b>96,067</b>

\* Non BATA Funded Costs

Rehab Project Budget

As of January 2008 (\$000) - Life to Date

Program	Total Budget	Allocated Budget	Total Expenses	Encumbrance	Balance Remaining
Antioch Bridge Rehab	5,200	5,200	1,586	3,614	-
Benicia-Martinez Bridge Rehab	4,557	4,557	4,262	295	-
Carquinez Bridge Rehab	20,921	20,921	6,674	14,247	-
Richmond-San Rafael Bridge Rehab	2,503	2,503	1,100	1,403	-
San Francisco-Oakland Bay Bridge Rehab	61,365	61,365	48,150	13,215	-
San Mateo-Hayward Bridge Rehab	8,791	8,791	4,404	4,387	-
Dumbarton Bridge Rehab	14,824	14,824	2,475	12,349	-
All Bridges Rehab	14,120	14,120	7,543	6,577	-
Completed/Defunded/Transferred Projects	36,235	36,235	31,245	4,990	-
Minor Bridge Rehab Projects	5,357	5,357	1,264	4,093	-
Transbay Transit Terminal Projects	956	956	-	956	-
Minor Toll Plaza Projects	1,537	1,537	-	1,537	-
<b>TOTAL CALTRANS REHAB BUDGET</b>	<b>176,367</b>	<b>176,367</b>	<b>108,703</b>	<b>67,663</b>	<b>-</b>
Misc. Bridge Improvements	2,500	2,500	-	1,000	1,500
Seismic Analysis & Retrofit Dumbarton	3,800	3,800	2,463	1,315	22
Seismic Analysis & Retrofit Antioch	3,200	3,200	2,328	778	94
ETC Regional CSC Development	6,879	6,879	3,727	765	2,387
Future CSC Upgrades/Replacement	500	500	-	-	500
ATCAS Lane Host Upgrades	19,600	19,600	457	301	18,842
Fastrak Sign & Sign Structure Improvements	20,000	20,000	18,073	1,251	676
Benicia New Toll Plaza ORT	4,485	4,485	3,915	261	309
ETC Transponder Procurement	21,293	21,293	16,650	3,553	1,090
Hybrid/ETC Lane Modifications	900	900	835	22	43
Procure New Callboxes	944	944	737	-	207
Toll Collection Monitoring Camera Upgrade	3,250	3,250	-	-	3,250
Toll Plaza Capital Improvements	900	900	95	605	200
ATCAS Capital Maintenance	800	800	2	1	797
Gateway Park Planning	250	250	33	13	204
SRA/RM1 Program Monitoring	26,045	26,045	15,130	4,278	6,637
Capital Program Audit	3,000	3,000	2,012	913	75
<b>Total BATA REHAB BUDGET</b>	<b>118,346</b>	<b>118,346</b>	<b>66,457</b>	<b>15,056</b>	<b>36,833</b>
<b>TOTAL REHAB BUDGET</b>	<b>294,713</b>	<b>294,713</b>	<b>175,161</b>	<b>82,719</b>	<b>36,832</b>

