

**Metropolitan Transportation Commission  
Programming and Allocations Committee**

March 5, 2008

Item Number 2d

**Resolution Nos. 3733, Revised and 3838, Revised**

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**Subject:** FTA Section 5311 Program of Projects: Fiscal Years 2005-06 to FY 2008-09

**Background:** The Federal Transit Administration (FTA) Nonurbanized Area Formula Program (Section 5311) makes funding available to each state for public transportation projects in the nonurbanized areas. Eligible applicants include public agencies, non-profit agencies, and American Indian tribes.

MTC annually develops a regional Program of Projects (POP) for submittal to Caltrans. Caltrans then submits a statewide POP to FTA for approval. MTC's objective is to program operating and capital funds to maintain needed transit services in the rural areas of the MTC region.

In December 2007, the Commission approved the FY2008 and FY2009 POPs. Subsequently, Caltrans has requested certain changes in the region's program, namely that MTC program all available funds in FY 2008 leaving no carryover available to augment the estimated FY 2009 funds. Caltrans has stated that if the region does not program all available funds in the year they are apportioned, any unprogrammed balance will be reclaimed by Caltrans for use throughout the state. This is a departure from previous practice, in which MTC was allowed to carry funds over from year to year.

In order to adjust the program, additional funds are proposed to be programmed in FY 2008; an equivalent amount of funding is also proposed to be de-programmed from the FY08-09 program in order to keep that year in balance. The actions being proposed are:

1. Advance a portion of one capital project from FY09 to FY08 (Dixon)
2. Increase preventive maintenance and operating funds in FY08 and decrease them by an equal amount in FY09 (VTA, Vallejo, Rio Vista, and Marin County Transit).

These agencies/projects are being proposed because they had requested more funding in FY08 than were originally programmed, and because they have capacity for additional funds within the federal matching funds requirements. This change continues to support MTC's priorities for the program, and all affected operators have been notified.

The FY 2007-08 programming will be amended into the TIP. FY2008-09 is for planning purposes and subject to revision based on funds actually apportioned. As in prior years, recipients of programmed operating funds should continue to seek other fund sources for operations, as continued FTA Section 5311 funding cannot be guaranteed.

**Issues:** None

**Recommendation:** Refer MTC Resolution Nos. 3733, Revised and 3838, Revised to the Commission for approval.

**Attachments:** MTC Resolution Nos. 3733, Revised and 3838, Revised

Date: December 21, 2005  
W.I.: 1512  
Referred By: PAC  
Revised: 12/20/06-C  
06/27/07-C  
12/19/07-C  
03/26/08-C

ABSTRACT

Resolution No. 3733, Revised

This resolution adopts the FY 2005-06 through FY 2007-08 Federal Transit Administration (FTA) Nonurbanized Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area.

This resolution was revised on December 20, 2006 to reflect three programming changes: 1) a change from capital to operating for Rio Vista; 2) a reconciliation of funds to actual expenditures for Marin County Stagecoach; and 3) an increase in funds for the City of Vallejo.

This resolution was revised on June 27, 2007, to reflect two programming changes: 1) a change from capital to operating for Vallejo; and 2) advancement of Sonoma County project from FY07-08 to FY06-07. This advancement reduces the carryover available in FY06-07.

This resolution was revised on December 19, 2007 to reflect three programming changes in FY07-08: 1) increase to the amount of operating assistance for Rio Vista, 2) increase the amount of operating assistance for Marin County Transit, and 3) add a new project Operating Assistance for Fairfield/Suisun Transit. This reduces the amount of carryover available in FY07-08.

This resolution was revised on March 26, 2008, to increase FY 2008 funding for five projects, in order to program all available FY 2008 funds.

Further discussions of these actions are contained in the MTC "Executive Director's Memorandum" and the Programming and Allocation Committee Summary dated June 27, 2007 December 12<sup>th</sup>, 2007, and March 5, 2008.

Date: December 21, 2005  
W.I.: 1512  
Referred by: PAC  
Revised: 12/19/07-C  
03/26/08-C

Attachment A  
Resolution No. 3733  
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**Federal Transit Administration**  
**Section 5311 Nonurbanized Area Formula Program**  
**Project Proposals - FY 2005-06 to FY 2007-08**

**FY 2005-06 Funding Available:**  
**Apportionments (Act.)** **1,307,081**  
**Prior Year Carryover:** **161,195**  
**Total Funding Avail.** **1,468,276**

<b><u>FY 2005-06 Programming:</u></b>		Project	<u>Sect. 5311</u>	<u>Sect. 5311</u>	<u>Total Project</u>
<u>Applicant</u>	<u>Project Description</u>	<u>Type</u>	<u>Program</u>	<u>Request</u>	<u>Including Match</u>
Dixon	Replacement Vehicle	Cap	60,000	60,000	75,000
Napa	Up Valley Commun. System	Cap	20,000	20,000	25,000
Rio Vista	10 Bus Shelters <sup>(1)</sup>	Cap	0	0	0
Sonoma County	Replacement Vehicles	Cap	115,200	115,200	144,000
Sonoma County	Surveillance equipment	Cap	83,000	83,000	119,000
VTA	Preventive Maintenance	Cap	150,000	150,000	762,160
Marin County	W. Marin Stagecoach Svc <sup>(2)</sup>	Oper	37,722	37,722	226,422
Marin County	Route 63, Wkend/Seas. Oper	Oper	42,940	42,940	98,991
Rio Vista	Operating Assistance <sup>(1)</sup>	Oper	130,000	130,000	220,000
SamTrans/Coastside	Operating Assistance	Oper	132,455	132,455	264,910
Sonoma County	Operating Assistance	Oper	129,000	129,000	613,672
Vallejo	Operating Assistance <sup>(4)</sup>	Oper	<u>199,288</u>	<u>199,288</u>	<u>683,153</u>
Total Programming			1,099,605	1,099,605	3,232,308
Total Available			<u>1,468,276</u>		
Available for Carryover			368,671		

**FY 2006-07 Funding Available:**  
**Apportionments (Act.)** **1,179,369**  
**Prior Year Carryover:** **368,671**  
**Total Funding Avail.** **1,548,040**

<b><u>FY 2006-07 Programming:</u></b>		Project	<u>Sect. 5311</u>	<u>Sect. 5311</u>	<u>Total Project</u>
<u>Applicant</u>	<u>Description</u>	<u>Type</u>	<u>Program</u>	<u>Request</u>	<u>Including Match</u>
Rio Vista	10 Bus Shelters <sup>(1)</sup>	Cap	0	0	0
Sonoma County	Replacement Vehicles	Cap	240,000	240,000	312,000
VTA	Preventive Maintenance	Cap	155,250	155,250	773,633
Sonoma County	Replacement Vehicles <sup>(5)</sup>	Cap	123,600	123,600	156,000
Marin County	Expand W. Marin Stagecoach	Oper	332,379	332,379	704,758
Rio Vista	Operating Assistance <sup>(1)</sup>	Oper	75,000	75,000	167,500
SamTrans/Coastside	Operating Assistance	Oper	131,350	131,350	262,700
Sonoma County	Operating Assistance	Oper	132,870	132,870	632,083
Vallejo	Operating Assistance	Oper	<u>162,346</u>	<u>162,346</u>	<u>390,606</u>
Total Programming			1,352,795	1,352,795	3,399,280
Total Available:			<u>1,548,040</u>		
Available for Carryover			195,245		

**FY 2007-08 Funding Available:**  
**Apportionments (Act.)** **1,276,349**  
**Prior Year Carryover:** **195,245**  
**Total Funding Avail.** **1,471,594**

<b><u>FY 2007-08 Programming:</u></b>		Project	<u>Sect. 5311</u>	<u>Sect. 5311</u>	<u>Total Project</u>
<u>Applicant</u>	<u>Description</u>	<u>Type</u>	<u>Program</u>	<u>Request</u>	<u>Including Match</u>
Rio Vista	10 Bus Shelters <sup>(1)</sup>	Cap	0	0	0
Dixon	Vehicle Replacement <sup>(8)</sup>	Cap	60,000	120,000	150,000
VTA	Preventive Maintenance <sup>(9)</sup>	Cap	255,880	250,000	796,842
Marin County	Expand W. Marin Stagecoach <sup>(7)</sup>	Oper	368,476	368,476	707,161
Napa	Up Valley - Transit Operations	Oper	81,000	81,000	162,000
Rio Vista	Operating Assistance <sup>(1, 6)</sup>	Oper	129,684	149,692	234,384
SamTrans/Coastside	Operating Assistance	Oper	138,650	138,650	277,300
Sonoma County	Operating Assistance	Oper	136,856	136,856	651,045
Fairfield/Suisun Transit	Operating Assistance	Oper	102,095	102,095	184,521
Vallejo	Operating Assistance <sup>(3)</sup>	Oper	<u>198,953</u>	<u>186,493</u>	<u>427,213</u>
Total Programming			1,471,594	1,533,262	3,590,466
Total Available:			<u>1,471,594</u>		
Available for Carryover			0		