**Seismic Capital Project Budget**

As of January 2008 (\$000) - Life to Date

Program	Base Budget	Current Budget	Total Expenses*	Encumbrance	Remaining Balance
San Francisco-Oakland Bay Bridge East Span Repl	\$ 5,486,600	\$ 5,665,820	\$ 2,732,745	\$2,933,075	\$ (179,220)
San Francisco-Oakland Bay Bridge West Span	307,900	307,900	304,375	3,525	-
San Francisco-Oakland Bay Bridge West Approach	429,000	429,000	371,318	57,682	-
Richmond-San Rafael Bridge Retrofit	825,000	825,000	793,324	31,676	-
Benicia-Martinez Bridge Retrofit	177,800	177,830	177,817	13	(30)
Carquinez Bridge Retrofit	114,200	114,130	114,206	(76)	70
San Mateo-Hayward Bridge Retrofit	163,500	163,510	163,412	98	(10)
<b>Subtotal for Bay Area Bridges</b>	<b>7,504,000</b>	<b>7,683,190</b>	<b>4,657,197</b>	<b>3,025,993</b>	<b>(179,190)</b>
Misc Program Costs	30,000	30,000	26,024	3,976	-
Program Contingency**	989,000	809,780	-	809,780	179,220
Vincent Thomas Bridge Retrofit ( <i>non-BATA, for information only</i> )	58,500	58,510	58,411	99	(10)
San Diego-Coronado Bridge Retrofit ( <i>non BATA, for information only</i> )	103,500	103,520	103,235	285	(20)
<b>Subtotal for Other Bridges</b>	<b>162,000</b>	<b>162,030</b>	<b>161,646</b>	<b>384</b>	<b>(30)</b>
<b>Total for Toll Bridge Seismic Retrofit Program</b>	<b>\$ 8,685,000</b>	<b>\$ 8,685,000</b>	<b>\$ 4,844,867</b>	<b>\$ 3,840,133</b>	<b>\$ -</b>

*Includes preAB144 LTD expenses from Caltrans to April 2006	3,709,068
Bata expenses from May 2006 to current	1,135,799
	<u>4,844,867</u>

\*\* Contingency Allocation

Contingency per Budget	989,000
Allocation to SFO BB East Span Repl 7/07	179,220
Allocation to Benicia-Martinez 7/07	30
Allocation to San Mateo-Hayward 7/07	10
Allocation to Vincent Thomas 7/07	10
Allocation to San Diago-Coronado 7/07	20
Unallocate to Carquinez 7/07	70
Remaining Balance	<u>809,780</u>

## Regional Measure 2 Operating Budget

As of January 2008 (\$000)

Program 30914(d) Project	Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
1	Richmond Bridge Express Bus	\$2,196	1,647	755	892	549
2	Napa Vine Service	50	25	-	25	25
3	Express Bus North - serving SFOBB, Dumbarton, San Mateo bridges	3,420	2,854	483	2,371	566
4	Express Bus South - serving Carq. And Ben Bridges	5,900	5,899	-	5,899	1
9	Vallejo Ferry Intermodal Station	2,740	1,997	-	1,997	743
10	Owl Service - BART Corridor	1,827	1,726	224	1,502	101
11	MUNI Metro 3rd St	2,500	2,500	-	2,500	-
12	AC Enhanced Bus Service	3,000	3,000	-	3,000	-
13	TransLink®	3,500	1,350	77	1,273	2,150
14	Water Transit Authority	3,000	3,000	1,897	1,103	-
NA	RM2 Marketing	866	866	-	866	-
	AC Transit	164	164	-	164	-
	Finger Design	20	20	-	20	-
	Golden Gate Bridge Hwy	110	110	-	110	-
	Swirl	90	90	88	2	-
	Total	\$29,383	\$25,248	\$3,524	\$21,724	\$4,135