**FY 2008-09 Funding Available:**  
**Apportionments (Est.)** **1,349,626**  
**Prior Year Carryover:** **0**  
**Total Funding Avail.** **1,349,626**

**NOTES:**

- (1) Rio Vista will use \$60,000 in funds previously programmed for Bus Shelters for Operating Expenditures.
- (2) Marin County Stagecoach Operating Expenses reduced to reflect only 3 months of FY 2005-06 expenses. Further funding will be reported with Expanded W. Marin Stagecoach project.
- (3) Vallejo's FY08 operating project increased from 186,493 to \$195,953; equal amount reduced from FY09 program.
- (4) Vallejo will use \$60,000 previously programmed to vehicle replacment for operating in FY05-06.
- (5) Sonoma County FY07-08 Vehicle Replacment capital project (\$123,600) is advanced to FY06-07.
- (6) Rio Vista FY08 Operating Assistance increased from \$75,000 to \$129,684; equal amount reduced from FY09 program
- (7) Marin County Transit FY08 Operating Assistance increased from \$335,583 to \$368,476; equal amount reduced from FY09 program.
- (8) Dixon Vehicle Replacement capital project (\$60,000) advanced to FY08 from FY09.
- (9) VTA FY08 PM project increased from \$159,908 to \$255,880; equal amount reduced from FY09 program.

Date: December 19, 2007  
W.I.: 1512  
Referred By: PAC  
Revised: 03/26/08-C

ABSTRACT

Resolution No. 3838, Revised

This resolution adopts the FY 2008-09 Federal Transit Administration (FTA) Nonurbanized Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area.

This resolution was revised on March 26, 2008, to adjust funding for five projects, due to changes in the FY 2008 program that reduced the amount of funding available in FY 2009.

Further discussions of these actions are contained in the MTC the Programming and Allocation Committee Summaries dated December 12, 2007, and March 5, 2008.

Date: December 19, 2007  
W.I.: 1512  
Referred by: PAC  
Revised: 03/26/08-C

Attachment A  
Resolution No. 3838  
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**Federal Transit Administration**  
**Section 5311 Nonurbanized Area Formula Program**  
**Project Proposals - FY 2008-09**

**FY 2008-09 Funding Available:**

Apportionments (Est.)	\$	1,349,626
Prior Year Carryover:		<u>0</u>
<b>Total Funding Avail.</b>	<b>\$</b>	<b>1,349,626</b>

**FY 2008-09 Programming:**

<u>Applicant</u>	<u>Project Description</u>	<u>Project Type</u>	<u>Sect. 5311 Program</u>	<u>Sect. 5311 Request</u>	<u>Total Project Including Match</u>
Dixon	Vehicle Replacement <sup>(1)</sup>	Cap	\$ 60,000	\$ 120,000	\$ 150,000
Rio Vista	Operating Assistance <sup>(2)</sup>	Oper	\$ 22,265	\$ 149,692	\$ 299,384
Sonoma County Transit	Operating Assistance	Oper	\$ 140,962	\$ 140,962	\$ 670,577
Sonoma County Transit	Vehicle replacement	Cap	\$ 381,000	\$ 381,000	\$ 461,010
SamTrans	Coastside Operating Assistance	Oper	\$ 134,323	\$ 134,323	\$ 268,647
Marin County Transit	Operating Assistance <sup>(3)</sup>	Oper	\$ 326,291	\$ 437,975	\$ 833,592
VTA	Preventive Maintenance <sup>(4)</sup>	Cap	\$ -	\$ 300,000	\$ 920,729
Fairfield/Suisun	Operating Assistance	Oper	\$ 105,158	\$ 108,583	\$ 196,247
<u>Vallejo</u>	<u>Operating Assistance <sup>(5)</sup></u>	<u>Oper</u>	<u>\$ 179,628</u>	<u>\$ 199,548</u>	<u>\$ 780,889</u>
Total Programming			\$ 1,349,626	\$ 1,972,083	\$ 4,581,075
Total Available			<u>\$ 1,349,626</u>		
Available for Carryover			\$ (0)		

(1) Dixon vehicle replacement project reduced from \$120,000 to \$60,000; equal funds added in FY08 program.

(2) Rio Vista operating assistance project reduced from \$76,949 to \$22,265; equal funds added in FY08 program.

(3) Marin County Transit operating assistance project reduced from \$352,417 to \$326,291; equal funds added in FY08 program.

(4) VTA PM project reduced from \$95,972 to \$0; equal funds added to FY08 program.

(5) Vallejo operating assistance project reduced from \$192,088 to \$179,628; equal funds added to FY08 program.