**Regional Measure 2 Project Budget**  
**As of January 2008 (\$000) - Life to Date**

Program	Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
1	BART/MUNI Direct Connection at Embarcadero & Civic Center	\$3,000	-	-	-	\$3,000
2	SF MUNI Metro 3rd Street LRT Extension	30,000	30,000	23,795	6,205	-
3	MUNI Historic Streetcar Expansion (E-Line)	10,000	10,000	8,088	1,912	-
4	Dumbarton Commuter Rail Service	135,000	9,157	1,210	7,947	125,843
5	Vallejo Ferry Intermodal Station	28,000	2,784	117	2,667	25,216
6	Solano County Express Bus Intermodal Facilities	20,000	2,120	37	2,083	17,880
7	Solano County Corridor Improvements near I-80 / I-680	100,000	27,000	8,281	18,719	73,000
8	I-80 EB HOV Lane Extension from Route 4 to Carraizuez Bridge	50,000	5,260	262	4,998	44,740
9	Richmond Parkway Park & Ride	16,000	700	-	700	15,300
10	SMART Extension to Larkspur	35,000	2,000	1,099	901	33,000
11	Greenbrae Interchange	65,000	7,558	3,900	3,658	57,442
12	Direct HOV lane connector from I-680 to the Pleasant Hill BART	15,000	1,000	6	994	14,000
13	Rail Extension to East Contra Costa/E-BART	96,000	22,850	10,625	12,225	73,150
14	Capitol Corridor Improvements in Interstate-80/Interstate 680	25,000	3,365	-	3,365	21,635
15	Central Contra Costa Bay Area Rapid Transit (BART) Crossover	25,000	25,000	3,701	21,299	-
16	Benicia-Martinez Bridge: New Span	50,000	50,000	50,000	-	-
17	Remaining Regional Express Bus North - Competitive Program	20,000	-	-	-	20,000
18	TransLink®	22,000	14,975	4,111	10,864	7,025
19	Real-time transit information	20,000	15,560	1,359	14,201	4,440
20	Safe Routes to Transit	22,500	6,207	2,342	3,865	16,293
21	BART Tube Seismic Retrofit	81,000	33,801	24,196	9,605	47,199
22	Transbay Terminal/Downtown	150,000	81,578	54,201	27,377	68,422
23	Oakland Airport Connector	68,000	68,000	-	68,000	-
24	AC Transit Enhanced Bus - Phase 1 (International Blvd/Telegraph Ave.)	65,000	21,057	17,227	3,830	43,943
25	Commute Ferry Service for Alameda/Oakland/Harbor Bay	12,000	-	-	-	12,000
26	Commute Ferry Service for Berkeley/Albany	12,000	-	-	-	12,000
27	Commute Ferry Service for South San Francisco	12,000	12,000	66	11,934	-
28	Water Transit Facility Imps., Spare Vessels and Environmental Review	48,000	24,525	9,794	14,731	23,475
29	Regional Express Bus South - Remaining Projects	22,000	19,039	10,352	8,687	2,961
30	I-880 North Safety Improvements	10,000	1,800	1,104	696	8,200
31	BART Warm Springs Extension	95,000	16,000	2,390	13,610	79,000
32	I-580 (Tri Valley) Rapid Transit Corridor Improvements	65,000	34,050	19,440	14,610	30,950
33	Regional Rail Master Plan	6,500	6,500	5,694	806	-
34	Integrated Fare Structure Program	1,500	1,000	57	943	500
35	Transit Commute Benefits Promotion	5,000	400	19	381	4,600
36	Caldecott Tunnel Improvements	50,500	15,500	5,621	9,879	35,000
37	BART's Fixed Guideway Rehab	24,000	24,000	-	24,000	-
	<b>Total</b>	<b>\$1,515,000</b>	<b>\$594,786</b>	<b>\$269,094</b>	<b>\$325,692</b>	<b>\$920,214</b>

Shaded projects are completed

**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR**

**\$2500-100,000**

**January'08**

---

*Accounting Principal*

*Accounting Temp.*

39,345

**CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$2500-100,000**

<u>Consultant</u>	<u>Purpose</u>	<u>January'08</u>
<i>Caporicci and Larson</i>	<i>RM2 audit</i>	33,430
<i>URS Corp.</i>	<i>FasTrak sign improvement</i>	16,000
<i>Nematode</i>	<i>Kiosk operation at Embarcadero</i>	24,000

**CONTRACTS REQUIRED UNDER BOND ISSUANCE DOCUMENTS**

<b>Consultant</b>	<b>Purpose</b>	<b>January'08</b>
JP Morgan	Liquidity Fees	600,000
JP Morgan	Remarketing Fees	452,000
Lehman Brothers	Remarketing Fees	55,000

